CO_2, rather than relying on flawed models and extreme scenarios, is essential for informed and effective decision-making.

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PREFACE

This document originated in late March 2025 when Secretary Wright assembled an independent group to write a report on issues in climate science relevant for energy policymaking, with particular focus on the question of whether carbon dioxide emissions endanger the U.S. public. We agreed to undertake the work on the condition that there would be no editorial oversight by the Secretary, the Department of Energy, or any other government personnel. This condition has been honored throughout the process and the writing team has worked with full independence.

The group began working in early April with a deadline of May 28. The short timeline and the technical nature of the material meant that we could not comprehensively review all topics. While the report is intended to be accessible to non-experts, we have omitted some introductory or explanatory material that can easily be accessed elsewhere. Nor have we attempted to survey entire literatures related to the topics covered. We have focused as much as possible on literature published since 2020 and referenced previous IPCC and NCA assessment reports. We have also used data through 2024 where possible.

The writing team is grateful to Secretary Wright for the opportunity to prepare this report and for his support of independent scientific assessment and open scientific debate.

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PART I: DIRECT HUMAN INFLUENCE ON ECOSYSTEMS AND THE CLIMATE

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1 CARBON DIOXIDE AS A POLLUTANT

Chapter summary:
Carbon dioxide (CO_2) differs in many ways from the so-called Criteria Air Pollutants. It does not affect local air quality and has no human toxicological implications at ambient levels. It is an issue of concern because of its potential effects on the global climate, a complex issue that occupies much of this report.

The Clean Air Act of 1970 defined six so-called Criteria Air Contaminants subject to regulation (EPA): particulate matter, ground-level ozone, sulfur dioxide, nitrogen dioxide, lead, and carbon monoxide. In 2007, the Supreme Court ruled that greenhouse gases (CO_2 among them) were also "pollutants" subject to regulation under Clean Air Act (Mass. v. EPA, 2007). While the definition of "pollutant" is ultimately a legal one, there are important scientific distinctions between CO_2 and the Criteria Air Contaminants. The latter are subject to regulatory control because they cause local problems depending on concentrations that include nuisances (odor, visibility), damage to plants, and, at high enough exposure levels, toxicological effects in humans. In contrast, CO_2 is odorless, does not affect visibility and has no toxicological effects at ambient levels. It is a naturally occurring part of the atmosphere and a key component of human and plant respiration. CO_2 is essential for plant photosynthesis and higher levels are beneficial for vegetation. In these aspects, CO_2 is similar to water vapor.

Ambient outdoor air today contains about 430 parts per million (ppm) CO_2, increasing at about 2 ppm per year. The U.S. Occupational Safety and Health Administration issues guidelines for indoor workplaces in which elevated CO_2 might be encountered, such as where dry ice is used. The Permissible Exposure Limit is 5,000 ppm over 8 hours (OSHA, 2024). Allen *et al.* (2015) reported evidence of diminished performance on some cognitive tasks among workers in office cubicles when exposed to CO2 levels above 1,000-1,500 ppm. These levels are far larger than any plausible ambient outdoor value through the end of the 22nd century.

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The growing amount of CO_2 in the atmosphere directly influences the earth system by promoting plant growth (global greening), thereby enhancing agricultural yields, and by neutralizing ocean alkalinity. But the primary concern about CO_2 is its role as a greenhouse gas (GHG) that alters the earth's energy balance. How the climate will respond to that influence is a complex question that will occupy much of this report.

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2 DIRECT IMPACTS OF CO_2 ON THE ENVIRONMENT

Chapter summary:
CO_2 enhances photosynthesis and improves plant water use efficiency, thereby promoting plant growth. Global greening due to increased CO_2 levels in the atmosphere is well-established on all continents.

CO_2 absorption in sea water makes the oceans less alkaline. The recent decline in pH is within the range of natural variability on millennial time scales. Most ocean life evolved when the oceans were mildly acidic. Decreasing pH might adversely affect corals, although the Australian Great Barrier Reef has shown considerable growth in recent years.

2.1 CO_2 and global greening

The growing CO_2 concentration in the atmosphere has the important positive effect of promoting plant growth by enhancing photosynthesis and improving water use efficiency. That is evident in the "global greening" phenomenon discussed below, as well as in the improving agricultural yields discussed in Chapter 10.

2.1.1 Measurement of global greening

"Greening" refers to an increase in the fraction of the Earth's surface covered by plants. It can be quantified by the "Leaf Area Index" (LAI) measured by satellite. Many studies over the past decade have confirmed a global greening pattern (increase in LAI) attributable to rising CO_2 levels. Zhu *et al.* (2016) was one of the first studies to report that global greening was detectable using satellite sensors. From 1982 to 2011 they detected greening over 25-50 percent of the Earth versus "browning" over only 4percent and attributed 70 percent of the greening to rising CO_2 levels (see Figure 2.1).

Zheng (2017) confirmed the pattern, noting that greening over thirty years had added 8 percent to global leaf area and that greening was mitigating warming. Greening has been observed globally but Chen *et al.* (2019) noted that China and India were exploiting it through land management changes. Thus, while China accounts for only 6.6 percent of global vegetated area it accounts for 25 percent of global net increase in LAI. Piao *et al.* (2020) noted that greening was even observable in the Arctic.

While plant models predict increased photosynthesis in response to rising CO_2, Haverd *et al.* (2020) reported a CO_2 fertilization rate nearly double model predictions. That is, CO_2 fertilization had driven an increase in observed global photosynthesis by 30 percent since 1900, versus 17 percent predicted by plant models. This indicates that global models of the socioeconomic impacts of rising CO_2 have likely understated the benefits to crops and agriculture. The connection between CO_2 fertilization and agriculture will be discussed in Chapter 9.

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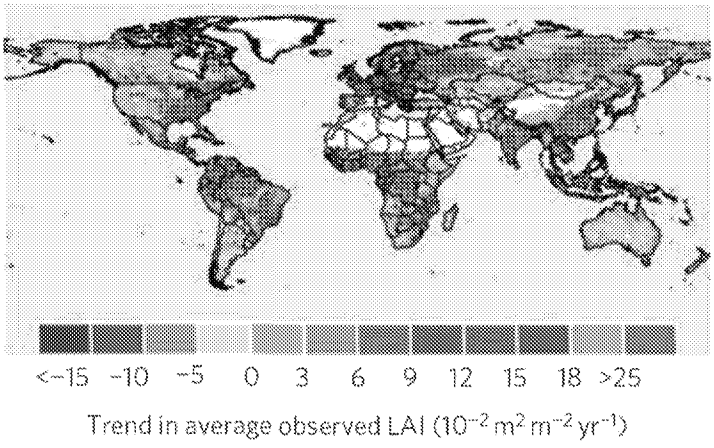

Figure 2.1: Trends in average Leaf Area Index (LAI). Source: Zhu *et al.* 2016 Figure 3.

Finally, Chen *et al.* (2024) surveyed claims that greening had slowed since 2000 due to drought stress. They found instead that faulty satellite sensors were the cause and that the corrected data showed accelerated greening over 55 percent of the globe while browning was accelerating over only 7%. In other words, the greening trend continued with no evidence of slowdown, and CO_2 fertilization remained the dominant driver

2.1.2 Photosynthesis and CO_2 levels

Plants build biomass through *photosynthesis,* a process that converts carbon dioxide, water, and light into sugar. The plant enzyme responsible for photosynthesis is Ribulose-1,5-bisphosphate-carboxylase/oxygenase or "Rubisco". Photosynthesis is initiated when CO_2 is available at the surface of the Rubisco enzyme where it is converted to a molecule with 3 carbon atoms and thereafter incorporated into plant mass. This is referred to as the "C3" process.

Rubisco is estimated to have evolved about 3 billion years ago. Over geological time the Earth's atmospheric CO_2 levels were usually many times higher than they are today, as shown in Figure 2.2.

About 400 million years ago CO_2 levels were an estimated 2,000-4,000 ppm and were at or above 1,000 ppm for much of the interval from 200 to 50 million years ago (Berner 2006, Judd *et al.* 2024). Over the past 35 million years the level of atmospheric CO_2 has been steadily declining, falling to as low as 170 ppm during glaciations (Gerhart and Ward 2010).

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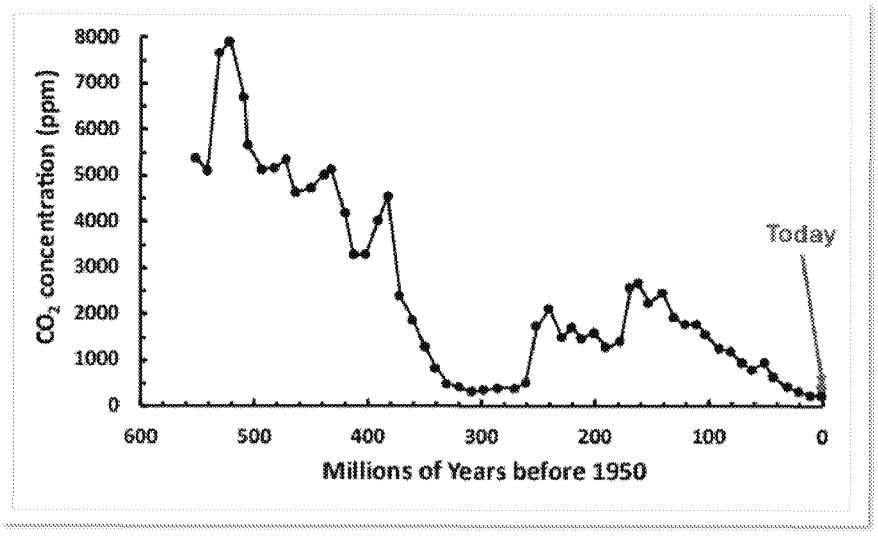

Figure 2.2. Ambient CO_2 levels over the past 550 million years. Today's concentration of 430 ppm is also shown. Source: (Berner 2006)

In response to low-CO_2 conditions some plants evolved another photosynthetic pathway called C4, in which CO_2 is concentrated in the vicinity of Rubisco, allowing for the C3 process to function more efficiently. For agricultural purposes the plant categories are:

- C3: rice, wheat, soybeans and most other crops
- C4: maize (corn), sugar cane, millet, sorghum

Had atmospheric CO_2 levels continued declining, plant growth would have declined and eventually ceased. Below 180 ppm, the growth rates of many C3 species are reduced 40-60 percent relative to 350 ppm (Gerhart and Ward 2010) and growth has stopped altogether under experimental conditions of 60—140 ppm CO_2. Some C4 plants are still able to grow at levels even as low as 10 ppm, albeit very slowly (Gerhart and Ward 2010).

Current CO_2 levels are about 430 ppm, up from 280 ppm in the early 1800s. The positive response of plants to extra CO_2 is illustrated in Figure 2.3, reproduced from Gerhart and Ward (2010). It shows the growth effect of CO_2 on Velvetleaf (*Abutilon theophrasti*) seedlings over 14 days under controlled conditions where only the CO_2 exposure is varied. The gains induced by increasing CO_2 from 150 ppm to 350 ppm continue under a further doubling to 700 ppm.

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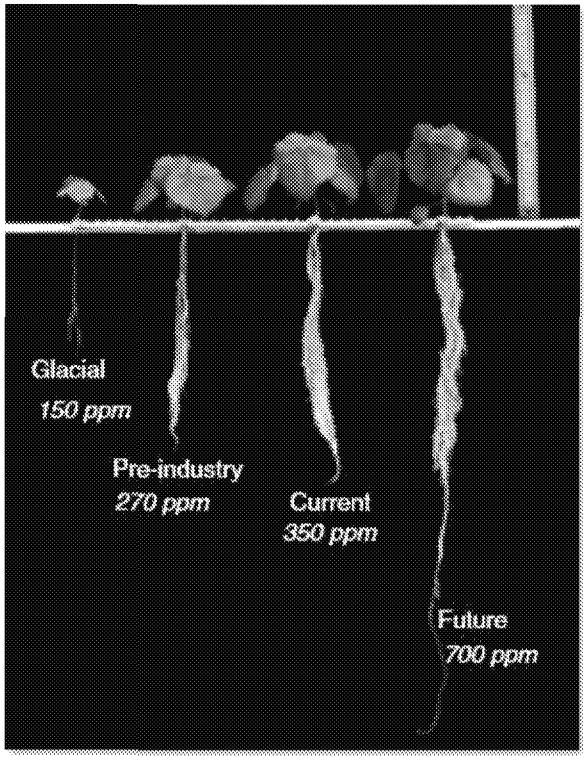

Figure 2.3: growth of *Abutilon theophrasti* after 14 days under identical conditions but for the indicated variations in CO_2 levels. Source: Gerhart and Ward (2010). Note "Current" corresponds to 1988 in image.

Over the past 60+ years there have been thousands of studies on the response of plants to rising CO_2 levels. The overwhelming theme is that plants, especially C3 plants, benefit from extra CO_2. There are two mechanisms by which CO_2 confers a growth benefit:

- Enhanced photosynthesis via the metabolic pathways described above.
- Increased water use efficiency. This arises because plants draw in CO_2 by opening the stomata (pores) on the leaf surface. When CO_2 is scarce the stomata must be kept wide open for long periods, allowing water to evaporate. Under enriched CO_2 conditions the stomata remain closed for longer periods, thus helping the plant retain water longer, and so increasing water use efficiency.

Specific effects of climate change on U.S. agriculture will be reviewed in Chapter 9.

2.1.3 Rising CO_2 and crop water use efficiency

Derying *et al.* (2016) surveyed evidence on crop water productivity (CWP), the yield per unit of water used, drawing attention to the potential for CO_2 both to enhance photosynthesis and to reduce leaf-level transpiration (water loss during leaf respiration). They surveyed all available FACE data (Free Air CO_2

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Enrichment—see Chapter 9) on crop yield changes for maize (corn), wheat, rice, and soybean and combined it with crop model data simulating yield responses as of 2080 under the extreme RCP8.5 emissions scenario in four growing regions (Tropics, Arid, Temperate and Cold) each of which were split into rainfed and irrigated sub-regions. They reported that models without CO_2 fertilization predicted CWP losses in every region, but those were more than offset by CO_2 fertilization so that all regions showed a net CWP gain. Deryng *et al.* (2016) also reported that negative impacts of warming on wheat and soybean yields were fully offset by CWP gains and mitigated by up to 90 percent for rice and 60 percent for maize.

Similarly, Cheng *et al.* (2017) noted that increased global plant growth from 1982 to 2011 due to rising CO_2 uptake was accompanied by such large gains in CWP that global water use by plants had not increased, despite the extra biomass.

Deryng *et al.* (2016) assumed that climate change would "exacerbate water scarcity". Yet while models do predict that drylands will expand under climate warming, current data show the opposite: greening is happening even in arid areas. Zhang *et al.* (2024) report that due to increased CO_2 levels "increasing aridity in drylands won't lead to a general loss of vegetation productivity"; at most only 4 percent of currently arid areas will see increased desertification.

2.1.4 CO2 fertilization benefits in IPCC Reports

The IPCC has only minimally discussed global greening and CO_2 fertilization of agricultural crops. The topic is briefly acknowledged in a few places in the body of the IPCC 6th and earlier Assessment Reports but is omitted in all Summary documents. Section 2.3.4.3.3 of the AR6 Working Group I report, entitled "global greening and browning," points out that the IPCC Special Report on Climate Change and Land had concluded with *high confidence* that greening had increased globally over the past 2-3 decades. It then discusses that there are variations in the greening trend among data sets, concluding that while they have *high confidence* greening has occurred, they have *low confidence* in the magnitude of the trend. There are also brief mentions of CO_2 fertilization effects and improvements in water use efficiency in a few other chapters in the AR6 Working Groups I and II Reports.

Overall, however, the Policymaker Summaries, Technical Summaries, and Synthesis Reports do not discuss the topic.

2.2 The Alkaline Oceans

2.2.1 Changing pH

A neutral aqueous solution has a pH of 7.0, while one with pH greater than 7.0 is alkaline (also termed basic) and with pH less than 7.0 is acidic. The modern-day global average pH of sea water is estimated to be 8.04 (Copernicus Marine Service 2025), down from an estimated value of 8.2 in pre-Industrial times (Gattuso and Hansson, 2011). As CO_2 concentrations in the atmosphere increased, the oceans absorbed more, which decreases their pH. Depending upon the oceans' buffering capacity, they are expected to become somewhat less alkaline over time, consistent with the observed decrease in pH.

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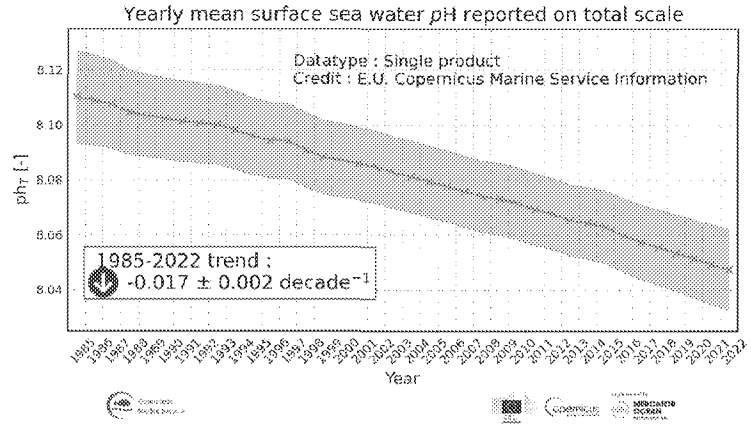

Figure 2.4: Ocean pH 1985 – 2022. Source: Copernicus Marine Service 2025

While this process is often called "ocean acidification", it is a misnomer because the oceans are not expected to become acidic; "ocean neutralization" would be more accurate. Even if the water were to turn acidic, it is believed that life in the oceans evolved when the oceans were mildly acidic with pH 6.5 - to 7.0 (Krissansen-Totton *et al.*, 2018). On the time scale of thousands of years, boron isotope proxy measurements show that ocean pH was around 7.4 or 7.5 during the last glaciation (up to about 20,000 years ago) increasing to present-day values as the world warmed during deglaciation (Rae *et al.*, 2018). Thus, ocean biota appear to be resilient to natural long-term changes in ocean pH since marine organisms were exposed to wide ranges in pH.

2.2.2 Coral reef changes

There are concerns that a decreasing pH of sea water will reduce the calcification rate of coral reefs. But coral reefs already endure large swings in pH, partly due to daily photosynthetic activity in the reef; measured pH values range from 9.4 during the day to 7.5 at night (Revelle and Fairbridge, 1957). De'ath *et al.* (2009) reported that a portion of Australia's Great Barrier Reef (GBR, the world's largest coral reef ecosystem) had experienced a 14 percent decline in calcification since 1990. This was tentatively attributed to increasing water temperature and decreasing pH. But Ridd *et al.* (2013) showed that report to have resulted from a biased data analysis that, when corrected, showed no change in calcification rates. Nevertheless, the alarm produced by the original paper has persisted as evidenced by the large number of published citations (541) to the original study compared to only 11 citations to the correction (as of 30 April 2025).

The most recent annual summary of GBR conditions from the Australian Institute of Marine Science indicates that coral production has rebounded strongly (AIMS, 2023). Figure 2.5 shows the results of the AIMS surveys of hard coral cover, expressed as a percentage of the reef area. Much of the decline in the GBR before 2011 turned out to be due to intense tropical cyclone activity (Beeden *et al.*, 2015) as well as a string of marine heatwaves, agricultural runoff and invasive species (Woods Hole, 2023). Given the reported declines in GBR calcification between 1990 and 2009 and the continued increase in atmospheric CO_2 levels the rebound has surprised some observers.

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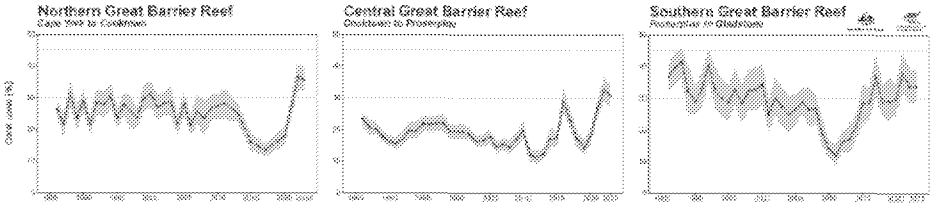

Figure 2.5 Hard coral cover of three regions of the Great Barrier Reef 1985 to 2023. Source: AIMS 2023.

It is being increasingly recognized that publication bias (alarming ocean acidification results preferred by high-impact research publications) exaggerates the reported impacts of declining ocean pH. An ICES Journal of Marine Science Special Issue addressed this problem with an article entitled, *Towards a Broader Perspective on Ocean Acidification Research*. In the Introduction to that Special Issue, H. I. Browman stated, "As is true across all of science, studies that report no effect of ocean acidification are typically more difficult to publish." (Browman, 2016).

Similarly, a meta-analysis (Clements *et al.*, 2021) of the negative effects of ocean acidification on reef fish behavior found what they called a "decline effect": initially dramatic conclusions published in prominent journals showing apparently large impacts of acidification tended to be followed up by subsequent studies on larger sample sizes yielding much smaller and typically non-existent effects. They call for their colleagues to improve research practices to counter the "decline effect":

> [The] vast majority of studies with large effect sizes in this field tend to be characterized by low sample sizes, yet are published in high-impact journals and have a disproportionate influence on the field in terms of citations. We contend that ocean acidification has a negligible direct impact on fish behavior, and we advocate for improved approaches to minimize the potential for a decline effect in future avenues of research (Clements *et al.*, 2021).

In summary, ocean life is complex and much of it evolved when the oceans were acidic relative to the present. The ancestors of modern coral first appeared about 245 million ago; as can be seen from Figure 2.2, CO_2 levels for more than 200 million years afterward were many times higher than they are today. Much of the public discussion of the effects of ocean "acidification" on marine biota has been one-sided and exaggerated. There is a known tendency for studies reporting dramatic effects on things like coral cover and fish behavior to get published in high-impact journals and receive widespread press coverage, then subsequent research rebutting those findings receives little attention.

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3 HUMAN INFLUENCES ON THE CLIMATE

Chapter Summary:

The global climate is naturally variable on all time scales. Anthropogenic CO_2 emissions add to that variability by changing the total radiative energy balance in the atmosphere.

The IPCC has downplayed the role of the sun in climate change but there are plausible solar irradiance reconstructions that imply it contributed to recent warming.

Climate projections are based on IPCC emission scenarios that have tended to exceed observed trends. Most academic climate impact studies in recent years are based upon the extreme RCP 8.5 scenario that is now considered implausible; its use as a business-as-usual scenario has been misleading.

Carbon cycle models connect annual emissions to growth in the atmospheric CO_2 stock. While models disagree over the rate of land and ocean CO_2 uptake, all agree that it has been increasing since 1959.

3.1 Components of radiative forcing and their history

3.1.1 Historical radiative forcing

A changing climate has been the norm throughout the Earth's 4.6-billion-year history. The Earth's temperature and weather patterns change naturally over timescales ranging from decades to millions of years. Natural variations in the surface climate originate in two ways. Internal climate fluctuations associated with circulations in the atmosphere and ocean exchange energy, water, and carbon between the atmosphere, oceans, land, and ice. External influences on the climate system include variations in the energy received from the sun and the effects of volcanic eruptions. Human activities influence climate through changing land use and land cover. Humans are also changing the composition of the atmosphere by emissions of CO_2 and other greenhouse gases and by altering the concentration of aerosol particles in the atmosphere.

The earth is warmed by the sunlight it absorbs and is cooled by the heat it radiates to space. Averaged over the Earth's surface, each of these processes involve power flows of about 240 Watts per square meter (W/m^2). When they are in balance, there are no net external causes of warming or cooling. Both human and natural influences on the climate alter this balance and so cause the climate to change.

Influences on the Earth's energy balance at the top of the atmosphere are quantified by "radiative forcing", the extent to which they disturb the warming/cooling balance; a positive forcing warms while a negative forcing cools. The IPCC's estimated history of major components of radiative forcing since 1750 is shown in the following two figures from its AR6.

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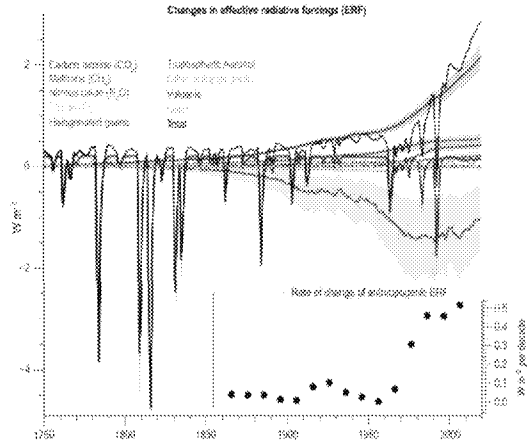

Figure 3.1.1: IPCC estimates of radiative forcing components over time. Shading indicates uncertainty ranges. Source: AR6 WGI Ch2 Fig. 10

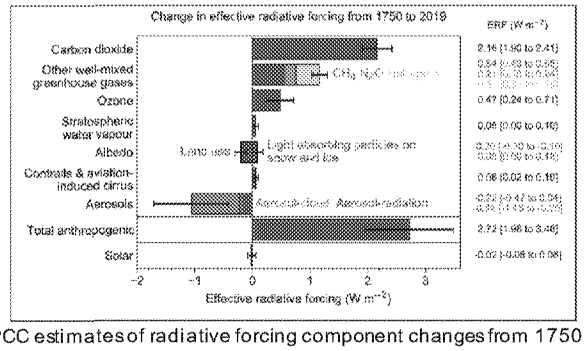

Figure 3.1.2 IPCC estimates of radiative forcing component changes from 1750 to 2019. Source: AR6 WGI Ch 7 Fig. 7-6.

These graphs show that the total radiative forcing is comprised of both natural and anthropogenic components. Carbon dioxide is the largest human influence on the climate and the one most relevant to the influence of fossil fuel use. It exerts a warming influence by decreasing the cooling power of the atmosphere. Emissions of CO_2 are accumulating in the atmosphere, as described in the following section, so that the warming influence is growing. Other greenhouse gases (methane, nitrous oxide, halogens, and ozone) act similarly, currently adding another 75 percent to CO_2's warming. Aerosols exert an overall cooling effect, although with large uncertainties in the way they catalyze the formation of reflective clouds. As a result, understanding the causes of recent warming requires not just identifying the warming effects of CO_2, but also the more uncertain cooling effects of aerosols.

The IPCC assesses the change in the radiative forcing by the sun to be negligible, based on their preference for data reconstructions that imply minimal solar change since preindustrial times. But Connolly *et al.* (2021) reviewed sixteen different Total Solar Irradiance (TSI) reconstructions in the literature

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covering the years 1600-2000; the reconstructions vary from almost no change in TSI to a relatively large upward trend. Those authors note that the variation in TSI reconstructions combined with variations in surface temperature reconstructions allows for inferences consistent with either no or most 20th ~~Century~~ century warming being attributable to the sun.

A particularly thorny issue is the gap in TSI data between 1989 and 1991 due to a delay in the launch of a monitor following the Space Shuttle Challenger disaster on January 28 1986. This delay prevented a replacement satellite from being launched in time to overlap with, and its readings to be intercalibrated with, the prior system (Zacharias 2014, Scafetta *et al.* 2019). This is called the ACRIM (Active Cavity Radiometer Irradiance Monitor) gap problem. The question of whether there is an upward trend in TSI over 1978 to 2018 hangs on how the ACRIM data gap is filled. Connolly *et al.* (2021) found that the IPCC's consensus statements on solar forcing were formulated prematurely through the suppression of dissenting scientific opinions.

Another natural radiative forcing component is volcanic aerosols, which exert an episodic cooling influence. Box 4.1 in the IPCC AR6 Report addresses the climate impact of volcanic eruptions, noting three explosive volcanic eruptions that occurred in the first half of the 19th century. This included the 1815 Tambora eruption that resulted in the 'year without summer', with multiple harvest failures across the Northern Hemisphere. There is uncertainty about the sign of the relatively small forcing due to the submarine volcano Hunga Tonga which erupted in 2022 (Jenkins *et al.* 2023, Schoeberl *et al.* 2024).

Figure 3.1.1 shows that the anthropogenic forcing component was negligible before about 1900 and has increased steadily since, rising to almost 3 W/m^2 today. However, this is still only about 1 percent of the unperturbed radiation flows, making it a challenge to isolate the effects of anthropogenic forcing; state-of-the-art satellite estimates of global radiative energy flows are only accurate to a few W/m^2.

Natural sources of global energy imbalance other than volcanoes and total solar irradiance (TSI) are not included in these graphs because they remain largely unknown.

3.1.2 Change in atmospheric CO_2 since 1958

Carbon dioxide's warming influence depends on how much "extra" CO_2 accumulates in the atmosphere- *i.e.*, its concentration above the preindustrial value of 280 ppm. The CO_2 level as recorded at the Mauna Loa observatory in Hawaii, generally used as the representative global average concentration, is available online at [HYPERLINK "https://gml.noaa.gov/ccgg/trends/index.html"]. The concentration was about 316 ppm at the start of the record in 1959 and is now about 430 ppm, a 36 percent increase. At the end of the last glaciation CO_2 levels had fallen to about 180 ppm. As discussed in Chapter 2, C3 plants begin dying at CO_2 levels below about 140 ppm and C4 plants at levels below 100 ppm, so if CO_2 levels had continued falling plant life would have been imperiled.

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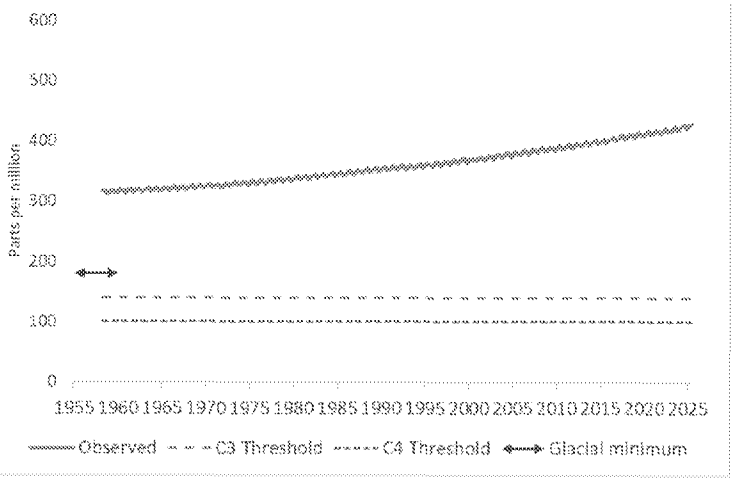

Fig. 3.1.3. Yearly average atmospheric CO_2 concentrations (1959-2025) in ppm measured at Mauna Loa (blue). C3 Threshold: Level below which C3 plants begin dying (140 ppm, see Chapter 2). C4 Threshold: Level below which C4 plants begin dying (100 ppm, see Chapter 2). Glacial minimum: Minimum level during recent glaciations (purple arrow). CO_2 data source: [HYPERLINK "https://gml.noaa.gov/ccgg/trends/index.html"]

The annual increase in concentration is only about half of the CO_2 emitted because land and ocean processes currently absorb "excess" CO_2 at a rate approximately 50 percent of the human emissions. Future concentrations, and hence future human influences on the climate, therefore depend upon two components: (1) future rates of global human CO_2 emissions, and (2) how fast the land and ocean remove extra CO_2 from the atmosphere. We discuss each of these in turn.

3.2 Future emission scenarios and the carbon cycle

3.2.1 Emission scenarios

Assessing the dangers of future GHG emissions requires assumptions about what those emissions will be. Future emissions, and hence human influences on the climate, will depend upon future demographics, economic activity, regulation, and energy and agricultural technologies. Various assumptions about each of those lead to projections of greenhouse gas emissions and concentrations, aerosol concentrations, and changes in land use, which ultimately can be combined into assumptions about anthropogenic radiative forcing.

The great uncertainties about these many factors make it impossible to precisely predict future emissions. Instead, the IPCC has created various sets of scenarios meant to span a plausible range of possibilities for population, economy, and technologies. Recent versions of the scenarios are labeled by a number indicating the anthropogenic radiative forcing expected in 2100 under that scenario. Thus, a scenario labeled with a "6" corresponds to 6 W/m^2 of human-induced radiative forcing (warming) at the end of the century. (Recall current anthropogenic radiative forcing is about 2.7 W/m^2.)

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Although the IPCC does not claim its emission scenarios are forecasts, they are often treated as such. Comparisons of past scenario groups against observations show that IPCC emission projections tend to overstate actual subsequent emissions. For the IPCC Third and Fourth Assessment Reports a set of emission projections from the *Special Report on Emission Scenarios* was used; these were referred to as the SRES scenarios. McKitrick *et al.* (2012) showed that, when converted to per capita values, the SRES scenario emissions distribution was skewed upwards and incompatible with observed trends. McKitrick and Wood (2013) further showed that global energy market dynamics constrained global per capita CO_2 emissions to an extent not reflected in IPCC scenarios. The bias of the SRES scenarios was confirmed by the later analysis of Hausfather *et al.* (2019) who showed that observed atmospheric CO_2 concentrations tracked the low end of the SRES range and also of subsequent IPCC scenario ranges (Figure 3.2.1).

For AR5 the IPCC developed a new set of scenarios called the Representative Concentration Pathways (RCPs). These were identified by a number representing the increase in forcing and were thus called RCP2.6, RCP4.5, RCP6.0 and RCP8.5. RCP2.6 (implying an anthropogenic radiative forcing in 2100 of 2.6 W/m^2) describes a GHG concentration pathway leading to warming well below 2°C. At the other end of the scale RCP8.5 is an extreme outcome implying nearly 5°C warming from 1900 to 2100.

RCP8.5 came to be referred to as the no-policy baseline, or "business-as-usual" scenario in both the academic literature and popular media. It was therefore used to generate the reference outcome supposedly representing the 21st century world in the absence of increasingly stringent emission reduction policies. But RCP8.5 was intended as a low-probability high emissions scenario and its use as a business-as-usual baseline has been criticized as grossly misleading.[1] Hausfather and Peters (2020) writing in a commentary in *Nature* magazine, pointed out that RCP8.5 was developed as an extreme worst-case, and its misuse as a "business as usual" baseline has resulted in a large number of misleading studies and media reporting.

The implausibility of the RCP8.5 scenario was examined by Burgess *et al.* (2021). They noted that RCP8.5 has already diverged from observed trends in energy use and the near future trends diverge sharply from those of the International Energy Agency (IEA), which provides market-based projections of energy use for the coming decades. The IEA trends, which are based on plausible extrapolations of current economic and demographic trends, run below the entire envelope of RCP projections, implying not only that RCP8.5 is dubious, but that even lower emission scenarios are looking unlikely.

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Widespread use of RCP8.5 has created an alarmist bias in the climate impacts literature. The extent of this problem was confirmed in a literature analysis by Pielke Jr. and Ritchie (2020). They found that some 16,800 scientific papers published between 2010 and 2020 used the RCP8.5 scenario, with about 4,500 of the articles linking RCP8.5 to the concept of "business-as-usual". Their analysis showed how RCP8.5 was misused not only by individual researchers, but also by influential science agencies like the IPCC and the U.S. National Climate Assessment (USNCA), which has directly led to misleading coverage in prominent media outlets.

[1] This extreme scenario is useful for modelers, since a large forcing generates a large response (warming) making it easier to assess a model's sensitivity. But that's very different than claiming it is a plausible future outcome.

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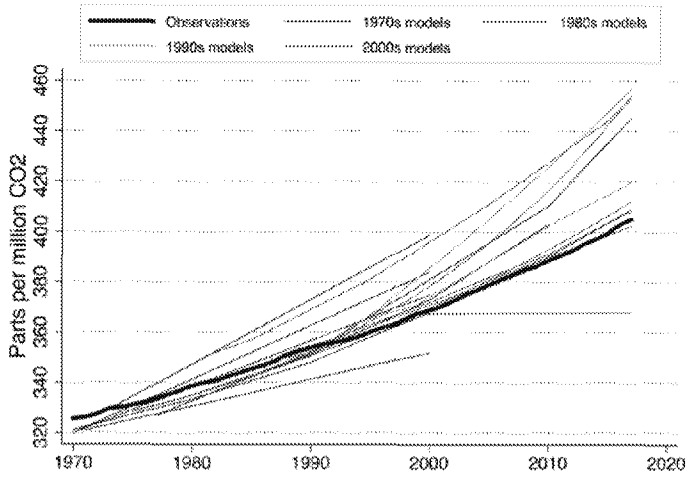

Figure 3.2.1 Comparison of observed atmospheric CO_2 levels (black line) with scenario projections (colored lines, mainly IPCC) since the 1970s. Source: Hausfather *et al.* (2019) Figure S4.

Pielke and Ritchie (2020) reported new studies using RCP8.5 were published at a rate of about 20 per day, with about two per day specifically linking RCP8.5 and "business as usual." They conclude that the climate research community has spent a decade "committing scientific resources to science fiction" and that "The scientific literature has become imbalanced in an apocalyptic direction."

The IPCC developed a new set of scenarios for AR6, the "Shared Socioeconomic Pathway" (SSP) scenarios, which have continued the bias shown in the RCP and SRES scenarios. Figure 3.2.2 shows total global observed CO_2 emissions compiled by the International Energy Agency (IEA) merged with the emission projection from the EIA taking account of energy use projections and current policies. The other lines show the range of IPCC SSP scenarios (SSP1-SSP5). As of 2023, global CO_2 emissions have been tracking well below SSP7.0 and are even below SSP2-4.5.

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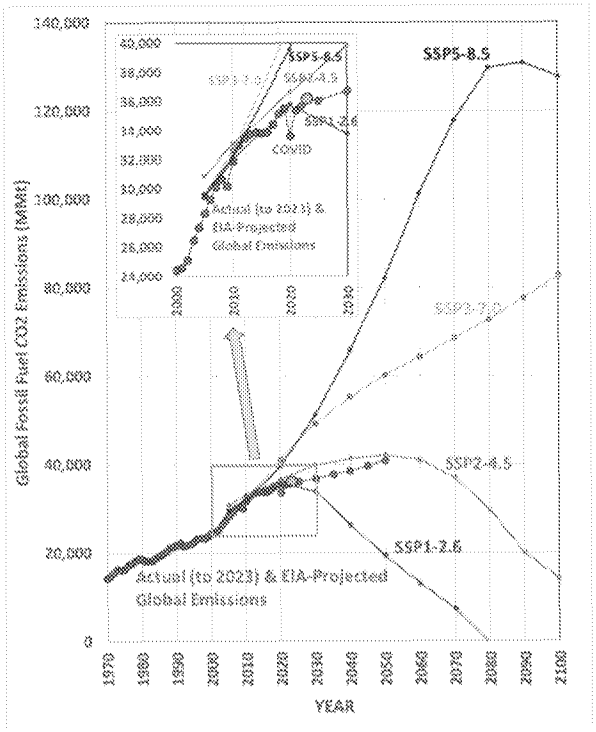

Figure 3.2.2. Observed and projected CO2 emissions. Source: IPCC (SSP scenarios) and Energy Information Administration (EIA). Green: observed historical emissions and EIA projections. Other lines: SSP1-5. Data source: Friedlingstein *et al.* (2024).

3.2.2 The carbon cycle relating emissions and concentrations

Carbon dioxide emissions from fossil fuel burning (and to a lesser extent deforestation and cement production) have led to steadily increasing CO_2 concentrations in the atmosphere, as shown in Fig. 3.1.3. The relation between emissions and concentration is determined by the global carbon cycle of land and ocean processes that exchange carbon with the atmosphere. Our understanding of these processes was reviewed by Crisp *et al.* (2021).

There are about 850 Gt of carbon (GtC) in the Earth's atmosphere[2], almost all of it in the form of CO_2. Each year, biological processes (plant growth and decay) and physical processes (ocean absorption and outgassing) exchange about 200 GtC of that carbon with the Earth's surface (roughly 80 GtC with the land and 120 GtC with the oceans). Before human activities became significant, removals from the atmosphere were roughly in balance with additions. But burning fossil fuels (coal, oil, and gas) ~~takes~~ removes carbon ~~out of~~ from the ground and adds it to the annual exchange with the atmosphere. That addition (together with

[2] Because CO_2 is chemically transformed through the course of the carbon cycle, it is more convenient to track atoms of carbon rather than molecules of CO_2. One gigatonne of carbon (GtC) is equivalent to about 3.7 Gt of CO_2.

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a much smaller contribution from cement manufacturing) amounted to 10.3 GtC in 2023, or only about 5 percent of the annual exchange with the atmosphere.

The carbon cycle accommodates about 50 percent of humanity's small annual injection of carbon into the air by naturally sequestering it through plant growth and oceanic uptake, while the remainder accumulates in the atmosphere (Ciais *et al.*, 2013). For that reason, the annual increase in atmospheric CO_2 concentration averages only about half of that naively expected from human emissions.

To project future CO_2 concentrations in the atmosphere, and hence future human influences on the climate, it is important to know how the carbon cycle might change in the future. The historical near constancy of that 50 percent fraction means that the more CO_2 humanity has produced, the faster ~~Nature~~ nature removed it from the atmosphere. That 50 percent fraction changes somewhat from year to year due to natural carbon cycle imbalances from El Nino, La Nina, and varying weather patterns. There was also a substantial additional reduction in atmospheric CO_2 after the 1991 eruption of Mt. Pinatubo, a curious result that has yet to be explained (Angert *et al.*, 2004).

The main processes that remove excess CO_2 from the atmosphere are increased growth of land vegetation (especially at high latitudes), some increase in the sequestering of carbon in soils, and uptake of CO_2 by the ocean due to the increasing partial pressure of atmospheric CO_2 over that of CO_2 dissolved in the ocean. All twenty land carbon cycle models tracked by the Global Carbon Project (Friedlingstein *et al.*, 2024) show land processes have been removing excess CO_2 at an increasing rate since 1959. This is consistent with a "global greening" phenomenon (Chapter 2.1) observed by satellites since monitoring of global greenness began in 1982.

While land vegetation has been responding positively to more atmospheric CO_2, uptake of extra CO_2 by ocean biological processes remains too uncertain to be measured reliably. Our current understanding of these and many more carbon cycle processes was reviewed by Crisp *et al.* (2021).

CO_2 uptake by land processes

The uptake of extra CO_2 from the atmosphere by land surface processes (as also inferred from global greening) has been modeled with 20 different dynamic global vegetation models, the outputs of which are updated every year by the Global Carbon Project (Friedlingstein, 2024). As seen in Fig. 3.2.3, all of those models agree that vegetation and soils have been sequestering carbon from the atmosphere. But we also see that the long-term trends over 1959 to 2023 (65 years) vary widely between models, by nearly a factor of 7. This demonstrates that there remains considerable uncertainty in how fast land processes are removing CO_2 from the atmosphere, which in turn creates uncertainty in future atmospheric CO_2 concentrations, which ~~are then~~ then produce uncertainty in climate model simulations of future climate change.

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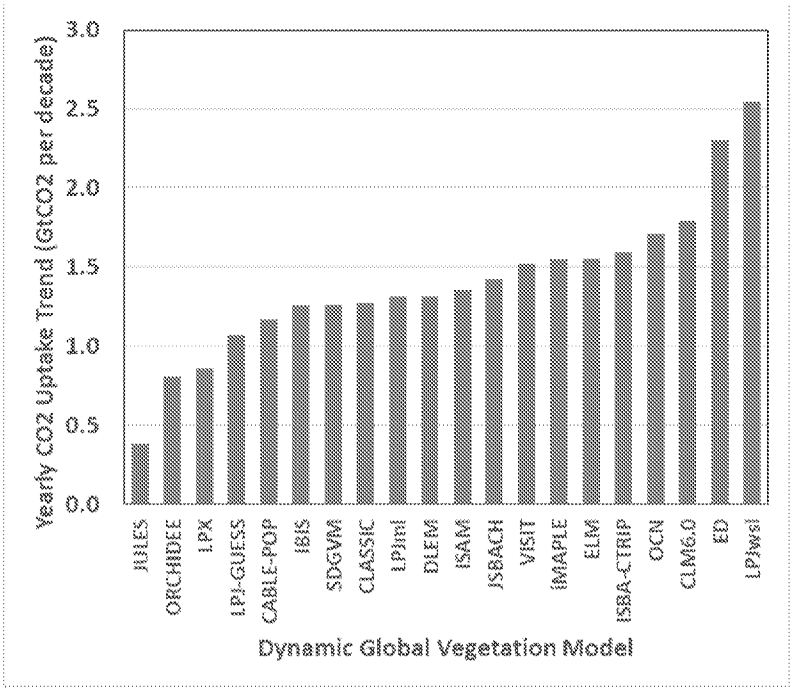

Figure 3.2.3 Trends of annual CO_2 uptake (GtCO2 per year per decade) by land processes during 1959-2023 simulated by 20 different dynamic global vegetation models periodically reported by the Global Carbon Project (Friedlingstein, 2024).

CO_2 uptake by ocean processes

The uptake of extra CO_2 from the atmosphere by ocean processes has been modeled with 10 different ocean biogeochemistry models, the outputs of which are updated every year by the Global Carbon Project (Friedlingstein, 2024). Like the results from the land models, all of the ocean models agree that the global oceans have been sequestering carbon from the atmosphere at an increasing rate during 1959-2023 (Fig. 3.2.4). Unlike the land models, however, the ocean models show much better agreement with each other, with the model producing the fastest increasing CO_2 uptake being only 65 percent faster than the model with the most slowly increasing CO_2 uptake. In spite of the relative agreement among models, Friedlingstein *et al.* (2022) notes that there is also substantial discrepancy between the different methods on the strength of the ocean sink over the last decade, particularly in the Southern Ocean.

Note that the average trend in CO_2 uptake across all land models in Fig. 3.2.3 is 25 percent larger than the average trend in ocean uptake. This suggests land processes are increasing in their ability to remove CO_2 faster than ocean processes are increasing their CO_2 sequestration.

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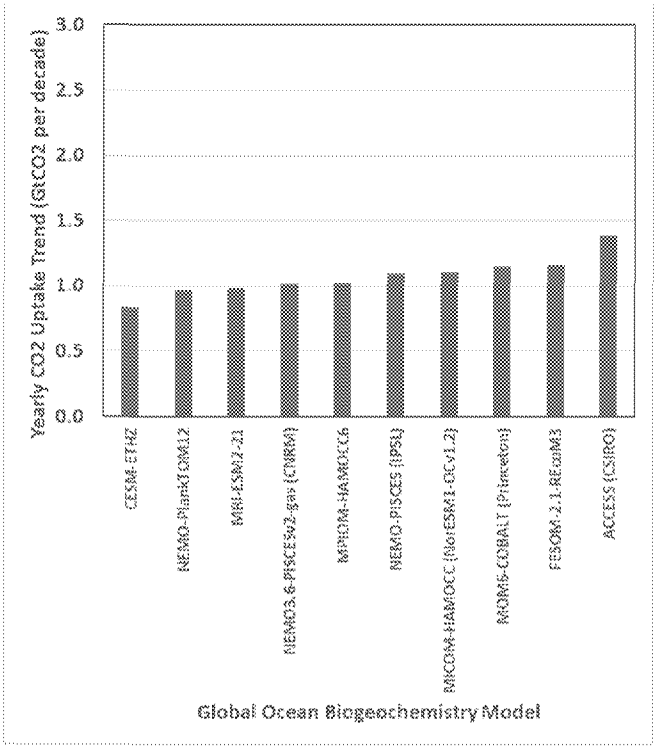

Figure 3.2.4 Trends of annual CO_2 uptake (GtCO2 per year per decade) by ocean processes during 1959-2023 simulated by 10 different ocean biogeochemistry models periodically reported by the Global Carbon Project (Friedlingstein, 2024).

3.3 Urbanization influence on temperature trends

Historical temperature data over land has been collected mainly where people live. This raises the problem of how to filter out non-climatic warming signals due to Urban Heat Islands (UHI) and other changes to the land surface. If ~~they~~ these are not removed the data may over-attribute observed warming to greenhouse gases. The IPCC acknowledges that raw temperature data are contaminated with UHI effects but claims to have data cleaning procedures that remove them. It is an open question whether those procedures are sufficient.

AR6 downplayed ~~the~~ this issue by saying (WGI p. 235) that no recent evidence had emerged to alter the AR5 finding that urbanization causes an upward bias of no more than 10 percent in the global land surface warming trend. AR5 (WGI p. 189) also cited the 10 percent upper bound without citing a source. AR4 (WGI p. 244) cited Jones *et al.* (1990) and Peterson *et al.* (1999) as the basis of the claim. Peterson *et al.* had failed to find any difference in trends between rural and urban samples, although their definition of rural included local populations up to 10,000 persons while the relative influence of urbanization begins well below that (Spencer *et al.*, 2025). Jones *et al.* compared rural/urban warming in three regions: Eastern Australia, Eastern China and Western Soviet Union. Their definition of "rural" included towns of up to

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10,000 in the former Soviet Union and up to 100,000 in China. They found relative warming biases greater than 10 percent in these areas but conjectured that the urbanization effect averaged over the areas they did not examine would bring the global land bias to under 10 percent of the observed warming trend.

Several papers appeared in print prior to the IPCC AR4 that argued that the warming effect of UHIs added a relatively large (30-50%) component to observed warming and was not simulated by climate models (de Laat and Maurellis 2006, McKitrick and Michaels 2007). These findings were based on correlations between locations of maximum warming over land with locations of maximum socioeconomic development. AR4 asserted (p. 244) that these correlations were an artefact of natural atmospheric circulations and were in fact statistically insignificant, and on that basis set the findings aside. Their claim was controversial because it was presented with no supporting evidence. McKitrick (2010) and McKitrick and Nierenberg (2010) showed that taking into account various conjectured alternative explanations for the correlations did not affect their significance. The AR5 (p. 189) conceded that the AR4 had provided "no explicit evidence" for its assessment and further acknowledged, based on these papers, that there was "significant evidence for such contamination of the record" i.e. a warming bias in the land record. However as already noted, elsewhere in the AR5 report they carried forward the AR4 claim that it was less than 10 percent of observed warming. Further they provided no caution about using the land record for climate measurement despite conceding the evidence for UHI contamination. Recently Soon *et al.* (2023) estimated an urbanization bias in the Northern Hemisphere land record over 1850-2018 sufficient to increase the trend in the blended record from 0.55°C to 0.89°C per century.

Some studies providing evidence against UHI contamination compared warming rates between rural and urban locations (Jones *et al.* 1990, Peterson *et al.* 1999, Wickham *et al.* 2013). It is not known whether such methods would be capable of detecting UHI bias even when present. The influence of UHI warming is logarithmic in population, in other words it is strongest at low population density then levels off as local urbanization expands (Oke 1973, Spencer *et al.* 2025). Hence failure to find a difference in warming rates between urban and rural stations does not prove the absence of UHI contamination. McKitrick (2013) provided an empirical demonstration in which the rural/urban trends were not significantly different in a data set shown on other grounds to be contaminated with UHI bias.

Parker (2006) examined a sample of urban locations and found no difference in trends between subsets partitioned according to nighttime wind speed, concluding on this basis that urbanization could not be a significant factor. Here again the question is whether such a method would find UHI bias even if present. McKitrick (2013) presented an example in which UHI-contaminated data did not exhibit significant trend differences when stratified according to wind speed.

The challenge in measuring UHI bias is relating local temperature change to a corresponding change in population or urbanization, rather than to a static classification variable such as rural or urban. Spencer et al. (2025) used newly available historical population archives to undertake such an analysis and found evidence of significant UHI bias in U.S. summertime temperature data.

In summary, while there is clearly warming in the land record, there is also evidence that it is biased upward by patterns of urbanization and that these biases have not been completely removed by the data processing algorithms used to produce climate data sets.

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PART II: CLIMATE RESPONSE TO CO_2 EMISSIONS

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4 CLIMATE SENSITIVITY TO CO_2 FORCING

Chapter Summary

There is growing recognition that climate models are not fit for the purpose of determining the Equilibrium Climate Sensitivity (ECS) of the climate to increasing CO_2. The IPCC has turned to data-driven approaches including historical data and paleoclimate reconstructions, but their reliability is diminished by data inadequacies.

Data-driven ECS estimates tend to be lower than climate model-generated values. The IPCC AR6 upper bound for the likely range of ECS is 4.0°C, lower than the AR5 value of 4.5°C. This lowering of the upper bound seems well justified by paleoclimatic data. The IPCC AR6 lower bound for the likely range of ECS is 2.5°C, substantially higher than the AR5 value of 1.5°C. This raising of the lower bound is less well justified; evidence since the AR6 finds the lower bound of the likely range to be around 1.8°C.

4.1 Introduction

The climate's response to increasing concentrations of CO_2 is central to the scientific debate on anthropogenic climate change, and so also to the public debate on "climate action." The simplest measure of that response is the rise in the global average surface temperature, quantified by the Equilibrium Climate Sensitivity (ECS). ECS is defined as the amount of warming expected in response to a doubling of CO_2 from its pre-industrial concentration of 280 ppm, after all climate components have had time to adjust. Some components, like temperatures in the lower atmosphere (troposphere), adjust rapidly, while others such as the deep ocean and cryosphere might take as long as centuries. A related measure, the Transient Climate Response (TCR), better describes the shorter time scales; it is defined as the amount of warming when the CO_2 concentration is doubled by rising one percent annually for 70 years.

The 1979 Charney Report for the U.S. National Academy of Sciences (National Research Council 1979) proposed that the most likely ECS was 3.0 ± 1.5°C. The IPCC repeatedly reaffirmed that range with only minor variations until its most recent AR6. AR5 termed 1.5–4.5°C as the *likely* range (66 percent probability) and stated that ECS is *extremely unlikely* (95 percent probability) to be below 1.0°C and *very unlikely* (90 percent probability) to exceed 6.5°C.

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The uncertainty in ECS has remained stubbornly wide, despite many individual studies that claimed to narrow it (Hausfather 2023). Most recently, AR6 narrowed the *likely* range to 2.5–4.0°C and deemed the *very likely* range to be 2.0–5.0°C. This narrowing on the low end is disputed, as will be discussed below.

Uncertainties in ECS are highly consequential for policy making. As will be discussed in Chapter 11, economic models use ECS values to project the costs of CO_2 emissions. The traditional value (3.0 °C) has typically yielded modest global social costs of CO_2 emissions, sufficient to justify some policy actions, but mostly deferred to later in this century. If ECS is very high (above 4.5°C) immediate aggressive emission controls become more imperative, whereas no CO_2 emission controls are economically justifiable for ECS below 2.0°C (Dayaratna *et al.* 2016, 2020). Obtaining a precise estimate is impossible, so policy making needs to account for the uncertainty.

By itself, the equilibrium warming effect of a doubling of atmospheric CO_2 is slightly more than 1°C (Soden and Held 2006). Larger values of ECS arise from positive feedbacks that amplify the CO_2 warming. Water vapor feedback is positive: a warmer atmosphere may have more water vapor, which itself is a powerful greenhouse gas. Warmer temperatures also result in less snow and sea ice cover, allowing the

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Earth to absorb more of the sun's radiation. Some simple estimates of these feedbacks increase the ECS to around 2°C (Sherwood *et al.*, 2020). Larger values of ECS are associated with positive cloud feedbacks.

Climate scientists use multiple lines of evidence to determine the Equilibrium Climate Sensitivity:

- Climate model simulations
- Historical observations
- Paleoclimatic reconstructions
- Process understanding of feedbacks

4.2 Model-based estimates of climate sensitivity

The ECS ranges given in IPCC AR4 and AR5 were obtained primarily by examining the behavior of large-scale climate models, also called General Circulation Models (GCMs). However, the IPCC changed course in its AR6 when it turned to a more direct data-driven methodology. Here we discuss some of the pitfalls of using GCMs to try to determine the Earth's climate sensitivity.

ECS is an emergent property of GCMs—that is, it is not directly parameterized or tuned. Historically, collections of parameterizations have been chosen to produce an expected value of climate sensitivity, particularly with regards to sub-grid-scale cloud processes, particularly in AR4 and to a lesser extent in AR5. Otherwise plausible GCMs and parameter selections have been discarded because of perceived conflict with this warming rate, or aversion to a model's climate sensitivity being outside an accepted range. For example, even in a CMIP6 model, Mauritsen and Roeckner (2020) state the following regarding their Max Planck Institute climate model (emphasis added):

> "We have documented how we tuned the MPI-ESM1.2 global climate model to match the instrumental record of warming; an endeavor which has clearly been successful. Due to the historical order of events, the choice was to do this practically by *targeting an ECS of about 3 K using cloud feedbacks*, as opposed to tuning the aerosol forcing."

In other words, the modelers chose an ECS value of 3°C and then tuned the cloud parameterizations to match their intended result.

As noted, direct warming from CO_2 doubling is only about 1°C (Soden and Held 2006); further warming arises from climate feedbacks that are not explicitly resolved by the GCM but rely on parameterizations of physical processes. Higher values of ECS arise primarily from assumed positive cloud feedbacks, whereas the magnitude and even the sign of the feedbacks are very uncertain. Elements of cloud feedback include changes in the latitudinal distribution of clouds, changes in the distribution of cloud height (changes in low versus high clouds), changes to the phase of clouds (ice versus liquid), changes in cloud particle size (associated with changes in concentration and/or composition of aerosol particles), changes in the precipitation efficiency of clouds, and even changes in how clouds are distributed over the daily solar cycle (Curry and Webster, 1999). It is difficult for GCMs to simulate any of these processes correctly owing to their small scale, let alone predict how they will change in the future. Further, cloud processes modulate the magnitudes of the water vapor, lapse rate, and the surface albedo feedbacks.

ECS can be determined from climate model simulations by doubling the concentration of CO_2 and allowing several centuries for the warming to equilibrate. To avoid the necessity of such long simulations, "effective climate sensitivity" is commonly evaluated from a 150-year simulation in response to a sudden quadrupling of CO_2.

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The spread of ECS values from the CMIP5 ensemble of climate models used in the AR5 was 2.0–4.7°C; that range increased for the CMIP6 models used in the AR6 to between 1.8 and 5.7°C (Chen *et al.*, 2021, Scaffeta 2021, see Figure 4.1). Far from settling the model-based sensitivity of climate system uncertainties appear to be growing. The main cause of the overall upward shift in ECS in CMIP6 relative to CMIP5 is a larger positive cloud feedback, driven by changes to the cloud parameterizations in many CMIP6 models (Zelinka *et al.*, 2020)

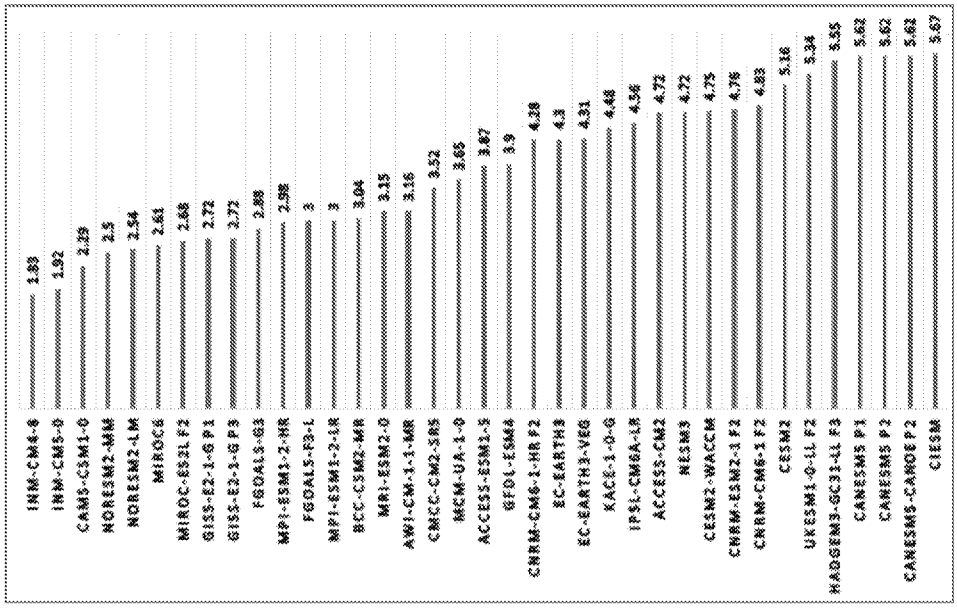

Figure 4.1 Equilibrium Climate Sensitivities in °C of 37 climate models from the CMIP6 ensemble. Identifiers for the various models appear along the horizontal axis. From (Scafetta, 2021)

Because of concerns about model tuning and the high sensitivity to cloud parameterizations, the IPCC AR6 (2021) did not rely on climate model simulations in their assessment of climate sensitivity, relying instead on data-driven methods.

4.3 Data-driven estimates of climate sensitivity

Climate sensitivity can also be estimated from instrumental records of surface temperatures and ocean heat content, combined with estimates of how climate forcings (e.g., greenhouse gases, solar, volcanoes, aerosols) have changed in the past (Otto *et al.*, 2013). Using this information, a simple empirical model called an Energy Balance Model can be employed. It requires estimating a feedback parameter whose uncertainties are highly amplified in the resulting ECS (Roe and Baker, 2007).

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The accuracy of the data-driven methods depends on the quality of the input data. Assumptions are needed about ocean heat storage, and good data is only available for recent decades. The greatest source of uncertainty is the amount and composition of aerosol particles and their interactions with cloud radiative properties (the so-called aerosol indirect effect; see Figures 3.1.1, 3.1.2). Climate models exhibit warming in response to GHGs but cooling in response to aerosols (Schwartz *et al.*, 2007). Observed 20th century warming can be shown to be consistent either with low ECS and low aerosol cooling, or high ECS and high aerosol cooling. Since fossil fuel use adds both GHGs and aerosols to the atmosphere, both effects need to be estimated to isolate the warming effect of CO_2. ~~Over time, the IPCC's estimate of the cooling effect of aerosols has declined (Lewis, 2023).~~

Paleoclimate proxies are also used to evaluate the sensitivity of past climates by comparing paleoclimate changes in the Earth's temperatures to estimates of changes in forcings. The two most informative periods are the last glacial maximum (around 20,000 years ago), which was about 3–7°C colder than today, and a mid-Pliocene period (roughly three million years ago), which was 1–3°C warmer than today. The limits on cooling during the last glacial maximum give the best single evidence that high values of climate sensitivity are unlikely. However, paleoclimate estimates are associated with very large uncertainties in the estimated temperatures and forcings. Further, estimates of climate sensitivity based on past climate states may not be applicable to the current state of the climate system.

A recurring theme in the climate literature is that ECS estimates based on historical data are smaller than ECS estimates inferred from climate models (Sherwood and Forest 2024). About 15 estimates based on historical data appeared in the peer-reviewed literature between 2012 and 2024 yielding ECS best estimates between 1.0°C and 2.5°C, although critics have questioned some of the methods and the data quality. For the AR6, the IPCC placed primary weight on the results of Sherwood *et al.* (2020) that combined historical and paleoclimate proxies with the process-based approach and yielded a best estimate of 3.1°C with a *likely* range of 2.6-3.9°C. Lewis (2022) raised a number of concerns about this result, including methodological errors, outdated input values, and use of subjective ~~versus objective~~ Bayesian priors in the analysis. Lewis' analysis found that climate sensitivity is estimated to be much lower and better constrained than in the Sherwood *et al.* analysis – median 2.2°C (1.8–2.7°C in the 17–83 percent *likely* range, and 1.6–3.2°C in the 5–95 percent *very likely* range). The IPCC AR6 estimated only a 5 percent probability that ECS was below 2.3°C, whereas Lewis estimated it to be over 50 percent. The most recent publications on the debate between Sherwood *et al.* and Lewis further defend their respective positions: Sherwood and Foster (2024) and Lewis (2025).

An argument emphasized in AR6 is that data-driven ECS estimates might understate the future warming response to GHGs because of a so-called "pattern effect" (Forster *et al.*, 2021). The tropical Pacific is believed to strongly influence the overall efficiency with which the Earth radiates heat to space, but some regions remove heat more efficiently than others. If the west-to-east temperature gradient in the tropical Pacific is weakened in a warming climate, warming would concentrate where heat is less efficiently removed, raising ECS.

Most climate models simulate that rising GHGs will weaken the west-east temperature gradient, which led the IPCC in the AR6 to conclude that data-driven ECS estimates understated the *future* ECS value. However, Seager *et al.* (2019) pointed out that, contrary to models, the west-east temperature gradient has been strengthening over time. They further argued that the mechanism predicting otherwise in climate models was based on a faulty characterization of oceanic dynamics and there is no reason to expect the gradient to weaken. A similar argument was recently made by Lee *et al.* (2024), who concluded that "the trajectory of the observed trend reflects the response to increasing GHG loading in the atmosphere"; in other words, GHG warming should lead to a future strengthening rather than a weakening of the temperature gradient. Increased efficiency of atmospheric cooling implies, if anything, that the future ECS in a warming climate may be *lower* than current estimates.

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4.4 Transient Climate Response

The Transient Climate Response (TCR) provides a more useful observational constraint on climate sensitivity. TCR is the global temperature increase that results when CO_2 is increased at an annual rate of 1 percent over a period of 70 years (*i.e.*, doubled gradually). Relative to the ECS, observationally determined values of TCR avoid the problems of uncertainties in ocean heat uptake and the fuzzy boundary in defining equilibrium arising from a range of timescales for the longer-term feedback processes (*e.g.*, ice sheets). TCR is more generally related to peak warming and better constrained by historical warming, than ECS. IPCC AR6 judged the *very likely* range of TCR to be 1.2–2.4°C. In contrast to ECS, the upper bound of TCR is more tightly constrained. For comparison, the TCR values determined by Lewis (2023) are 1.25 to 2.0°C, showing much better agreement with the AR6 values than was seen in a comparison of the ECS values.

As will be discussed in Chapter 5, a real-world ECS smaller than the model average is supported by studies that show climate models on average overestimate the observed surface and troposphere warming over the past 50 years. Lower sensitivities also align with evidence from consistent fingerprinting (Chapter 8), where model responses need to be downscaled to align with observed trends.

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5 Models versus Observations in the Recent Past

Chapter summary

Climate models show warming biases in many aspects of their reproduction of the past several decades. In response to estimated changes in forcing they produce too much warming at the surface (except in the models with lowest ECS), too much warming in the lower-and mid-troposphere and too much amplification of warming aloft.

Climate models also produce too much recent stratospheric cooling, invalid hemispheric albedos, too much snow loss, and too much warming in the Corn Belt. The IPCC has acknowledged some of these issues but not all.

5.1 Introduction

Climate models are the primary tool used to project future climate changes in response to increasing atmospheric levels of anthropogenic greenhouse gases. To assess the fitness of climate models for this purpose, it is reasonable to ask how well they reproduce the current climate and its variations over the past century. The nearby box "Climate modeling" gives some detail on how climate models work.

Of great concern is the fact that, after several decades of the climate modeling enterprise involving approximately three dozen models operated by research centers around the world, the range of future warming they produce in response to a hypothetical doubling of atmospheric CO_2 extends over a factor of three, as we discussed in the previous chapter. This range of disagreement among models has not changed decreased for decades.

Problems with climate models are not just in their disagreement over the future, but also in their ability to replicate the recent past. Here we review some of the most important metrics of climate model accuracy: ability to reproduce historical surface, tropospheric and stratospheric temperature trends; ability to reproduce the vertical warming profile; and ability to reproduce other climate features such as snowfall. In all cases a persistent finding is that models on average err on the side of too much warming in response to estimated historical forcings.

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BOX: Climate modeling

Most climate models, except for the simplest ones, represent Earth's land surface using a grid of squares on the order of 100 km wide. To simulate the atmosphere, typically 30 or more grid boxes are stacked above these squares. The ocean is modeled using a similar but finer grid, resulting in tens of millions of grid boxes for the atmosphere and oceans.

The computer models, based on physical laws, calculate how air, water, and energy move between grid boxes over time. The time step can be as small as 10 minutes, and repeating this process millions of times allows the simulation of climate over centuries. Running these models, even on the most powerful supercomputers, can take months. Comparing simulation results with historical climate data helps assess the accuracy of a model, while projections into the future estimate climate changes under assumed human and natural influences.

Despite sounding straightforward, climate modeling is highly complex. Many critical processes occur at scales smaller than the grid size. For instance, the flows of sunlight and heat in the atmosphere depend strongly on cloud cover. Since tracking individual clouds is impractical, researchers must make "subgrid" assumptions about the distribution of clouds in each grid box. Snow and ice cover, which affects how much sunlight is reflected or absorbed by the surface, is another subgrid factor.

Each subgrid assumption requires numerical parameters, which must be carefully set. Modelers initially estimate these parameters based on physics and observed climate patterns, then run the model. Because early results often diverge significantly from real-world observations, they "tune" these parameters to better match observed climate features. Different modeling teams use distinct assumptions and tuning strategies, leading to varied outcomes. Tuning is a necessary but delicate aspect of climate modeling, as it is in any complex system. Poor tuning can result in inaccurate simulations, while excessive tuning risks artificially steering results toward predetermined conclusions.

The spread of mod very wide. One of the most basic indicators—Earth's about 3°C across CMIP6 models prior to 1880 (Figure). es to over 4 °C. For comparison 20th century warming w sts substantial differences among models' physical processes.

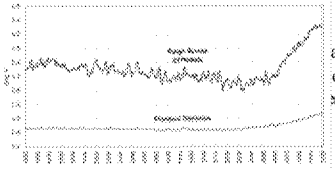

Figure .1 CMIP6 Average surface temperature range across 33 models and standard deviation using SSP5-85 scenario. Data from KNMI Climate Explorer website at [HYPERLINK "https://climexp.knmi.nl/start.cgi" \t "_blank"].

Beyond the models' ability to reproduce features of today's climate, the critical issue for society is how well they predict responses to subtle human influences, such as greenhouse gas emissions, aerosol cooling, and land-use changes. The most crucial aspect that models must capture correctly is "feedbacks." These occur when climate changes ~~triggered by greenhouse gases~~ either amplify or suppress further warming. In general, the modeled net effect of all feedbacks doubles or triples the direct warming impact of CO_2.

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5.2 Surface warming

A straightforward test of a climate model's validity is its ability to reproduce historical warming in response to known past changes in climate drivers such as greenhouse gases. Figure 5.2 is reproduced from Scaffeta (2023), ~~a peer-reviewed study~~ that groups the latest-generation (CMIP6) climate models into low ECS (1.5 to 3.0 degrees C), medium ECS (3.0 to 4.5 degrees C) and high ECS (4.5 to 6.0 degrees C), and compares their post-1980 global average temperature simulation ranges to those of three surface temperature records and one satellite-based lower troposphere temperature data product.

The leftmost column shows that the low-ECS models track the post-1980 historical warming record reasonably well, but the middle and right columns show that the medium- and high-ECS models conspicuously over-predict the warming.

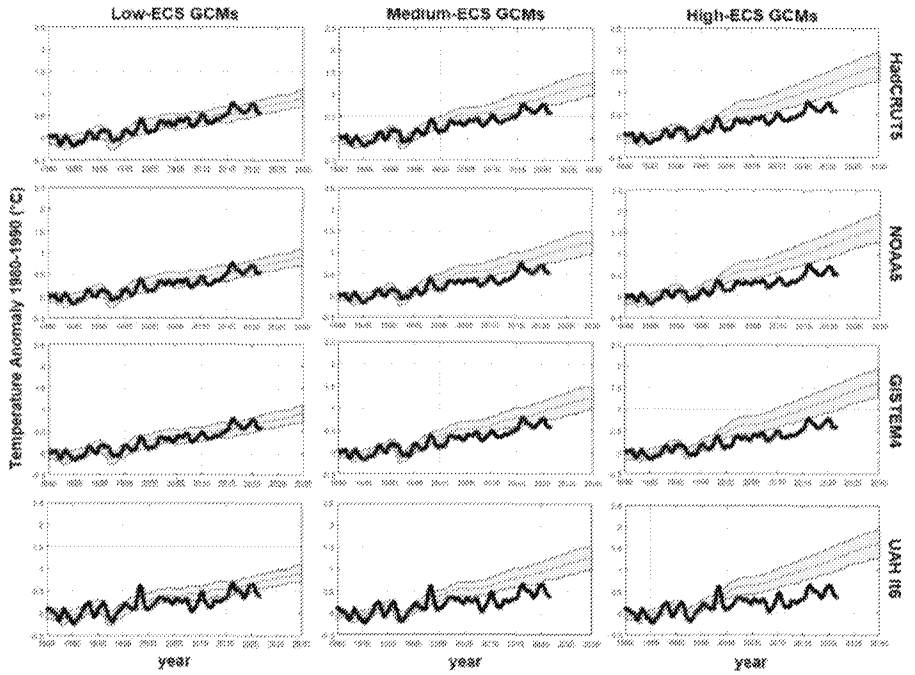

Figure 5.2: Model-Observational comparisons for Earth's surface warming. The columns correspond to model groups showing low-ECS to high-ECS, while the rows correspond to widely-used observed temperature records, the first three showing surface averages and the fourth showing the lower troposphere average. In each panel the yellow area denotes the mean and range (± one standard deviation) of climate model simulations for that group. The thick black line shows the observed annual average temperature in the indicated source. Source: Scafetta (2023) Fig.2.

Spencer (2024) has also provided a useful summary of the Model-Observation mismatch by comparing trends in surface temperature data products with those in individual climate models, as summarized in Figure 5.3. It is seen that most climate models show substantially more warming than the observations.

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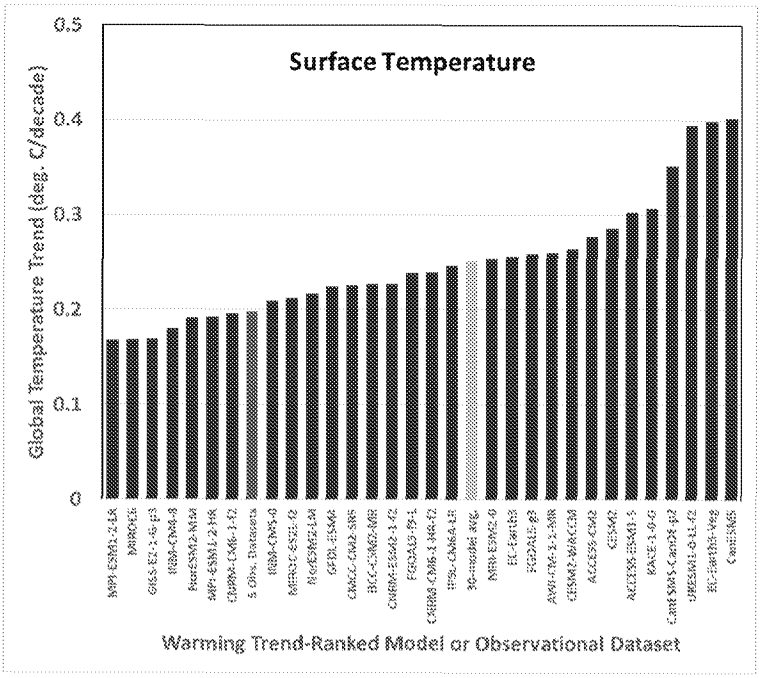

Figure 5.3 Global surface air temperature trends (°C/decade), 1979-2024, from various CMIP6 climate models (red, 30-model average in orange); and the average of three thermometer datasets (HadCRUT5, NOAA Global Temp, and Berkeley 1 deg.) and two reanalysis datasets (ERA5 and NCEP/NCAR R1) in blue. Data Sourcesource: https://climexp.knmi.nl/start.cgi Spencer (2024) updated

5.3 Tropospheric warming

It has long been known that climate models on average overstate warming in the tropical troposphere. This region is an important test of the climate models since this is where the signal of anthropogenic greenhouse warming emerges first and most strongly. Biases in tropospheric trends indicate model flaws in heat transfer processes and that carry over to surface warming biases.

The discrepancy was flagged as a serious inconsistency in the first U.S. Climate Change Science Program report (Karl *et al.* 2006) and has been mentioned in every IPCC report since, but the discrepancy has gotten worse over time, and the bias is now global. McKitrick and Christy (2020) compared tropospheric warming trends in CMIP6 climate models to observed trends from satellites, weather balloons and reanalysis systems. Every model overpredicted the average observed warming trend over 1979-2014 in both Lower- and Mid-Troposphere layers, both globally and in the tropics. In most individual models the bias was statistically significant and on average across models it was highly significant.

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Figure 5.4 presents the comparisons with data updated to 2024 (McKitrick and Christy 2025). The recent warm years moved the observed trend up slightly and widened the trend confidence intervals but the overall pattern remains the same: model bias is towards too much warming, in most cases the difference is statistically significant and on average the bias is statistically highly significant. McKitrick and Christy (2020) also showed that the bias is larger in high-ECS models, but even the models with lower average ECS predict too much warming. If future climate models were to realistically represent global tropospheric warming, they would likely be less sensitive than even the low-ECS members of the CMIP6 ensemble.

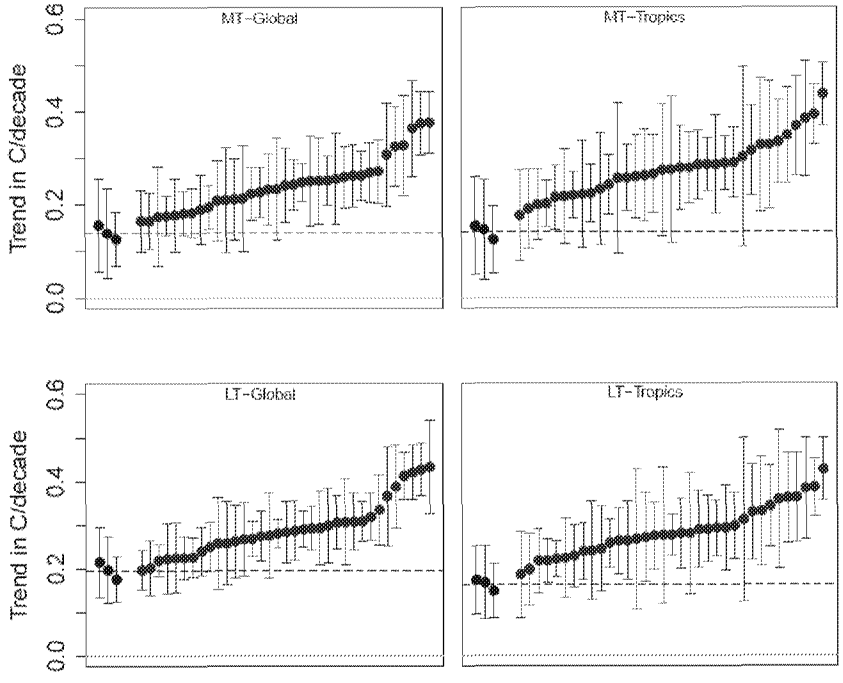

Figure 5.4: Observed versus CMIP6 modeled warming trends (°C/decade 1979-2024) in the global and tropical lower (LT) and mid-troposphere (MT). Uses methodology of McKitrick and Christy (2020) on ~~updated~~ data updated from 2014 to 2024. Blue dots: warming trends with 95 percent confidence intervals for 3 data products (radiosondes, reanalysis, and satellites). Blue dashed line: warming trend average for 3 observed series. Red dots: modeled warming trends with 95 percent confidence intervals in 35 models arranged lowest to highest.

As mentioned previously, the IPCC has long acknowledged the model-observation mismatch. For example AR6 pp. 443-444 offers this on the tropical troposphere (it does not address the global comparison):

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> Several studies since AR5 have continued to demonstrate an inconsistency between simulated and observed temperature trends in the tropical troposphere, with models simulating more warming than observations (Mitchell *et al.*, 2013, 2020; Santer *et al.*, 2017a, b; McKitrick and Christy, 2018; Po-Chedley *et al.*, 2021)… Over the 1979–2014 period, models are more consistent with observations in the lower troposphere, and least consistent in the upper troposphere around 200 hPa, where biases exceed 0.1°C per decade. Several studies using CMIP6 models suggest that differences in climate sensitivity may be an important factor contributing to the discrepancy between the simulated and observed tropospheric temperature trends (McKitrick and Christy, 2020; Po-Chedley *et al.*, 2021), though it is difficult to deconvolve the influence of climate sensitivity, changes in aerosol forcing and internal variability in contributing to tropospheric warming biases (Po-Chedley *et al.*, 2021). Another study found that the absence of a hypothesized negative tropical cloud feedback could explain half of the upper troposphere warming bias in one model (Mauritsen and Stevens, 2015).
>
> … In summary, studies continue to find that CMIP5 and CMIP6 model simulations warm more than observations in the tropical mid- and upper-troposphere over the 1979–2014 period (Mitchell *et al.*, 2013, 2020; Santer *et al.*, 2017a, b; Suárez-Gutiérrez *et al.*, 2017; McKitrick and Christy, 2018), and that overestimated surface warming is partially responsible (Mitchell *et al.*, 2013; Po-Chedley *et al.*, 2021). …. Hence, we assess with *medium confidence* that CMIP5 and CMIP6 models continue to overestimate observed warming in the upper tropical troposphere over the 1979–2014 period by at least 0.1°C per decade,

Notably, despite the accumulation of evidence of excess model warming the IPCC only assigns *medium confidence* to the existence of a warming bias.

5.4 Vertical temperature profile mismatch

Another important model-observational discrepancy is the excess amplification with altitude found in climate models. The comparison was in the IPCC AR5 Chapter 10, although only in the online supplement (Figure 10.SM.1) and only in a figure whose formatting obscured the point. Figure 10.SM.1 is not referenced in the main IPCC report nor in any summary so readers would not have been aware of it. Although not apparent at first glance, it shows that the 1979-2010 warming in the lower troposphere is so small as to be consistent with no GHG forcing at all and is inconsistent with the model runs that do have GHG forcing. In Figure 5.6 we adapt IPCC AR5 Figure 10.SM.1 to drawout this critical point.

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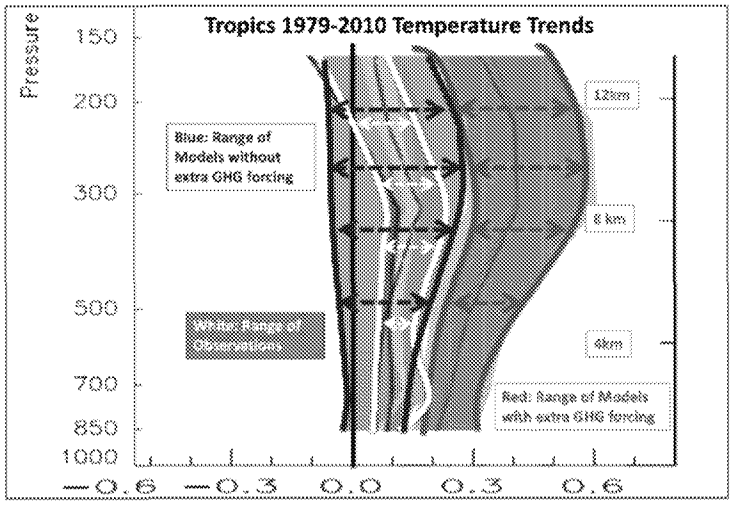

Figure 5.6: Vertical warming pattern for tropics (20S to 20N). Source: Annotated version of IPCC AR5 Figure 10.SM.1

Figure 5.6 compares model and observational temperature trends by altitude between 20S and 20N (the tropics). In this region where the models say the warming should be strongest, the observations (shown here in white) lie within the blue "No CO_2" band and entirely outside the "with CO_2" red envelope. This means that in the entire tropical atmospheric column from the surface to the base of the stratosphere, observed warming trends are so small as to be consistent with the output of models that have no anthropogenic CO_2 warming, and inconsistent with the entire envelope of warming trends generated by models forced with increased CO_2.

A similar comparison is shown in Christy and McNider (2017), an updated version of which (covering 1979-2024) is reproduced as Figure 5.7. Modeled temperature trends exceed observations from the surface through the top of the troposphere, with observed trends below the entire model range at most pressure levels. Also shown in Figure 5.7 is the tropical tropospheric temperature (TTT) average from three satellite data products (NOAA, UAH and RSS) compared to the same layer average from climate models for 1979-2024. Again, the observed trends lie below the entire model range.

The wide range of choices made by modelers to characterize the physical processes in the models (see Box: Climate Modeling in Section 5.1 above) is seen by the large spread of trends in the middle troposphere, ±40 percent about the median (Fig. 5.7). This vividly illustrates the uncertainties in attempts to model (parameterize) a complex system involving turbulence, moist thermodynamics, and energy fluxes over the full range of the tropical atmosphere's time and space scales.

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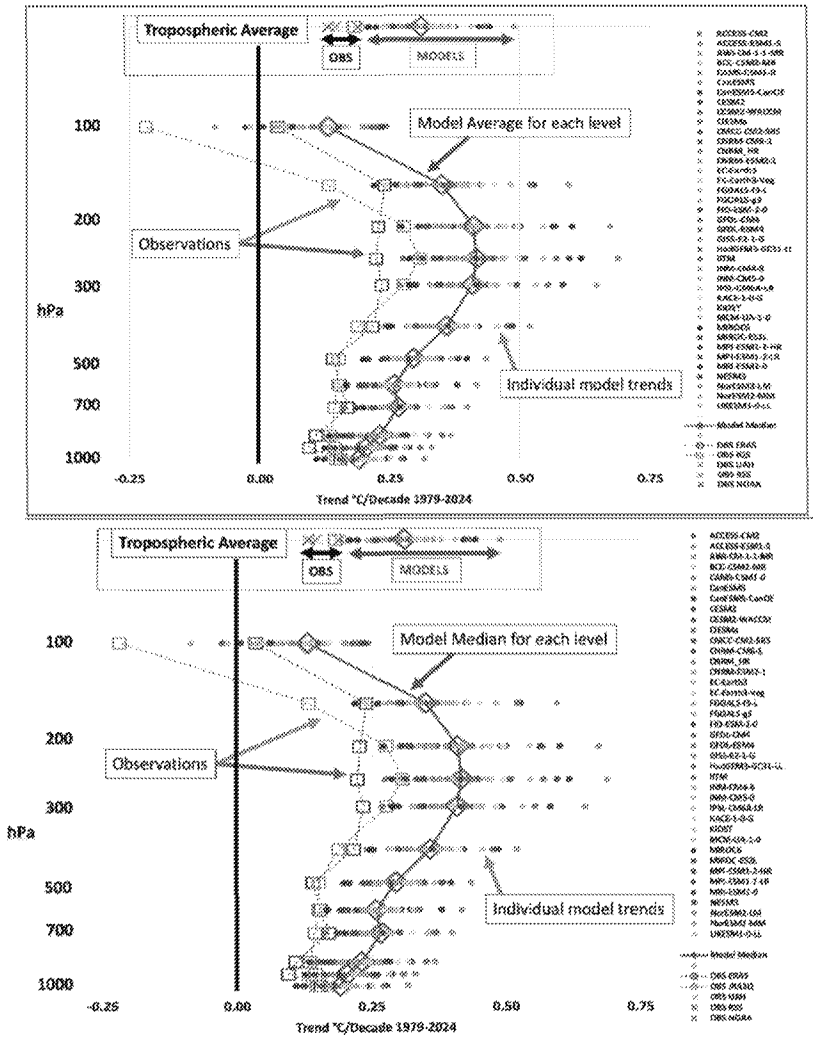

Figure 5.7: Modeled versus observed warming, tropical troposphere. Source: updated from Christy and McNider (2017) including data through 2024. Red line: model average. Green and blue lines: observational series (reanalysis).

This discrepancy has been the source of much controversy, with some arguing that even if there is very little observed warming aloft in the tropics, a "hotspot" still exists in the sense that the warming aloft is greater than at the surface (Santer et al. 2008). But there is good evidence that models also exaggerate the amplification rate. Klotzbach *et al.* (2009), showed that models project greater amplification with altitude

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than is observed. This result was subsequently confirmed by detailed time series analysis (Vogelsang and Nawaz 2016) who found that the model-observational difference is statistically significant.

The atmosphere's temperature profile is a case where models are not merely uncertain but also show a common warming bias relative to observations. This suggests that they misrepresent certain fundamental feedback processes.

The IPCC AR6 did not assess this issue.

5.5 Stratospheric cooling

An important element of the expected general "fingerprint" of anthropogenic climate change is simultaneous warming of the troposphere and cooling of the stratosphere. The IPCC AR6 acknowledged that cooling had been observed but only until the year 2000. The stratosphere has shown some warming since, contrary to model projections.

The AR6 WG1 Ch 2 pp. 327-9 states:

> Temperatures averaged through the full lower stratosphere (roughly 10–25 km) have decreased over 1980–2019 in all data products, with the bulk of the decrease prior to 2000. The decrease holds even if the influence of the El Chichon (1982) and Pinatubo (1991) volcanic eruptions on the trend, found by Steiner *et al.* (2020a) to have increased the 1979–2018 cooling trend by 0.06°C per decade, is removed. Most datasets show no significant or only marginally significant trends over 2000–2019, and the results of Philipona *et al.* (2018) show weak increases over 2000–2015 in the very lowermost stratosphere sampled by radiosondes....
>
> It is virtually certain that the lower stratosphere has cooled since the mid-20th century. However, most datasets show that lower stratospheric temperatures have stabilized since the mid-1990s with no significant change over the last 20 years. It is likely that middle and upper stratospheric temperatures have decreased since 1980, but there is low confidence in the magnitude.

The cited source, Philipona *et al.* (2018), in an article entitled "Radiosondes Show That After Decades of Cooling, the Lower Stratosphere Is Now Warming", stated:

> In response to continued greenhouse gas increases and stratospheric ozone depletion, climate models project continued tropospheric warming and stratospheric cooling over the coming decades. Global average satellite observations of lower stratospheric temperatures exhibit no significant trends since the turn of the century. In contrast, an analysis of vertically resolved radiosonde measurements from 60 stations shows an increase of lower stratospheric temperature since the turn of the century at altitudes between 15 and 30 km and over most continents. Trend estimates are somewhat sensitive to homogeneity assessment choices, but all investigated radiosonde data sets suggest a change from late twentieth century cooling to early 21st century warming in the lower stratosphere.

A combination of tropospheric warming and stratospheric cooling is a commonly-cited "fingerprint" of anthropogenic warming. Stratospheric warming since 2000 coincides with continued surface and tropospheric warming, a pattern that is not found in climate model simulations and is not apparently consistent with the anthropogenic fingerprint.

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5.6 Snow cover mismatch

Data compiled by the Rutgers University SnowLab show that Northern Hemisphere winter snowcover is not decreasing (Figure 5.8); if anything, it shows an increasing trend.

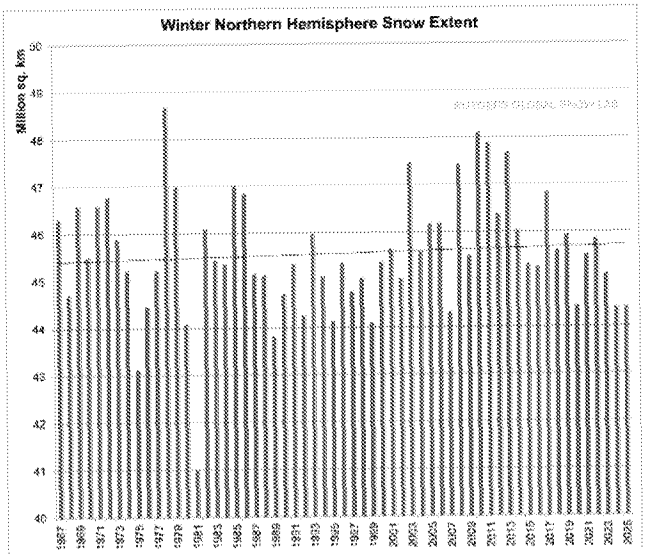

Figure 5.8: Northern Hemisphere Winter Snow extent.
Source: [HYPERLINK "https://climate.rutgers.edu/snowcover/chart_seasonal.php?ui_set=nhland&ui_season=1"]
(accessed May 27, 2025)

Yet models project declining Northern Hemisphere snow cover in a warming climate, as described by Connolly *et al.* (2019).

> The climate models were found to poorly explain the observed trends [in Northern Hemisphere snow cover]. While the models suggest snow cover should have steadily decreased for all four seasons, only spring and summer exhibited a long-term decrease, and the pattern of the observed decreases for these seasons was quite different from the modelled predictions. Moreover, the observed trends for autumn and winter suggest a long-term increase, although these trends were not statistically significant.

AR6 largely confines its discussion of changing Northern Hemisphere snowcover extent (SCE) to the Spring season, for which models and observations agree on a downward trend. Regarding Winter changes it remarks as follows (AR6 WGI Ch. 2 p. 344):

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> Assessment of SCE trends in the NH since 1978 indicates that for the October to February period there is substantial uncertainty in trends with the sign dependent on the observational product. Analysis using the NOAA Climate Data Record shows an increase in October to February SCE (Hernández-Henríquez *et al.*, 2015; Kunkel *et al.*, 2016) while analyses based on satellite borne optical sensors (Hori *et al.*, 2017) or multi-observation products (Mudryk *et al.*, 2020) show a negative trend for all seasons.

AR6 WGI Chapter 9 (p. 1284) points out that the NOAA Climate Data Record showing increased fall and winter SCE is inconsistent with land-based observations and model-based data sets. It notes that using optical satellite imagery to infer SCE in winter is challenging due to cloud cover and decreased solar illumination in winter months. Focusing on the Pacific Coast states (CA, OR and WA) cold season mountain snowfall that melts in spring and summer provides a substantial portion of warm season water resources. A comprehensive reconstruction of snowfall for the main source regions (Cascades and Sierra Nevada Mountains) indicates no significant trends in annual totals since the late 19th century (Christy 2022).

In summary, the up-to-date Rutgers SCE database indicates a mismatch between models and observations. Further work to reconcile conflicting trends in observational data sets is required.

5.7 Hemispheric symmetry of the planetary albedo

The planetary albedo is the fraction of incoming solar radiation reflected by the Earth back to space. It is an important element of the radiative energy balance and influences whether the planet will warm or cool over time. The planetary albedo is typically estimated at around 0.30; variations on the scale of 0.01 correspond to changes in solar forcing of about 3 W/m^2, an amount larger than current anthropogenic forcing. It has long been noted that models disagree with each other and with observations on the value of the global planetary albedo (Stephens *et al.* 2015).

An intriguing property of the Earth's albedo is that, on average, the Northern Hemisphere (NH) and Southern Hemisphere (SH) have had nearly the same albedo at least throughout the fifty-year satellite record (Stephens et al., 2015). This symmetry is surprising, because the SH has much more ocean than land. Since ocean is less reflective than land, the NH should have higher albedo. Clouds (which are highly reflective) are more common in the NH and so compensate the surface albedo imbalances of the two hemispheres. Datseris and Stephens (2021) show that this cloud compensation comes from the extra-tropical storm tracks of the SH, which are cloudier than those of the NH. While the mechanism for this hemispheric symmetry is unclear, it likely operates on large temporal and spatial scales.

The hemispheric symmetry of the albedo is a simple gross metric for climate models. Rugenstein and Hakuba (2023) defined that metric as the difference between the NH and SH annual mean albedos, expressed as Wm^{-2} of the reflected sunlight, and compiled it for the CMIP6 climate models, as shown in Figure 5.9. Most of the CMIP6 models do not reproduce the small observed asymmetry (about 0.1 Wm-2) and even disagree as to which hemisphere is more reflective. Moreover, the magnitude of the asymmetry ranges up to 5 Wm^{-2} in some models, twice as large as the current anthropogenic forcing (about 2.5 Wm^{-2}).

The significance of unphysical albedo asymmetries in the climate models is not yet fully known. However, other model studies suggest that interhemispheric changes in albedo can alter poleward heat fluxes, meridional temperature gradients, storminess, and differences in hemispheric ocean heat storage. The discrepancy between models and observations further raises issues regarding cloud feedback processes and so more generally diminishes confidence in model projections of the future climate.

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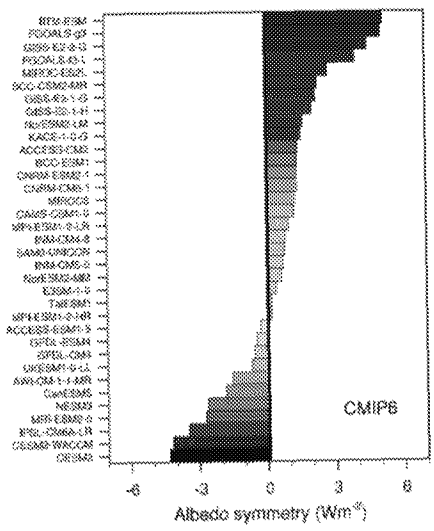

Figure 5.9. Differences in 20-year average reflectivity (albedo) between the Northern and Southern Hemispheres for CMIP6 models used in the most recent IPCC assessment (colored bars). The very small observed difference is indicated by the vertical black line. From Rugenstein and Hakuba (2023).

5.8 U.S. Corn Belt

One of the largest discrepancies between models and observations is in the U.S. Corn Belt, a region of particular importance to global food production. Figure 5.10 shows the warming trends for summertime (June, July, August) for the 12-state Corn Belt (IN, IA, IL, ND, SD, MO, MN, WI, MI, OH, KS, NE) during 1973-2022. All 36 climate models (red) warm far too rapidly compared to observations (blue).

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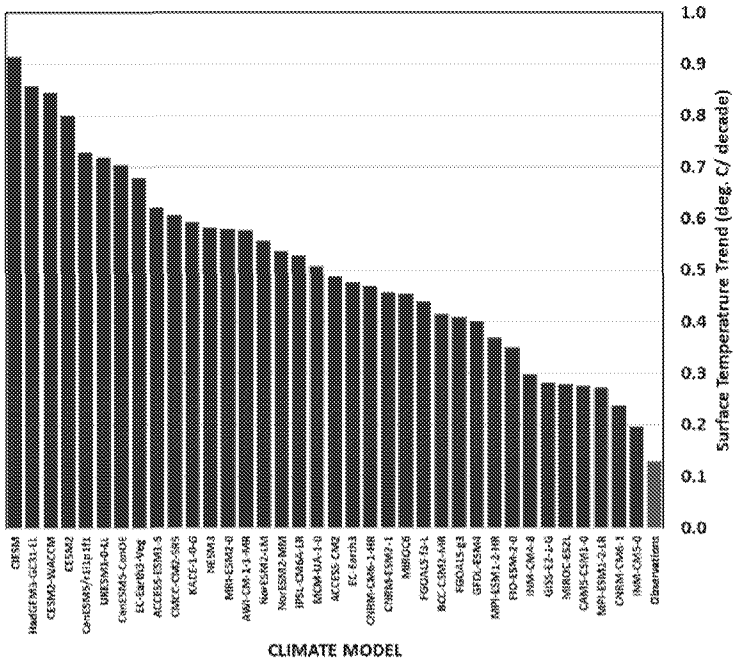

Figure 5.10: Modeled versus observed warming trends in the U.S. Corn Belt, 1973-2022.

As discussed in Chapter 9, the anticipated negative effects of increasing temperatures on U.S. corn yields have not materialized, in contrast to widely publicized studies proclaiming that theoretical future impacts are already being experienced (e.g. Seager *et al.*, 2018).

The IPCC acknowledges limitations in the accuracy of regional climate model outputs. This example shows that users need to assess model projections carefully on a case-by-case basis since local biases may be sufficiently large that the models are simply not fit for purpose. As has recently been noted by two leaders of the modeling community (emphasis added)

> … for many key applications that require regional climate model output or for assessing large-scale changes from small scale processes, we believe that **the current generation of models is not fit for purpose**. (Palmer and Stevens 2019)

To summarize:

- Climate models show warming biases in many aspects of their reproduction of the past few decades.
- They produce too much warming at the surface (except in the models with lowest ECS), too much warming in the lower-and mid-troposphere and too much amplification of warming aloft
- They also produce too much stratospheric cooling, too much snow loss, and too much warming in the Corn Belt.

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- The hemispheric albedo difference in individual climate models ranges widely in sign and magnitude compared to observations. The range in W/m^2 is three times larger than the direct anthropogenic forcing of CO_2.
- The IPCC has acknowledged some of these issues but not others.

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6 EXTREME WEATHER

Chapter Summary

Most types of extreme weather exhibit no statistically significant long-term trends over the available historical record. While there has been an increase in hot days in the U.S. since the 1950s, a point emphasized by the IPCC AR6, numbers are still low relative to the 1920s and 1930s. Extreme convective storms, hurricanes, tornadoes, floods and droughts exhibit considerable natural variability but long-term increases are not detected. Some increases in extreme precipitation events can be detected in some regions over short intervals but the trends do not persist over long periods and at the regional scale. Wildfires are not more common in the U.S. than they were in the 1980s. Burned area increased from the 1960s to the early 2000's, however it is low compared to the estimated natural baseline level. U.S. wildfire activity is strongly affected by forest management practices.

6.1 Introduction

High impact weather extremes, usually related to temperature, precipitation and/or high wind, can disrupt infrastructure and therefore endanger human health and wellbeing. The issue is not whether extremes occur. Rather, it is whether there are long-term (decadal scale) changes in the frequency or character of extremes ("detection"), as well as the extent to which such changes and the attendant changes in hazards are caused by anthropogenic emissions of greenhouse gases ("attribution"; e.g., IPCC AR6 Seneviratne *et al.* 2021).

It is naïve to assume that any recent extreme event is caused by human influences on the climate. Climate is about the statistical properties of weather over decades, not single events. Further, there are only about 130 years of reliable observational records that can be analyzed statistically. That brief interval does not begin to contain all the extreme events that the climate system can create on its own. Over geologic time the climate system has generated an (essentially) infinite variety of weather patterns and extremes that humans have never observed and thus are absent from the databases used to determine extreme statistics [see *Perils of short data records* below]. For that reason, attributing an extreme event unprecedented in the record requires assumptions about the magnitude of natural variations.

This chapter is concerned with detection of trends in extreme weather, while Chapter 8 considers causal attribution, with Section 8.4 specifically addressing extreme weather. If no trend is detected, then clearly there is no basis for attribution. But even where a trend is observed, attribution to human-caused warming does not necessarily follow.

This is especially true for precipitation events. The hydrological literature has long noted the phenomenon of Long-Term Persistence (LTP) in rainfall data (Hurst 1951, Cohn and Lins 2005, Markonis and Koutsoyiannis 2016). LTP has a formal mathematical definition, but it can be thought of as the presence of natural variations with strong autocorrelations that result in cycle-like behavior on long time scales. The presence of LTP requires long records to accurately estimate variability. Analysis of records that are short relative to the LTP scale will tend to underestimate variability, therefore overstating the significance of apparent trends and underestimating the likelihood of extreme events (Cohn and Lins 2005).

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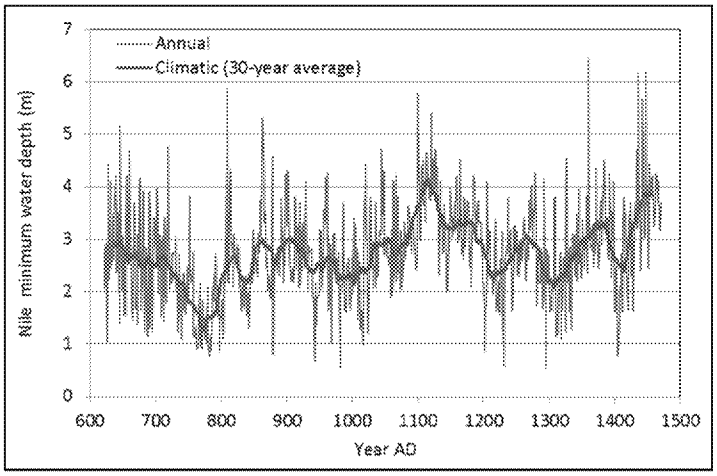

Figure 6.1.1: The annual minimum depth of the Nile River near Cairo over more than 650 years from 622 to 1284 A.D. The data, measured in meters, shows a characteristic pattern of year-to-year fluctuations around longer-term trends. Data from Koutsoyiannis (2013)

A good example of LTP is the eight-century long record of the annual minimum height of the Nile River observed at Roda Island in Cairo shown in Figure 6.1.1. The Nile River is fed by precipitation over a 4 million square mile drainage basin, an area equal to about one third of CONUS. Since human influences on the global climate were negligible before the 20th century, the century-scale variability of the thirty-year average is entirely natural; Egyptians of the seventh and eight centuries would have been incorrect to assume that the worsening drought during that time was the "new normal."

With these caveats in mind, we examine the evidence for changes in selected weather and climate extremes. A recurring theme is the wide gap between public perceptions and scientific evidence. It has become routine in media coverage, government and private sector discussions, and even in some academic literature to make generalized assertions that extreme weather of all types is getting worse due to GHGs and "climate change." Yet expert assessments typically have not drawn such sweeping conclusions and instead have emphasized the difficulty both of identifying specific trends and establishing a causal connection with anthropogenic forcing.

In the sections to follow we provide excerpts from various IPCC and NCA assessment reports denoting the sources as follows:

SREX: The IPCC Special Report on Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation (2012)

AR6: The IPCC Sixth Assessment Report Working Group 1 (2021).

NCA4: The U.S. Climate Science Special Report of the Fourth National Climate Assessment (2017) Volume I.

NCA5: The U.S. Climate Science Special Report of the Fifth National Climate Assessment (2023) Volume I.

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Additionally we present updated data series from standard government sources to provide up-to-date information through 2024 wherever possible.

6.2 Hurricanes and tropical cyclones

The AR6 provides the following assessment of tropical cyclones (TCs; used here as a synonym for hurricanes):

> AR6: There is *low confidence* in most reported long-term (multidecadal to centennial) trends in TC frequency or intensity-based metrics due to changes in the technology used to collect the best-track data. (IPCC, 2021 p. 1585)
>
> AR6: It is *likely* that the global proportion of major (Category 3–5) tropical cyclone occurrence has increased over the last four decades . . . There is *low confidence* in long-term (multi-decadal to centennial) trends in the frequency of all-category tropical cyclones. (IPCC, 2023 SPM p. 9)
>
> AR6: A subset of the best-track data corresponding to hurricanes that have directly impacted the United States since 1900 is considered to be reliable, and shows **no trend in the frequency of U.S. landfall events**. (IPCC 2021 p. 1585)

Since 1980, when satellite observations fully covered the global oceans, we have confidence in the numbers of total global hurricanes and major hurricanes (Category 3 and higher). Figure 6.2.1 shows that on average, each year there are about 50 hurricanes, with about 25 reaching major hurricane status (Maue, 2025). There is substantial year-to-year and decadal variability, a weak decrease in the number of hurricanes, and a slight but insignificant increase in the number of major hurricanes These two trends combine to create an overall increase in the proportion of major hurricanes.

Global hurricane statistics are dominated by the Northwest Pacific Ocean, which accounts for ~35 percent of total global hurricanes, whereas the Atlantic accounts for ~15 percent of global hurricanes (Colorado State University, 2025). Data in the Atlantic basin extends further back than in the other ocean basins and is most relevant to U.S. policy makers.

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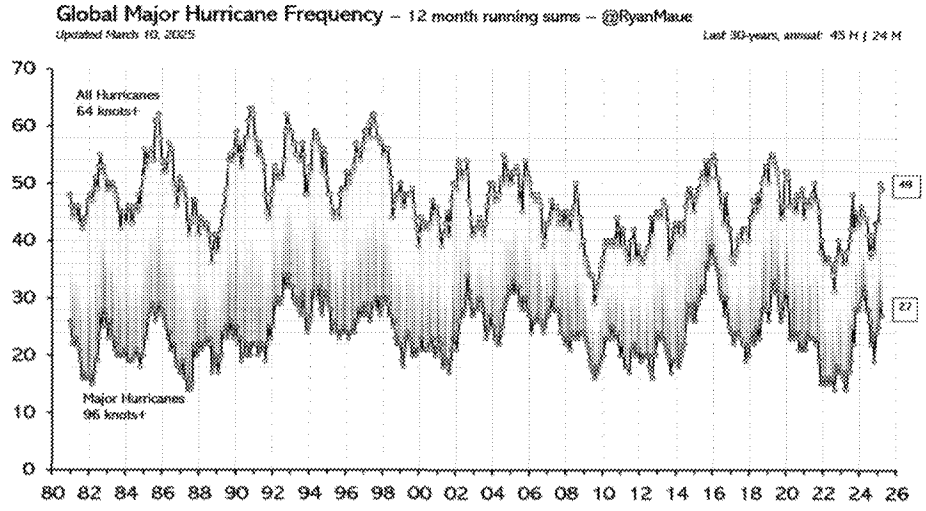

Figure 6.2.1: Global frequency of hurricanes and major hurricanes since 1980.

Figure 6.2.2 shows the frequency of Atlantic hurricanes and major hurricanes (Category 3 and higher) back to 1920. Data prior to 1965 (the onset of satellite observations in the Atlantic, shaded in blue) shows some undercounting, with data prior to 1920 showing substantial undercounting (Vecchi and Knutson, 2011). All measures of Atlantic hurricane activity show a significant increase since 1970. However, the period from 1971-1994 saw exceptionally low activity, with high activity (comparable to the past two decades, even with undercounting) also observed during the 1950's and 1960's, and even in the 1930's.

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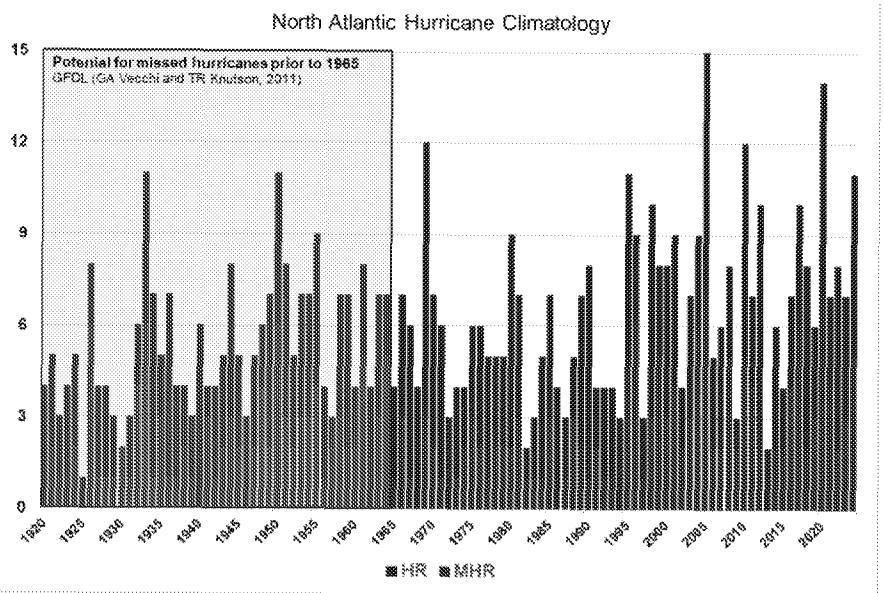

Figure 6.2.2: Atlantic frequency of hurricanes (HR) and major hurricanes (MHR) since 1920. Source National Hurricane Center (2024)

Figure 6.2.2 shows that Atlantic hurricanes vary strongly on decadal and multidecadal time scales. These variations are associated primarily with the Atlantic Multidecadal Oscillation (AMO), which is manifest in basin-wide sea surface temperature and sea level pressure fluctuations connected to large scale ocean circulation patterns. The AMO was in its warm phase during 1926-1970 and 1995-present, but in its cool phase during 1971-1994. It has its greatest impact on the number of major hurricanes (Category 3+), identified by Goldenberg et al. (2001) as associated with above normal SSTs and decreased vertical shear in the AMO warm phase (see also Bell and Chelliah, 2006; Klotzbach et al., 2018).

Klotzbach et al. (2018) conducted a comprehensive evaluation of the landfalling hurricane data for the Continental U.S. since 1900. Figure 6.2.3 updates their analysis through 2024. While the largest numbers of landfalling hurricanes are from 2004, 2005 and 2020, there is no statistically significant trend since 1920. Figure 6.2.3 also shows the time series for major hurricane landfalls (Category 3-5). The largest year in the record is 2005, with 4 major hurricane landfalls. However, following 2005 there were no major hurricanes striking the U.S ~~from 2006~~ through 2016, the longest such period since 1920.

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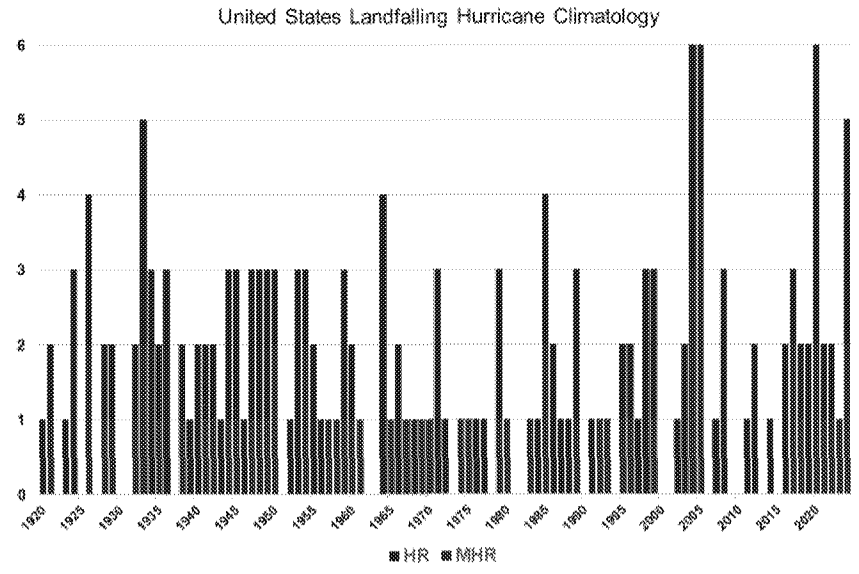

Figure 6.2.3: U.S. landfalling frequency of hurricanes (HR) and major hurricanes (MHR) since 1920. Source NOAA HRD(a) (2024)

Figure 6.2.3 shows substantial interannual to multidecadal variability in U.S. landfall activity. Klotzbach et al. (2018) examined how the landfall counts vary with ENSO (El Niño versus La Niña) and the warm versus cold phases of the Atlantic Multidecadal Oscillation (AMO).

Villarini et al. (2012) provide an analysis of U.S. hurricane landfalls back to 1878. While it is possible that some landfalls were missed in the late 19th century owing to sparsely populated regions on the Gulf Coast, it is remarkable that the highest year in the entire record is 1886, with 7 hurricane landfalls, when human influences on the climate were much smaller than they are today.

Table 6.2.1 shows the 10 strongest hurricanes (plus ties) to make U.S. landfall. Of the hurricanes that have made landfall with sustained winds greater than 150 mph, only one has occurred in the 21st century.

In summary, analyses of both global and regional variability and trends of hurricane activity provide the basis for detecting changes and understanding their causes. The relatively short historical record of hurricane activity, and the even shorter record from the satellite era, is not sufficient to assess whether recent hurricane activity is unusual relative to the background natural variability. Atlantic hurricane processes are influenced substantially by the natural modes of ocean circulation variability in the Atlantic, notably the Atlantic Multidecadal Oscillation. While it has long been hypothesized that a rising global sea surface temperature would cause an increase in hurricane intensity, identification of any significant trend in the hurricane data is hampered by a short data record and substantial natural variability.

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Rank	Year	Landfall Wind (MPH)	Name
1	1935	185	"Labor Day"
2	1969	175	Camille
3	1992	165	Andrew
4	2018	160	Michael
5	1856	150	"Last Island"
5	1886	150	"Indianola"
5	1919	150	---------
5	1932	150	"Freeport"
5	2004	150	Charley
5	2020	150	Laura
5	2021	150	Ida
5	2022	150	Ian

Table 6.2.1 Strongest hurricanes to make landfall along the U.S. coast. Source (NOAA HRD(b), 2024)

6.3 Temperature extremes

The AR6 assessment focused on the period after 1950 and reported increasing trends in heatwave frequency and intensity. However, the NCA4 noted that heatwave activity in the U.S. reached a peak in the 1930s (Figure 6.3.1).

> AR6: It is *virtually certain* that hot extremes (including heatwaves) have become more frequent and more intense across most land regions since the 1950s, while cold extremes (including cold waves) have become less frequent and less severe (SPM, A3.1)
>
> AR6: In North America, there is very robust evidence for a *very likely* increase in the intensity and frequency of hot extremes and decrease in the intensity and frequency of cold extremes for the whole continent, though there are substantial spatial and seasonal variations in the trends. Minimum temperatures display warming consistently across the continent, while there are more contrasting trends in the annual maximum daily temperatures in parts of the USA. (Chapter 11, p 1550)
>
> NCA4: Changes in warm extremes are more nuanced than changes in cold extremes. For instance, the warmest daily temperature of the year **increased** in some parts of the West over the past century, but there were **decreases** in almost all locations east of the Rocky Mountains. In fact, all eastern regions experienced a net **decrease**, most notably the Midwest (about 2.2°F [1.2°C]) and the Southeast (roughly 1.5°F [0.8°C]). (pp. 190-191)
>
> NCA4: Since the mid-1960s, there has been only a very slight increase in the warmest daily temperature of the year (amidst large interannual variability). Heat waves (6-day periods with a maximum temperature above the 90th percentile for 1961–1990) increased in frequency until the mid-1930s, became considerably less common through the mid-1960s, and increased in frequency again thereafter. As with warm daily temperatures, **heat wave magnitude reached a maximum in the 1930s. (pp. 190-191)**

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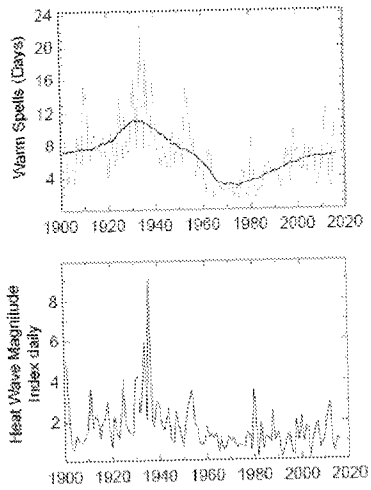

Figure 6.3.1: U.S. Heat waves since 1900. Source: NCA4 Figure 6.4

6.3.1 Temperatures in the U.S. are becoming less extreme

Daily maximum temperatures in the warm season (T max, May-Sep) and daily minimum temperatures in the cold season (T min, Dec-Mar) are available beginning in Dec 1898 (126 years). The dataset consists of 1,211 CONU.S. stations designated as United States Historical Climate Network or USHCN stations (see Figure 6.3.2; Quinlan *et al.* 1987, Karl *et al.* 1990). These stations were selected by NOAA as having the fewest problematic issues with gaps, station moves and instrument changes. Where gaps still exist, nearby stations (bias-corrected) were merged so that the median volume of data available for a station is 98%. Although there are certainly errors in the dataset, including unresolved spurious warming due to UHI effects that especially bias T min records, this data set is sufficiently accurate for assessing trends in T max heat extremes.

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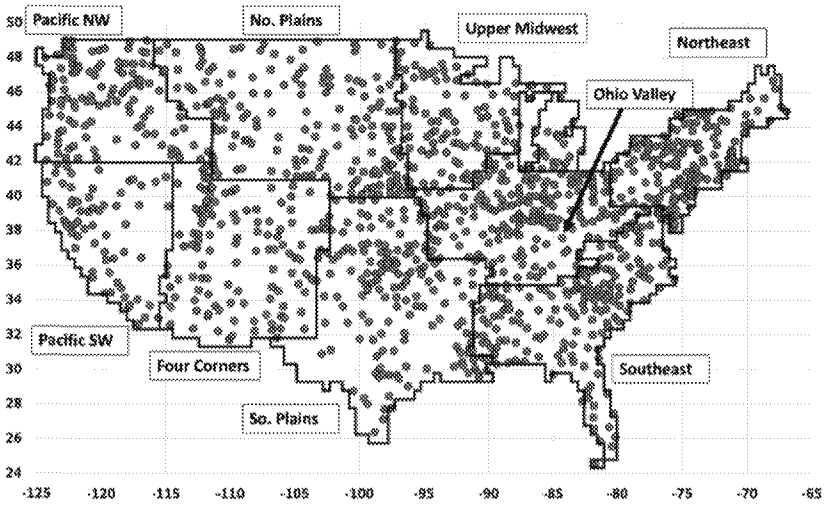

Figure 6.3.2 Locations of USHCN temperature stations. Source: USHCN.

We begin with the question of whether the occurrence of daily record high or low temperatures has changed since Dec 1898. Each warm-season has 153 days (1 May to 30 Sep) and each cold-season has 122 days (1 Dec to 31 Mar). For each station and day, we calculated the year in which the record highest (lowest) temperature occurred. With 126 years of observations, if there were no temperature trends over time, the expected number of records for Tmax would be 1.21 (=153/126) per station per year and for Tmin 0.96 (=122/126) per station per year.

Figure 6.3.3 shows the observed distribution in time of the occurrence of these extreme events. There is a common feature in many metrics of warm-season extremes in the CONUS - the exceptional heat of the 1920s and especially the 1930s, peaking in 1936. On a per-station average, 60 percent of the Tmax records and 59 percent of the Tmin records occurred in the first half of the period (1899-1961).

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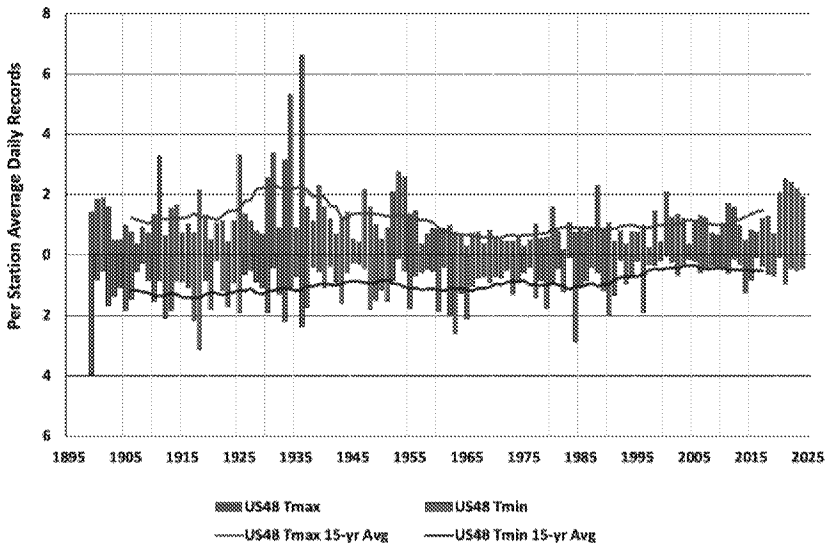

Figure 6.3.3 Number of daily record High (red) and Low (blue) temperatures for warm and cold seasons in the CONUS. The lines represent the 15-year running, centered average. Source: 1,211 USHCN stations supplemented as needed to achieve a minimum of 92 percent of observations in the 126-year period since Dec 1898. US48: contiguous U.S. states. Tmax: maximum temperature. Tmin: minimum temperature.

On the cold side, the Valentine's Day Arctic outbreak in Feb 1899 stands as the most extensive cold extreme experienced by CONUS, with 1917 in 2nd place. The frequency of cold records has declined, especially over the last quarter of the period in which only 13 percent of the extreme cold events were measured. In contrast, 25 percent of the extreme Tmax records were achieved in the last quarter, in accordance with statistical expectations. These general features have been noted in past assessments (see above, IPCC AR6, NCA4). Combining the two records the overall reduction in numbers of both cold and hot extremes over the past century indicates a climate less prone to extremes.

This pattern is also shown in Figure 6.3.4. For each station and for each year the hottest warm season and coldest cold-season temperatures were calculated. Then the differences between these were computed by station and geographically-averaged over all stations, thus yielding an annual measure of the expected range of local extreme temperatures for each year. Figure 6.3.4 shows the 15-year trailing average of this measure, which has clearly declined over the past century.

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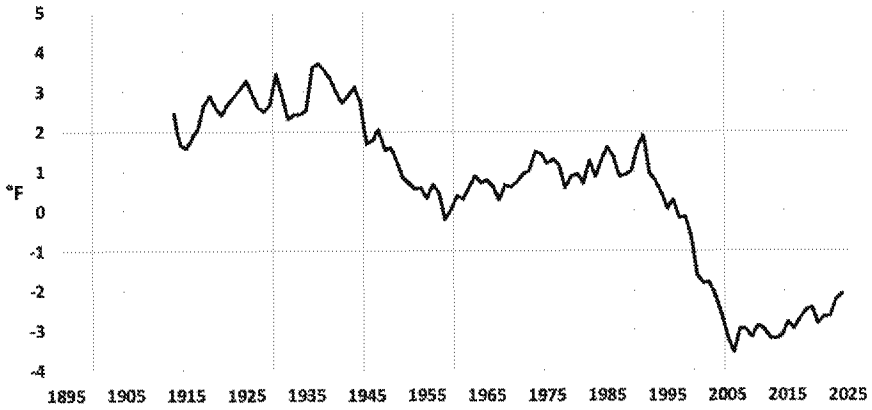

Figure 6.3.4. 15-year trailing average of the difference each year of each station's hottest warm-season Tmax and coldest cold-season Tmin relative to the long-term average. Source: Author analysis of USHCN data.

The average difference for each station between the hottest summer Tmax and coldest winter Tmin has declined by about 5°F in the past 126 years. The decline is due mostly to warmer winter Tmin, but a decline in summer Tmax is also a factor. The rise in Tmin has been strongly related to the growing presence of manufactured surfaces around the weather stations over the last 100+ years (the so-called urban heat island effect; Spencer *et al.* 2025).

In summary, while temperature extremes are regularly experienced in the U.S. and attract a great deal of media attention, long term records show the U.S. climate has become less extreme over time (milder) when measured by the range between warm season maxima and cold season minima.

6.3.2 Exceedances of a heat threshold

Under the heading of "The Risk of Temperature Extremes is Changing", the most recent U.S. National Climate Assessment report (NCA5) notes the increase in a threshold metric of number of days at or above 95°F, stating,

> The western U.S. has been particularly affected by extreme heat since the 1980s …, experiencing a larger increase in days over 95°F, as would be expected given the greater warming in that region relative to the eastern US. Several major heatwaves have affected the U.S. since 2018, including a record-shattering event in the Pacific Northwest in 2021.

Are the occurrences of 95°F days changing? In a climate as varied as that of CONUS, threshold statistics can be misleading. A region with many stations that have near 95°F Tmax average temperatures in the summer might see large swings in the metric when only small changes in average temperature occur. Elsewhere with stations that either rarely or virtually always achieve 95°F Tmax temperatures, a small change will not have much impact on the results.

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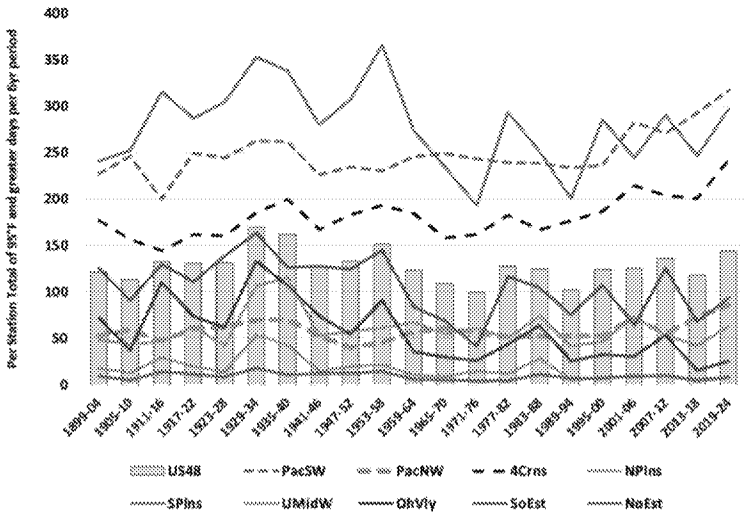

Figure 6.3.5. Total number of days ≥ 95°F in 6-yr periods, U.S. 48 (bars) and regions (lines). A 6-year period is used as this evenly divides the 126-year record. US48: contiguous U.S. states. See Figure 6.3.2 for region names. Source: Author analysis of USHCN data.

In the past 126 years, the average CONUS station experienced 129 days exceeding 95°C per 6-yr period, but the regional values range from 278 in the Southern Plains to 9 in the Northeast. Thus, such threshold analyses must be interpreted with caution. Figure 6.3.5 shows that only three of the nine regions, all in the West, have experienced upward trends in the number of 95°F or hotter days (dashed lines). The CONUS as a whole has not, and the other six regions have experienced declines.

The Pacific NW heatwave of 2021 referenced in the NCA5 quote will be examined more closely in Section 8.6.1. The evidence indicates that it was a single, unprecedented event in the record, not part of a pattern of increasing extreme heat. For example, the 5-day average tropospheric grid-point temperature anomaly over the Pacific NW during that event was +10.8 C, the most extreme Northern Hemisphere grid point summer anomaly in the 46 years from over 4 million grid values. In contrast, the global temperature anomaly during that time was virtually zero (+0.03 C, Mass *et al.* 2024).

The NCA5 heading "The Risk of Temperature Extremes is Changing" suggests pervasive positive trends are now being observed in threshold temperatures, but that is not evident in the figures above.

6.3.3 Heatwaves

Heatwaves (consecutive days that exceed an extreme threshold) have a greater societal impact than a single daily record temperature. We measure "Heatwave Days" here as the count of all days in May-Sep each year that exceed the 90th percentile for that day and that lie within a period of at least six consecutive days. This is equivalent to the method used in NCA4, except that the reference period here is the entire record 1899-2024 while NCA4 truncated the reference period to 1961-1990, which was a cool period. That truncation boosts positive results (days exceeding the 90th percentile) in periods warmer than the reference period, especially starting in 1960 and moving to the present (see Figure 6.3.7 and discussion below).

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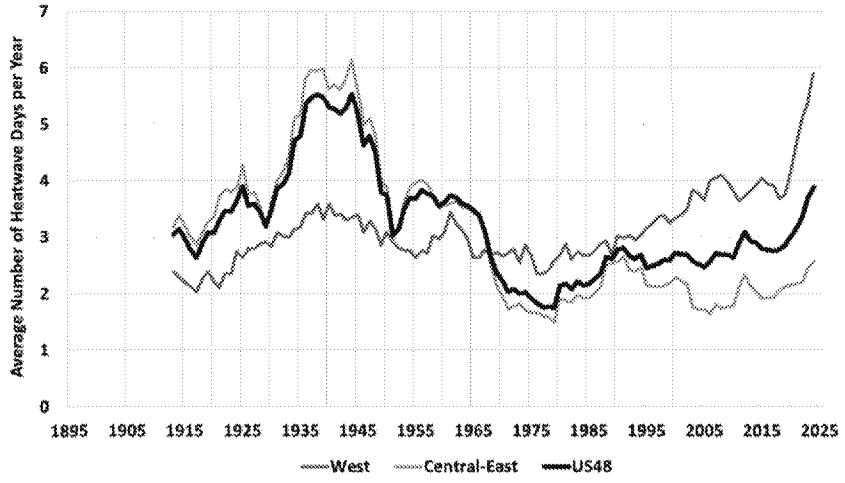

Figure 6.3.6 15-year trailing average of number of heatwave days per year per station in the CONUS (black line) and two regions: West (red), Central-east (green).

Figure 6.3.6 indicates that there are regional variations in heatwave activity. The excessive heat of the first half of the 20th century occurred primarily in the eastern two-thirds of the nation, while the West has seen a recent increase of heatwave days (NCA5). This indicates that the background warm season circulation favored heatwaves in the eastern portions of the country in the first half of the 20th century, but in the 21st century the patterns have favored heatwaves in the West. For CONUS as a whole, heatwaves are no more common today than they were a century ago, consistent with the upper panel of our Figure 6.4.1 taken from NCA4.

This metric varies significantly with region. The four northern regions (Pacific NW, Northern Plains, Upper Midwest, and Northeast) on average experience 15 to 27 heatwave days per 15-year period. In contrast, the five southern regions (Pacific Southwest, 4-Corners, Southern Plains, Ohio Valley and Southeast) see 37 to 54 such days, essentially twice as many. This suggests the summer circulation pattern is more prone to stationary events in the southern regions while transient systems in the northern regions are more common and thus cut short these potentially longer events.

The analysis of heatwaves is an example of why it is important to consider complete datasets and appropriate metrics. The NCA5 directs readers to the website [HYPERLINK "https://www.globalchange.gov/indicators/heat-waves"] (USGCRP 2023) to view a figure showing the number of urban heatwaves by decade from the 1960s, which we reproduce as Figure 6.3.7.

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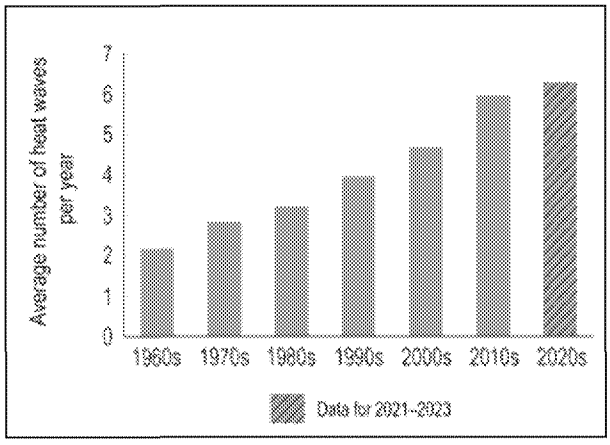

Figure 6.3.7: Average number of urban heatwaves per year for 50 large U.S. metropolitan areas, a misleading metric for reasons explained in the text. From [HYPERLINK "https://www.globalchange.gov/indicators/heat-waves"]

The figure shows a monotonic increase in each decade from 2 occurrences per year in the 1960s to 6 in the 2020s. The definition of a heatwave used is an unusual but practical measure of human discomfort - a period of at least 2 consecutive days when the *minimum* apparent temperature (combination of temperature and humidity) exceeds the 85th percentile. Note too, the dataset is limited to the 50 largest U.S. cities.

Given the unusual heatwave definition and urban focus, these increasing values since 1960 presented in USGCRP (2023) are not informative about long term trends or the influence of GHG emissions for at least two reasons. First, as shown in Figures 6.3.1 and 6.3.6, the 1960s was the coldest decade and 1970s the second coldest decade since the 1910's, so this starting date preconditions the time series to show increases. Second, post-1960 urbanization in these cities is a major factor in the rise of Tmin relative to co-located Tmax and relative to Tmin at nearby rural stations. This does not dismiss the real rise in nighttime temperatures in major U. S. cities and the societal impacts associated with these changes. However, we note for a variety of reasons, that summer Tmax (especially in rural areas) is a better metric for detecting changes in heatwaves influenced by changes in the background climate due, for example, to increasing GHGs (Christy *et al.* 2009). For the CONUS as a whole, the evidence in this section suggests GHG emissions have had little-to-no effect on heatwaves.

6.4 Extreme precipitation

The IPCC AR6 assessed that an increase in heavy precipitation has been observed in data starting in the 1950s.

> AR6: The frequency and intensity of **heavy precipitation events have increased** since the 1950s over most land area for which observational data are sufficient for trend analysis (high confidence). (SPM A3.2)

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> AR6: In North America, there is robust evidence that the **magnitude and intensity of extreme precipitation has *very likely* increased** since the 1950s. Both [one-day maxima] and [5-day maxima] have significantly increased in North America during 1950-2018. (Chapter 11, p. 1560)

The U.S. National Climate Assessments (NCA4, NCA5) have highlighted an increase in the occurrence of the heaviest precipitation events (defined in different ways) primarily in the eastern half of the CONUS, especially the Northeast, when starting the analysis in either 1901 or 1958. Interestingly, the regional variations indicate that the largest increases in extreme precipitation events are in the Northeast and the smallest in the West, a pattern counter to the changes in temperature extremes.

McKitrick and Christy (2019) examined long-term and consistent station observations of extreme daily precipitation to test some of these NCA claims for the Southeast and West Coast using a trend model with a non-parametric variance estimator robust to the complex autocorrelation properties of precipitation data. When the time series were extended back in time (as far as 1872 in some cases) or when starting later (1978), there were no significant trends for either region.

These findings have been updated for this report (McKitrick and Christy 2025) with similarly constructed observations from 29 stations on the CONUS Pacific Coast (1893ff from San Diego CA to Blaine WA) and 24 stations in the humid Southeast (1872ff from Austin TX to Washington DC), also adding 27 stations in the Northeast (1888ff from Buffalo NY to Eastport ME). The locations are shown in Figure 6.4.1. The stations were selected based on availability of long-term high-quality records. The regions are each associated with important features of extreme precipitation behavior: the Pacific coast is associated with landfalling atmospheric rivers for which AR6 cites evidence of increasing activity since 1948 with further increases expected as the world warms (AR6 8.3.2.8.2); the NCA report indicates that the Northeast has experienced the greatest increase in extreme events, and the Southeast is also a place noted in the NCAs as having increased extreme events.

The results of applying the analysis of McKitrick and Christy (2019) were as follows for each region, then followed by further explanation.

Pacific Coast heavy rainfall events

- The average precipitation trend is statistically significant (downwards) in Astoria OR; insignificant elsewhere.
- The trend in rainfall variance is positive and significant in Big Sur CA; insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Aberdeen WA and Big Sur CA and negative and significant in Newport OR (insignificant elsewhere).
- Averaged over all stations in the region, none of these three trend parameters is statistically significant.

The Pacific Coast receives considerable precipitation from Atmospheric River (AR) events which often last more than a day or two (e.g., Gershunov *et al.* 2017, Pan *et al.* 2024). The worst series of such events in recent history was the so-called ARkStorm that occurred between December 1861 and January 1862; it dumped nearly 10 feet of rain in parts of California and submerged the entire Central Valley for weeks under as much as 15 feet of water (Brewer 1930, Null and Hulbert 2007). Additionally, Paleoclimate research has found six megastorms more severe than 1861–1862 in California during the last 1800 years, occurring at intervals of 300 years or so (Porter *et al.* 2011).

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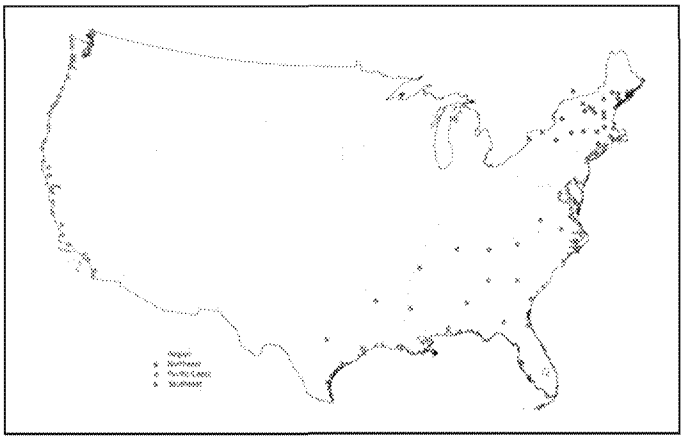

Figure 6.4.1: Locations of precipitation monitoring stations used in this report. Orange: Pacific coast. Blue: Northeast. Green: Southeast. Data from McKitrick and Christy (2025).

We examine occurrences of 5-day deluges as follows. Taking the Pacific coast as an example, a 130-year span contains 26 5-year intervals. At each location we computed the 5-day precipitation totals throughout the year and selected the 26 highest values across the sample. A single year may have more than one of the 26 heaviest. Each of those can be thought of as 1-in-5yr events. If there are no trends in precipitation, then the total number of these events across all stations should be evenly spread out over the years. In Fig. 6.4.2 we show the distribution in time of these events for the Pacific coast. The deluges associated with the massive 1997-98 El Niño event are readily apparent. While erratic, as is typical of such precipitation metrics, there is no indication of a tendency to become more frequent over time.

Southeast heavy rainfall events

- The trend in average precipitation is positive and statistically significant in Mobile AL and Quitman GA but insignificant elsewhere.
- The trend in rainfall variance is positive and significant in Mobile AL but insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Vicksburg MS and Norfolk VA but insignificant elsewhere.
- Averaged over all stations in the region none of these three trend parameters is statistically significant

Figure 6.4.3 shows the same calculation for the last 150 years in the Southeast humid zone. The temporal pattern of 5-day totals of the 1-in-5yr heavy events is generally unremarkable, though a cluster of higher values appears in 1995 to 2019. The increase in those years is due largely to the 4 northeastern-most stations of Wilmington NC, Weldon NC, Washington DC, and Norfolk VA. This confirms the pattern indicated in NCA4 and NCA5 -- an increasing frequency of heavy events due to a temporal clustering of tropical storms from eastern NC to Maine discussed below. Otherwise, the remaining 20 stations show an unremarkable temporal distribution of heavy events.

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BOX: Perils of short data records

San Francisco provides a good case study of the limitation of using short historical samples to characterize natural variability of extreme events. Suppose we use a 130-year sample of daily San Francisco precipitation from 1895 to 2024 and we look for 3-day, 5-day, 14-day and 30-day rainfall records. The results are as shown in Table 7.2.

Event	Record (inches)	Year
3-day	6.94	2023
5-day	8.55	2023
14-day	12.62	2023
30-day	18.93	1998

Table 6.2: Extreme rainfall records, San Francisco, 1895-2024.

The records all cluster in the more recent years. 2023 appears to be an exceptional year and since it is near the end of the sample, it might suggest that the climate has shifted into a more hazardous state, perhaps because of human influences. Note: "2023" indicates the event occurred in the water-year of Aug 2022 to Jul 2023.

But the picture is very different if we use a sample that begins 45 years earlier, in 1850. Table 6.3 shows that the record-setting events all happened in the 1860s. Furthermore 2023 is now not even in 2nd place but falls to 3rd or 4th place. And comparing the records of the two charts shows the extreme precipitation events in 1862 and 1867 involved considerably more rainfall than the 1998 and 2023 events, with 14- and 30-day totals about 50 percent higher.

Event	Record (inches)	Year	Rank of 130-yr extreme listed in Table 6.2
3-day	8.85	1867	3
5-day	9.80	1867	3
14-day	19.05	1862	4
30-day	28.25	1862	2

Table 6.3: Extreme rainfall records, San Francisco, 1850-2024.

The range of natural variability is made even more remarkable when paleoclimate evidence is examined. Porter et al. (2011) discovered that in the past 1,800 years at least six megastorms were more intense than the devastating 1861-62 ARkStorm that struck the region. Evidently such extreme events, "unprecedented" in our 1895-2024 sample, have impacted the region about every 300 years, though not since 1895.

This example illustrates the limitations of using relatively short climate periods (~130 years) to assess the character and range of natural variability in general and of extreme events in particular. Accurate representation of the full range of natural variability is necessary for any attribution analyses (see 8.6). Infrastructure planners, emergency management institutions and attribution scientists would understand the significant mischaracterization of the magnitude of a future extreme if based only on the last 130 years. In this case, a single time-sample of 130 years provides an underestimation of the extreme value by up to 50 percent determined when adding only 45 more years of observations. Compared to millennial-scale paleoclimate evidence, an even greater underestimation would occur. An important lesson is that the climate can deliver great surprises on its own, even without human influences.

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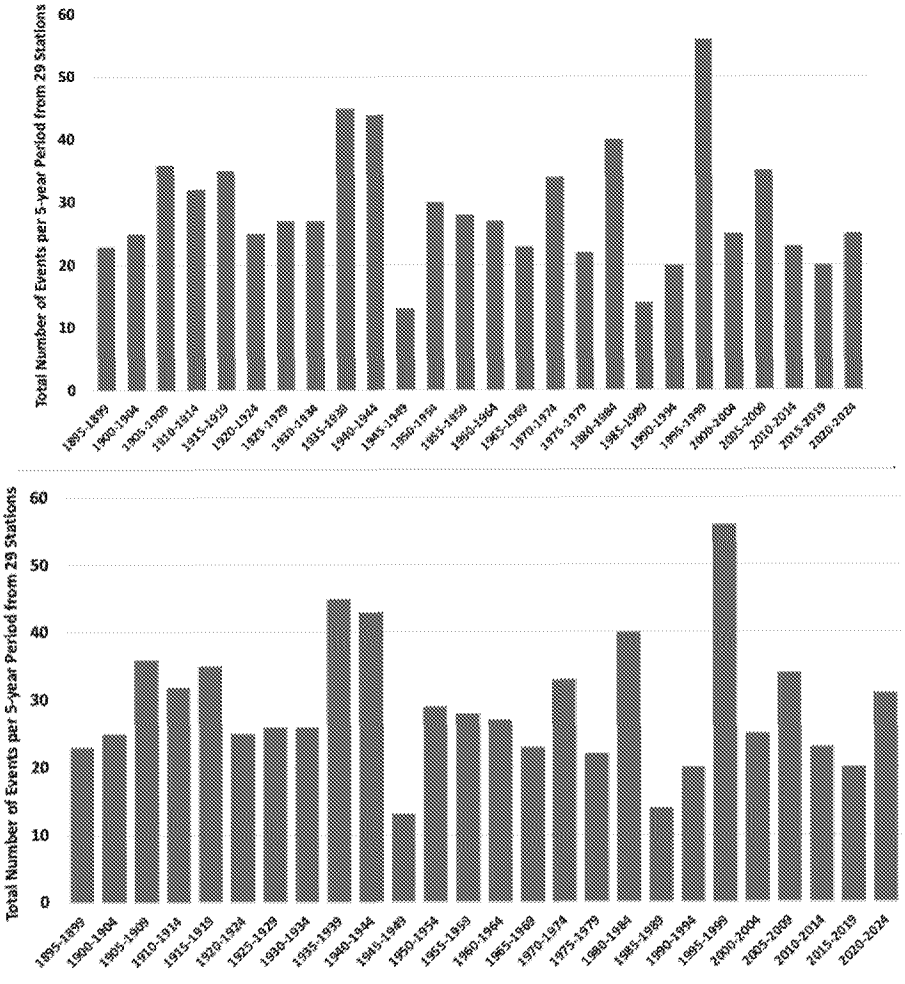

Figure 6.4.2. The time distribution by 5-year periods of the 26 heaviest (1-in-5 yr) occurrences for 29 stations on the Pacific coast.

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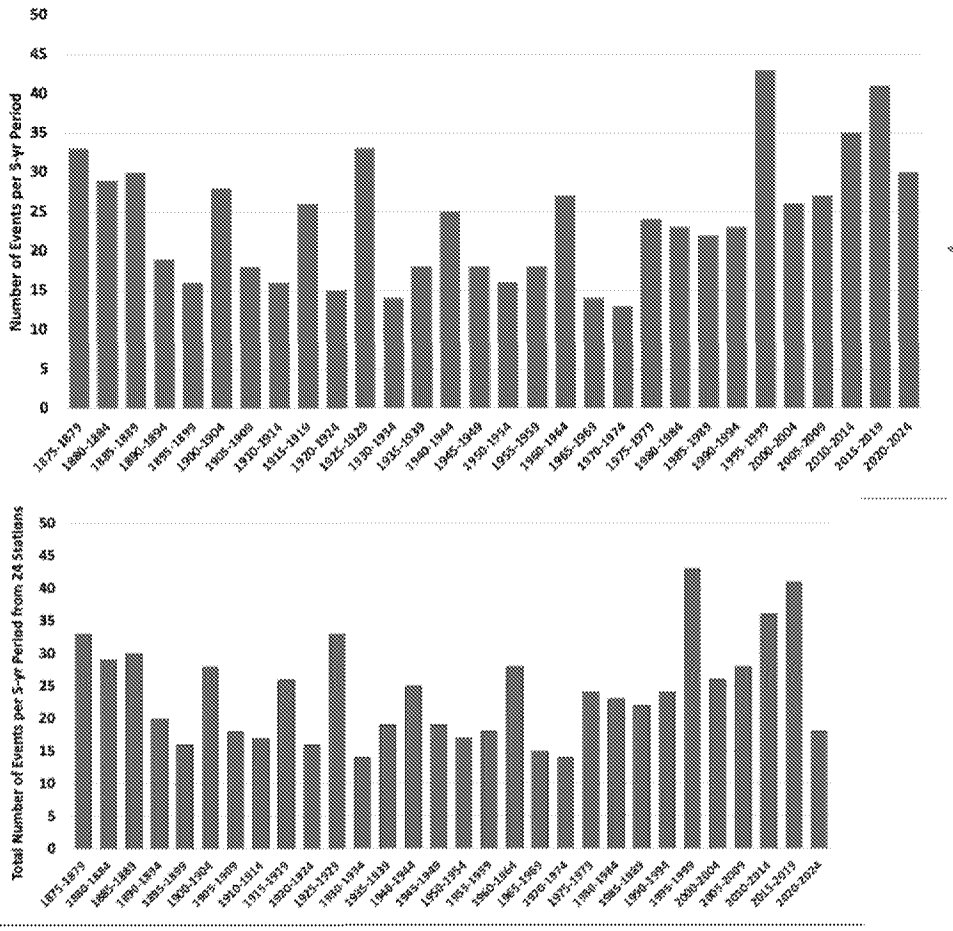

Figure 6.4.3. As in Fig. 6.4.2 but for the heaviest 30 (1-in-5yr) events for 24 stations in the humid Southeast from Austin TX to Washington DC in 5-year bins for 1875-2024.

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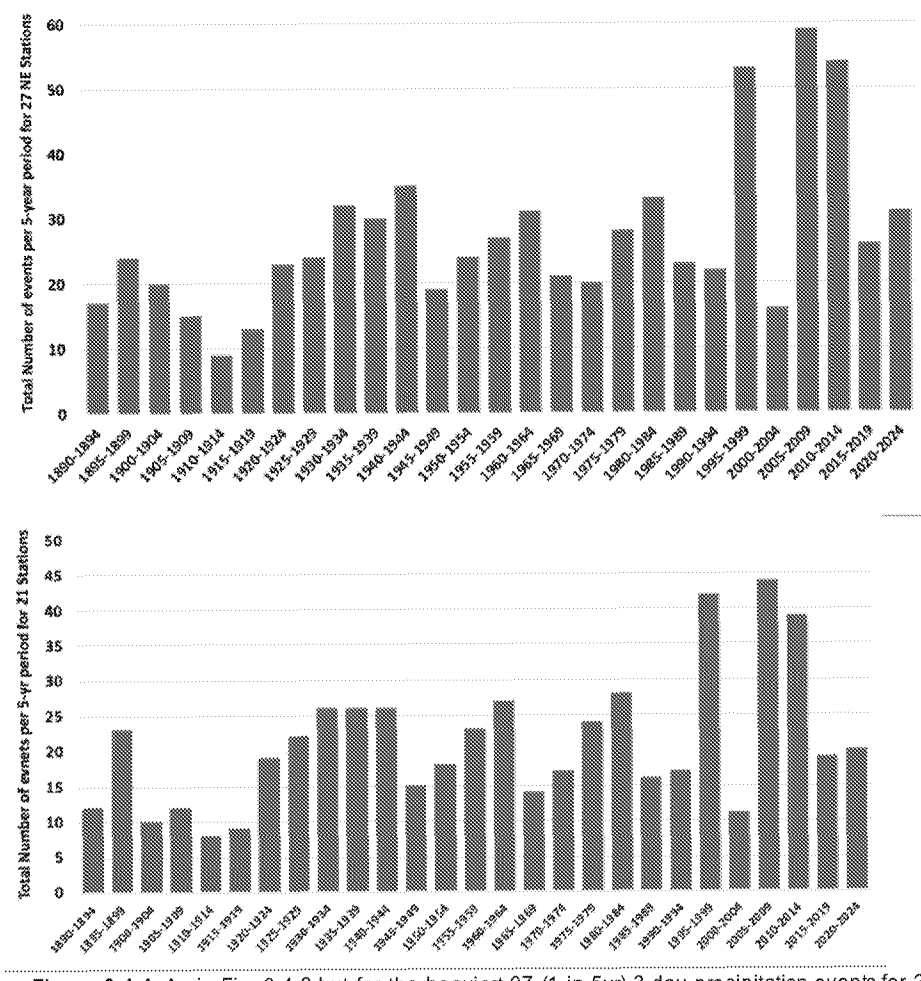

Figure 6.4.4. As in Fig. 6.4.2 but for the heaviest 27 (1-in-5yr) 3-day precipitation events for 27 Northeast stations from NY to ME, including Montreal.

Northeast heavy rainfall events

- The trend in average precipitation is positive and statistically significant in 12 of 27 locations and also in the regional average.
- The trend in rainfall variance is positive and significant in Portland ME, Albany NY, Buffalo NY and Eastport ME but insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Portland ME, Gardiner ME and Eastport ME but insignificant elsewhere.

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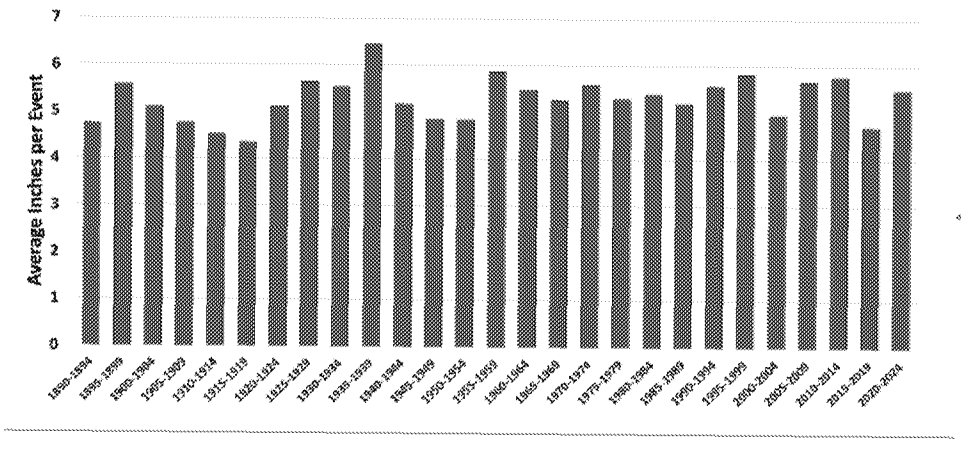
- When averaged over all stations in the region, there is no statistically significant trend in either the precipitation variance or maximum

Fig. 6.4.4 shows the same calculation for the last 135 years in 27 stations in the Northeast (including Montreal Canada). We use 3-day totals here as this produced the largest temporal variations in time. In this region, 72 percent of events occur during June to October and are dominated by incursions of hurricanes, tropical storms, or tropical storms that transition to extratropical systems. According to NCA4 and NCA5 this region experienced the largest increases in extreme events, so it merits a closer examination.

There is a noticeable clustering of extreme events from 1995 to 2014. Howarth et al. (2019) examined a similar region as in Fig. 6.5.5 that includes PA and NJ and reported significant differences in various precipitation extremes between two 18-year periods, 1979-96 and 1997-2014. That included a 317 percent increase in 24-hr events exceeding 6 inches, while we find a 58 percent increase over the same years. However, Figure 6.5.5 shows that frequency drops sharply after 2014, returning to the long-term average in the subsequent 5-year intervals, again illustrating the perils of drawing conclusions from short-term trends in highly variable metrics.



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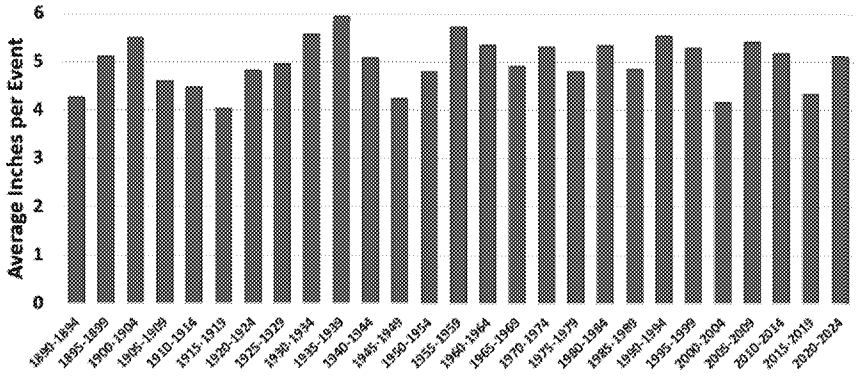

Figure 6.4.5. Average amount of precipitation falling in the 1-in-5yr events for the NE stations.

The high percentage increase in the Howarth *et al.* sample is associated with small numbers at relatively few locations: there were only 6 in the first period and 25 in the second across 58 stations. Most of the stations did not record such an event. If there is a region-wide increase in heavy events, it should be seen in the average across all stations. Figure 6.4.5 shows the average precipitation in the 1-in-5 yr events across the NE. The trend is only +0.042 inches/decade. The highest amount, 1935-39, includes the Great New England Hurricane of 1938, one of the rare major (Category 3 or higher) hurricanes to strike the region. The results in Figs. 6.4.4 and 6.4.5 thus suggest that though there was a surge in the number of events at a few locations during the 1995 to 2014 interval, there was no regional pattern and the change did not persist beyond 2014.

Jong *et al.* (2024) document the increase of tropical influences on precipitation events in the Northeast since 1959 and concluded, "The autumn extreme precipitation trend over the Northeast U.S. is primarily attributed to tropical cyclone-related events since the 1990s." The question then becomes: was the temporal clustering of tropical systems in 1997-2014 which affected the Northeast a response to increasing GHGs? Jong *et al.* examined CMIP-6 model output which suggests that there will be fewer such systems in the 21st century but that the intensity of the rainfall events might increase. This conjecture is not seen in Fig. 6.4.5 where the amount-per-event has remained steady over the 135-year period.

There is some evidence to indicate the heaviest rainfall events may be redistributed due to the impact of urban infrastructure on the local weather (e.g., Pielke Sr. et al. 2011, Zhang et al. 2018, Yang et al. 2024). Yang et al. state "Cities that experience compact development tend to witness larger increases in extreme rainfall frequency over downtown than their rural surroundings, while the anomalies in extreme rainfall frequency diminish for cities with dispersed development." While this is an important insight to consider, the effect on the specific stations used in this analysis is unknown, or at least not detectable in Fig. 6.4.5.

In summary, some U.S. regions show short-duration increases in extreme precipitation events, consistent with natural variability. But analysis of long term, nationwide historical records that considers the autocorrelation properties of precipitation data does not support the claim that extreme short-duration rainfall events are becoming more frequent or intense.

6.5 Tornadoes

The IPCC AR6 assesses tornado trends in the U.S. as follows:

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> [O]bservational trends in tornadoes, hail, and lightning associated with severe convective storms are not robustly detected due to insufficient coverage of the long-term observations. There is *medium confidence* that the mean annual number of tornadoes in the USA has remained relatively constant. (Chapter 11, section 11.7.3, p. 1594)

The monitoring of weak tornadoes has changed over time. The growth of rural populations and the increasing ability to take video with hand-held devices has led to more frequent reports of weak tornadoes that produce minimal damage. In contrast, strong to violent tornadoes have been observed more consistently over time. Note that tornado strength is measured by the damage it produces, not by the visual appearance of the funnel. Limited real-time observational capabilities in earlier decades did not prevent identification because strong to violent tornadoes leave much more damage which will be assessed later even if the tornado itself was not observed. Since statistics began in 1950, there has been a substantial decrease (by about 50%) in the number of strong to violent tornadoes as shown in Fig. 6.5.1a.

To summarize, there is a noticeable downward trend in the number of severe tornadoes in the U.S. since 1950. After 1990 the number of weak tornadoes in the U.S. has remained roughly constant; data before that are incomplete due to limited monitoring.

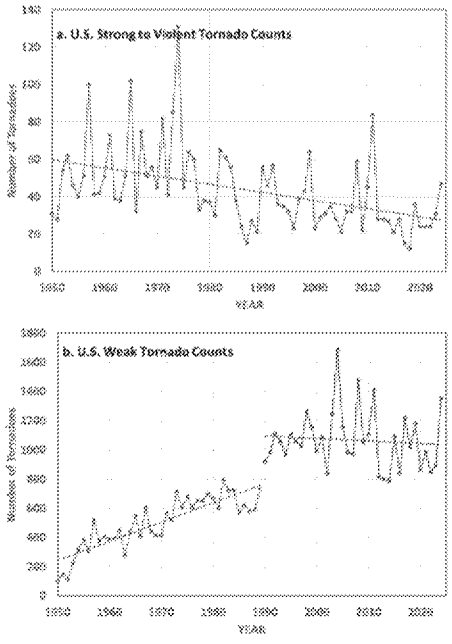

Figure 6.5.1. Annual U.S. tornado counts for (a) strong to violent tornadoes (EF3 to EF5), and (b) weak tornadoes (EF0 to EF2). Based upon NOAA Storms Prediction Center data, available at https://www.spc.noaa.gov/wcm/data/1950-2024_actual_tornadoes.csv

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6.6 Flooding

Changes in floods were assessed as follows:

> AR6: The SREX **assessed *low confidence* for observed changes in the magnitude or frequency of floods** at the global scale. This assessment was confirmed by the AR5 report. The SR15 found increases in flood frequency and extreme streamflow in some regions, but decreases in other regions. . . [H]ydrological literature on observed flood changes is heterogeneous, focusing at regional and sub-regional basin scales, making it difficult to synthesise at the global and sometimes regional scales. (Chapter 11.5)
>
> AR6: [T]he seasonality of floods has changed in cold regions where snowmelt dominates the flow regime in response to warming (*high confidence*). Confidence about peak flow trends over past decades on the global scale is ***low***. (Chapter 11.5)
>
> NCA4: Trends in extreme high values of streamflow are **mixed** across the United States. Analysis of 200 U.S. stream gauges indicates areas of both increasing and decreasing flooding magnitude **but does not provide robust evidence** that these trends are attributable to human influences (pp. 240-241)

The absence of detectable US-wide trends in flooding is consistent with the findings in Section 6.4 of absence of coherent changes in extreme precipitation.

6.7 Droughts

Assessments of drought trends were as follows.

> AR6: Few AR6 regions show observed increases in meteorological drought (Section 11.9, p. 1575),
>
> AR6: Increasing trends in agricultural and ecological droughts have been observed on all continents (*medium confidence*), but decreases only in one AR6 region (*medium confidence*). Increasing trends in hydrological droughts have been observed in a few AR6 regions. (Chapter 11 Summary)
>
> NCA4: As a consequence of this increased precipitation, **drought statistics over the entire CONUS have declined**. (p. 233)
>
> NCA4: Recent droughts and associated heat waves have reached record intensity in some regions of the United States; however, by geographical scale and duration, the Dust Bowl era of the **1930s remains the benchmark** drought and extreme heat event in the historical record (very high confidence). (p.231)
>
> SREX: From a paleoclimate perspective, **recent droughts are not unprecedented**, with severe 'megadroughts' reported in the paleoclimatic record for Europe, North America, and Australia. (p. 170)

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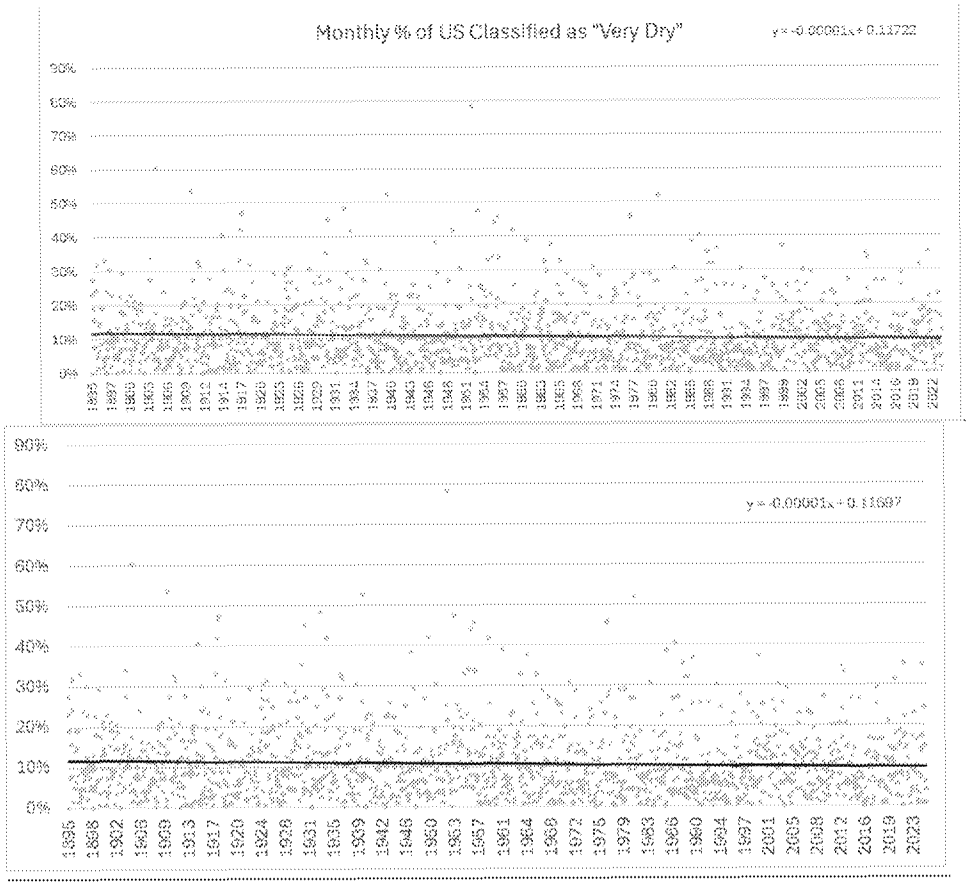

Figure 6.7.1: Monthly percent of US U.S. dryness (%) classified as "Very Dry" 1895—~~2023~~2025. ~~Source~~Data source: NOAA [HYPERLINK "https://www.ncei.noaa.gov/access/monitoring/uspa/wet-dry/0"] least squares trendline added.

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As shown in Figure 6.7.1, U.S. long-term data shows an insignificantly declining trend in extreme dryness (-0.001 percent per year)

Kogan *et al.* (2020) examines a 38-year high-resolution satellite-based drought measure and concludes that global drought has not intensified and is not connected to climate change: "it is possible to state firmly that global and main grain countries' drought area and intensity trends have not been following global climate warming since 1980's."

In summary there is no evidence of increasing drought frequency or intensity in the U.S. or globally over recent decades.

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6.8 Wildfires

The IPCC has not provided an assessment of wildfires. As shown in Figure 6.8.1, global wildfire activity as measured by European Space Agency shows a downward trend in the 21st century.

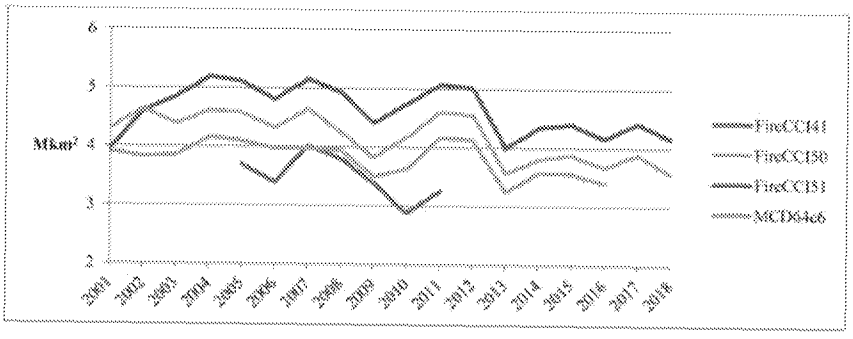

Figure 6.8.1: Global wildfire area 2001-2018. Source: From Lizundia-Loiola *et al.* (2021) Figure 12. The different coloured lines represent data products derived from different satellites and algorithms

Global data show that wildfire coverage is constant or declining on every continent (Samborska and Ritchie, 2024).

Active fire suppression since 1900 makes it difficult to establish a natural baseline for wildfire activity in the U.S. Paleoclimatic evidence indicates that past activity was much higher than today. Marlon *et al.* (2012) used sedimentary charcoal layers to reconstruct fire history of western U.S. for the past 1400 years and also fit a model to predict fire activity as a function of climatic conditions. Their results are summarized in Figure 6.8.2 below (from Figure 2 in their paper). There has been a growing wildfire deficit over the 20th century. In other words, however much fire was observed in the 20th century, it was less than what would have been observed in previous centuries based on the climatic conditions. Parks *et al.* (2025) likewise find that despite the recent increase in wildfire burn area in North America, a significant wildfire deficit remains relative to historical wildfire regimes.

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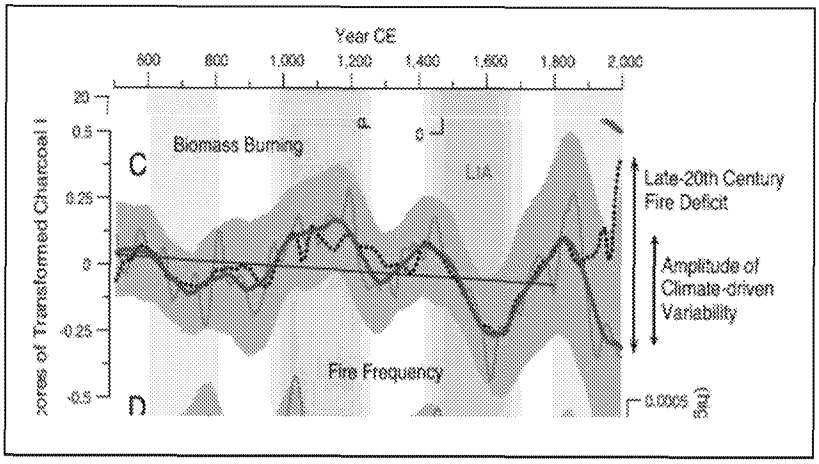

Figure 6.8.2 Fire frequency and fire deficit in the US. The red line shows the smoothed charcoal record and the black dotted line shows the predicted charcoal record based climatic conditions model. Source: Marlon *et al.* (2012) Figure 2.

U.S. data from the National Interagency Fire Centre (NIFC) from 1926 to 2023 are shown in Figure 6.8.3. The NIFC has removed the pre-1960 data from its current website on the grounds that measurement methods changed after 1960 making the comparison unreliable. Nonetheless just focusing on the post-1985 interval the number of fires is not increasing. The area burned did increase but only until about 2007.

Forest fires have always been a part of nature, and they can certainly create conditions that are inhospitable in the short term for all life, including humans. Science has confirmed the overall benefit and necessity of forest fires. While recent high-profile fires and seasons serve as a reminder of the potential destructive impact, the highest profile U.S. forest fire remains the 1910 Big Blowup fire in the U.S. west, which destroyed over three million acres and eliminated entire towns like Taft, MT (Apple, 2020). The 1910 fire reshaped the U.S. Forest Service (National Forest Foundation 2022) leading to a focus on fire suppression with a primary goal of defeating all forest fires (Forest History Society, 2022). This led to the "10 am rule" in 1935 requiring that all fires spotted on any day had to be controlled by 10 am the following day (National Forest Foundation, 2022).

While defeating all fires seemed a noble goal, questions began to arise as to whether this behavior "followed the science" (U.S. Forest Service, 2022). Over time the U.S. Forest Service has begun to rethink its goals, recognizing that new approaches such as prescribed burns, fuel elimination, and controlled wildfires are more appropriate (Sommer, 2016). Recent research is validating this approach and recognizing that more frequent smaller fires likely result in healthier forests, water ecosystems and biodiversity (Stephens *et al.*, 2021).

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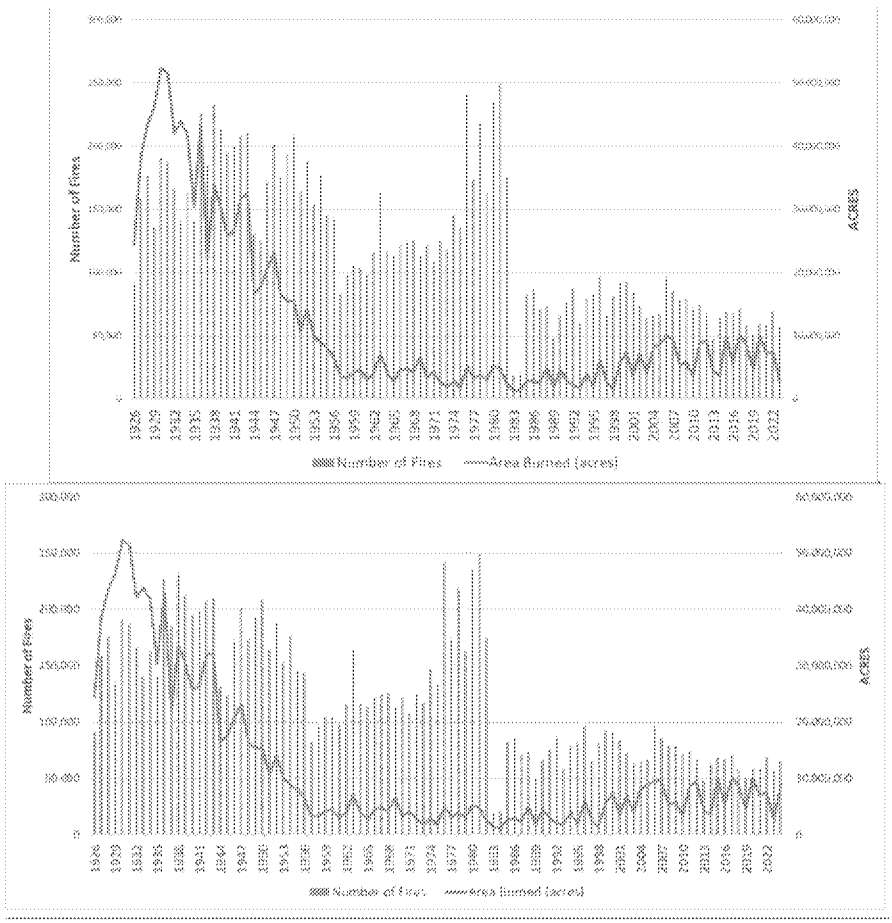

Figure 6.8.3: U.S. wildfires 1926 to 2023. Source: Post-2018: National InterAgency Fire Center data [HYPERLINK "https://www.nifc.gov/fire-information/statistics/wildfires"] Pre-2017 webarchive.org (n.d.).

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7 CHANGES IN SEA LEVEL

Chapter Summary

Since 1900, global average sea level has risen by about 8 inches. Sea level change along U.S. coasts is highly variable, associated with local variations in processes that contribute to sinking and also with ocean circulation patterns. The largest sea level rise along U.S. coasts are Galveston, New Orleans, and the Chesapeake Bay regions - each of these locations is associated with substantial local land sinking (subsidence) unrelated to climate change.

Extreme projections of global sea level rise are associated with an implausible extreme emissions scenario and inclusion of poorly understood processes associated with hypothetical ice sheet instabilities. In evaluating the IPCC AR6 projections to 2050 (with reference to the baseline period 1995-2014), almost half of the sea level rise has already occurred by 2025 and at a lower rate than predicted. U.S. tide gauge measurements shown herein reveal no obvious acceleration beyond the historical average rate of sea level rise.

7.1 Global sea level rise

Global sea level rise is arguably the most important climate impact driver that is unambiguously associated with increasing temperatures. At the global level, warming raises sea level through thermal expansion of sea water and through melting of glaciers and ice sheets. Variations in land water storage are another important factor. At the regional scale, sea level change is influenced by large-scale ocean circulation patterns, and geologic processes and deformation from the redistribution of ice and water. Locally, vertical land motion from geologic processes, ground water withdrawal, and fossil fuel extraction are also important.

The IPCC AR6 estimates that global mean sea level increased by 7.9 (5.9–9.8) inches between 1901 and 2018, with the rate of sea level rise accelerating in recent decades. At the ocean basin scale, sea levels have risen fastest in the Western Pacific and slowest in the Eastern Pacific over the period 1993–2018 (Fox-Kemper *et al.*, 2021). The rate of global sea level rise is estimated to be 0.12 inches/year (about the height of two stacked pennies) (NASA, 2020).

The observing systems for global sea level rise have advanced significantly in the satellite era, particularly with the advent of satellite altimeters in 1993. Local tide gauges have provided useful data for the past century, and even longer for a few locations. Following the end of the Little Ice Age in the mid-nineteenth century, tide gauges show that the global mean sea level began rising during the period 1820–1860, well before most anthropogenic greenhouse gas emissions.

7.2 U.S. sea level rise

Observed and predicted rates of mean global sea level rise might have little scientific relevance for specific locations, owing to local processes (NOAA, 2025). Figure 7.1 shows that in Canada and Alaska (and also northern Washington), sea level is decreasing, owing to uplift from glacial rebound. Most of the Pacific coast tide gauges show rises between 0.12 inches/year, while largest U.S. rates are on the Gulf coast (Louisiana and Texas) and in the mid-Atlantic states (Chesapeake Bay region).

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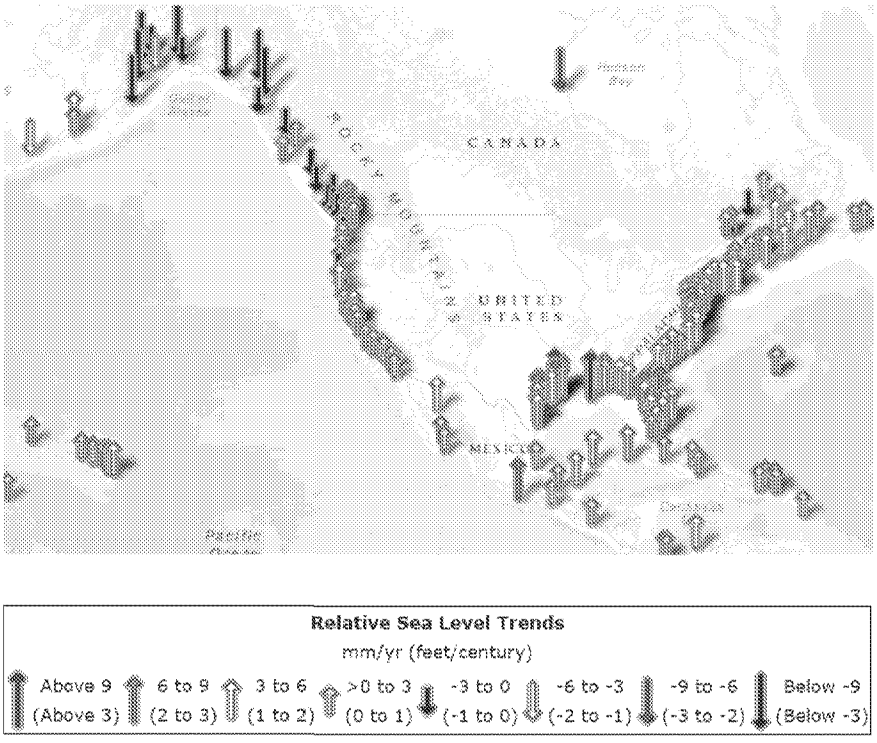

Figure 7.1. Map of rates of relative sea level rise along the U.S. coast (NOAA, https://tidesandcurrents.noaa.gov/sltrends/). For reference, 3 mm = 0.12 in.

Measurements of relative sea level rise from tide gauges conflate the climatologically relevant increase in the volume of seawater with local vertical land motion. The latter, which varies from place to place, is best measured using a Global Positioning System (GPS) station located near the tide gauge. It is driven by a range of processes that can be comparable to the climate signal and can locally exacerbate (subsidence/sinking) or mitigate (uplift) the risk of sea level rise (Wöppelmann and Marcos, 2016). Human activities triggering subsidence are often significant. They include soil drainage (e.g. for urban development purposes) and subsurface extraction of groundwater or hydrocarbons.

Table 7.1 shows absolute sea-level rise (ASLR) for selected locations, determined from the sum of uncorrected sea-level rise as estimated from tide gauge time series (RSLR) (NOAA, 2025) and the vertical land motion (VLM) measurements (NAS, 2012; Letetrel *et al.*, 2015; Karegar *et al.*, 2016). The absolute sea level rise for each of these locations is significantly smaller than the measured relative sea level rise owing to local subsidence. More than half of the measured relative sea level rise is attributed to land sinking for these locations: San Francisco, Galveston, Grand Isle. For reference, the global average rate of absolute sea level rise is estimated to be 0.12 inches/year.

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Location	RSLR	VLM	ASLR
San Francisco, CA	+0.08	-0.06	+0.02
Galveston, TX	+0.26	-0.19	+0.07
Grand Isle, LA	+0.36	-0.28	+0.08
St Petersburg, FL	+0.12	-0.02	+0.10
New York City, NY	+0.11	-0.05	+0.06

Table 7.1 Absolute sea level rise (inches/year) consisting of Relative Sea Level Rise (RSLR) plus Vertical Land Motion (VLM)

San Francisco Bay

Over the past 100 years, relative sea level in the San Francisco Bay area has risen by 7.8 inches, at an average rate of 0.08 inches/year (Figure 7.2). As shown in Table 7.1, San Francisco's vertical land motion is -0.06 inches/year (sinking), producing a recent absolute rate of +0.02 inches/year. Portions of Treasure Island, San Francisco International Airport, and Foster City are sinking as fast as 0.4 inches/year (Shirzaei and Bürgmann, 2018). Problems in the San Francisco Bay area, including threats to the airport, are caused primarily by soil compaction in landfill zones that were formerly wetlands, not by the slow creep of global sea level rise.

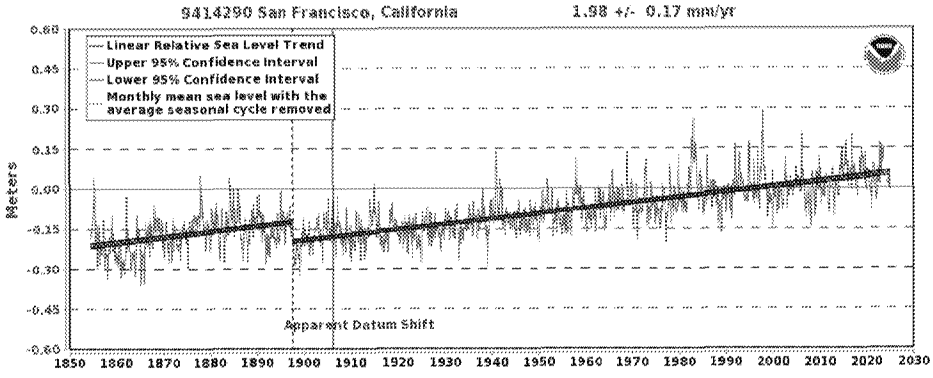

Figure 7.2. Tide gauge measurements at San Francisco, California, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=9414290 (downloaded 4/22/25).

Galveston - Houston

Long-term tide measurements at Galveston Pier 21 show sea level rise of 2.18 feet in the past century, or a rate of 0.26 inches/year (Figure 7.3). Vertical land motion (subsidence) at Galveston is estimated at -0.19 inches/year, yielding an absolute rate of rise of +0.07 inches/year (Table 7.1). The U.S. Geologic Survey found that most of the land-surface subsidence in the Houston-Galveston region is a direct result of groundwater withdrawals (Kasmarek and Ramage 2017), which caused compaction of the aquifer sediments, mostly in the fine-grained silt and clay layers. By 1979, as much as 10 feet of subsidence had occurred in Houston.

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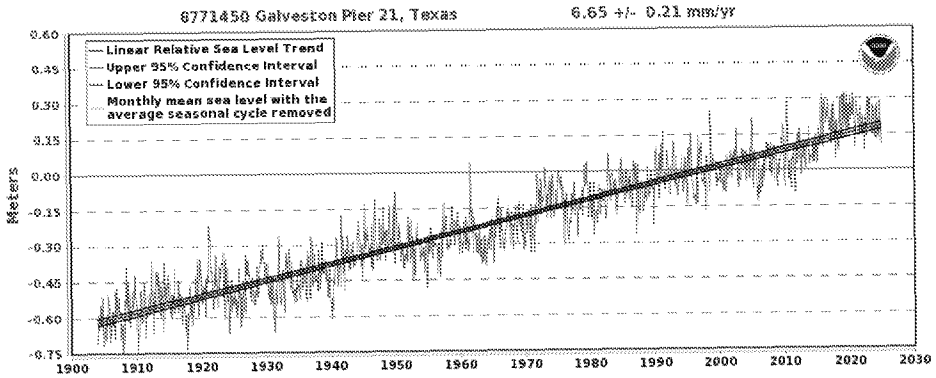

Figure 7.3. Tide gauge measurements Galveston Pier, TX, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8771450 (downloaded 4/22/2025).

New Orleans and the Mississippi delta

Long-term tide gauge measurements at Grand Isle, Louisiana, show that sea level has risen by slightly more than 3 feet over the last 100 years at an average rate of 0.36 inches/year (Figure 7.4). Vertical land motion (subsidence) is estimated -0.28 inches/year. Table 7.1 gives the absolute sea level rise as +0.08 inches/year.

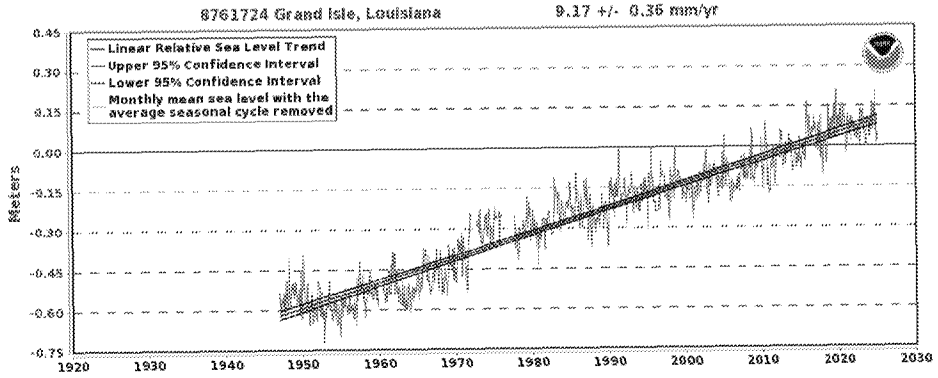

Figure 7.4. Tide gauge measurements at Grand Isle, LA, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8761724 (downloaded 4/22/25).

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The issues of sea level rise and land loss in the Mississippi Delta region are complex, with geological subsidence and the decline in sediment transported by the Mississippi river being the dominant drivers. The construction of dams in the basin since the 1950s has decreased the Mississippi's suspended sediment load by ~50 percent (Maloney 2018). A new subsidence map of coastal Louisiana finds the coastal region to be sinking at about one third of an inch per year (Neinhuis *et al.* 2017), associated with groundwater and resource withdrawal. As the city's elevation averages one to two feet *below* sea level, sea level rise from anthropogenic warming is hardly the dominant driver of New Orleans' problems.

New York City

New York City is particularly vulnerable to the effects of sea level rise because it is built primarily on islands and has 520 miles of coastline. Measurements by a tide gauge at the southern tip of Manhattan (The Battery) show that relative sea level has risen over 11 inches over the past century, at an average rate of 0.11 inches/year (Figure 7.5). But vertical land motion in the New York City area is -0.05 inches/year (roughly 5 inches per century), so that the absolute rate of sea level rise at The Battery is 0.06 inches/year, or about 55 percent of the measured relative sea level rise.

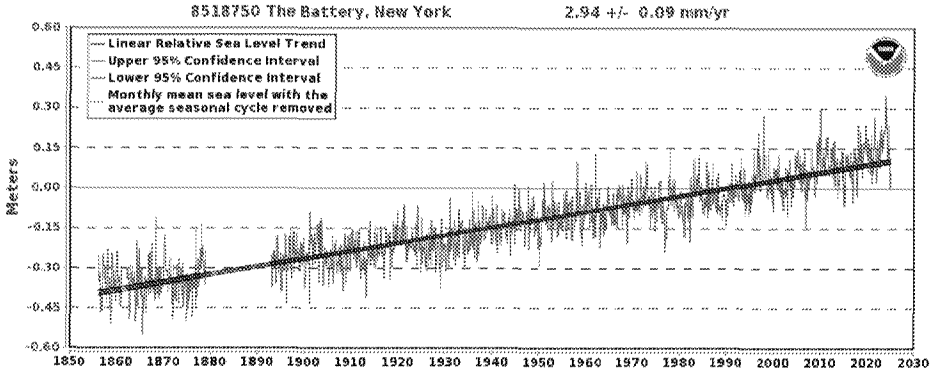

Figure 7.5. Tide gauge measurements at The Battery, New York, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8518750 (downloaded 4/22/25).

7.3 Projected sea level rise

The concern over sea level rise is not about the roughly 8 inches of global rise since 1900. Rather, it is about projections of *accelerated* rise based upon simulations of a warming climate through the 21st Centurycentury.

The IPCC AR6 finds *high agreement* across published global mean sea level projections for 2050 with little sensitivity to emissions scenarios. Considering only projections incorporating ice-sheet processes in whose quantification there is at least *medium confidence*, the global sea level projections for 2050, across all emissions scenarios, fall between 3.94 and 15.75 inches (5th–95th percentile *very likely* range) relative to the 1995–2014 baseline period (Fox-Kemper *et al.*, 2021).

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Conversely, AR6 states there is *low agreement* across published global mean sea level projections for 2100, particularly for higher emissions scenarios. Considering only projections representing ice sheet processes in whose quantification there is at least *medium confidence*, the AR6 global mean sea level projections for 2100 lie between 7.87 and 39.37 inches (5th–95th percentile *very likely* range) under the medium emissions scenario SSP2–4.5 (Fox-Kemper *et al.*, 2021). There is deep uncertainty surrounding projections of sea level rise to 2100 owing to uncertainties in ice sheet instabilities, particularly for the higher emissions scenarios.

In evaluating the IPCC AR6 projections to 2050 (with reference to the baseline period 1995-2014), almost half of the sea level rise has already occurred by 2025. From the tide gauge measurements in Figures 7.2-7.5, there is little to no obvious acceleration in sea level rise beyond the historical average rate.

In February 2022, NOAA issued its projections of sea level rise for various sites along the U.S. coast (Sweet *et al.*, 2022). They claim that by 2050, the sea will have risen one foot at The Battery in Manhattan (relative to 2020). A one-foot rise in thirty years would be more than twice the current rate and about three times the average rate over the past century. In that historical context, NOAA's projection is remarkable—as shown in Figure 7.6, it would require a dramatic acceleration beyond anything observed since the early 20th century. But even more noteworthy is that ~~they~~ Sweet *et al.* (2022) say this rise is "locked in"—it will happen no matter what future emissions are. We should know in a decade or so whether that prediction has legs.

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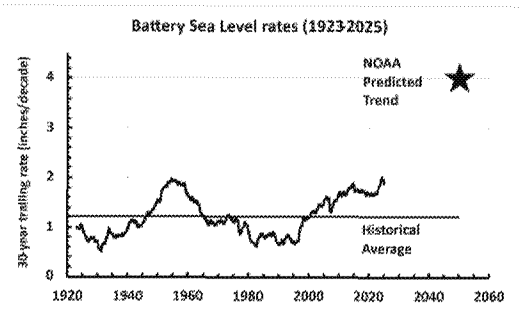

Figure 7.6 Rate of sea level rise at the Battery in Manhattan. Shown is the historical thirty-year trailing trend, together with the allegedly "locked in" NOAA predicted trend for 2050. Historical data: NOAA Tides and Current.

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8 UNCERTAINTIES IN CLIMATE CHANGE ATTRIBUTION

Chapter summary

"Attribution" refers to identifying the cause of some aspect of climate change, specifically with reference to anthropogenic activity. There is an ongoing scientific debate around attribution methods, particularly regarding extreme weather events. Attribution is made difficult by high natural variability, the relatively small expected anthropogenic signal, lack of high-quality data, and reliance on deficient climate models. The IPCC has long cautioned that methods to establish causality in climate science are inherently uncertain and ultimately depend on expert judgement.

Substantive criticism of the main IPCC assessments of the role of CO_2 in recent warming focus on inadequate assessment of natural climate variability, uncertainties in measurement of solar variability and in aerosol forcing, and problems in the statistical methods used for attribution.

The IPCC does not in general make attribution claims for most climate impact drivers related to extreme events. Statements related to statistics of global extremes (e.g. event probability or return times, magnitude and frequency) are not generally considered accurate owing to data limitations and are made with low confidence. Attribution of individual extreme weather events is challenging due to their rarity. Conflicting claims about the causes of the 2021 Western North America Heatwave illustrate the perils of hasty attribution claims about individual extreme events.

8.1 Introduction

The Intergovernmental Panel on Climate Change (IPCC) distinguishes between detection of climate change and attribution of its cause. As defined by the IPCC AR6 WGI Glossary (IPCC, 2025):

> *Detection*: Detection of change is defined as the process of demonstrating that climate or a system affected by climate has changed in some defined statistical sense, without providing a reason for that change. An identified change is detected in observations if its likelihood of occurrence by chance due to internal variability alone is determined to be small, for example, <10%.
>
> *Attribution:* Attribution is defined as the process of evaluating the relative contributions of multiple causal factors to a change or event with a formal assessment of confidence.

Both ~~steps~~ detection and attribution rely on statistical analysis. Detection focuses on whether changes are significant enough to stand out from random variation regardless of cause. Attribution involves comparison of observed events to model-generated counterfactuals. Since experimentation on the climate is not possible, attribution requires statistical inference to assess how much human activities, such as GHG emissions, versus natural factors, like volcanic eruptions, contribute to observed changes. Attribution methods assume all external and internal drivers of the system are known and represented.

There are ongoing scientific debates around attribution methods, especially those for attributing extreme weather events to climate change. The IPCC has long cautioned that methods to establish causality in climate science are inherently uncertain and ultimately depend on expert judgement. AR4 Working Group I (Hegerl *et al.*, 2007) explained it as follows:

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> 'Attribution' of causes of climate change is the process of establishing the most likely causes for the detected change with some defined level of confidence... unequivocal attribution would require controlled experimentation with the climate system. Since that is not possible, in practice attribution of anthropogenic climate change is understood to mean demonstration that a detected change is 'consistent with the estimated responses to the given combination of anthropogenic and natural forcing' and 'not consistent with alternative, physically plausible explanations of recent climate change that exclude important elements of the given combination of forcings' (IPCC, 2001)... The approaches used in detection and attribution research described above cannot fully account for all uncertainties, and thus ultimately expert judgement is required to give a calibrated assessment of whether a specific cause is responsible for a given climate change.

AR5 Working Group II (Cramer *et al.*, 2014) makes the following statement:

> Two broad challenges to the detection and attribution of climate change impacts relate to observations and process understanding. On the observational side, high-quality, long-term data relating to natural and human systems and the multiple factors affecting them are rare. In addition, the detection and attribution of climate change impacts requires an understanding of the processes by which climate change, in conjunction with other factors, may affect the system in question.

Because of the complexity of the causal chains in the climate system, investigation of these relationships is exceptionally challenging.

8.2 Attribution methods

The IPCC employs several attribution methods to assess the causes of observed climate changes, distinguishing between natural and human-induced factors. Below is a concise description of the key IPCC attribution methods.

Optimal Fingerprinting uses linear regression to explain variations in observed climate data as a weighted sum of climate model simulations run with and without anthropogenic forcings. The data used in the regression model is weighted to try to minimize the influence of random noise and the estimation method is chosen to account for climate model error.

Time Series Analysis exploits statistical differences between anthropogenic forcing and natural variability to see which dominates observed temperatures and also uses variations in the timing of changes to determine if causal dependence across data series can be inferred.

Process-Based Attribution focuses on understanding the physical mechanisms behind observed changes. This approach combines observations, climate models, and theoretical understanding to attribute changes in specific processes to forcings. This approach is often used for regional climate phenomena, such as monsoon changes or polar amplification

Extreme Event Attribution assesses the role of human influence in the likelihood of occurrence or intensity of extreme weather events (*e.g.* heat waves or droughts). Methods include:

- Probabilistic Event Attribution uses large ensembles of climate model simulations to compare observed events to model-generated counterfactuals
- Storyline Approach examines the physical processes driving an event and evaluates how anthropogenic forcings might have modified those processes

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8.3 Attribution of global warming

Attribution statements for global warming in the three most recent IPCC Assessment reports are:

> AR4: **Most of the observed increase** in global average temperatures since the mid-20th century is *very likely* due to the observed increase in anthropogenic greenhouse gas concentrations. (IPCC 2007)
>
> AR5: It is *extremely likely* that **more than half of the observed increase** in global average surface temperature from 1951 to 2010 was caused by the anthropogenic increase in greenhouse gas concentrations and other anthropogenic forcings together. The best estimate of the human- induced contribution to warming is similar to the observed warming over this period. (IPCC 2013)
>
> AR6: The *likely* range of total human-caused global surface temperature increase from 1850–1900 to 2010–2019 is 0.8°C to 1.3°C, with a best estimate of 1.07°C. It is *likely* that well-mixed GHGs contributed a warming of 1.0°C to 2.0°C, other human drivers (principally aerosols) contributed a cooling of 0.0°C to 0.8°C, natural drivers changed global surface temperature by –0.1°C to +0.1°C, and internal variability changed it by –0.2°C to +0.2°C. It is *very likely* that **well-mixed GHGs were the main driver** of tropospheric warming since 1979. (IPCC 2021)

The AR4 and AR5 attribution statements reference the warming since the mid-20th century, the period when greenhouse gas emissions began rapidly increasing. The words "most" and "more than half" are deliberately imprecise, potentially ranging from 51 to 99 percent of the warming – presumably this imprecision is to account for structural uncertainties such as natural internal variability. The confidence level increases from *very likely* to *extremely likely* from AR4 to AR5. The structure of the attribution statement in the AR6 is fundamentally different, referencing the warming to the period 1850-1900. The AR6 attribution statement is more precise numerically, but with a lower level of confidence at "likely" – the AR6 attributes essentially all the warming to increases in greenhouse gases. The most confident statement from the AR6 relates to the tropospheric warming since 1979, using the words "main driver" and "*very likely*."

There are three areas of substantive criticism of the IPCC's assessment of the causes of the recent warming: inadequate assessment of natural climate variability, inappropriate statistical methods, and substantial discrepancies between models and observations. The last is discussed in Chapter 5, while this chapter discusses the first two factors. All of these criticisms are relevant to the IPCC's policy-informing attribution of the recent warming, which also underpins extreme event attribution

8.3.1 Natural climate variability

IPCC AR6 states that natural external drivers since 1850-1900 have changed global surface temperature by –0.1°C to +0.1°C, and internal variability has changed it by –0.2°C to +0.2°C – on average having essentially no net impact on the warming since 1850-1900. As discussed below, this minimal contribution of natural variability has been disputed by several publications that question the magnitudes of solar variability and internal variability from large-scale ocean circulation.

Solar variability

IPCC AR5 concluded that the best estimate of radiative forcing due to Total Solar Irradiance (TSI) changes over the period 1750–2011 was very small (0.05 W/m^2, Myrhe *et al.*, 2014). IPCC AR6 acknowledges substantially higher values and a much larger range of estimates of changes in TSI over the last several centuries, stating that the TSI between the Maunder Minimum (1645–1715) and the second half

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of the twentieth century increased by 0.7–2.7 W/m^2, a range that includes both low and high variability TSI data sets (Gulev, 2021). However, the recommended forcing dataset for the CMIP6 climate model simulations used in AR6 for attribution studies averages two data sets with low solar variability (Matthes, 2017).

While AR6 shows a substantially greater solar impact than does AR5, the overall impact of solar forcing on the climate was still assessed to be small compared to anthropogenic forcing. However, the impact of solar variations on the climate is uncertain and subject to substantial debate (Lockwood, 2012; Connolly *et al.*, 2021) - something that is not evident from the IPCC assessment reports (Lockwood, 2012; Connolly *et al.*, 2021).

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The change variations of TSI over time remains a challenging problem. Since 1978, there have been direct measurements of TSI from satellites. However, the data exhibits non-negligible inconsistencies, and interpreting any multi-decadal trends in TSI requires comparisons of observations from overlapping satellites. There are several rival composite TSI datasets, disagreeing as to whether TSI increased or decreased during the period 1986–96 (the ACRIM gap; see Chapter 4). Further, the satellite record of TSI is used to calibrate proxy models, whereby past solar variations are inferred from sunspots and cosmogenic isotope measurements (Velasco Herrera *et al.*, 2015).

There is substantial evidence for high solar activity in the second half of the 20th century (starting in 1959) and extending into the 1990's, before a decline in the early 21st century; this period is often termed the "Modern Maximum." (Chatzistergos *et al.*, 2023; Solanki *et al.*, 2004; Usoskin *et al.*, 2007). However, some scientists have concluded that it is not possible to be confident of any multi-decadal trend in TSI (Schmutz, 2021).

This uncertainty causes some reconstructions of TSI from 1750 to have low variability (implying a very low impact of solar variations on global mean surface temperature) whereas datasets with high TSI variability can explain more than 70 percent of the temperature variability since preindustrial times (Scafetta, 2013; Stefani, 2021). The choice of TSI satellite record used in an analysis can therefore substantially influence how much climate change is attributed to human versus natural forcings.

There is growing evidence that other aspects of solar variability, which are referred to as solar indirect effects, either amplify TSI forcing or are independent of TSI forcing. Scafetta *et al.* (2023) suggests that ~80 percent of solar influence on climate may stem from non-TSI mechanisms. There are numerous candidate processes, including solar ultraviolet changes; energetic particle precipitation; atmospheric-electric-field effect on cloud cover; cloud changes produced by solar-modulated galactic cosmic rays; large relative changes in the magnetic field; and the strength of the solar wind. Such solar indirect effects are not included in climate models, although indirect methods of estimating their impacts suggest they are significant. However, claims of non-TSI mechanisms influencing climate are uncertain and debated.

Natural variability of large-scale ocean circulations

Variations in global mean surface temperature are linked to recurrent large-scale variations in ocean circulation patterns, including the Atlantic Multidecadal Oscillation (AMO), the Pacific Decadal Oscillation (PDO) and the El Nino-Southern Oscillation (ENSO). These circulations influence ocean heat uptake and heat distribution and also influence atmospheric circulation patterns and cloud distributions. There is some debate as to whether these variations are strictly internal to the climate system, or whether this variability can have a solar/astronomical origin or can be influenced by large volcanic eruptions.

While climate models simulate the large-scale ocean circulations and internal climate variability, most models show have too little amplitude compared to observations at multi-decadal frequencies and phasing out-of-sync with the observed climate (Kravtsov *et al.* 2024). Averaging multiple simulations effectively averages out the internal variations, leaving only the forced climate variability (*e.g.* CO_2 forcing). With

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most of the modern warming beginning in the late 1970's, the recent 50-year warming is on the same time scale as the multi-decadal oscillations of the AMO and PDO.

Here are summary statements from the IPCC AR5 and AR6 reports:

> AR5: Decadal variability in the Pacific, associated with the PDO or IPO [Interdecadal Pacific Oscillation], contributes significantly to regional and global temperature trends, but the relative contributions of internal variability and external forcing are difficult to disentangle in CMIP5 simulations.
>
> AR6: Since AR5, there has been increased understanding of the role of internal variability, such as ENSO, PDO, and AMO, in modulating regional climate trends. However, limitations in simulating the exact timing and amplitude of these modes in CMIP6 models contribute to uncertainties in attributing observed changes to anthropogenic forcing (*high confidence*).

The amplitude of the peak-to-trough impact of the multi-decadal oscillations on global temperatures has been assessed by the IPCC AR6: "The likely range of change due to internal variability is -0.2°C to +0.2°C (IPCC, 2021)." This implies a trough-to-peak change of 0.4°C. Over many centuries, any global temperature changes from the troughs and peaks will cancel out, with little to no net impact.

However, with a nominal timescale of 60-80 years for oscillations such as the AMO and PDO, the timing of the peaks and troughs can be confused with the secular trend. This becomes very relevant for attributing the warming for the past 50 years, when most of the recent warming has occurred. Even if climate models have the correct amplitude of the multidecadal oscillations, the timing of the peaks and troughs is not adequately simulated, with each model and individual ensemble simulating a different phasing. Once the simulations of the ensemble members and different models are averaged, the natural internal variability contribution is averaged out owing to differences in phasing, effectively cancelling the role of natural internal variability in the attribution process.

The time series of global surface temperature anomalies since 1850 (Figure 8.1) shows irregular variations of significant amplitude against the background of an overall warming trend and, after 1976, a pronounced difference in trends between the Northern Hemisphere and the Southern Hemisphere. The period between 1905-1945 shows a strong trend of warming. The following thirty years from 1945-1976 showed slightly declining temperatures. The most recent warming period started in 1977.

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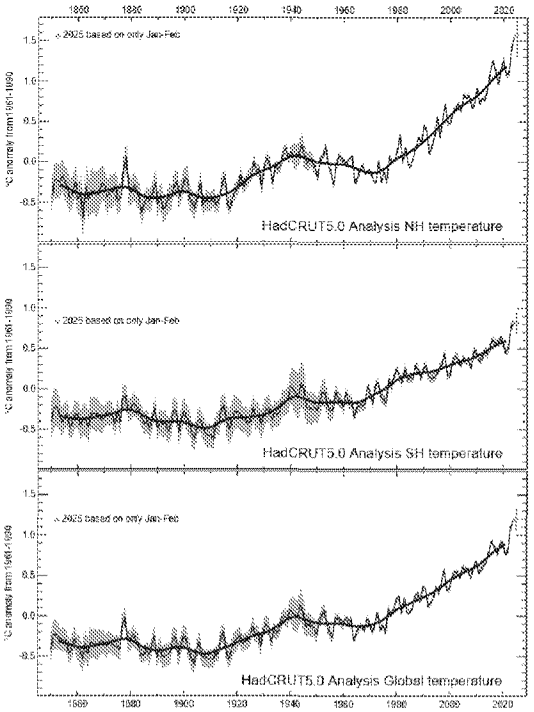

Figure 8.1 Global average surface temperature anomalies 1850—2025. Top: Northern hemisphere. Middle: Southern hemisphere. Bottom: Global average. Source: UK Hadley Centre [HYPERLINK "https://crudata.uea.ac.uk/cru/data/temperature/HadCRUT5.0Analysis.pdf"]

The causes of the early 20th century warming are discussed by Hegerl *et al.* (2017; 2019). The atmospheric CO_2 concentration increased from 298 ppm in 1905 to 310 ppm in 1941, implying that CO_2 had little impact. Volcanic activity during this period was very low, and solar forcing is uncertain. Yet Hegerl *et al.* (2017) somehowinferred that 40-54 percent of this warming could be attributed to external forcing, with the rest associated with internal variability. Bronniman et al (2024) focused on the causes of the cooling in the first decade of the 20th century in the Southern Hemisphere. They found that the cooling was related to a La-Niña-like pattern in the Pacific, a cold tropical and subtropical South Atlantic, a cold extratropical South Pacific, and cool southern midlatitude land areas. The Southern Annular Mode was positive, with a strengthened Amundsen–Bellingshausen seas low, although the spread of the data products is considerable.

The warming in the 1930's and subsequent cooling during mid-century was particularly pronounced in the Arctic. Bokuchava and Semenov (2021) find that these variations were most likely caused by a combined effect of long-term natural climate variations in the North Atlantic and North Pacific with a contribution of the natural radiative forcing related to the reduced volcanic activity and variations of solar activity as well as growing greenhouse gases concentrations. Tokinaga *et al.* (2017) showed that the combined effect of internally generated Pacific and Atlantic interdecadal variability intensified Arctic

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warming in the early 20th century. The synchronized Pacific-Atlantic warming drastically alters planetary-scale circulations over the Northern Hemisphere; these same circulation patterns have a global influence.

The cooling period between 1945 and 1976 has been referred to as the "grand hiatus." Numerous causes have been hypothesized: natural internal variability from fluctuations associated with the Pacific Decadal Oscillation and Atlantic Multidecadal Oscillation; cooling from increased emissions of aerosols from industrial activities; increased heat uptake in the Atlantic, Southern and Equatorial Pacific Oceans. Thompson *et al.* (2010) find that that the hemispheric differences in temperature trends in the middle of the twentieth century stem largely from a rapid drop in Northern Hemisphere sea surface temperatures of about 0.3 °C between about 1968 and 1972.

The Great Pacific Climate Shift of 1976-1977 was a notable climatic event characterized by an abrupt change in the North Pacific Ocean's atmosphere-ocean system that interacted with global climate patterns. This shift is closely associated with the Pacific Decadal Oscillation (PDO) that oscillates between warm and cool phases over decades. The 1976 shift marked a transition from a predominantly negative (cool) PDO phase (1947–1976) to a positive (warm) phase (1977 through 2000), with significant implications for global and regional climate patterns including the frequencies of El Nino and La Nina events. The Great Pacific Climate Shift coincided with the beginning of a period of accelerated global warming. When the Great Pacific Climate Shift is accounted for in climate attribution analyses since 1950, 40 percent or more of the warming in the second half of the 20th century is attributed to natural internal variability (McLean *et al.*, 2009; Tung and Zhou, 2013; Chylek *et al.*, 2016; Scafetta, 2021).

8.3.2 Optimal fingerprinting

Optimal fingerprinting is a statistical technique introduced by Allen and Tett (1999) that compares observed climate data to climate model simulations to identify patterns (or "fingerprints") associated with human or natural forcing. It involves taking a vector of observed climate changes, such as warming rates in locations around the world, and decomposing them into a weighted sum of "signals", which are analogues to the observations generated by climate models with different types of forcings. The weights are chosen using a regression method called Total Least Squares. The analysis commonly uses just two signals, one generated by models using only anthropogenic forcings and one using only natural forcings. If the estimated weighting coefficient attached to a signal is significantly different from zero, then that signal is said to be "detected". If it is close to 1.0, then the model generating the signal is said to be consistent with observations. If it is less than 1.0, then the model signal for that forcing is too strong and needs to be scaled down, and vice-versa. Both the observed data and the signals are weighted using a model-generated estimate of patterns of randomness in the climate system so as, in principle, to put maximum weight on regions where natural variability is minimized.

Optimal fingerprinting is the primary tool for attribution in the research literature. While it has been widely used and prominently featured by the IPCC since 2001, there is very little literature examining the statistical properties of the results it generates. One of its inherent weaknesses is that results depend on assumptions about the accuracy of climate models, especially regarding their representation of natural variability (IPCC AR5 Ch 10.2.3).

A series of papers by McKitrick (McKitrick 2021, 2022, 2023, 2025) has challenged the optimal fingerprinting method, arguing that it is inherently unreliable and that practices adopted from econometrics can provide more valid results. Statistical theory requires that for regression models to yield unbiased coefficients, a set of assumptions called the Gauss-Markov conditions must hold. McKitrick (2021) argued that the Allen and Tett (1999) methodology violates the Gauss-Markov conditions, leading to potentially biased and inconsistent fingerprinting coefficients, undermining the reliability of the method. Chen *et al.* (2023) confirmed this analysis ~~and although they~~ argued that the method could yield ~~unbiased~~ valid results, ~~but only~~ under very stringent assumptions. McKitrick (2022) and (2023) raised a further concern that climate scientists—

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virtually alone among scientific disciplines—have used an estimation method called Total Least Squares (TLS) to estimate anthropogenic greenhouse gas signal coefficients, despite its tendency to be unreliable unstable unless some strong assumptions hold that in practice are unlikely to be true. Under conditions that easily arise in optimal fingerprinting, TLS estimates have a large positive bias. Thus, any study that used TLS for optimal fingerprinting without verifying its applicability in the specific data context has likely overstated the attribution.

McKitrick (2025) presented an empirical example comparing the results of conventional optimal fingerprinting against methods drawn from mainstream econometrics that are known to be valid for the specific application of signal detection. While the IPCC optimal fingerprinting method yields an anthropogenic signal coefficient close to 1.0 on a global temperature data set spanning 1900 to 2010, consistent estimation yields a coefficient around 0.4, which rises to about 0.65 on data spanning 1980 to 2010, implying the model response to greenhouse gases needs to be scaled down by about half to optimally match observations. The natural forcing signal coefficient, by contrast, is between 2.0 and 4.0, implying the climate model signals of natural forcing need to be scaled up two-to four-fold to match observed climate change. The fingerprinting coefficients estimated in McKitrick (2025), when used to scale the average sensitivity of the climate models used to generate the forcing signals in his data set, imply a Transient Climate Sensitivity of 1.4°C, which is consistent with the estimate by Lewis (2023) using a different estimation method and multiple independent data sets.

While these analyses do not falsify all results stemming from the IPCC optimal fingerprinting method, they do challenge the basis on which they were believed to be correct.

8.3.3 Time series methods

The IPCC (AR5 WGI 10.2.2) drew attention to alternative approaches of assessing causality that emerged from the time series econometrics literature. These have the advantage of not depending on assumptions about the accuracy of climate models, but the disadvantage that they depend on difficult-to-test assumptions about the data generating process underlying climate and forcing data. The methods, now referred to as climate econometrics, use the tools of unit root testing, Granger causality and cointegration analysis, all of which are familiar in economics and finance and which are slowly being adopted in climate science. Time series analysis methods hold out the possibility of determining whether anthropogenic or natural forcings are the primary drivers of climate change without requiring the use of climate models, although key questions remain unsettled (e.g. Dergiades *et al.*, 2016, Balcombe *et al.*, 2019, Dagsvik *et al.*, 2020, Razzak, 2022, Dagsvik and Moen, 2023).

"Granger causality" modeling is a tool for determining directions of influence in data series that move together. It is a statistical, not a physical, concept. If knowing the current value of one variable significantly improves the forecast accuracy of another variable, we can infer a causal connection exists; this is called Granger causality. The modeling tools, called vector autoregression, measure direct impulse-response patterns and feedbacks. An application to the well-known Vostok ice core data revealed an error in Al Gore's documentary *An Inconvenient Truth*. Gore showed the data and drew attention to the coherence of temperature and CO_2 changes over a 440,000 year span, which he asserted was due to CO_2 driving temperature changes. But temperature changes can also affect atmospheric CO_2 levels. Davidson *et al.* (2015) examined the series and found that temperature Granger causes CO_2 but not the reverse. In other words on the time scales represented in the Vostok data the coherence in the series is primarily due to the influence of temperature on CO_2 levels, not the feedback of CO_2 levels on temperature.

In summary, the primary statistical methods for attributing causation in climate data are optimal fingerprinting and time series analysis. Applications of the Allen and Tett (1999) optimal fingerprinting method dominate the attribution literature and have underpinned past IPCC conclusions, but results depend

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on the accuracy of climate models and the method has recently been criticized as inherently biased. Time series methods do not depend on climate models but require assumptions of their own and have generated results that have thus far not converged on a consensus.

8.4 Declining planetary albedo and recent record warmth

A sharp recent increase in global average temperatures has raised the question of short-term drivers of climate. One such candidate is the fraction of absorbed solar radiation which has also increased abruptly in recent years. The question to be answered is whether the change is an internal feedback to warming caused by greenhouse gases or whether something else increased the fraction of absorbed radiation which then caused the recent warming.

The albedo is the fraction of incoming solar radiation that is reflected back into space rather than being absorbed by the planet. Highly reflective surfaces like cloud tops and snow and ice are most important in this regard. The Earth's albedo is approximately 30 percent, meaning almost a third of the sunlight that reaches Earth is directly reflected back to space. A lower albedo implies more solar energy is absorbed by the planet to be then re-radiated as heat. Hence, other things being equal, a decline in albedo is associated with a warming of the Earth.

Arguably the most striking change in the Earth's climate system during the 21st century is a significant reduction in planetary albedo since 2015, which has coincided with at least two years of record global warmth. Figure 8.2 shows the planetary albedo variations since 2000, when there are good satellite observations. The 0.5 percent reduction in planetary albedo since 2015 corresponds to an increase of 1.7 W/m^2 in absorbed solar energy averaged over the planet (Hansen and Karecha, 2025). For comparison, the IPCC estimate of forcing from the increase in atmospheric CO_2 compared to preindustrial times is approximately 2.2 W/m^2 (Figure 3.1.2).

Looking back prior to 2000 with less adequate data, Goessling *et al.* (2025) assessed that planetary albedo was relatively low around the 1940's and 50's, before rising industrial aerosol precursor emissions increased albedo until the 1980's. The strongest planetary albedo excursions were high-albedo episodes caused by volcanic eruptions, such as after the Mount Pinatubo eruption in 1991. Although uncertain, the 2023 planetary albedo minimum may have been the lowest since at least 1940.

Changes in surface characteristics cannot explain this decrease in planetary albedo since 2015:

- Arctic sea ice extent has declined by about 5 percent since 1980 (https://nsidc.org/data/seaice_index/images/s_plot_hires.png), although following 2007 there has been a pause in the Arctic sea ice decline (England *et al.*, 2025)
- Regarding Antarctic sea ice, the IPCC AR6 concludes that "There has been no significant trend in Antarctic sea ice area from 1979 to 2020 due to regionally opposing trends and large internal variability." (Summary for Policymakers, A.1.5)
- Northern hemispheric annual snow cover has been slowly declining since 1967, with barely significant trends. The data show the Northern Hemisphere has snowier winters, accompanied by more rapid melt in spring and summer. [HYPERLINK "http://climate.rutgers.edu/snowcover/"]
- Global greening (Chapter 2) is contributing to the decrease in planetary albedo, as forests have a lower albedo than open lands or snow. However, there is some evidence that forests increase cloud cover (high reflectivity), which counteracts the direct albedo increase associated with increasing forested area.

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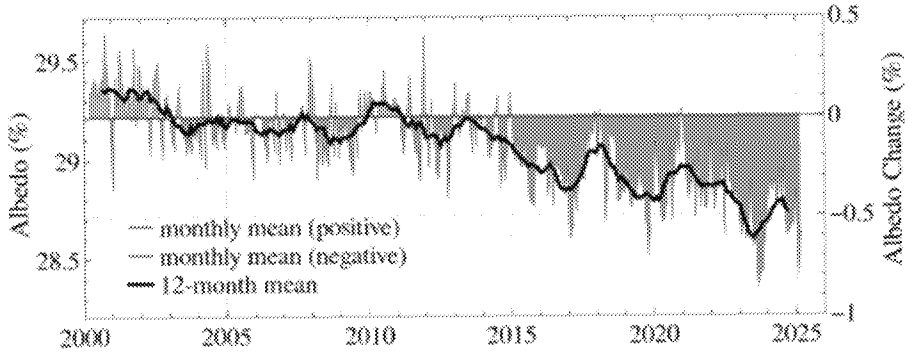

Figure 8.2. Earth's albedo (reflectivity, in percent), with seasonality removed. From Hansen and Karecha (2025)

Changes in surface reflectivity are contributing to a small, slow decline in planetary albedo. However, these changes cannot explain the sharp decline in planetary albedo beginning in 2015. Further, any changes in surface albedo are effectively damped by about a factor 3 on average, primarily due to cloud masking (Loeb *et al.* 2019). Surface albedo changes have thus contributed only weakly to the recent planetary albedo decline, particularly when averaged annually and globally. This leaves the most likely explanation for the sharp albedo decline as changes in atmospheric aerosols and/or clouds.

Aerosols are small particles in the atmosphere that reflect sunlight and can interact with cloud processes to make the clouds more reflective. Aerosols have both natural origins (e.g. dust from soils, wildfires) as well as human origins from combustion (including fossil fuels). Regions that are greening and/or have an increase in rainfall might be producing less dust. For anthropogenic aerosols, new ship fuel regulations aimed at reducing sulfur emissions were implemented in three phases, in 2010, 2015 and 2020. Sulfate particles reflect solar radiation. Cleaner air means less solar radiation is reflected, which contributes to surface warming. Indirect effects of sulfate aerosols include increasing the reflectivity of low-level clouds in the subtropics. There is controversy surrounding the importance of this change in sulfate emissions to the Earth's radiation balance (Hodnebrog *et al.*, 2024; Yuan *et al.*, 2024), but the restriction of this effect to major shipping routes suggests a small global impact (Schmidt, 2024).

Changes in clouds are the primary candidate to explain the decline in global albedo since 2015. Two recent papers (Loeb *et al.*, 2024; Goessling et al 2024) have addressed recent variations in cloud properties. By considering satellite and reanalysis data, Loeb *et al.* found that decreases in low and mid-level clouds since 2015 are the primary reason for decreasing planetary albedo in the Northern hemisphere, whereas in the Southern hemisphere the decrease in planetary albedo is primarily due to decreases in mid-level clouds across all latitude zones. Goessling *et al.* (2024) found that cloud anomalies were mainly due to reduced low-level clouds. Regions with coherent low-level cloud reductions over the past decade include the warm pool region around the Maritime Continent and the northern extratropical western Pacific, as well as large parts of the Atlantic and adjacent land regions. The reduction of global cloud cover identified in these analyses since 2015 is 1-2 percent.

The question then becomes what caused the change in cloud cover. Two causes have been posited for the declining cloud cover over the past decade:

- Natural climate variability

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- Changes in low cloud cover associated with warming sea surface temperatures, implying an emerging positive feedback to climate change (Hansen and Karecha, 2025)

The existence of a new positive low cloud feedback that began emerging in 2015 is not easy to justify. However, there are numerous natural climate signals during this period that are associated with atmospheric circulation changes that can influence the distribution of clouds:

- The 2014-2016 was one of the strongest El Niño events on record
- A cold anomaly beginning in 2015 in the subpolar gyre of the North Atlantic reflects a shift in the ocean circulation pattern associated with decadal variability in the Atlantic (Frajka-Williams *et al.*, 2017; Arthun *et al.* 2021).
- The Pacific Decadal Oscillation positive index peaked in 2016, then declined and has been in negative territory since late 2019
- Eruption of the submarine Hunga-Tonga volcano in 2022

Interannual cloud anomalies associated with the El Niño Southern Oscillation (ENSO) have a significant global signal and strong regional signals especially over the tropical Indian and Pacific Oceans.

The Hunga Tonga eruption is remarkable in its coincidence with the exceptionally low value of planetary albedo in 2023. Goessling *et al.* (2024) found that the cloud perturbations in 2023 showed a different pattern from the overall pattern since 2015, with reduced cloud cover being most pronounced in the northern hemisphere and tropics. Regional reductions in cloud cover were most pronounced over the eastern Indian Ocean, over South America and extending over the eastern Pacific, as well as over northern North America, in the Southern Ocean around 60S, in the subtropical and eastern North Atlantic, and in parts of the North Pacific.

The Hunga Tonga eruption was unusual in that it injected large amounts of water vapor into the stratosphere, in addition to sulfate particles. Early publications focused on the impacts to stratospheric circulations and the direct radiative impact. In 2025, papers are emerging that examine the indirect effects of Hunga Tonga on surface climate using ensemble simulations from earth system models (Bednarz *et al.*, 2025; Kuchar *et al.*, 2025). These papers found statistically significant impacts on regional climates that were driven by circulation changes from couplings between the stratosphere and troposphere. These papers indicate the complex interactions between volcanic activity and climate dynamics. More research is needed to untangle any impacts of Hunga Tonga eruption on planetary albedo.

In summary, the decline in planetary albedo and the concurrent decline in cloudiness have emphasized the importance of clouds and their variations to global climate variability and change. A change of 1 to 2 percent in global cloud cover has a greater radiative impact on the climate than the direct radiative effect of doubling CO_2. While it is difficult to untangle causes of the recent trend, the competing explanations for the cause of the declining cloud cover have substantial implications for assessing the Equilibrium Climate Sensitivity and also for the attribution of the recent warming. An additional 10 years of data should help clarify whether this is a strong positive cloud feedback associated with warming, or a temporary fluctuation driven by natural variability

8.5 Attribution of climate impact drivers

The IPCC (Ranasinghe *et al.* 2021) defines "climate impact drivers" or CIDs as "physical climate system conditions (e.g., means, events, extremes) that affect an element of society or ecosystems." Hence CIDs are those features of the weather and climate system of primary interest in assessing the impacts of climate change since they potentially affect humans and the natural world. For instance, under the heading "Heat and Cold," CIDs are identified as mean air temperature, extreme heat, cold spells and frost. The IPCC

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also points out that CIDs are not necessarily harm-related: depending on the system in question they can be detrimental, neutral, beneficial or a combination.

The first column of Table 12.12 in Ranasinghe *et al.* (2021, p. 1856), reproduced here as Table 8.1, summarizes the AR6 assessment of whether a signal of attributable anthropogenic influence has emerged across all major CIDs. One of the themes of this chapter is that attribution methods used by the IPCC tend to overstate the anthropogenic influence and understate the role of natural variability. Nonetheless a striking feature of that summary table is how few CIDs exhibit an anthropogenic signal sufficient to distinguish them from natural variability.

Out of the 33 weather impact categories an anthropogenic signal is asserted with *high confidence* in only five, and with *medium confidence* in a further four. (Note that one of the CIDs is an increase in CO_2 levels, and since it is a tautology to attribute this to increased CO_2 levels this CID can be ignored.) For the rest the IPCC does not claim to have detected anthropogenic drivers. Of the five *high confidence assertions*, two are for changes in average temperatures (air and ocean) hence are not measures of extreme weather. Further, two of the four *medium confidence* assertions are related to ocean chemistry and thus are likewise not related to extreme weather. The IPCC does not assert a human influence on many non-temperature weather features such as wind, precipitation, flooding, drought etc.

Columns 2 and 3 of IPCC Table 12.12 report on whether anthropogenic signals are expected to emerge this century under RCP8.5, the most extreme forcing scenario. We have omitted these columns for several reasons. First, because they refer to whether climate models project detectable signals in the observations, which is a very different question than our concern here, whether a signal has been detected in historical data. Second, as we discuss in Chapter 4, the RCP8.5 scenario is a misleading and implausible high-end storyline, it is not a "base case" or business-as-usual projection. Third, the ensemble of detailed models is acknowledged (Palmer and Stevens 2019) to be "not fit for purpose" in describing regional changes and "unable to represent future conditions at the degree of spatial, temporal, and probabilistic precision with which projections are often provided." (Nissan et al, 2019). Even with these caveats, we note that the omitted columns in AR6 Table 12.12 show most weather impacts are not expected to exhibit an anthropogenic signal this century.

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Climatic Impact-driver Type	Climatic Impact-driver Category	Already Emerged in Historical Period
Heat and Cold	Mean air temperature	1
	Extreme heat	2
	Cold spell	4
	Frost	
Wet and Dry	Mean precipitation	
	River flood	
	Heavy precipitation and pluvial flood	
	Landslide	
	Aridity	
	Hydrological drought	
	Agricultural and ecological drought	
	Fire weather	
Wind	Mean wind speed	
	Severe wind storm	
	Tropical cyclone	
	Sand and dust storm	
Snow and Ice	Snow, glacier and ice sheet	
	Permafrost	
	Lake, river and sea ice	11
	Heavy snowfall and ice storm	
	Hail	
	Snow avalanche	
Coastal	Relative sea level	
	Coastal flood	
	Coastal erosion	
Open Ocean	Mean ocean temperature	
	Marine heatwave	
	Ocean acidity	
	Ocean salinity	13
	Dissolved oxygen	14
Other	Air pollution weather	
	Atmospheric CO_2 at surface	
	Radiation at surface	

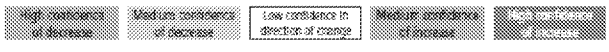

Table 8.1: Reproduction of column 1 of Table 12.12, IPCC AR6 Working Group I report. Emergence of anthropogenic signal in historical period for CIDs shown. White: no signal detected. Blue and orange: change detected (decrease or increase) and confidence level as indicated in color legend.

As discussed in Chapter 6 natural variability dominates patterns of extreme weather systems and simplistic assertions of trend detection are frequently undermined by regional heterogeneity and trend reversals over time. Table 8.1 makes the related point that, again contrary to popular belief, attribution of changes in most extreme weather types to human influence is not currently possible. Taking wind as an example, the IPCC claims that an anthropogenic signal has not emerged in average wind speeds, severe windstorms, tropical cyclones or sand and dust storms, nor is one expected to emerge this century even under an extreme emissions scenario. The same applies to drought and fire weather.

8.6 Extreme event attribution (EEA)

Examining the IPCC's treatment of extreme weather and climate event attribution more broadly, the AR6 provides an ambiguous assessment of the role of anthropogenic warming that differs between chapters. Chapter 11 of WG1 states (Seneviratne *et al.*, 2021):

> Evidence of observed changes in extremes and their attribution to human influence (including greenhouse gas and aerosol emissions and land-use changes) has strengthened since AR5, in particular for extreme precipitation, droughts, tropical cyclones and compound extremes (including dry/hot events and fire weather). Some recent hot extreme events would have been extremely unlikely to occur without human influence on the climate system.

By contrast, as noted ~~above~~ in Section 8.5, Chapter 12 of WG1 (Table12.12) paints a different picture – presumably, the expert judgment of different groups of authors for the two chapters came to different conclusions (Ranasinghe, 2021):

- High confidence in an increase in extreme heat events in tropical regions where observations allow trend estimation and in most regions in the mid-latitudes, medium confidence elsewhere
- Medium confidence in a decrease in extreme cold events in Australia, Africa and most of northern South America where observations allow trend estimation
- No evidence of emergence in the historical period of a change in river floods, heavy precipitation, drought, fire weather, severe wind storms, and tropical cyclones

While the overall issue of detecting changes in extreme weather events and their attribution remains ambiguous, most of the activity in this area relates to the attribution of particular extreme weather events. The most prominent effort is World Weather Attribution (WWA; worldweatherattribution.org), an international research initiative for extreme event attribution that purports to analyze how climate change influences the likelihood and intensity of extreme weather events. Their approach is to use large ensembles of regional climate models to compare an event in today's climate with one in a counterfactual pre-industrial climate without human influences.

WWA has a prominent public presence in linking extreme weather to climate change, with its press releases attracting considerable attention in public and policy discussions. However, the WWA's extensive promotion of non-peer-reviewed findings, its funding ties, its open admission to shaping analyses to serve litigation, and its methodological challenges have sparked controversies, with critics questioning the robustness and impartiality of their conclusions (Pielke Jr. 2024). Despite these issues, WWA's work continues to influence climate science and media narratives. Technical criticisms of the approach include a lack of a formal detection process; an implicit assumption that 100 percent of the post-industrial warming is caused by greenhouse gases; and a failure to adequately account for internal climate variability.

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Because EEA is relatively new, many basic methodological issues have yet to be settled in the expert literature. An important challenge is the lack of data. Extreme events are, by definition, rare. Many analyses of extreme event types (including the U.S. National Assessment Reports) only evaluate data since 1950 or 1970. However, as emphasized by Chapter 6, many of the worst extreme weather and climate events in U.S. history occurred prior to the first half of the 20th century, including in the early 19th century. And if paleoclimate reconstructions are considered, it becomes very difficult for an event to pass the detection thresholds of exceeding what is expected from natural variability, particularly if a reasonably sized geographic region is considered.

Another challenge is defining the event under study. There is a longstanding literature in statistics and econometrics on the challenge of analyzing data with outliers. The issue arises because a data series establishes a probability distribution defining the expected range of observations. If an outlier is observed it might indicate that the underlying process giving rise to the data distribution has changed (which in the weather context would mean that a climate change has been detected) or that the underlying process has multiple regimes each with a different probability distribution, in which case observing an outlier simply means we were temporarily in a different regime, but the system itself was unchanged. If a time series contains only a single outlier event at the end of the series it is not possible to determine which model is the correct one (Chen and Liu 1993). For instance, there might be an "ordinary" weather regime that yields a distribution of summer daytime highs in a particular coastal region, and a second "heatwave" regime that kicks in when an inland blocking event occurs, which yields a temperature distribution centered 15°C higher than the first one. A day with temperatures 13°C above normal would either be an extreme heat anomaly under the first regime or a somewhat cool event under the second, and we have no way in this case of knowing on statistical grounds which view is correct.

Visser and Petersen (2012) and Sardeshmukh *et al.* (2015) both point out that different distributions may fit observed data equally well but yield very different implications about the likelihood of a specific weather event. Visser and Petersen argue that, in view of the deep uncertainties of extreme weather analysis, drawing a connection between individual events and global climate change should be avoided. Furthermore, the existence of an outlier at the end of a data series poses the problem that estimates of the event probabilities will be biased whether the outlier is included or excluded (Barlow *et al.*, 2020). Methods to eliminate the bias have not yet been established, leading some experts (e.g. Miralles and Davison 2023) to argue that in settings in which a data series contains a single extreme event at the end, estimation of a return period for the extreme event will be so biased and uncertain that it should be avoided altogether.

We provide a case study of a recent high impact extreme event in the U.S. to illustrate the challenges and ambiguities in attributing the frequency and intensity of extreme weather events to human-caused warming.

8.6.1 Case study – 2021 Western North America heat wave

The 2021 Western North America heat wave was an extreme event that affected much of Western North America in late June 2021. In particular, surface temperature records were set in Portland, OR (116°F; previous record 107°F) and Seattle, WA (108 °F; previous record 103°F) (Mass *et al.*, 2024).

The WWA team generated international headlines with their analysis, which provided the following attribution statements (WWA, 2021; Philip *et al.*, 2022):

- Based on observations and modeling, the occurrence of this heatwave was virtually impossible without human-caused climate change.
- The event is estimated to be about a one in 1000-year event in today's climate.
- The event would have been at least 150 times rarer without human-induced climate change.
- This heatwave was about 2°C hotter than it would have been if it had occurred at the beginning of the industrial revolution (when global mean temperatures were 1.2°C cooler than today).

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But an important counter to the first claim is that other researchers concluded from historical weather data that while a heat wave of the magnitude observed was indeed virtually impossible without anthropogenic climate change, it was also virtually impossible *with* climate change. Bercos-Hickey (2022) noted "these temperatures were virtually impossible under any previously experienced meteorological conditions, with or without global warming." McKinnon and Simpson (2022) stated "the most likely explanation remains that the weather event itself was 'bad luck.'" The 2023 Oregon Climate Assessment (Fleischman, 2023) concluded that the heat dome would have formed even without climate change and "There is no evidence that the highly unusual combination of weather features that drove the heat dome were made more likely by climate change, and climate models do not project an increase in the frequency of high-pressure ridges over the Pacific Northwest" (Fleischman, 2023, p. 49).

Mass *et al.* (2024) summarizes the proximate sequence of compound events leading to the heat wave. There was a record-breaking mid-tropospheric ridge over the Pacific Northwest, forced by a tropical disturbance in the western Pacific. This produced record-breaking mid-tropospheric temperatures, strong subsidence in the lower atmosphere, low-level easterly flow that produced downslope warming on regional terrain and the removal of cooler marine air, and an approaching low-level trough that enhanced downslope flow. The event occurred at a time of maximum solar insolation, and drier-than-normal soil moisture. Using a storyline approach, Mass *et al.* assessed that there is no trend in drought and dry soils in the Pacific Northwest; there is no evidence of global warming producing stronger ridges of high pressure, and no observed trend in heat waves or record temperatures in the region. They concluded that although anthropogenic warming might have contributed as much as 2°F to the magnitude of the event, there is little evidence of further amplification of the event from increasing greenhouse gases.

Bercos-Hickey *et al.* (2022) conducted a statistical analysis of a model-based attribution study of this heat wave. Because the event is a far outlier and far above the bounds of Generalized Extreme Value distributions fitted from historical data, they concluded that estimates of return times, quantitative changes in event magnitude and frequency, and probability of the extreme temperatures such as provided by the WWA are not accurate and should be interpreted as having low confidence. They found that hindcast attribution methods using an ensemble of regional climate models, combined with Pearl (2009) causal inferences, can provide limited and conditional information about the magnitude of the human influence on this heatwave - they provided a more highly constrained estimate that human activities caused a ~1.4°F–1.8°F increase in the daily maximum temperatures.

Zeder *et al.* (2023) also concluded that the methods employed by Philip *et al.* (2022, the WWA analysis) tend to overstate the rarity of extreme heat waves, leading to a biased perception of the effect of climate change on the heatwave event: "The tendency to overestimate the return period of observed extreme heatwave events may fuel the impression that seemingly impossible heatwave extremes are currently clustering at an unprecedented rate."

The 2021 Western North America heatwave was a rare and unprecedented compound weather event that broke century-old temperature records by as much as 9°F. While the WWA team received worldwide publicity for their rapid attribution claims blaming anthropogenic climate change, subsequent peer-reviewed analyses showed that the event was caused by rare meteorological conditions that were not made more probable by global warming.

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PART III: IMPACTS ON ECOSYSTEMS AND SOCIETY

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9 CLIMATE CHANGE AND U.S. AGRICULTURE

Chapter summary

There has been abundant evidence going back decades that rising CO_2 levels benefit plants, including agricultural crops, and that CO_2-induced warming will be a net benefit to U.S. agriculture. The warming since 1981, in combination with adaptive behavior by farmers, has been beneficial for maize. The increased ambient CO_2 has also boosted productivity of all major U.S. crop types. Various attempts to argue that CO_2-induced warming will harm agriculture do not hold up under scrutiny.

9.1 Econometric analyses

Econometric analyses of the effects of climate change on agriculture have sought to integrate information about long term crop yield changes in response to temperature and precipitation changes under assumptions about adaptive behavior by farmers. One method focuses on variations in agricultural land values. The rationale is that if climate change is a long-term net benefit for agriculture it should be capitalized into higher market values for agricultural land, and vice versa. While individual crops might benefit from or be harmed by climate change, once the adaptative responses of farmers are considered the value of farmland represents an index of whether the changes are expected to be beneficial or not. Mendelsohn *et al.* (1994) examined the relationship between historical climatic variations on agricultural land values and concluded global warming would be slightly beneficial to American agriculture.

The Mendelsohn *et al.* method, called Ricardian analysis after David Ricardo, the 19th century British economist who pioneered the study of land values, attracted subsequent criticism from authors who argued it failed to account for differences in land values attributable to fixed locational characteristics like soil quality, and non-climatic changes including nearby urbanization. Deschênes and Greenstone (2007) looked at agricultural profits instead of land values and reached conclusions similar to Mendelsohn *et al.* (1994), namely that past climatic variations had relatively little effect on farm profitability and that warming would likely yield small overall benefits for the U.S. agricultural sector. However, a subsequent exchange with critics led them (Deschênes and Greenstone 2012) to revise their conclusions and project potentially large losses in U.S. agriculture due to climate warming.

Burke and Emerick (2016) looked at temperature variations over 1980 to 2000 and argued that farmers were not as able to adapt to temperature changes as the Ricardian method assumes and that climate change would have large negative impacts on corn and soy yields. Schlenker and Roberts (2009) similarly argued that yield gains to past warming would not carry over to the future and corn and soy yields would sharply decrease this century due to climate change.

Two recent studies have argued that pessimistic findings such as these are not robust. Ortiz-Bobea (2019) argued that land values aggregate farm and non-farm influences and the latter need to be filtered out. He developed a data set using cash rents for agricultural activity as a measure of land value specifically for farming activity. Whereas the land value model implied future losses under climate warming, the same model estimated using cash rents did not, leading the author to conclude the pessimistic results were due to using an inaccurate measure of the returns to farming activity. Bareille and Chakir (2023) assembled a large data base on farm sale prices in France for properties that sold twice between 1996 and 2019. They could replicate pessimistic results showing negative effects of warming on agricultural land values using conventional econometric modeling. But by taking advantage of the repeat sales data, which provides information on site-specific changes in land prices, they found the results reversed and implied that climate change will be very beneficial for French agriculture. The authors concluded that, taking adaptation into account, a warming climate would yield positive benefits for French agriculture that were between two and

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20 times larger than had previously been estimated. On average, with full adaptation, they concluded that climate changes under the medium RCP4.5 scenario could double the value of French farmland by 2100.

A major deficiency of all these studies, however, is that they omit the role of CO_2 fertilization. Climate change as it relates to this report is caused by GHG emissions, chiefly CO_2. The econometric analyses referenced above focus only on temperature and precipitation changes and do not take account of the beneficial growth effect of the additional CO_2 that drives them. As explained in Chapter 2, CO_2 is a major driver of plant growth, so this omission biases the analysis towards underestimation of the benefits of climate change to agriculture.

9.2 Field studies of CO_2 enrichment

One of the ways the effect of CO_2 on crop growth has been studied is through "free air enrichment experiments" or FACE plots, in which small sources of CO_2 are placed in fields surrounding plants and the growth response to elevated CO_2 under varying weather conditions are recorded. Ainsworth *et al.* (2020) summarizes results from about 250 such studies. They found that elevation of CO_2 by 200 ppm caused an average 18 percent increase in crop yield in C3 plants. C4 plants exhibited benefits mainly under drought conditions.

In addition to FACE plot experiments there have been thousands of laboratory experiments on the effects of CO_2 on all kinds of plant growth. Here we review some of the results on key U.S. agricultural crops.

Soybean

Studies on the impact of elevated CO_2 on Soybean (*Glycine max* (L.) Merr.) plants in the water-deficient region of Huang-Huai-Hai Plain, China, showed that elevated CO_2 concentrations improved photosynthesis rate, water use efficiency, and growth Li (2013) under both normal conditions and drought conditions. The CO2Science.org website reports on 108 published experiments between 1985 and 2019 exposing soybean to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +50.9 percent. There were also ten studies reporting on +600 ppm CO_2 enrichment, which increased photosynthesis by an average of 90.3%.

Maize (corn)

The CO2Science.org website reports on 28 published experiments between 1983 and 2018 exposing corn (*Zea mays* L.) to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +23.7 percent. Corn also benefits from increased drought tolerance under elevated CO_2. An experimental study (Allen Jr., 2011) exposed plants to water stress conditions in sunlit controlled-environment chambers at 360 ppm (ambient) and 720 ppm (elevated) CO_2. The drought stress caused a 41 percent loss of growth under ambient CO_2 but only a 13 percent loss under elevated CO_2.

Wheat

The CO2Science.org website reports on 92 published experiments between 1983 and 2020 exposing common wheat (*Triticum aestivum* L.) to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +67.6 percent. Blandino (2020) measured both the yield and nutritional quality of an "improver" hybrid wheat variety and its parents under elevated CO2 levels (+166 ppm). They reported a grain yield increase of +16 percent but a 7 percent decrease in grain protein levels. But they found that the food quality of different wheat varietals responded differently to elevated CO_2 levels, so that with proper varietal selection, growers could select wheat types that best take advantage of the elevated CO_2.

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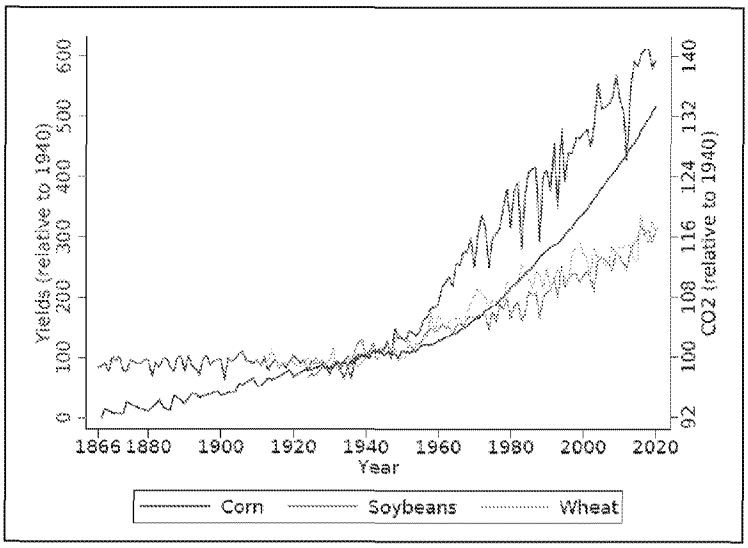

Figure 9.1: U.S. average CO_2 levels and yields of corn, soy and wheat all normalized so 1940=100. Source: Taylor and Schlenker (2021)

Further evidence

A 2021 report from the U.S. National Bureau of Economic Research (Taylor and Schlenker 2021) used satellite-measured observations of outdoor CO_2 levels across the United States, matched to county-level agricultural output data and other economic variables. After controlling for the effects of weather, pollution and technology the authors concluded that CO_2 emissions had boosted U.S. crop production since 1940 by 50 to 80 percent, attributing much larger gains than had previously been estimated using FACE experiments. They found that every ppm of increase in CO_2 concentration boosts corn yields by 0.5 percent, soybeans by 0.6 percent, and wheat by 0.8 percent.

Beyond growth benefits, extra CO_2 boosts plant resilience to dryness. Derying *et al.* (2016) surveyed evidence on crop water productivity (CWP, the yield per unit of water used), drawing attention to the potential for CO_2 both to enhance photosynthesis and reduce leaf-level transpiration (water loss during leaf respiration). They surveyed all available FACE data on crop yield changes for maize (corn), wheat, rice and soybean, and combined it with crop model data simulating yield responses as of 2080 under the extreme RCP8.5 scenario in four growing regions (Tropics, Arid, Temperate and Cold) each of which were split into rainfed and irrigated sub-regions. In every region they reported that models without CO_2 fertilization predicted CWP losses but CO_2 fertilization more than offset them; once fertilization was taken into account, all regions showed a net CWP gain. Deryng et al also reported that negative yield impacts on wheat and soybean were fully offset by CWP gains and mitigated by up to 90 percent for rice and 60 percent for maize. Similarly, Cheng *et al.* (2017) noted that increased global plant growth from 1982 to 2011 due to rising CO_2 uptake was accompanied by such large gains in CWP that despite the extra biomass global water use by plants had not increased.

Deryng *et al.* (2016) assumed that climate change would "exacerbate water scarcity". It is noteworthy that while models predict drylands will expand under climate warming, observations show the opposite: greening is happening even in arid areas. Zhang *et al.* (2024) report that due to increased CO_2 levels

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"increasing aridity in drylands won't lead to a general loss of vegetation productivity"; increased desertification will happen in at most 4 percent of currently arid areas.

9.3 Crop modeling meta-analyses

Notwithstanding the abundant evidence for the direct benefits of CO_2 and of CO_2-induced warming on crop growth, in 2023 the U.S. Environmental Protection Agency (EPA 2023) boosted its estimate of the Social Cost of Carbon (SCC) about five-fold based in large part on a very pessimistic 2017 estimate of global agricultural damages from climate warming (Moore *et al.*, 2017). One of the two damage models used by the EPA attributed nearly half of the 2030 SCC to projected global agricultural damages based on the Moore *et al.* (2017) analysis. This study was a meta-analysis of crop model studies simulating yield changes for agricultural crops under various climate warming scenarios. Moore *et al.* projected declining global crop yields for all crop types in all regions due to warming.

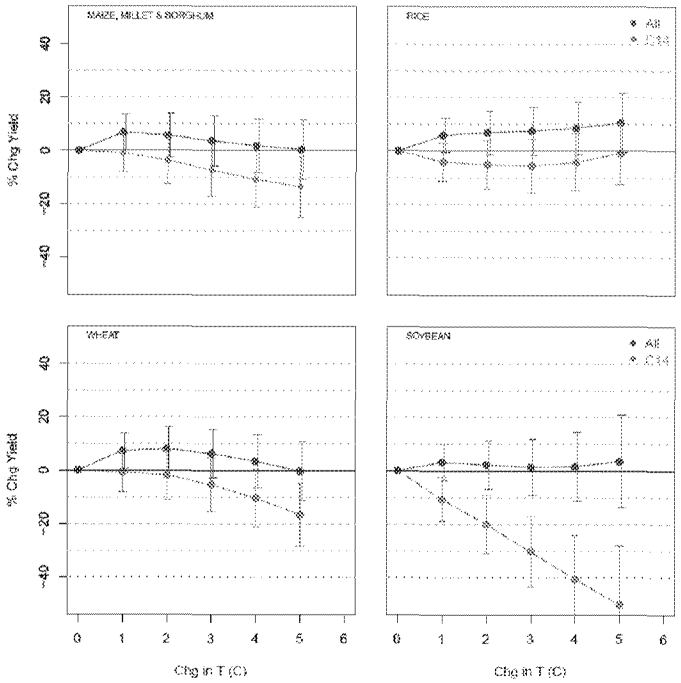

Figure 9.2 Crop yields under CO_2-induced climate warming. Blue: as published in Moore *et al.* (2017). Green: after including omitted data. Source: McKitrick (2025).

McKitrick (2025) re-examined the Moore *et al.* database and found that, while it claimed to cover 1,722 studies, only half the entries (N=862) had complete records, so that the sample available for regression analysis was much smaller than both studies indicated. McKitrick noted that the records most commonly missing were the changes in ambient CO_2 and found that in many cases these could be recovered from the underlying studies or the original climate scenario tables. He thereby increased the usable sample size by

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40 percent. The crop yield projections incorporating the newly available data changed considerably. As shown in Figure 9.2, whereas the partial data set implied warming would decrease yield (blue lines), the complete data set implied constant or increase global yields, even out to 5°C warming (green lines).

In summary, there is abundant evidence going back decades that rising CO_2 levels benefit plants including agricultural crops, and that CO_2-induced warming will be a net benefit to U.S. agriculture.

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10 Managing Risks of Extreme Weather

Chapter summary

Trends in losses from extreme weather and climate events are dominated by population increases and economic growth. Technological advances such as improved weather forecasting and early warning systems have substantially reduced losses from extreme weather events. Better building codes, flood defenses, and disaster response mechanisms have lowered economic losses relative to GDP. The U.S. economy's expansion has diluted the relative impact of disaster costs, as seen in the comparison of historical and modern GDP percentages. Heat-related mortality risk has dropped substantially due to adaptive measures including the adoption of air conditioning, which relies on the availability of affordable energy. U.S. mortality risks even under extreme warming scenarios are not projected to increase if people are able to undertake adaptive responses.

10.1 Socioeconomic context

Risks from human-caused climate change are affected by natural weather and climate variability and are dominated by the exposure of wealth in coastal and other disaster-prone regions and vulnerabilities of poorer populations. The evolution of climate risk in the U.S. has been dominated by societal factors, rather than by changes to the actual weather and climate hazards. Deaths from weather disasters have decreased substantially since 1900, even as U.S. population grew from 76 million in 1900 to over 331 million in 2020 (Goklany 2011). For example, the Galveston hurricane killed over 8,000 people in 1900 (0.01 percent of the U.S. population), whereas the worst recent disaster, Hurricane Katrina in 2005, killed 1800 people (or 0.0006 percent of the U.S. population) (NOAA National Hurricane Center 2025; U.S. Census Bureau 2025).

Technological advances have substantially reduced losses from extreme weather events. Early warning systems, satellite monitoring, and improved weather forecasting have reduced deaths, although exact numbers are hard to quantify (Deryugina and Hsiang 2023). U.S. weather forecasting has been estimated to reduce losses from weather events with an annualized benefit of $31.5B, protecting lives, property, and supporting agriculture and transportation (NRC 2010). Improved hurricane forecasts have reduced pre- and post-landfall spending, with annual per hurricane cost reductions estimated at $5B (Molina and Rudik 2024).

Infrastructure improvements have contributed to substantial reductions in losses from extreme weather events. Building codes, such as those implemented in Florida after Hurricane Andrew (1992), have reduced losses by ensuring structures can withstand high winds and floods. Homes built after 2002 showed minimal damage during Hurricane Michael (2018), unlike older homes (FEMA 2020). Sea walls, like the Galveston Seawall, protect against wave action and storm surge. The New Orleans Hurricane and Storm Damage Risk Reduction System successfully mitigated storm surge during Hurricane Isaac (2012) (Battelle Memorial Institute 2013). Inland dams in the U.S. help control flooding by storing excess water during heavy rains. It is estimated that the Tennessee Valley Authority (TVA) dams prevent about $309M in annual flood damage in the TVA region and along the Ohio and Mississippi Rivers (TVA, 2025). During Hurricane Helene (2024), TVA's strategies prevented approximately $406M in potential damages (APPA 2024).

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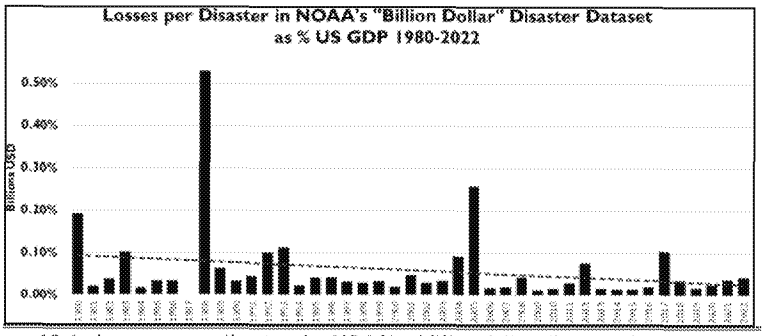

Figure 10.1: Losses per disaster in NOAA's billion-dollar disaster dataset (the version downloaded in July 2023), 1980 to 2022. Source: (Pielke, Jr. 2024)

10.2 Data challenges

Since 1980, NOAA has provided a count of annual U.S. weather-related disasters that it estimates to have exceeded $1 Billion (inflation adjusted), showing a substantial increase starting in 2008. NOAA and other government officials have cited the upward trend in the Billion Dollar Disaster series as evidence that climate change is making extreme weather worse (Pielke Jr., 2024). But over time, population and wealth have increased dramatically in the U.S., so when an extreme weather or climate event occurs, there is more damage even if there is no underlying trend in the frequency or intensity of extreme weather. Pielke Jr. (2024) demonstrates that losses per weather disaster as a proportion of GDP have decreased by about 80 percent since 1980, as shown in Figure 10.1. Pielke Jr. (2024) also argued that in addition to relying on opaque data sources and unreported adjustments, NOAA failed to normalize its Billion Dollar Disaster data series properly for changes in population exposure and wealth. In May 2025 NOAA announced it has withdrawn the Billion Dollar Disaster product from publication (Pielke Jr., 2025).

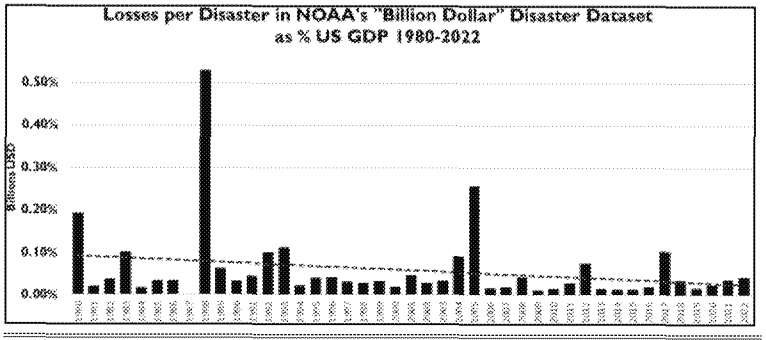

Figure 10.1: Losses per disaster in NOAA's billion-dollar disaster dataset (the version downloaded in July 2023), 1980 to 2022. Source: (Pielke, Jr. 2024)

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In summary, trends in losses from extreme weather and climate events are dominated by population increases and economic growth. Technological advances such as improved weather forecasting and early warning systems have substantially reduced losses from extreme weather events. Better building codes, flood defenses, and disaster response mechanisms have lowered economic losses relative to GDP. Finally, the U.S. economy's expansion has diluted the relative impact of disaster costs, as seen in the comparison of historical and modern GDP percentages.

10.3 Mortality from temperature extremes

10.3.1 Heat and cold risks

Changes in temperature extremes are among the most certain impacts expected in a warming world. It stands to reason that extreme heat events would likely become more frequent while extreme cold events would become less frequent. This pattern is evident in the historical period though not in the continental U.S. (Chapter 6) and is expected to continue with further warming.

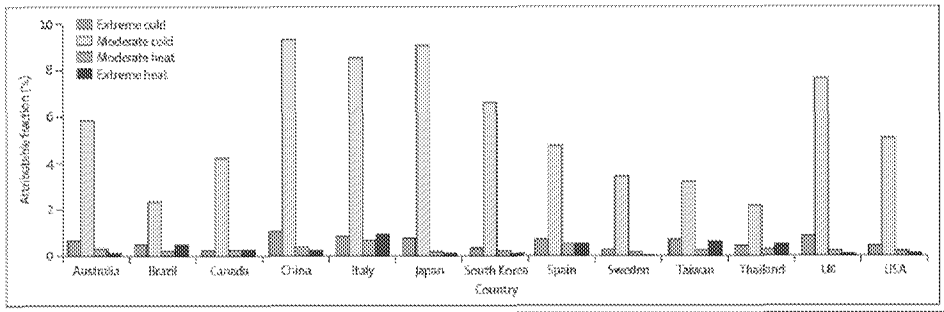

Figure 10.2 Mortality attributable to extreme and moderate cold and heat by country. Source: reproduced from Gasparini et al. (2015).

Mortality during heat extremes is typically caused by heat stroke and heat exhaustion, while mortality during cold extremes typically stems from hypothermia and heart strain. Global mortality is substantially greater for cold conditions than for hot conditions (Zhao et al 2021, Ritchie 2024). Unlike with heat-related mortality cold-weather risks set in even at moderately cold conditions (Gasparini *et al.* 2015, Lee and Dessler 2023). The U.S. EPA (citing data from the Centers for Disease Control) reports that on average over 1999 to 2015 there were 2.2 deaths per million Americans for which cold was listed as the main underlying cause and an additional 2.4 deaths per million for which cold was listed as a contributing factor (EPA 2025). By contrast there were 1.3 deaths per million for which heat was the main cause and an additional 0.8 per million for which it was a contributor. By this metric cold accounts for approximately double the weather-related deaths as does heat.

Epidemiological methods, which consider correlational evidence not just death certificate reports, indicate the cold/warm ratio may be much higher. A 13-country study of 74 million deaths from 1985 to 2012 estimated that, on average, 7.7 percent of deaths were attributable to sub-optimal temperatures, of which 7.4 percent were attributable to cold and only 0.4 percent were attributable to heat (Gasparini *et al.* 2015). In other words, cold weather killed 18.5 times as many people as did hot weather.

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Figure 10.2 shows the distribution of results from Gasparini *et al.* (2015) by country. For the United States the fraction of deaths attributable to temperature was 5.9 percent, of which 5.5 percent was due to cold, thus cold weather killed 14 times as many people as hot weather.

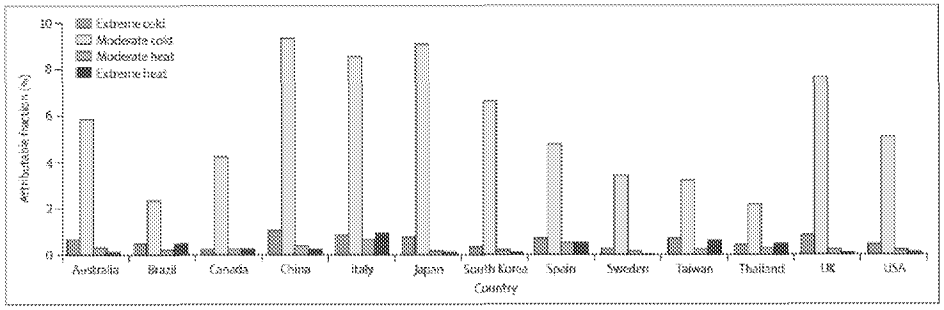

Figure 10.2. Mortality attributable to extreme and moderate cold and heat by country. Source: reproduced from Gasparini *et al.* (2015).

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There is strong evidence that people adapt to weather risks. Lee and Dessler (2023) reported that 86 percent of temperature-related deaths across 40 cities in the U.S. were due to cold-related mortality, and that due to adaptation the relative risk of death declined in hot and cold cities alike as seasonal temperatures increased. Allen and Sheridan (2018) found that short, early-season cold events were 2 to 5 times deadlier than hot events, but the mortality risk of both cold and hot extremes drops to nearly zero if the events occur late in the season.

Davis *et al.* (2003) examined heat-related mortality in 28 U.S. cities from the 1960s to the end of the 1990s and found that heat-related mortality declined by three-quarters over the sample period. Bobb *et al.* (2014) examined mortality data for 106 million people in over 100 cities U.S. cities and found a 60 percent decline in average heat-related mortality over the period 1987—2005, from 51 per thousand deaths to 19. They furthermore found that the greatest drop was among seniors over the age of 75. In a study of 42 million deaths in 211 U.S. cities from 1962 to 2006. Nordio *et al.* (2015) found a more than 90 percent decline in the risk of mortality from excess heat.

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In the context of large declines in heat-related mortality, by reducing mortality from cold events rising temperatures are associated with a net saving of lives.

The IPCC AR6 Working Group 2 Chapter 16.2.3.5 (O'Neill *et al.* 2022) acknowledges that heat-related mortality risk is declining over time:

> Heat-attributable mortality fractions have declined over time in most countries owing to general improvements in health care systems, increasing prevalence of residential air conditioning, and behavioral changes. These factors, which determine the susceptibility of the population to heat, have predominated over the influence of temperature change.

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It is both disappointing and telling to see how The IPCC misrepresents the situation in its AR6 Synthesis report. Section A.2.5 of that document states: "In all regions increases in extreme heat events have resulted in human mortality and morbidity (*very high confidence*)." But it is silent on the larger decline of deaths during extreme cold events.

The observed decline in U.S. heat-related mortality has been specifically attributed to adaptation. Wang *et al.* (2018) exploited the spatial variability of heatwave-related mortality across 209 U.S. cities from 1962 to 2006. While simple correlation appeared to imply an increase in mortality risk during heatwaves, accounting for adaptation to heatwave intensity caused the effect to fall to near zero and become statistically insignificant. They used the results of their epidemiological model to project heat-related mortality out to 2050 under four RCP warming scenarios (including RCP8.5) with and without adaptive behavior. Assuming people continue to adapt to the heatwave risks in the regions in which they live Wang *et al.* (2018) project not only no increase in heat-related mortality but an overall decrease for the U.S. as a whole. They conclude that

> Ignoring adaptation would result in a substantial overestimate of future mortality related to heat waves… Accounting for adaptation, the overall heat-related mortality by 2050 would not change substantially over time compared to 2006.

10.3.2 Mortality risks and energy costs

A 2016 study of U.S. long term mortality risks associated with temperature variations (Barreca *et al.* 2016) showed that increases in mortality across the U.S. are associated with both cold weather and hot weather. But over time, the introduction of electricity and the adoption of central heating and air conditioning (AC) dramatically reduced both risks, especially those associated with hot weather. Prior to 1960, a day above 90°F (32°C) added 2.2 percent to the average mortality risk rate, but after 1960 the same weather added only 0.3 percent to mortality risk, an 85 percent reduction. Prior to 1960 temperatures below 39°F (4°C) added about 1 percent to mortality risk but after 1960 the same weather only added about half that amount. Adaptation through conventional household improvements dramatically reduced public vulnerability to weather extremes. The entire reduction in hot weather mortality was attributable to widespread adoption of indoor air conditioning (AC), which depended on the availability of reliable and affordable electricity.

The corollary of this finding is that the use of heating and cooling systems depends on energy being affordable. Doremus *et al.* (2022) showed that wealthy and poor households in the U.S. adjust their energy expenditures at similar rates in response to moderate temperature swings, but not in response to extreme temperature swings. When temperatures swing to very cold levels (< 5°C) energy spending in high-income households rises by 1.2 percent but in low-income households by only 0.5 percent. On very hot days (>30°C) electricity spending in high-income households rises by 0.5 percent but does not change at all in low-income households. The latter result is observed even in subsamples where all households have AC. The implication is that even with widespread adoption of home heating and cooling systems, inability to afford energy leaves low-income households exposed to weather extremes.

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11 CLIMATE CHANGE, THE ECONOMY, AND THE SOCIAL COST OF CARBON

Chapter summary

Economists have long considered climate a relatively unimportant factor in economic growth, a view echoed by the IPCC itself in the AR5. Mainstream climate economics has recognized that CO_2-induced warming may have some negative economic effects but they are too small to justify aggressive abatement policy and that trying to "stop" or cap global warming even at levels well above the Paris target would be worse than doing nothing. An influential study in 2012 suggested that global warming would harm growth in poor countries, but the finding has subsequently been found not to be robust. Studies that take full account of modeling uncertainties either find no evidence of a negative effect on global growth from CO_2 emissions or find poor countries as likely to benefit from it as rich countries.

Social Cost of Carbon (SCC) estimates are highly uncertain due to unknowns in future economic growth, socioeconomic pathways, discount rates, climate damages, and system responses. The SCC is not intrinsically informative as to the economic or societal impacts of climate change. It provides an index connecting large networks of assumptions about the climate and the economy to a dollar value. Some assumptions yield a high SCC and others yield a low or negative SCC (i.e. a social benefit of emissions). The evidence for or against the underlying assumptions needs to be established independently; the resulting SCC adds no additional information about the validity of those assumptions. Consideration of potential tipping points at present does not justify major revisions to SCC estimates.

11.1 Climate change and economic growth

11.1.1 Overview: climate is a relatively minor issue for a modern economy

It has long been noted that economies tend to do poorly in very cold and very hot regions, with the optimum somewhere in between (Nordhaus, 2006). Temperature-sensitive economic activity migrates, whenever possible, to where it is best suited, and society always adapts to the local climate. Based in part on these observations, in 1992 Thomas Schelling, then President of the American Economic Association, argued that any effects of climate change on U.S. economic activity would be small relative to the many other changes that would happen.

> ... Manufacturing rarely depends on climate, and where temperature and humidity used to make a difference, air conditioning has intervened. When Toyota chooses among Ohio, Alabama, and Southern California for locating an automobile assembly, geographical considerations are important, but not because of climate... Finance is little affected by climate; similarly for health care, or education, or broadcasting. Transportation can be affected, but improvements in all-weather landing and take-off in the last 30 years are greater than any differences that climate makes. If the average effect is a warming, iced waterways and snow removal may decline in importance. Construction is affected, mainly by cold, and if the average effect is in the direction of warming, construction may benefit slightly.
>
> It is really agriculture that is affected. But even if agricultural productivity declined by a third over the next half century, the per capita GNP we might have achieved by 2050 we would achieve only in 2051. ...

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> I conclude that in the United States, and probably Japan, Western Europe, and other developed countries, the impact on economic output will be negligible and unlikely to be noticed.
>
> (Schelling 1992)

Thirty years later virtually the identical point was made by the IPCC itself in the Fifth Assessment Report:

> **For most economic sectors, the impact of climate change will be small relative to the impacts of other drivers.** Changes in population, age, income, technology, relative prices, lifestyle, regulation, governance, and many other aspects of socioeconomic development will have an impact on the supply and demand of economic goods and services that is large relative to the impact of climate change.
>
> (Arent *et al.* 2014, emphasis added)

Evidence since AR5 does not change this assessment. Mohaddes et al. (2023) found that warm weather shocks have small negative effects on U.S. state-level output but not income, while cold weather shocks negatively affect both and the impacts are about four times larger, implying a shift to warmer conditions would, if anything, yield a net economic benefit for the U.S.

~~That~~ These statements ~~is~~ are validated by experience. Since 1900, the average global surface temperature anomaly warmed 1.3°C, about as much as the IPCC predicts will occur in the next century under a moderate emissions scenario. But even as the globe warmed and the population quintupled, humanity prospered as never before. For example, global average lifespan went from thirty-two years to seventy-two years, economic activity per capita grew by a factor of seven, and the death rate from extreme weather events plummeted by a factor of fifty!

While extreme weather events are costly, in all modern economies they are becoming steadily less and less important (Formetta and Feyen, 2019). Since 1990 weather-related disaster losses have declined as a proportion of global GDP (Pielke Jr , 2018, 2020) as have mortality risks (Formetta and Feyen, 2019). While economic weather-related insurance payouts are rising, this is fully explained by the growth in the size of the economy and the value of the insured asset stock. Past increases in episodes extreme weather have not had a significant effect (positive or negative) on the market value of insurance firms (Hu and McKitrick, 2015). Nor have past extreme weather events had a significant effect on U.S. banks' performance (Blickle *et al.*, 2021); warming has even been shown to be beneficial for the finance and insurance sector (Mohaddes *et al.* 2023). Figure 11.1 below is illustrative. For these reasons, economists have long been reluctant to endorse attempts to "stop" climate change or even aggressively reduce GHG emissions because the costs would not be worth it. As one critic of the economics of climate policy put it:

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> Mainstream climate economics takes global warming seriously, but perplexingly concludes that the optimal economic policy is to almost do nothing about it… The contrast is striking. While climate science is sending out loud-and-clear messages that fossil-fuel disinvestment must start now, letting go of coal and oil and diverting resources into renewable energy technology systems, to keep warming below the 2°C limit (IPCC 2014), mainstream climate economics claims that overly ambitious climate targets will unnecessarily hurt the economy and immediate de-carbonization is too expensive. Most climate economists thus recommend humanity to just wait-and-see.
>
> (Storm 2017)

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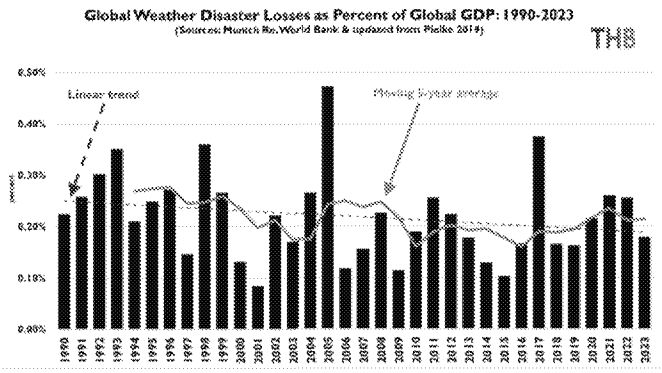

Figure 11.1 Global weather losses as a fraction of GDP. Source: Pielke Jr. (2023)

The mainstream economics position on the climate policy question is best represented by the findings over the past three decades from Integrated Assessment Models (IAMs) of climate change policy, for which Yale economist William Nordhaus was awarded the 2018 Nobel Memorial Prize in economics. IAMs combine economic, climate, and social data into a unified framework for simulating climate damages and evaluating the optimal policy response (Resources for the Future, 2025). Nordhaus' work has generally supported modest global climate policy, with aggressive measures largely deferred to later in the century. Nordhaus developed the so-called Dynamic Integrated Model of the Climate and Economy model, or DICE, in the early 1990s (Nordhaus, 1993) to study the interaction between climate change, climate policy, and global economic growth over long times. DICE assumes global emission control can be coordinated at no cost and asks what the optimal policy target should be. The climate component of the DICE model is based on simplified climatological modeling. The version of DICE at the time of Nordhaus' Nobel Prize award was described in Nordhaus (2018); it had a climate sensitivity parameter of 3.1°C for CO_2 doubling, consistent with the best estimate in contemporary IPCC reports (3.0°C).

The baseline (no policy) scenario incurs only $0.4T in global abatement costs and leads to $134.2T (trillion dollars) in global climate damages for a total cost of $134.6T. The climate model component of DICE projects 4.1°C warming by 2100 relative to preindustrial temperatures. Note that this is a higher estimate of warming than many IPCC climate models.

The Optimal Policy scenario barely deviates from the business-as-usual path. It aims for +3.5°C warming, in other words we modestly scale back fossil fuel use and otherwise just live with almost all the warming. This suggests that most CO_2 emissions are less harmful than the policies that would be necessary to abate them. Trying to prevent warming causes costs quickly to outstrip the benefits. Pursuing a goal of capping warming at 2.5°C creates total costs of $177.8T, which is $43.2T worse than doing nothing at all. Nordhaus didn't evaluate trying to reach a Paris-type target of 1.5°C warming but it would be even worse.

A subsequent edition of DICE includes higher assumed damages from warming which, not surprisingly leads to more aggressive policy recommendations, as explained in the section below on the Social Cost of Carbon.

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11.1.2 Empirical analysis of climate change and economic growth

Many other studies have used econometric methods applied to historical data, instead of IAMs, to study the potential impact of climate change on economic growth. Dell *et al.* (2012, herein DJO12) was an influential study that used a multi-country panel of national-level data spanning 1950 to 2005, in which they matched climate and economic data by averaging temperature from the local grid cell level up to the national level using population weights. They found that warming yields an insignificant positive effect on income growth in rich countries but a significant negative effect in poor countries. Moore and Diaz (2015) modified the DICE model to take that finding into account and concluded that it implied a dramatically higher Social Cost of Carbon due to the compounding effects of income loss over time.

A large subsequent literature has debated the robustness of the DJO12 findings. Burke *et al.* (2015) analyzed a global panel with temperatures averaged up to the national level and found a negative effect on growth from warming in rich and poor countries alike when the national average temperature is above 13°C. Zhao *et al.* (2018) used the G-Econ data set of Nordhaus (2006), which breaks economic activity down to the grid cell level, replicated DJO12-type results on the same subset of countries as used in DJO12 but then showed that on the full global sample warming increases growth in rich countries and poor countries alike, though the positive effect in the latter group is confined to where local temperatures are below about 16°C. Greβer *et al.* (2021) developed a regional economic data set for 1,542 sub-national regions around the world between 2005 and 2015 and found temperature had no effect on growth. Yang *et al.* (2023) updated the DJO12 data set and applied an estimator robust to mixed sample frequencies, finding that while temperature shocks exerted a temporary effect on income levels, they did not have a significant lasting effect on growth rates.

Newell *et al.* (2021) noted that there is no underlying theory to guide econometric model specification in this literature. Taking into account the arbitrary choices of which explanatory variables to include they identified over 800 possible model specifications. Using the Burke *et al.* (2015) data they used an estimation method that accounts for model uncertainty and found that the model form preferred by Burke *et al.* (2015), which implied negative effects of warming on growth even in rich countries, is explicitly excluded by the optimal model selection algorithm. Overall they could not detect a temperature effect on GDP or GDP growth, and they estimated the 95 percent confidence interval for the impact on global growth as of 2100 even under the exaggerated RCP8.5 warming scenario spans −86 percent to +388 percent. In other words the net effect is likely positive but too uncertain to distinguish from zero.

Barker (2023) criticized the DJO12 assumption that countries can be grouped into fixed "poor" and "rich" categories based on their incomes many decades ago. He noted that many countries were once poor but became rich over time, and if this is considered the original temperature effects reported by Dell *et al.* became small and insignificant.

Berg *et al.* (2023) argued that countries shouldn't be grouped into large Rich/Poor categories because they are too heterogeneous. They instead estimated country-specific temperature response coefficients then grouped countries with similar response coefficients into small panels. They separately estimated responses to global and idiosyncratic local temperature shocks to better identify the climate signal in weather data. Overall, they found countries experiencing negative effects of warming on growth outnumbered those experiencing positive effects, but only temporarily: eventually the effects reverse such that about twice as many countries experience a net positive growth effect. They also found global (as opposed to local) temperature changes are much more likely to benefit growth in poor countries than rich ones. In a simulation to 2100, even using the extreme RCP8.5 scenario they computed the average global GDP loss would be only 1.9 percent compared to a scenario with no warming. That is, instead of the economy growing 400

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percent it would grow 392%. The implication of Nordhaus' earlier analysis is that trying to prevent the warming would lead to far less than 392 percent growth.

To summarize, economists consider climate a relatively unimportant factor in economic growth, a view echoed by the IPCC itself in the Fifth Assessment Report. Mainstream climate economics has recognized that CO_2-induced warming may have some negative economic effects but they are too small to justify aggressive abatement policy, and trying to "stop" or cap global warming even at levels well above the Paris target would be worse than doing nothing. An influential study in 2012 suggested that global warming would harm growth in poor countries but the finding has subsequently been found not to be robust (Toi 2024). Studies that take full account of modeling uncertainties either find no evidence of a negative effect on global growth from CO_2 emissions or find poor countries as likely to benefit from it as rich countries.

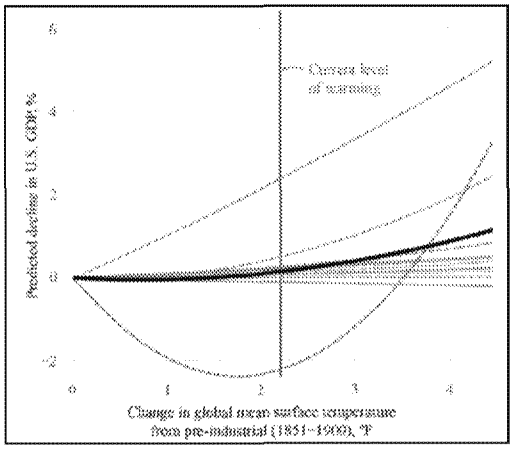

Figure 11.2: Decline in U.S. GDP per degree of warming. Source: CEA-OMB (2023)

The expectation that significant global warming would have a small impact on the U.S. economy was acknowledged quietly by the Biden Administration, even as the President was proclaiming a climate emergency. Figure 11.2, from a 2023 CEA-OMB report, shows the expected decrement in U.S. GDP as a function of temperature rise. The colored lines show the results of a dozen peer-reviewed published studies while the solid black line is their average. The figure could be summarized as "a few percent impact for a few degrees of warming". Given that the economy's annual growth rate is expected to be 1-2 percent, the impact of a warming globe is indeed negligible.

11.2 Models of the Social Cost of Carbon

The Social Cost of Carbon (SCC) is a tool for quantifying the economic impact of carbon dioxide emissions, helping policymakers weigh the costs and benefits of climate policies. It estimates the damage caused by emitting one additional ton of CO_2, expressed in dollars. More formally, the SCC is the discounted present value of the current and future marginal loss of economic welfare due to an additional ton of CO2 entering the atmosphere.

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11.2.1 Estimating the SCC

Although the literature refers to "estimates" of the SCC, it is not estimated in the way other economic statistics are estimated. For instance, data on market transactions including prices and quantities can be used to estimate the current inflation rate or the growth rate of per capita real Gross Domestic Product, and there are well-understood uncertainties associated with these quantities. But there are no market data available to measure many if not most of the marginal damages or benefits believed to be associated with CO_2 emissions, so these need to be imputed using economic models.

For example, an influential component of some SCC calculations is the perceived social cost associated with changed risk of future mortality due to extreme weather. There is no market in which people can directly attach a price to that risk. At best economists can try to infer such values by looking at transactions in related markets such as real estate or insurance, but isolating the component of price changes attributable to atmospheric CO_2 levels is very difficult.

Economists use IAMs to compute the SCC. Two of the best known are the Climate Framework for Uncertainty, Negotiation and Distribution ("FUND", Tol 1997) and Nordaus' DICE. IAMs embed a "damage function" or set of functions relating ambient temperature to local economic conditions. The assumptions embedded in the damage function will largely determine the resulting SCC. IAMs also assume a long-term discount rate or, as in DICE, compute the optimal internal discount rate as part of the solution.

One approach to developing a damage function is to begin with estimates of the costs (or benefits) of warming in specific sectors in countries around the world and aggregate up to a global amount. This was the approach used in FUND. An alternative approach is to develop a simple equation that penalizes global income according to a simple quadratic function of the average global temperature. This approach was used in DICE. In the case of the FUND model many hundreds of parameters had to be selected, whereas in DICE only three were needed and were originally chosen to assign a predetermined penalty (1.2%) to global output as of 3°C warming, with a quadratic term implying that damages grow with the square of the global average temperature anomaly. Barrage and Nordhaus (2024) recently changed the parameters to increase the penalty at 3°C to 1.6%, and added a discrete additional 1.0 percent GDP penalty at 3°C warming to account for "tipping points" (discrete large-scale environmental changes triggered by crossing a warming threshold) and a "judgmental adjustment" of 0.5 percent for excluded impacts at 3°C warming. Not surprisingly the newer version of DICE generates much higher SCC estimates than before.

The concepts of estimation and uncertainty do not readily apply to SCC calculations. By comparison, the question of whether precipitation has trended up or down along the South Carolina coast for the past hundred years can be addressed by collecting rainfall data, estimating a trend parameter and calculating statistical confidence intervals using methods with well-understood mathematical properties. In this way uncertainty can, in principle, be resolved by collecting more data and applying more accurate methods. But no amount of data collection can change the fact that many components of the SCC are unknown and rely on judgment and opinion based on knowledge of the underlying literature on the physical effects of climate change.

SCC calculations are thus best thought of as "if-then" statements: *if* the following assumptions hold, *then* the SCC is $X per tonne. The list of 'if' statements includes the premise that the world's climate and economy work according to the representation in the IAM. One way this may fail to hold is in the timing of warming. Every IAM assumes a value of the Equilibrium Climate Sensitivity (ECS), which controls the amount of warming that results from CO_2 emissions and can be freely varied for the purpose of generating a distribution of SCC values associated with uncertainties over ECS. But as Roe and Baumann (2013) pointed out, time-to-equilibrium increases with the square of ECS, so an upward adjustment of the ECS parameter without an appropriate slowing down of the adjustment process can yield distorted present value damage estimates. In particular, the upper tail of warming associated with some commonly-used ECS distributions is physically impossible for even a thousand years into the future (Roe and Baumann 2013)

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yet in an IAM would be realized within a couple of centuries. Failure to align ECS with time-to-equilibrium will lead to an overestimate of the SCC value.

11.2.2 Variations in the SCC

Every level of the IAM calculation includes assumptions, some more influential than others. Key assumptions include the following.

- The discount rate: Climate damages accrue over a long time horizon and costs a century or two in the future need to be discounted to the present. The higher the discount rate the smaller the present value of future damages and vice versa. The discount rate represents the opportunity cost of spending money today rather than investing it and then having more to spend tomorrow. Some economists have argued for the use of very low discount rates in SCC calculations, resulting in policy recommendations that favor relatively large immediate investments in CO_2 emission reductions. The downside is that other investments could potentially earn a larger rate of return for society.
- Equilibrium Climate Sensitivity: IAMs have customarily employed a value of 3.0°C or 3.1°C following the IPCC's guidance. Empirically-estimated ECS values tend to be lower than this (see Chapter 9). Dayaratna *et al.* (2017, 2020, 2023) have shown that use of lower empirically-derived ECS values dramatically lower the resulting SCC estimate, even when low discount rates are used.
- Damage function coefficients: IAMs assume CO_2 and warming cause net harms that increase exponentially with temperature. More recently, IAMs have also incorporated effects from assumed potential climate tipping points. The FUND model took limited but explicit account of CO_2 fertilization effects in agriculture. Since the coefficients were selected prior the publication of the current evidence of global greening and the magnitude of benefits to crops from elevated CO_2 (see Chapters 2 and 9) the growth effects are likely understated. The DICE model (and others) did not explicitly include any CO_2 fertilization benefit, except to the extent it was taken into account in the literature consulted when picking the damage function coefficients. The damage function in FUND contains a region in which low warming yields net benefits in many regions, a finding which is supported by econometric models of warming and growth (Berg *et al.* 2023) and econometric simulations of agricultural changes (McKitrick 2025). The DICE damage function, by construction, rules out net benefits at any warming level.
- Emission scenarios: IAMs generate SCC estimates that increase as the pre-existing concentration of CO_2 increases. Consequently the value of damages later in the century will be higher, depending on the assumed baseline emissions over the coming decades.
- Abatement costs: IAMs represent the cost to the economy of reducing CO_2 emissions. If CO_2 emission reductions are assumed to be inexpensive, then the model will conclude that the optimal policy should aim for deeper emission cuts and vice versa.

It is informative to ascertain whether SCC results are invariant to changes in some assumptions. But when different assumptions result in higher or lower SCC values, the change in the SCC value does not provide *prima facie* evidence about the validity of the assumptions. For example, in 2023 the U.S. Environmental Protection Agency raised its preferred SCC value about 5-fold over the estimates it had issued ten years earlier. This is not because new data had been collected or better mathematical methods had been invented, but because new assumptions had been used, and the validity of those assumptions was a separate question. One was that global agricultural damages were far higher than previously assumed, based on an analysis in Moore *et al.* (2017). But as discussed in Chapter 9, McKitrick (2025) showed that Moore *et al.* (2017) had used a database in which half the CO_2 change observations were missing. When as many of those observations as possible were recovered from underlying sources and the analysis was rerun,

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the projected crop yield losses disappeared and turned into gains at all warming levels. Hence the portion of the EPA's SCC revision attributable to agricultural yield losses was unwarranted.

11.2.3 Evidence for low SCC

Chapter 2 reviewed evidence on climate change and greening, and Chapter 9 looked at climate change and U.S. agriculture. Evidence shows that CO_2 fertilization has a stronger beneficial effect on agriculture than was known at the time that IAMs like DICE and FUND were parameterized. In particular Haverd *et al.* (2020) found the observed CO_2 fertilization rate has been almost double what had been predicted by crop models. Dayaratna *et al.* (2023) used the updated empirical ECS distribution estimate of Lewis (2022), which assimilates modern instrumental and paleoclimatic temperature records, and allowed for a 30 percent gain in the CO_2 fertilization benefit in the FUND model, and found that, even at a low discount rate of 2.5 percent, the median SCC as of 2050 is only $18.67, with a 24 percent probability of the true value being negative. At a five percent discount rate the median SCC value is negative until the mid-2040s and at 2050 was only $0.37 with a 49 percent probability of being negative. Thus, under reasonable assumptions a mainstream IAM using updated scientific inputs yields evidence consistent with the SCC not being significantly greater than zero.

It should also be noted that the SCC is focused on the social costs of CO_2 emissions from fossil fuel use. It does not measure the private marginal benefits to consumers and society from the availability of fossil fuels. Public willingness to pay for fuels of all types indicates the value to society of reliable, abundant fossil energy. Tol (2017) estimates that the private benefit of carbon is large relative to the social cost. This can be illustrated by noting that the price of a gallon of gas indicates the marginal value to the consumer of the fuel. Suppose we assume a relatively high Social Cost of Carbon of, say, $75 per tonne. Deflated by a MCPF[3] value of 1.5 that would result in a carbon tax of $50 per tonne, which equates to about 44 cents per gallon of gas (Lavelle, 2019). A pre-tax price of $3.00 per gallon would imply the marginal social benefit of the fuel is nearly seven times the marginal social cost.

11.2.4 Tipping points

SCC calculations typically consider gradual impacts of a warming climate, such as slowly-melting glaciers and increasing average temperature. A driver of potentially high values of the Social Cost of Carbon (SCC) is the introduction into models of discrete catastrophic outcomes associated with abrupt changes (Dietz *et al.*, 2021). They are often referred to as "tipping points."

The term "tipping point" mingles two different physical concepts that pose different research challenges. Many physical systems are inherently stable unless acted upon with sufficient external energy. For example an ice cap might remain intact over a wide range of temperatures but once the temperature crosses the 0°C threshold it melts. Such discontinuities are ubiquitous in nature and require an external force. Whether the force needs to be large relative to the size of the system depends on the underlying stability of the system.

A different type of tipping point is called a *bifurcation* and arises from the study of the internal dynamics of nonlinear systems (Crawford, 1991). Many systems have been observed to have more than one equilibrium point and can move between them with minimal or no external influence. For example, a weather system might have two different equilibrium states: one calm and one with a tornado. A transition from one to another can happen either with no external force or with a minuscule change, such as a flap of

[3] Marginal Cost of Public Funds: the optimal carbon tax rate is the SCC divided by the MCPF (Sandmo 1977).

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the proverbial butterfly's wings (Shen *et al.* 2014). The term "tipping point" is sometimes used to mean a bifurcation of this type and implies instability inherent in the system itself, which is not necessarily dependent on outside forces. It depends, instead, on parameters of the system taking values that support the emergence of bifurcations (Crawford, 1991).

The two different concepts imply different research questions. Regarding the first we are interested in whether components of the climate system are susceptible to abrupt discontinuities in response to sufficiently-large anthropogenic forcing. Regarding the second we are interested in whether the Earth's climate system has inherent bifurcations that imply the possibility of abrupt transitions with or without external forcing.

Models have been developed that imply the latter second type is a possibility. Kypke *et al.* (2020) presented a simple climate model in which the GHG concentration is one of the parameters that controls the emergence of bifurcations of the Arctic climate to one with both cold and warm equilibria. If sufficiently high GHG forcing combined with a sufficiently high rate of ocean heat transport are imposed a bifurcation becomes possible. More generally GCMs have been observed to contain bifurcations and multiple equilibria (Brunetti and Ragon, 2023).

The possible existence of bifurcations in the Earth's climate system implies abrupt transitions are possible, not just in response to large forcing but also to small perturbations. This places tipping points into the category of low-likelihood and potentially catastrophic events, such as large meteor strikes. A key question to ask is whether those kinds of tipping points can be predicted. Current research has not resolved that question (Dakos *et al.*, 2024) and indeed may not be able to since one implication of the "butterfly effect" is the existence of predictability boundaries of nonlinear systems (Palmer *et al.*, 2014). It is therefore not obvious how to incorporate such possibilities into SCC calculations. Small variations in assumptions will lead to arbitrarily large variations in the resulting SCC with no grounds for choosing among them. If such tipping points are possible the most appropriate stance for economic policy is to maximize resilience to any form of external catastrophe since it is unlikely we could predict it or prevent it from happening.

The IPCC AR6 (Chapter 1) focuses mainly on the first type of tipping point, namely an abrupt change in response to external forcing. This is also the meaning associated with popular usage of the "tipping point" concept in discussions of climate change (see [HYPERLINK "https://report-2023.global-tipping-points.org/what-is-a-tipping-point/"] for example). As summarized by the IPCC AR6, there is evidence of abrupt change in the paleoclimate record, and some of these events have been interpreted as tipping points. Some projections with Earth System Models for example have produced tipping points such as Amazon forest dieback in response to specified values of CO_2 concentration or temperature increases.

The alarm surrounding climate tipping points is reflected by *The Global Tipping Points Report* that was launched at the COP28 on 6 December 2023 (Lenton *et al.*, 2023). It identifies more than 25 parts of the climate system said to constitute tipping points. What gets classified as a climate "tipping point" is a moving target. The most common examples in the literature and assessment reports include: Greenland ice sheet disintegration, West Antarctic ice sheet disintegration, summertime disappearance of Arctic sea ice, Amazon rainforest dieback, coral reef dieoff, thawing of permafrost and methane hydrates, Atlantic Meridional Overturning Circulation collapse, boreal forest shift, West African monsoon shift, and Indian Monsoon shift.

All such tipping points require a certain amount of system instability in order to exhibit an abrupt transition in response to warming. For this reason there seems to be very little to discriminate between a tipping event and natural climate variability. Natural climate variability has in the past produced shifts in the West African and Indian monsoons, Amazon forest and coral reef dieback, and disintegration of parts of the Greenland and West Antarctic ice sheets. These impacts can be reversed on the decadal and century timescales associated with natural climate variability and ecosystem responses.

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Some abrupt changes are potentially more consequential, including collapse of the West Antarctic ice sheet and collapse of the Atlantic Meridional Overturning Circulation (AMOC). The IPCC AR6 WG1 Summary for Policy Makers states:

> The Atlantic Meridional Overturning Circulation is very likely to weaken over the 21st century for all emission scenarios. While there is *high confidence* in the 21st century decline, there is only *low confidence* in the magnitude of the trend. There is *medium confidence* that there will not be an abrupt collapse before 2100. (C.3.4)
>
> There is limited evidence for low-likelihood, high-impact outcomes (resulting from ice-sheet instability processes characterized by deep uncertainty and in some cases involving tipping points) that would strongly increase ice loss from the Antarctic Ice Sheet for centuries under high GHG emissions scenarios (e.g., SSP5-8.5). (B.5.2)

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For the purpose of SCC calculations the research question implied by this type of tipping point is whether such events have been observed in the past in climate conditions similar to what we currently experience or will in the near future. The IPCC AR6 finds little evidence for impending collapse of the Atlantic Meridional Overturning Circulation or the West Antarctic ice sheet. It finds there is no tipping point associated with Arctic Sea ice (AR6 Technical Summary p. 76)

Dietz *et al.* incorporated several potential tipping points (abrupt changes) into an SCC model and found they added about 25 percent to the estimate, mainly associated with thawing permafrost and release of methane hydrates. However the IPCC considers this scenario *very unlikely* (AR6 Technical Summary p. 107).

In summary, there may be unknown bifurcation tipping points that are associated with natural climate processes, but this possibility does not translate into specific guidance on the SCC. There are potential abrupt change points in the climate system in response to warming, although the IPCC assigns low probabilities to most, including the largest ones. When these have been taken into account the result is only a modest increase in the SCC value in the 21st century.

11.2.5 Are there alternatives?

It is increasingly being argued that the SCC is too variable to be useful for policymakers. Cambridge Econometrics (Thoung, 2017) stated it's "time to kill it" due to uncertainties. The UK and EU no longer use SCC for policy appraisal, opting for "target-consistent" carbon pricing (UK Department for Energy Security and Net Zero 2022, Dunne 2017). However, the uncertainty of SCC estimates doesn't mean that other regulatory measures are inherently better or more efficient. Many emissions regulations (such as electric vehicle mandates, renewable energy mandates, energy efficiency regulations and bans on certain types of home appliances) cost far more per tonne of abatement than any mainstream SCC estimate, which is sufficient to establish that they fail a cost-benefit test.

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12 GLOBAL CLIMATE IMPACTS OF U.S. EMISSIONS POLICIES

Chapter Summary

U.S. policy actions are expected to have undetectably small direct impacts on the global climate and any effects will emerge only with long delays.

12.1 The scale problem

The emissions rates and atmospheric concentrations of criteria air contaminants are closely connected because their lifetimes are short and their concentrations are small; when local emissions are reduced the local pollution concentration drops rapidly, usually within a few days. But the global average CO_2 concentration behaves very differently, since emissions mix globally and the global carbon cycle is vast and slow. Any change in local CO_2 emissions today will have only a very small global effect, and only with a long delay.

Following the emission of a pulse (release) of CO_2 into the atmosphere only about 40 ± 15 percent of the emitted CO_2 will have been sequestered after twenty years. That fraction rises to 75 ± 10 percent after a thousand years, and the remainder will be gradually removed over the ensuing tens of thousands of years (Ciais *et al.*, 2013, pp. 472-473). Consequently, any reduction in U.S. emissions would only modestly slow, but not prevent, the rise of global CO_2 concentration. And even if global emissions were to stop tomorrow, it would take decades or centuries to see a meaningful reduction in the global CO_2 concentration and hence human influences on the climate.

Reducing the atmospheric stock of CO_2 would require emissions to fall below the natural sequestration rate, assuming the entire increase is anthropogenic. Since that rate has been averaging about 50 percent of emissions in recent decades, a reduction of global emissions by 50 percent would (at least temporarily) halt the rise in atmospheric CO_2. The 1997 Kyoto Protocol proposed to cap industrial nations' CO_2 emissions at a modest five percent below 1990 levels by the year 2012. Even though this policy was too difficult for most nations to implement, full compliance would not have substantially reduced atmospheric CO_2 levels. It would only have slightly slowed CO_2 growth, reaching the projected year 2100 level in 2105 instead (Wigley, 1998). Lomborg (2016) estimated that full compliance with the Paris Accord would not stop warming, it would only prevent about 0.1C warming and delay hitting the baseline year 2100 temperature levels by about a decade.

Thus, in contrast with conventional air pollution control, even drastic local actions will have negligible local effects, and only with a long delay. The practice of referring to unilateral U.S. reductions as "combatting climate change" or "taking action on climate" therefore reflects a profound misunderstanding of the scale of the issue.

12.2 Case study: U.S. motor vehicle emissions

The scale problem can be illustrated with reference to U.S. motor vehicles. The EPA's 2009 Endangerment Finding focused on CO_2 emissions from cars and light-duty trucks in the U.S. because Section 202(a) of Clean Air Act mandates the EPA to set emissions standards for motor vehicles if pollutants are found to endanger public health or welfare. The 2009 Endangerment Finding therefore obligated the EPA to regulate emissions from new motor vehicles, ostensibly to reduce or eliminate climate-related harms to the U.S. public.

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Two questions that naturally arise are: (1) How large a reduction in CO_2 emissions would result from such regulation? and (2) What would be the climate impact of such regulation?

The first question can be addressed by comparing U.S. vehicle-based CO_2 emissions to the global total. The second question can be addressed by using the fact that the reduction in global warming would be, according to the models relied upon by the EPA, proportional to the reduction in global emissions, keeping in mind that the change in the CO_2 content of the atmosphere in any given year is the result of total global CO_2 emissions, not just U.S. emissions.

In 2022, the emissions from U.S. cars and light duty trucks totaled 1.05 billion metric tons of carbon dioxide ($GtCO_2$, EPA 2024). Meanwhile global CO_2 emissions from energy use totaled 34.6 $GtCO_2$ (Energy Institute 2024). Hence U.S. cars and light trucks account for only 3.0 percent of global energy-related CO_2 emissions. To a first approximation we can say that even eliminating all U.S. vehicle-based emissions would retard the accumulation of CO_2 in the atmosphere by a year or two over a century.

It would also reduce the overall warming trend by at most about 3 percent. For the period 1979-2023, which has the most extensive global coverage of a variety of weather data types, warming trends are determined to a precision of about ± 15 percent, so the impact of reducing the rate of global warming by eliminating U.S. vehicle CO_2 emissions would be far below the limits of measurability. Given that global-average temperature is the most direct climate change metric, impacts on any secondary climate metrics (e.g. severe weather, floods, drought, etc.) from reducing U.S. vehicle CO_2 emissions would be even less measurable.

Consequently, in contrast to the case of local air contaminants like particulates and ozone, even the most aggressive regulatory actions on GHG emissions from U.S. vehicles cannot be expected to remediate alleged climate dangers to the U.S. public on any measurable scale.

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GLOSSARY

2XCO2: Doubling of the atmospheric carbon dioxide (CO2), a commonly used baseline for addressing climate change. In 2025, atmospheric CO2 concentrations were approximately 50 percent of the way to 2XCO2 compared to 1800.

ABATEMENT: In the context of environmental policy, the reduction of emissions.

ACE (Accumulated Cyclone Energy): A statistical measure of a tropical cyclone's energy accumulated over its lifetime, calculated from a summation of the square of maximum sustained wind speeds.

ACIDIFICATION: A commonly used term for the reduction in ocean pH from more alkaline to less alkaline values.

ACRIM (Active Cavity Radiometer Irradiance Monitor) GAP: the gap in total solar irradiance data between 1989 and 1991 caused by a delay in the launch of a satellite-borne monitor in time to overlap and intercalibrate with the prior system.

AEROSOLS: Tiny solid or liquid particles suspended in the air.

ALKALINE: Having a chemical pH greater than 7.0. The "opposite" of acidic, which means a pH less than 7.0.

AMBIENT: Relating to the immediate surroundings of something.

AMO: Atlantic Multidecadal Oscillation, a 60-year cycle of sea surface temperatures in the North Atlantic.

ANTHROPOGENIC: Created by humans.

AR (Assessment Reports): Periodic assessments published by the Intergovernmental Panel on Climate Change evaluating current knowledge of the climate system, global change, and associated issues. An AR now consists of reports from three working groups, the first (WG1) concerned with physical science and the others concerned with societal impacts and mitigation strategies. The most recent was the AR6.

ATTRIBUTION: Assertion of a causal relation, chiefly from anthropogenic GHG emissions to observed climate patterns.

AUTOCORRELATION: The phenomenon in which the current value of a random time series variable is correlated with its value in a previous time period.

BIOMASS: The total quantity or weight of organic matter in a given area or volume.

CARBON: The 6th element in the periodic table. Popularly used as a shorthand term for carbon dioxide.

CMIP (Coupled Model Intercomparison Project): The Intergovernmental Panel on Climate Change's organized effort to compare many different global ocean-atmosphere climate models when they are all forced with the same emissions scenario(s). The two most recent were the CMIP5 and CMIP6 for, respectively, AR5 and AR6.

CONUS: The contiguous 48 U.S. states.

DICE (Dynamic Integrated model of the Climate and Economy): An Integrated Assessment Model developed by William Nordhaus in 1993.

DISCOUNT RATE: The interest rate used to convert future costs and benefits into their present value. Varies inversely with present value so a high discount rate reduces the present value of future costs and benefits.

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DRY ICE: Frozen carbon dioxide.

ECONOMETRICS: The branch of economics concerned with the use of statistical methods (chiefly multiple regression) to analyse economic systems.

ECS (Equilibrium Climate Sensitivity): The total amount of eventual global-average surface warming in response to a hypothetical doubling of the atmospheric CO2 concentration compared to pre-Industrial levels.

EL NIÑO: The warm phase of the El Niño – Southern Oscillation, involving weaker upwelling of cold water off the South American coast and weaker Pacific trade winds.

EMISSIONS SCENARIO: An assumed scenario of future greenhouse gas emissions (or resulting atmospheric concentrations) based upon assumptions regarding global economic activity, the prevalence of fossil fuel use, and (sometimes) global carbon cycle model estimates of the rate of CO2 uptake by land and ocean.

ENDANGERMENT FINDING (EF): The 2009 finding by the Administrator of the Environmental Protection Agency that emissions of well-mixed greenhouse gases (primarily CO2) endanger human health and welfare.

ENSO: El Niño – Southern Oscillation, a prominent natural climate fluctuation involving year-to-year variations in the upwelling of cold water off the equatorial coast of South America and associated strengthening or weakening of trade winds across the Pacific Ocean.

FACE: (Free-Air CO2 Enrichment) Large-scale open-air studies that expose plants to elevated CO2 levels, simulating future climate scenarios.

FOSSIL FUEL: A natural hydrocarbon fuel such as coal, oil, or natural gas formed in the geological past from the remains of living organisms.

FUND (Framework for Uncertainty, Negotiation, and Distribution): An Integrated Assessment Model developed by Richard Tol in 1997.

GBR (Great Barrier Reef): The world's largest coral reef ecosystem located off the northeast coast of Australia.

GDP (Gross Domestic Product): Total market value of all the final goods and services produced within a country's border, usually over one year.

GHCN (Global Historical Climatology Network): A time-varying number of globally distributed weather stations making hourly, daily, or monthly measurements of precipitation, temperature and sometimes snowfall and snow depth.

GLOBAL GREENING: The satellite-observed increase in greenness in most vegetated land areas observed since the early 1980s.

GNP (Gross National Product): Total market value of all the final goods and services produced by the citizens of a country, including exports and imports, usually over one year.

GDP (Gross National Product): Total market value of all the domestically-produced final goods and services produced by the citizens of a country, usually over one year.

GREENHOUSE EFFECT (GHE): The tendency for any planetary atmosphere containing greenhouse gases to be warmer in the lowest layers than if those gases did not exist.

GREENHOUSE GAS (GHG): An atmospheric gas that absorbs and emits infrared radiation, especially water vapor, CO2, and methane.

HURST PHENOMENON: See long term persistence.

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HYDROLOGY: The study of the movement of water, especially on land and in the atmosphere.

IAM (Integrated Assessment Model): A computerized tool that combines economics, climate science, and social sciences to provide quantitative relationships between human and Earth systems, helping to inform policy decisions.

IEA (International Energy Agency): A Paris-based intergovernmental organization, established in 1974, that provides policy recommendations, analysis and data on the global energy sector.

IPCC (Intergovernmental Panel on Climate Change): A panel of international experts and governmental representatives established by the United Nations and World Meteorological Organization in 1988 to provide governments at all levels with scientific information that they can use to develop climate policies.

IR (Infrared): Heat radiation that all solid objects and greenhouse gases emit by virtue of their temperature.

LA NIÑA: The cool phase of ENSO, involving stronger upwelling of cold water off the South American coast and stronger Pacific trade winds.

LONG TERM PERSISTENCE (LTP, or HURST PHENOMENON): A form of autocorrelation in which random fluctuations induce long term but not permanent changes in the mean value of a series, which shows up in some climate data series as cyclical or trending patterns on short time scales.

MAIZE: Corn.

MODEL: A computer code or collection of codes which quantify our knowledge of various processes and the interactions between those processes.

MORTALITY: Death or number of deaths, usually expressed for a specific population and period.

NCA (National Climate Assessment): A periodic report mandated by the Global Change Research Act of 1990 summarizing the impact of climate change on the United States. There have been five NCA reports: NCA1 (2000), NCA2 (2009), NCA3 (2014), NCA4 (2017-18), and NCA5 (2023).

OECD (Organization for Economic Cooperation and Development): A group of 38 member countries that have a democratic system of government and free economic systems.

PALEOCLIMATE: Climate of the distant past, before modern weather instruments were widely used (typically pre-1850), necessitating the use of "proxy" measurements such as tree rings, ice core, pollen records, etc.

PDI (Power Dissipation Index): A statistical estimate of the accumulated destructive potential of a tropical cyclone over time, calculated as a summation of the cube of the maximum sustained wind speeds.

PDO: Pacific Decadal Oscillation, a recurring ocean-atmosphere interaction centered over the Pacific basin associated with predominantly warm or cool patterns that influence the global climate.

PHOTOSYNTHESIS: The process by which plants grow, requiring carbon dioxide, water, and light at sufficiently warm temperatures (10 to 35 deg. C for optimal function).

PPM: Parts per million, a measure of the concentration of a substance in (for example) the atmosphere

PRE-INDUSTRIAL: The near-term historical period before which human greenhouse gas emissions were considered significant, usually assumed to be the mid-1700s.

RADIATIVE FEEDBACK: A change in the balance between absorbed solar radiation and emitted infrared (IR) radiation, referenced to the top of the atmosphere, caused by changes in surface temperature.

RADIATIVE FORCING: The change in the balance between absorbed solar radiation and emitted infrared (IR) radiation, referenced to the top of the atmosphere, caused by changes in greenhouse gases, anthropogenic aerosols, volcanoes, etc.

RCP (Representative Concentration Pathway) SCENARIOS: Different scenarios for future greenhouse gas emissions and their impact on the atmosphere, introduced in the IPCC's Fifth Assessment Report (AR5). RCP scenarios have a number label (e.g. RCP6.0) indicating how much radiative forcing they assume in 2100 relative to pre-Industrial times, in Watts per square meter. RCP4.5 and RCP6.0 are intermediate scenarios, whereas RCP8.5 is an extreme scenario with very large future emissions of greenhouse gases.

REGRESSION: A statistical method for selecting the coefficients of a linear equation to explain the behaviour of a dependent variable in terms of variations in one or more explanatory variables.

RICARDIAN ANALYSIS: A method used in environmental economics named after David Ricardo to estimate the economic impacts of climate change, particularly on agriculture, by making use of the hypothesis that expected changes in the return to future agricultural activity will be capitalized into current land values.

SCC (Social Cost of Carbon): An estimate of the net economic damages, measured in present-value dollars, caused by emitting one additional ton of CO2 into the atmosphere.

SSP (Shared Socioeconomic Pathway) SCENARIOS: Different scenarios for future greenhouse gas emissions and their impact on the atmosphere, introduced in the IPCC's Sixth Assessment Report (AR6). Some of the SSP scenarios are analogous to the older RCP scenarios, for purposes of continuity and comparison to earlier climate model assessments.

TC: Tropical cyclone.

TMAX: The daily maximum surface air temperature.

TMIN: The daily minimum surface air temperature.

TOA: Top of atmosphere.

TOXICOLOGY: The study of the adverse effects of chemical substances on living organisms.

TREND: A coefficient, usually estimated using linear regression, representing the slope of a line of best fit through a time series of data, indicating any tendency for the series mean to move up or down over time.

TSI (Total Solar Irradiance): The measure of the total amount of incident solar radiation per unit area, including all wavelengths of electromagnetic radiation, that reaches the Earth's atmosphere. Typically shown in units of watts per square meter (W/m^2).

UHI (Urban Heat Island): The tendency for inhabited areas to be warmer than their rural surroundings, due to the replacement of natural land and vegetation with roads, parking lots, buildings, and waste heat sources.

USHCN: The U.S. Historical Climatology Network, consisting of quality-controlled temperature and precipitation data from 1,218 surface weather stations.

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Metadata for Figures and Tables

Figure 2.1 Screen shot from Figure 3 of Zhu et al. 2016 [HYPERLINK "https://www.nature.com/articles/nclimate3004"]

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Figure 2.2 Data replotted from Berner 2006 Figure 18, with annotation in red added by authors [HYPERLINK "https://www.sciencedirect.com/science/article/pii/S0016703706002031"]

Figure 2.3 Screen shot from Gerhart and Ward (2010) Figure 2 [HYPERLINK "https://nph.onlinelibrary.wiley.com/doi/pdf/10.1111/j.1469-8137.2010.03441.x"]

Figure 2.4 Screenshot from Copernicus Marine Services [HYPERLINK "https://data.marine.copernicus.eu/product/GLOBAL_OMI_HEALTH_carbon_ph_area_averaged/description"]

Figure 2.5 Screen shot from AIMS (2023) Figures 2–4 [HYPERLINK "https://www.aims.gov.au/sites/default/files/2022-08/AIMS_LTMP_Report_on%20GBR_coral_status_2021_2022_040822F3.pdf"]

Figure 3.1.1 Screen shot of AR6 WGI Ch2 Fig 10

Figure 3.1.2 Screen shot of AR6 WGI Ch7 Fig 7-6

Figure 3.1.3 Author created figure. CO2 data source: [HYPERLINK "https://gml.noaa.gov/ccgg/trends/index.html"]

Figure 3.2.1 Screen shot from Hausfather et al. (2019) Figure S4 [HYPERLINK "https://doi.org/10.1029/2019GL085378"]

Figure 3.2.2 Author created figure. Data source: Freidlingstein et al. (2024) [HYPERLINK "https://essd.copernicus.org/preprints/essd-2024-519"]
Data source: [HYPERLINK "https://globalcarbonbudget.org/download/1442/"]

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Figure 3.2.3 Author-created using OLS trends, Data source: [HYPERLINK "https://globalcarbonbudget.org/download/1442/"]

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Figure 4.1 Screen shot from Scafetta (2021) Figure 1 [HYPERLINK "https://doi.org/10.3390/cli9110161"]

Figure 5.1 Data source: [HYPERLINK "https://climexp.knmi.nl/start.cgi"]. Author-created figure. One ensemble member per model, yearly average temperatures are computed from the global monthly raw temperatures; 'range' is the warmest model temperature minus the coolest model temperature in each year; standard deviation computed across all 33 models' raw temperatures in each year.

Figure 5.2 Screen shot from Scafetta (2023) Figure 2 [HYPERLINK "https://doi.org/10.1007/s00382-022-06493-w"]

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Figure 5.3 Author created figure. Data source: [HYPERLINK "https://climexp.knmi.nl/start.cgi"]. One SSP370 ensemble member per model. Linear OLS trends computed from global monthly temperature anomalies relative to 1981-2010.

Figure 5.4 Author created figure, based on Figure 3 of McKitrick and Christy (2020) [HYPERLINK "https://doi.org/10.1029/2020EA001281"]. Updated using same methods and data extended from 2014 to 2024, see McKitrick and Christy (2025) in chapter references.

Figure 5.6 Screen shot of IPCC AR5 Figure 10.SM1, with author annotations

Figure 5.7 Author created figure following Christy and McNider (2017) [HYPERLINK "https://doi.org/10.1007/s13143-017-0070-z"] with updated data available at [HYPERLINK "https://www.nsstc.uah.edu/data/cmip6/ERA5_REANALYSES/"] and [HYPERLINK "https://www.nsstc.uah.edu/data/cmip6/JRA3Q_REANALYSES/"] compiled here [HYPERLINK "https://www.nsstc.uah.edu/data/cmip6/CWG25_Fig_5.7_Table_250627.xlsx"] [HYPERLINK "https://doi.org/10.1007/s13143-017-0070-z"]

Figure 5.8 Screen shot from [HYPERLINK "https://climate.rutgers.edu/snowcover/chart_seasonal.php?ui_set=nhland&ui_season=1"] (accessed May 27, 2025)

Figure 5.9 Screen shot from Rugenstein and Hakuba (2023) Figure 1 [HYPERLINK "https://doi.org/10.1029/2022GL101802"]

Figure 5.10 Author created figure. Data source [HYPERLINK "https://climexp.knmi.nl/start.cgi"]. OLS linear trends. One SSP370 ensemble member per model, averaged surface air temperatures for June through August over 31N to 49N latitude, 84W to 102W longitude. Observed temperature data for same months from NOAA/NCEI at https://www.ncei.noaa.gov/access/monitoring/climate-at-a-glance/statewide/time-series for the 12 Corn Belt states averaged together.

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Figure 6.3.7 Screen shot from [HYPERLINK "https://www.globalchange.gov/indicators/heat-waves"]

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Figure 6.4.5 Author created figure. Data from McKitrick and Christy (2025)

Figure 6.5.1 Author created figure. Data source: [HYPERLINK "https://www.spc.noaa.gov/wcm/data/1950-2024_actual_tornadoes.csv"]

Figure 6.7.1 Author created figure. Data source: [HYPERLINK "https://www.ncei.noaa.gov/access/monitoring/uspa/wet-dry/0"] ~~accessed June 16, 2025. OLS trend line added.~~

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Figure 6.8.2 Screenshot from Marlon et al. (2012) Figure 2 panel C. [HYPERLINK "https://www.pnas.org/doi/pdf/10.1073/pnas.1112839109"]

Figure 6.8.3 Author created Figure. Data 1926 to 2016: [HYPERLINK "https://web.archive.org/web/20200212033452/https://www.nifc.gov/fireInfo/fireInfo_stats_totalFires.html"], Post-2017 [HYPERLINK "https://www.nifc.gov/fire-information/statistics/wildfires"] Accessed June 16, 2025.

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Figure 7.3 Screenshot from [HYPERLINK "https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8771450"] (downloaded 4/22/2025)

Figure 7.4 Screenshot from [HYPERLINK "https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8761724"] (downloaded 4/22/25)

Figure 7.5 Screenshot from [HYPERLINK "https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8518750"] (downloaded 4/22/25)

Figure 7.6 Author created figure. Data from [HYPERLINK "https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8518750"]

Figure 8.1 Screenshot from [HYPERLINK "https://crudata.uea.ac.uk/cru/data/temperature/HadCRUT5.0Analysis.pdf"]

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Figure 9.1 Screenshot From Taylor and Schlenker (2021) Figure 1 [HYPERLINK "https://www.nber.org/papers/w29320"]

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Figure 11.1 Screen shot from Pielke Jr (2023) [HYPERLINK "https://rogerpielkejr.substack.com/p/global-disaster-losses1990-2023"]

Figure 11.2 Screenshot from CEA-OMB (2023) [HYPERLINK "https://bidenwhitehouse.archives.gov/wp-content/uploads/2023/03/CEA-OMB-White-Paper.pdf"] Figure 1.

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Impacts of Carbon Dioxide Emissions on the U.S. Climate

CONFIDENTIAL DRAFT FOR DISCUSSION PURPOSES

Ad hoc Climate Working Group
United States Department of Energy
May 28, 2025

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CONFIDENTIAL DRAFT FOR DISCUSSION PURPOSES

Impacts of Carbon Dioxide Emissions on the U.S. Climate

Report to US Energy Secretary Christopher Wright
May 28, 2025

Ad hoc Climate Working Group:

John Christy, Ph.D.
Judith Curry, Ph.D.
Steven Koonin, Ph.D.
Ross McKitrick, Ph.D.
Roy Spencer, Ph.D.

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SECRETARY'S FOREWORD

Energy, Integrity, and the Power of Human Potential

Over my lifetime, I've had the privilege of working as an energy entrepreneur across a range of fields—nuclear, geothermal, natural gas, and more—and I now serve as Secretary of Energy under President Donald Trump. But above all, I'm a physical scientist who sees modern energy as nothing short of miraculous. It powers every aspect of modern life, drives every industry, and has made America an energy powerhouse with the ability to fuel global progress.

The rise of human flourishing over the past two centuries is a story worth celebrating. Yet we are told—relentlessly—that the very energy systems that enabled this progress now pose an existential threat. Hydrocarbon-based fuels, the argument goes, must be rapidly abandoned or else we risk planetary ruin.

That view demands scrutiny. That's why I commissioned this report: to encourage a more thoughtful and science-based conversation about climate change and energy. With my technical background, I've reviewed reports from the Intergovernmental Panel on Climate Change, the U.S. government's assessments, and the academic literature. I've also engaged with many climate scientists, including the authors of this report.

What I've found is that media coverage often distorts the science. Many people—even well-meaning ones—walk away with a view of climate change that is exaggerated or incomplete. To provide clarity, I asked a diverse team of independent experts to summarize the current state of climate science, with a focus on how it relates to the United States.

I didn't select these authors because we always agree—far from it. In fact, they may not always agree with each other. But I chose them for their rigor, honesty, and willingness to elevate the debate. I exerted no control over their conclusions. What you'll read are their words, drawn from the best available data and scientific assessments.

I've reviewed the report carefully and challenged its findings. I believe it faithfully represents the state of climate science today. Still, many readers may be surprised by its conclusions—which differ in important ways from the mainstream narrative. That's a sign of how far the public conversation has drifted from the science itself.

To correct course, we need open, respectful, and informed debate. That's why I'm inviting public comment on this report. Honest scrutiny and scientific transparency should be at the heart of our policymaking.

Climate change is real, and it deserves attention. But it is not the greatest threat facing humanity. That distinction belongs to global energy poverty. As someone who values data, I know that improving the human condition depends on expanding access to reliable, affordable energy. Climate change is a challenge—not a catastrophe. But misguided policies based on fear rather than facts could truly endanger human well-being.

We stand at the threshold of a new era of energy leadership. If we empower innovation rather than restrain it, America can lead the world in providing cleaner, more abundant energy—lifting billions out of poverty, strengthening our economy, and improving our environment along the way.

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EXECUTIVE SUMMARY

This report reviews scientific certainties and uncertainties in how anthropogenic carbon dioxide (CO_2) emissions have affected, or will affect, the Nation's climate, extreme weather events, and selected metrics of societal well-being. Those emissions are increasing the concentration of CO_2 in the atmosphere through a complex and variable carbon cycle, where some portion of the additional CO_2 persists in the atmosphere for centuries.

Elevated concentrations of CO_2 enhance plant growth, globally "greening" the planet and increasing agricultural productivity. They also decrease ocean alkalinity by an amount within the range of natural variability. That is possibly detrimental to coral reefs, although the recent rebound of the Great Barrier Reef suggests otherwise.

Carbon dioxide also acts as a greenhouse gas, exerting a warming influence on climate and weather. The world's several dozen detailed climate models offer little guidance on how the climate responds to that influence, with the average surface warming under a doubling of the CO_2 concentration ranging from 1.8°C to 5.7°C, generally higher than simpler, data driven estimates, which suggest 1.8 to 2.9°C

Detailed climate models generally run "hot" in their description of the climate of the past few decades - too much warming at the surface, in the lower- and mid-troposphere, and too much amplification of warming aloft. They also produce too much stratospheric cooling, too much water vapor increase, too much snow loss, and too much warming in the corn belt. The combination of overly sensitive models and extreme scenarios for future emissions has exaggerated projections of future warming.

Most extreme weather events do not show long-term trends. Claims of increased frequency or intensity of hurricanes, tornadoes, floods, and droughts are not supported by historical data. Additionally, forest management practices are an often-overlooked factor in assessing wildfire activity. Global sea level has risen approximately 8 inches since 1900, but there are significant regional variations in tide gauge data driven primarily by local land subsidence; U.S. tide gauge measurements in aggregate do not show any long-term sea level acceleration.

The attribution of particular climate changes or extreme weather events to human CO_2 emissions is challenged by natural climate variability, data limitations, and inherent model deficiencies. Moreover, solar activity's contribution to the warming climate may be underestimated.

Both models and experience suggest that CO_2-induced warming may be less significant economically than commonly believed, and excessively aggressive mitigation policies could prove more detrimental than beneficial. Social Cost of Carbon estimates, which attempt to quantify the economic damage of CO_2 emissions, are highly sensitive to their underlying assumptions and so provide limited independent information.

The report supports a more nuanced and evidence-based approach to climate policy. The risks and benefits of a climate changing under both natural and human influences must be weighed against the costs, efficacy, and collateral impacts of any "climate action", taking into account the nation's need for reliable and affordable energy with minimal local pollution. Assumptions about future emissions should be grounded in reality. Climate models should be re-evaluated to address biases and uncertainties, and the limitations of event attribution studies should be clearly acknowledged. An approach that acknowledges both the potential risks and benefits of CO_2, rather than relying on flawed models and extreme scenarios, is essential for informed and effective decision-making.

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INTRODUCTION: OVERVIEW AND PURPOSE OF THIS DOCUMENT

This document originated in late March 2025 when Secretary Wright assembled an independent group to write a report on issues in climate science relevant for energy policymaking, with particular focus on the question of whether carbon dioxide emissions endanger the US public. We agreed to undertake the work on the condition that there would be no editorial oversight by the Secretary, the Department of Energy, or any other government personnel. This condition has been honored throughout the process and the writing team has worked with full independence.

The group began working in early April with a deadline of May 28. The short timeline and the technical nature of the material meant that we could not comprehensively review all topics. While the report is intended to be accessible for non-experts, we have omitted some introductory or explanatory material that can easily be accessed elsewhere. Nor have we attempted to survey entire literatures related to the topics covered. We have focused as much as possible on literature published since 2020 and referenced previous IPCC and NCA assessment reports. We have also used data through 2024 where possible.

The writing team is grateful to Secretary Wright for the opportunity to prepare this report and for his support of independent scientific assessment.

May 2025

John Christy, Ph.D.

Judith Curry, Ph.D.

Steven Koonin, Ph.D.

Ross McKitrick, Ph.D.

Roy Spencer, Ph.D.

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PART I: DIRECT HUMAN INFLUENCE ON ECOSYSTEMS AND THE CLIMATE

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1 CARBON DIOXIDE AS A POLLUTANT

Chapter summary:
Carbon dioxide (CO_2) differs in many ways from the so-called Criteria Air Pollutants. It does not affect local air quality and has no human toxicological implications at ambient levels. It is an issue of concern because of its potential effects on the global climate, a complex issue that occupies much of this report.

The Clean Air Act of 1970 defined six so-called Criteria Air Contaminants subject to regulation (EPA): particulate matter, ground-level Ozone, Sulfur Dioxide, Nitrogen Dioxide, Lead and Carbon Monoxide (CO). In 2007, the Supreme Court ruled that greenhouse gases (CO_2 among them) were also "pollutants" subject to regulation under Clean Air Act (Mass. v. EPA, 2007). While the definition of "pollutant" is ultimately a legal one, there are important scientific distinctions between CO_2 and the Criteria Air Contaminants. The latter are subject to regulatory control because they cause local problems depending on concentrations that include nuisances (odor, visibility), damage to plants, and, at high enough exposure levels, toxicological effects in humans. In contrast, CO2 is odorless, does not affect visibility and has no toxicological effects at ambient levels. It is a naturally occurring part of the atmosphere and a key component of human and plant respiration. CO_2 is essential for plant photosynthesis and higher levels are beneficial for vegetation. In these aspects, CO_2 is similar to water vapor.

Ambient outdoor air today contains about 430 parts per million (ppm) CO_2, increasing at about 2 ppm per year. The US Occupational Safety and Health Administration issues guidelines for indoor workplaces in which elevated CO_2 might be encountered, such as where dry ice is used. The Permissible Exposure Limit is 5,000 ppm over 8 hours (OSHA, 2024). Allen et al. (2015) reported evidence of diminished performance on some cognitive tasks among workers in office cubicles when exposed to CO2 levels above 1,000-1,500 ppm. These levels are far larger than any plausible ambient outdoor value through the end of the 22nd century.

The growing amount of CO_2 in the atmosphere directly influences the earth system by promoting plant growth (global greening), thereby enhancing agricultural yields, and by neutralizing ocean alkalinity. But the primary concern about CO_2 is its role as a greenhouse gas (GHG) that alters the earth's energy balance. How the climate will respond to that influence is a complex question that will occupy much of this report.

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2 DIRECT IMPACTS OF CO_2 ON THE ENVIRONMENT

Chapter summary:
CO_2 enhances photosynthesis and improves plant water use efficiency, thereby promoting plant growth. Global greening due to increased CO_2 levels in the atmosphere is well-established on all continents.

CO_2 absorption in sea water reduces the alkalinity of the oceans. The recent decline in alkalinity is within the range of natural variability on millennial time scales. Most ocean life evolved when the oceans were mildly acidic. Declining alkalinity might adversely affect corals, although the Australian Great Barrier Reef has shown considerable growth in recent years.

2.1 CO_2 and global greening

The growing CO_2 concentration in the atmosphere has the important positive effect of promoting plant growth by enhancing photosynthesis and improving water use efficiency. That is evident in the "global greening" phenomenon discussed below, as well as in the improving agricultural yields discussed in Chapter 10.

2.1.1 Measurement of global greening

"Greening" refers to an increase in the fraction of the Earth's surface covered by plants. It can be quantified by the "Leaf Area Index" (LAI) measured by satellite. Many studies over the past decade have confirmed a global greening pattern (increase in LAI) attributable to rising CO_2 levels. Zhu *et al.* (2016) was one of the first studies to report that global greening was detectable using satellite sensors. From 1982 to 2011 they detected greening over 25-50% of the Earth versus "browning" over only 4% and attributed 70% of the greening to rising CO_2 levels (see Figure 2.1).

Zheng (2017) confirmed the pattern, noting that greening over thirty years had added 8% to global leaf area and that greening was mitigating warming. Greening has been observed globally but Chen et al. (2019) noted that China and India were exploiting it though land management changes. Thus, while China accounts for only 6.6% of global vegetated area it accounts for 25% of global net increase in LAI. Piao et al. (2020) noted that greening was even observable in the Arctic.

While plant models predict increased in photosynthesis in response to rising CO_2, Haverd et al. (2020) reported a CO_2 fertilization rate nearly double what models had predicted. That is, CO_2 fertilization had driven an increase in observed global photosynthesis by 30% since 1900, versus 17% predicted by plant models. This indicates that global models of the socioeconomic impacts of rising CO_2 have likely understated the benefits to crops and agriculture. The connection between CO_2 fertilization and climate policy will be discussed in Chapter 10.

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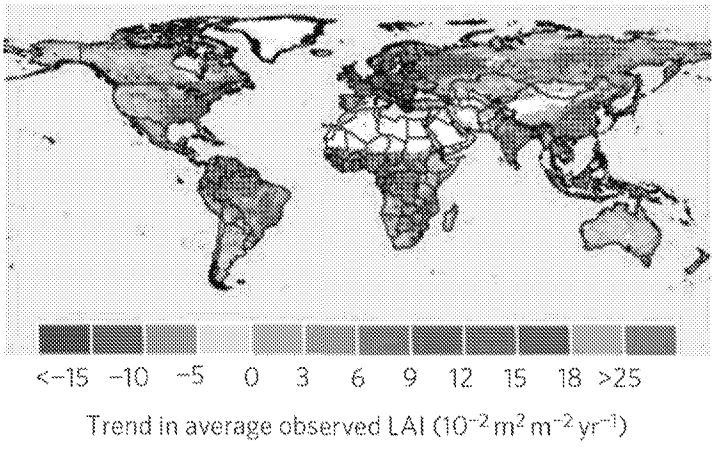

Figure 2.1: Trends in average Leaf Area Index (LAI). Source: Zhu et al. 2016 Figure 3.

Finally, Chen et al. (2024) surveyed claims that greening had slowed since 2000 due to drought stress. They found instead that faulty satellite sensors were the cause and that the corrected data showed accelerated greening over 55% of the globe while browning was accelerating over only 7%. In other words, the greening trend continued with no evidence of slowdown, and CO_2 fertilization remained the dominant driver

2.1.2 Photosynthesis and CO_2 levels

Plants build biomass through p*hotosynthesis,* a process that converts carbon dioxide, water, and light into sugar. The plant enzyme responsible for photosynthesis is called Ribulose-1,5-bisphosphate-carboxylase/oxygenase or "Rubisco". Photosynthesis is initiated when CO_2 is available at the surface of the RuBisCo enzyme where it is converted to a molecule with 3 carbon atoms and thereafter incorporated into plant mass. This is referred to as the "C3" process.

Rubisco is estimated to have evolved about 3 billion years ago. Over geological time the Earth's atmospheric CO_2 levels were usually many times higher than they are today, as shown in Figure 2.2.

About 400 million years ago CO_2 levels were an estimated 2,000-4,000 ppm and were at or above 1,000 ppm for much of the interval from 200 to 50 million years ago (Berner 2006, Judd et al. 2024). Over the past 35 million years the level of atmospheric CO_2 has been steadily declining. During glaciations levels have fallen as low as 170 ppm (Gerhart and Ward 2010).

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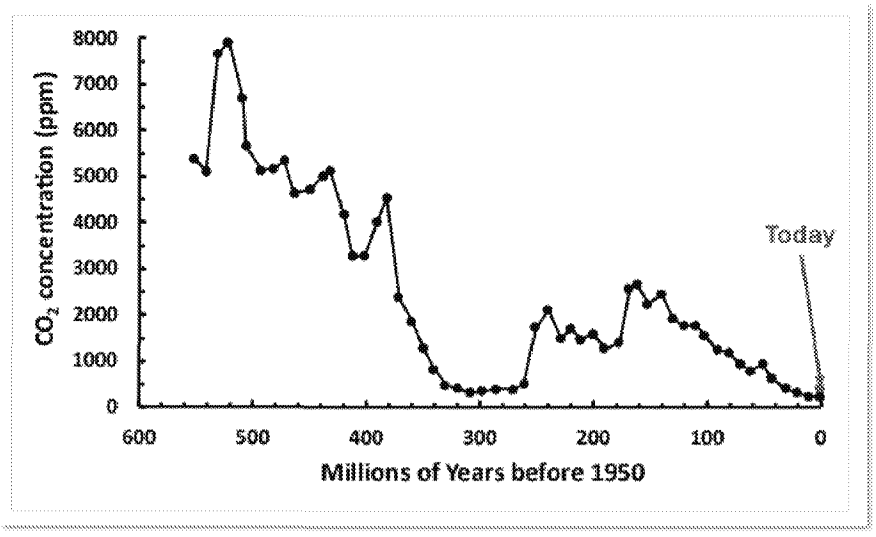

Figure 2.2. Ambient CO_2 levels over the past 550 million years. Today's concentration of 430 ppm is also shown. Source: (Berner 2006)

In response to low-CO_2 conditions some plants evolved another photosynthetic pathway called C4, in which CO_2 is concentrated in the vicinity of Rubisco allowing for the C3 process to function more efficiently. For agricultural purposes the plant categories are:

- C3: rice, wheat, soybeans and most other crops
- C4: maize (corn), sugar cane, millet, sorghum

Had atmospheric CO_2 levels continued declining, plant growth rates would have declined and eventually ceased. Below 180 ppm many C3 species experience growth rate reductions on the order of 40-60% compared to their growth rates at 350 ppm (Gerhart and Ward 2010). C3 plants have been observed under experimental conditions to stop growing altogether at levels between 60—140 ppm CO_2. Some C4 plants are still able to grow at levels even as low as 10 ppm, albeit very slowly (Gerhart and Ward 2010).

Currently, CO_2 levels are about 420 ppm, up from 280 ppm in the early 1800s. The positive response of plants to extra CO_2 is illustrated in Figure 2.3 which is reproduced from Gerhart and Ward (2010). It shows the growth effect of CO_2 on Velvetleaf (*Abutilon theophrasti*) seedlings over 14 days under controlled conditions where only the CO_2 exposure is varied. The gains exhibited from increasing CO_2 from 150 ppm to 350 ppm continue under a further doubling to 700 ppm.

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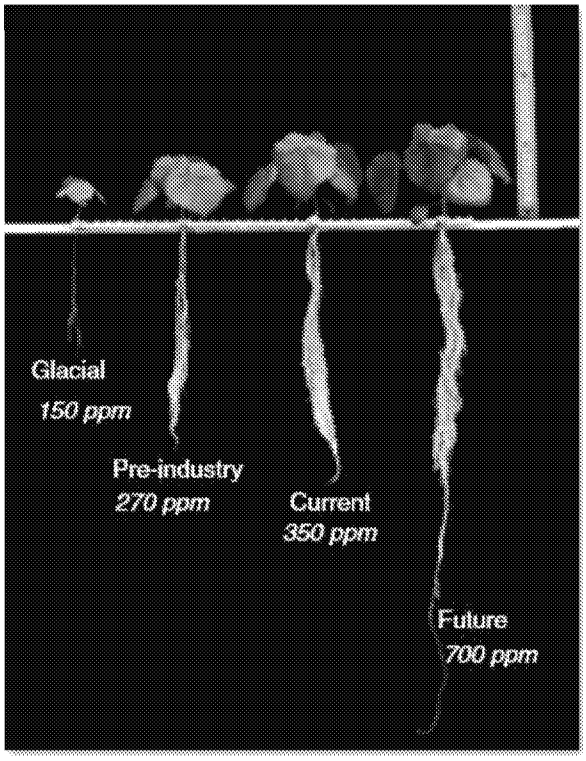

Figure 2.3: growth of *Abutilon theophrasti* after 14 days under identical conditions but for the indicated variations in CO_2 levels. Source: Gerhart and Ward (2010). Note "Current" corresponds to 1988 in image.

Over the past 60+ years there have been thousands of studies on the response of plants to rising CO_2 levels. The overwhelming theme is that plants, especially C3 plants, benefit from extra CO_2. There are two mechanisms by which CO_2 confers a growth benefit:

- Enhanced photosynthesis via the metabolic pathways described above.
- Increased water use efficiency. This arises because plants draw in CO_2 by opening the stomata (pores) on the leaf surface. When CO_2 is scarce the stomata must be kept wide open for long periods, which allows water to evaporate away. Under enriched CO_2 conditions the stomata are closed for longer periods helping the plant retain water longer, thereby increasing water use efficiency.

Specific effects of climate change on US agriculture will be reviewed in Chapter 10.

2.1.3 Rising CO_2 and crop water use efficiency

Derying et al. (2016) surveyed evidence on crop water productivity (CWP), the yield per unit of water used, drawing attention to the potential for CO_2 both to enhance photosynthesis and reduce leaf-level

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transpiration (water loss during leaf respiration). They surveyed all available FACE data (Free Air CO_2 Enrichment—see Chapter 10) on crop yield changes for Maize (corn), Wheat, Rice and Soybean, and combined it with crop model data simulating yield responses as of 2080 under the extreme RCP 8.5 scenario in four growing regions (Tropics, Arid, Temperate and Cold) each of which were split into rainfed and irrigated sub-regions. In every region they reported that models without CO_2 fertilization predicted CWP losses but CO_2 fertilization more than offset them so that all regions showed a net CWP gain. Deryng et al (2016) also reported that negative impacts of warming on wheat and soybean yields were fully offset by CWP gains and mitigated by up to 90% for rice and 60% for maize.

Similarly, Cheng et al. (2017) noted that increased global plant growth from 1982 to 2011 due to rising CO_2 uptake was accompanied by such large gains in CWP that despite the extra biomass global water use by plants had not increased.

Deryng et al. (2016) assumed that climate change would "exacerbate water scarcity". It is noteworthy that while models predict drylands will expand under climate warming, current data show the opposite: greening is happening even in arid areas. Zhang et al. (2024) report that due to increased CO_2 levels "increasing aridity in drylands won't lead to a general loss of vegetation productivity"; increased desertification will happen in at most 4% of currently arid areas.

2.1.4 CO2 fertilization benefits in IPCC Reports

The IPCC has only minimally discussed global greening and CO_2 fertilization of agricultural crops. The topic is briefly acknowledged in a few places in the body of the IPCC 6th and earlier Assessment Reports but is omitted in all Summary documents. Section 2.3.4.3.3 of the AR6 Working Group I report entitled "global greening and browning" points out that the IPCC Special Report on Climate Change and Land had concluded with *high confidence* that greening had increased globally over the past 2-3 decades. It then discusses that there are variations in the greening trend among data sets, concluding that while they have *high confidence* greening has occurred, they have *low confidence* in the magnitude of the trend. There are also brief mentions of CO_2 fertilization effects and improvements in water use efficiency in a few other chapters in the AR6 Working Groups I and II Reports.

Overall, however, the Policymaker Summaries, Technical Summaries and Synthesis Reports fail to discuss the topic.

2.2 Ocean Alkalinity

2.2.1 Changing pH

A neutral aqueous solution has a pH of 7.0, while one with pH greater than 7.0 is basic and with pH less than 7.0 is acidic. The modern-day global average pH of sea water is estimated to be 8.04 (Copernicus Marine Service 2025), down from an estimated value of 8.2 in pre-Industrial times (Gattuso and Hansson, 2011). As CO_2 concentrations in the atmosphere increase, more is absorbed by the oceans, which decreases alkalinity. Depending upon the buffering capacity of the oceans, the oceans are expected

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to become somewhat less alkaline over time, consistent with the observed decrease in pH.

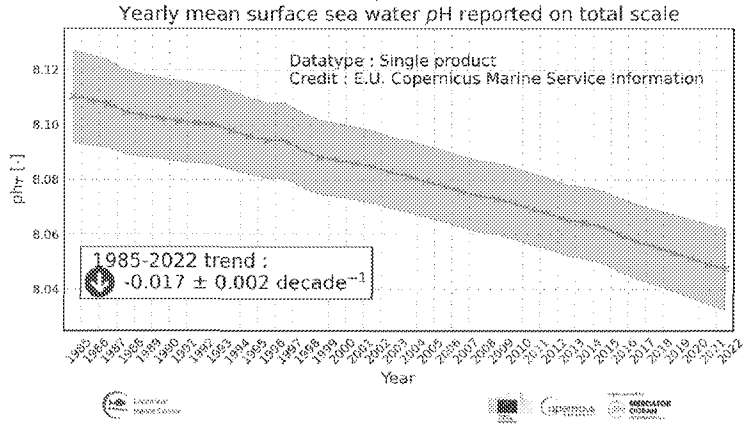

Figure 2.4: Ocean pH 1985 – 2022. Source: Copernicus Marine Service 2025

While this process is often called "ocean acidification", it is a misnomer because the oceans are not expected to become acidic; "ocean neutralization" would be more accurate. Even if the water were to turn acidic, it is believed that life in the oceans evolved when the oceans were mildly acidic with pH 6.5 - to 7.0 (Krissansen-Totton et al., 2018). On the time scale of thousands of years, boron isotope proxy measurements of pH show that ocean pH was around 7.4 or 7.5 during the last glaciation (up to about 20,000 years ago) increasing to present-day values as the world warmed during deglaciation (Rae et al., 2018). Thus, there appears to be considerable resilience of ocean biota to natural long-term changes in ocean pH since marine organisms are exposed to wide ranges in pH anyway.

2.2.2 Coral reef changes

There are concerns that a decreasing pH of sea water will reduce the calcification rate of coral reefs. But coral reefs already endure large swings in pH, partly due to daily photosynthetic activity in the reef; measured pH values range from 9.4 during the day to 7.5 at night (Revelle and Fairbridge, 1957). De'ath et al. (2009) reported that a portion of Australia's Great Barrier Reef (GBR, the world's largest coral reef ecosystem) had experienced a 14% decline in calcification since 1990. This was tentatively attributed to increasing water temperature and decreasing pH. But Ridd et al. (2013) showed that report to have resulted from a biased data analysis which, when corrected, showed no change in calcification rates. Nevertheless, the alarm produced by the original paper has persisted as evidenced by the large number of published citations (541) received by the original study compared to only 11 citations for the correction (as of 30 April 2025).

The most recent annual summary of GBR conditions from the Australian Institute of Marine Science indicates a strong rebound has occurred in coral production (AIMS, 2023). Figure 2.5 shows the results of the AIMS surveys of hard coral cover, expressed as a percentage of the reef area. Much of the decline in the GBR before 2011 turned out to be due to intense tropical cyclone activity (Beeden et al., 2015) as well as a string of marine heatwaves, agricultural runoff and invasive species (Woods Hole, 2023). Given the reported declines in GBR calcification between 1990 and 2009 and the continued increase in atmospheric CO_2 levels the rebound has come as a surprise to some observers.

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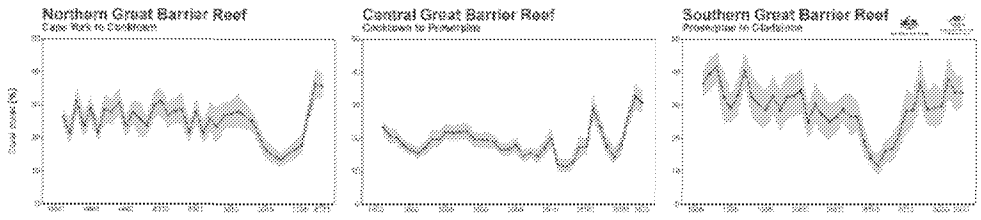

Figure 2.5 Hard coral cover of three regions of the Great Barrier Reef 1985 to 2023. Source: AIMS 2023.

It is being increasingly recognized that publication bias (alarming ocean acidification results preferred by high-impact research publications) exaggerates the impacts of declining ocean pH. An ICES Journal of Marine Science Special Issue addressed this problem with an article entitled, *Towards a Broader Perspective on Ocean Acidification Research*. In the Introduction to that Special Issue, H. I. Browman stated, "As is true across all of science, studies that report no effect of ocean acidification are typically more difficult to publish." (Browman, 2016).

Similarly, a meta-analysis (Clements et al., 2021) of the negative effects of ocean acidification on reef fish behavior found what they called a "decline effect": initially dramatic conclusions published in prominent journals showing apparently large reported effects of acidification tended to be followed up by subsequent studies on larger sample sizes yielding much smaller and typically non-existent effects. They call for their colleagues to improve research practices to prevent the "decline effect" from persisting:

> [The] vast majority of studies with large effect sizes in this field tend to be characterized by low sample sizes, yet are published in high-impact journals and have a disproportionate influence on the field in terms of citations. We contend that ocean acidification has a negligible direct impact on fish behavior, and we advocate for improved approaches to minimize the potential for a decline effect in future avenues of research (Clements et al., 2021).

In summary, ocean life is complex and much of it evolved when the oceans were acidic relative to the present. The ancestors of modern coral first appeared about 245 million ago; as can be seen from Figure 2.2, CO_2 levels for more than 200 million years afterward were many times higher than they are today. Much of the public discussion of the effects of ocean "acidification" on marine biota has been one-sided and exaggerated. There is a known tendency for studies reporting dramatic effects on things like coral cover and fish behavior to get published in high-impact journals and receive widespread press coverage, then subsequent research rebutting those findings receives little attention.

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3 HUMAN INFLUENCES ON THE CLIMATE

Chapter Summary:

The global climate is naturally variable on all time scales. Anthropogenic CO_2 emissions add to that variability by changing the total radiative balance in the atmosphere.

The IPCC has downplayed the role of the sun in climate change but there are plausible solar irradiance reconstructions that imply it contributed to recent warming.

Climate projections are based on IPCC emission scenarios that have tended to exceed observed trends. The vast majority of academic climate "impacts" studies in recent years are based upon the extreme 8.5 scenario that is now considered implausible; its use as a business-as-usual scenario has been misleading.

Carbon cycle models connect annual emissions to growth in the atmospheric CO_2 stock. Models disagree over the rate of land and ocean CO_2 uptake but all agree that it has been increasing since 1959.

3.1 Components of radiative forcing and their history

3.1.1 Historical radiative forcing

A changing climate has been the norm throughout the Earth's 4.6-billion-year history. The Earth's temperature and weather patterns change naturally over timescales ranging from decades to millions of years. Natural variations in the surface climate originate in two ways. Internal climate fluctuations associated with circulations in the atmosphere and ocean produce exchanges of energy, water, and carbon between the atmosphere, oceans, land, and ice. External influences on the climate system include variations in the energy received from the sun and the effects of volcanic eruptions. Human activities influence climate through changing land use and land cover. Humans are also changing the composition of the atmosphere by emissions of CO_2 and other greenhouse gases and by altering the concentration of aerosol particles in the atmosphere.

The earth is warmed by the sunlight it absorbs and is cooled by the radiative heat it emits to space. Averaged over the Earth's surface, each of these processes involve power flows of about 240 Watts per square meter (W/m^2). When they are in balance, there are no net external causes of warming or cooling. Both human and natural influences on the climate alter this balance and so cause the climate to change.

Influences on the Earth's energy balance at the top of the atmosphere are quantified by "radiative forcing", the extent to which they disturb the warming/cooling balance; a positive forcing warms while a negative forcing cools. The IPCC's estimated history of major components of radiative forcing since 1750 is shown in the following two figures from its AR6.

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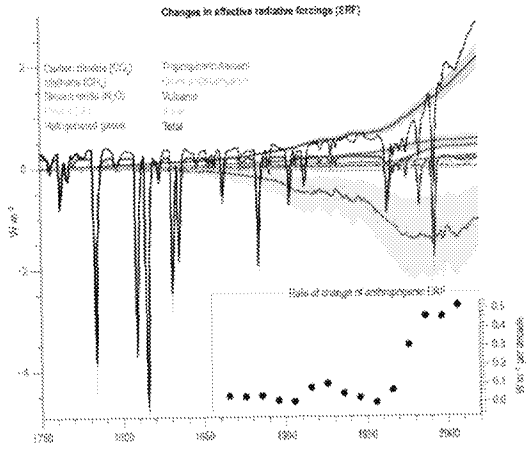

Figure 3.1.1: IPCC estimates of radiative forcing components over time. Source:

[HYPERLINK "https://www.ipcc.ch/report/ar6/wg1/figures/chapter-2/figure-2-10/"]

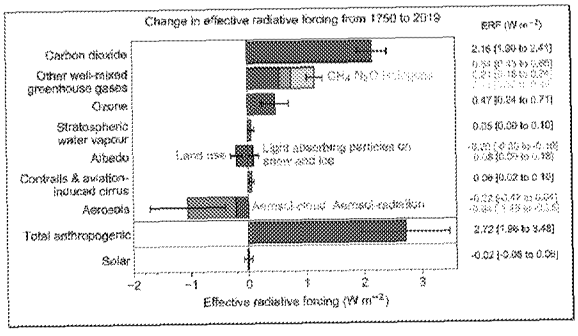

Figure 3.1.2 IPCC estimates of radiative forcing component changes from 1750 to 2019. Source: [HYPERLINK "https://www.ipcc.ch/report/ar6/wg1/figures/chapter-7/figure-7-6/"]

These graphs show that the total radiative forcing is comprised of both natural and anthropogenic components. Carbon dioxide is the largest human influence on the climate and the one most relevant to the influence of energy systems. It exerts a warming influence by decreasing the cooling power of the atmosphere. Emissions of CO_2 are accumulating in the atmosphere, as described in the following section, so that the warming influence is increasing. Other greenhouse gases (methane, nitrous oxide, halogens, and ozone) act similarly, currently adding another 75% to CO_2's warming. Aerosols exert a cooling effect, although with large uncertainties in the way they catalyze the formation of reflective clouds. As a result, understanding the causes of recent warming requires not just identifying the warming effects of CO_2, but also the more uncertain cooling effects of aerosols.

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The IPCC views the change in the radiative forcing by the sun to be negligible, based on their preference for reconstructions that imply minimal solar change since preindustrial times. Connolly *et al.* (2021) reviewed sixteen different Total Solar Irradiance (TSI) reconstructions in the literature covering the 1600-2000 interval; they vary from almost no change in TSI to a relatively large upward trend. Those authors note that the variation in TSI reconstructions combined with variations in surface temperature reconstructions allows for inferences consistent with either no or most 20th Century warming being attributable to the sun.

A particularly thorny issue is the gap in TSI data between 1989 and 1991 due to a delay in the launch of a monitor following the Space Shuttle Challenger disaster on January 28 1986. This delay prevented a replacement satellite from being launched in time to overlap with, and its readings to be intercalibrated with, the prior system (Zacharias 2014, Scafetta et al. 2019). This is called the ACRIM (Active Cavity Radiometer Irradiance Monitor) gap problem. The question of whether there is an upward trend in TSI over 1978 to 2018 comes down to how the ACRIM data gap is filled. Connolly et al. (2021) found that the IPCC's consensus statements on solar forcing were prematurely formulated through the suppression of dissenting scientific opinions.

The other natural radiative forcing component is largely volcanic aerosols, which exert an episodic cooling influence. Box 4.1 in the IPCC AR6 Report addresses the climate impact of volcanic eruptions, noting three explosive volcanic eruptions that occurred in the first half of the 19th century. This included the 1815 Tambora eruption that resulted in the 'year without summer', with multiple harvest failures across the Northern Hemisphere. There is uncertainty about the sign of the relatively small forcing due to the submarine volcano Hunga Tonga which erupted in 2022 (Jenkins et al. 2023, Schoeberl et al. 2024).

The anthropogenic forcing component was negligible before about 1900 and has increased steadily since, rising to almost 3 W/m^2 today. However, this is still only about 1 percent of the unperturbed radiation flows, making it a challenge to isolate the effects of anthropogenic forcing; state-of-the-art satellite estimates of global radiative energy flows are only accurate to a few W/m^2. Natural sources of global energy imbalance other than volcanoes and total solar irradiance (TSI) are not included in these graphs because they remain largely unknown.

3.1.2 Change in atmospheric CO_2 since 1958

Carbon dioxide's warming influence depends upon how much "extra" CO_2 accumulates in the atmosphere- *i.e.*, its concentration above the preindustrial value of 280 ppm. The CO_2 level as recorded at the Mauna Loa observatory in Hawaii is generally used as the representative global average concentration. The data are available online at [HYPERLINK "https://gml.noaa.gov/ccgg/trends/index.html"]. The concentration was about 316 ppm at the start of the record in 1959 and is now about 430 ppm, a 36 percent increase. At the end of the last glaciation CO_2 levels had fallen to about 180 ppm. As discussed in Chapter 2 C3 plants begin dying at CO_2 levels below about 140 ppm and C4 plants at CO_2 levels below 100 ppm, so if CO_2 levels had continued falling plant life might have been in peril.

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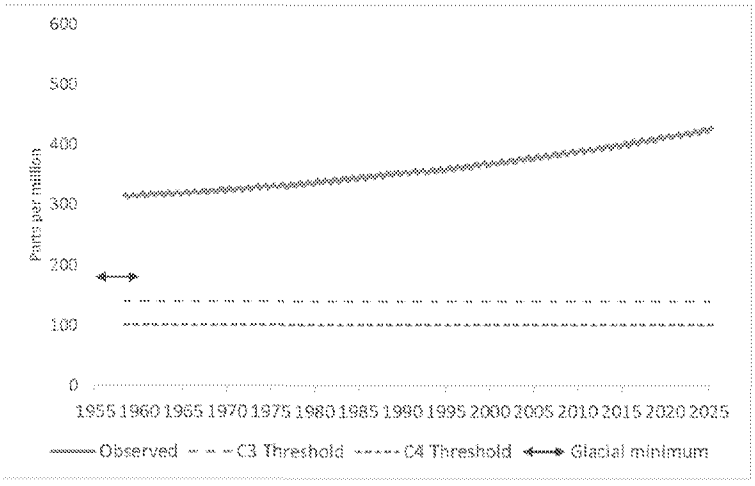

Fig. 3.1.3. CO2: Yearly average atmospheric CO_2 concentrations (1959-2025) in ppm measured at Mauna Loa (blue). C3 Threshold: Level below which C3 plants begin dying (140 ppm, see Chapter 2). C4 Threshold: Level below which C4 plants begin dying (100 ppm, see Chapter 2). Glacial minimum: Minimum level during recent glaciations (purple arrow). CO_2 data source: [HYPERLINK "https://gml.noaa.gov/ccgg/trends/index.html"]

The annual increase in concentration is only about half of the CO_2 emitted because land and ocean processes currently absorb "excess" CO_2 at a rate approximately 50% of the human emissions. Future concentrations, and hence future human influences on the climate, therefore depend upon two components: (1) future rates of global human CO_2 emissions, and (2) how fast the land and ocean remove extra CO_2 from the atmosphere. We discuss each of these in turn.

3.2 Urbanization influence on temperature trends

Historical temperature data over land has been collected mainly where people live. This raises the problem of how to filter out non-climatic warming signals due to Urban Heat Islands (UHI) and other changes to the land surface. If they are not removed the data may over-attribute observed warming to greenhouse gases. The IPCC acknowledges that raw temperature data are contaminated with UHI effects but claims to have data cleaning procedures that remove them. It is an open question whether those procedures suffice for the purpose.

AR6 downplayed the issue by saying (WGI p. 235) that no recent evidence had emerged to alter the AR5 finding that urbanization causes an upward bias of no more than 10% in the global land surface warming trend. AR5 (WGI p. 189) also cited the 10% upper bound without citing a source. AR4 (WGI p. 244) cited Jones et al. (1990) and Peterson et al. (1999) as the basis of the claim. Peterson et al. had failed to find any difference in trends between rural and urban samples, although their definition of rural included local populations up to 10,000 persons and the relative influence of urbanization begins well below that (Spencer et al., 2025). Jones et al. compared rural/urban warming in three regions: Eastern Australia, Eastern China and Western Soviet Union. Their definition of ''rural'' included towns of up to 10,000 in the

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former Soviet Union and up to 100,000 in China. They found relative warming biases greater than 10% in these areas but conjectured that the urbanization effect averaged over the areas they did not examine would bring the global land bias to under 10% of the observed warming trend.

Prior to the IPCC AR4 several papers appeared in print arguing that the warming effect of UHIs added a relatively large (30-50%) component to observed warming and was not simulated by climate models (de Laat and Maurellis 2006, McKitrick and Michaels 2007). These findings were based on correlations between locations of maximum warming over land with locations of maximum socioeconomic development. AR4 asserted (p. 244) that these correlations were an artefact of natural atmospheric circulations and were in fact statistically insignificant, and on that basis set the findings aside. The claim attracted controversy because it was presented with no supporting evidence. McKitrick (2010) and McKitrick and Nierenberg (2010) showed that taking into account various conjectured alternative explanations for the correlations did not affect their significance. The AR5 (p. 189) conceded that the AR4 had provided "no explicit evidence" for its assessment and further acknowledged, based on these papers, that there was "significant evidence for such contamination of the record" i.e. a warming bias in the land record. However as noted, elsewhere in the report they carried forward the AR4 claim that it was less than 10% of observed warming and they expressed no caution against using the land record for climate measurement on the assumption that it is not contaminated with UHI bias. Recently Soon et al. (2023) estimated an urbanization bias in the Northern Hemisphere land record over 1850-2018 sufficient to increase the trend in the blended record from 0.55°C to 0.89°C per century.

Some studies providing evidence against UHI contamination compared warming rates between rural and urban locations (Jones et al. 1990, Peterson et al. 1999, Wickham et al. 2013). It is not known whether such methods would be capable of detecting UHI bias even when present. The influence of UHI warming is logarithmic, in other words it is strongest at low population growth levels then tapers off as local urbanization expands (Oke 1973, Spencer et al. 2025). Hence finding the same or more warming in rural areas than in nearby urban areas is consistent either with UHI bias being absent or present. McKitrick (2013) provided an empirical demonstration in which the rural/urban trends were not significantly different in a data set shown on other grounds to be contaminated with UHI bias.

Parker (2006) instead examined a sample of urban locations and found no difference in trends between subsets partitioned according to nighttime wind speed, concluding on this basis that urbanization could not be a significant factor. Here again the question is whether such a method would find UHI bias even if present. McKitrick (2013) presented an example in which UHI-contaminated data did not exhibit significant trend differences when stratified according to wind speed.

The challenge in measuring UHI bias is relating local temperature change to a corresponding change in population or urbanization, rather than to a static classification variable such as rural or urban. Spencer et al. (2025) use newly-available historical population archives to undertake such an analyses and found evidence of significant UHI bias in US summertime temperature data.

In summary while there is clearly warming in the land record, there is also evidence that it is biased upward by patterns of urbanization and that these biases are not removed by the data processing algorithms used to produce climate data sets.

3.3 Future emission scenarios and the carbon cycle

3.3.1 Emission scenarios

Because of the great uncertainties about these many factors in the decades to come, Assessing the dangers of future GHG emissions requires assumptions about what those emissions will be. Future emissions, and hence human influences on the climate, will depend upon future demographics, economic

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activity, regulation, and energy and agricultural technologies. Various assumptions about each of those lead to projections of greenhouse gas emissions and concentrations, aerosol concentrations, and changes in land use, which ultimately can be combined into assumptions about anthropogenic radiative forcing.

Instead of making precise predictions of future concentrations, the IPCC has created various sets of scenarios meant to span a plausible range of possibilities for population, economy, and technologies. Recent versions of the scenarios are labeled by a number indicating the anthropogenic radiative forcing expected in 2100 under that scenario. Thus, a scenario labeled with a "6" corresponds to 6 W/m^2 of human-induced radiative forcing (warming) at the end of the century. (Recall current anthropogenic radiative forcing is about 2.7 W/m^2.)

Although the IPCC does not claim its emission scenarios are forecasts, they are often treated as such. Comparisons of past scenario groups against observations show a tendency for IPCC emission projections to overstate actual subsequent emissions. For the IPCC Third and Fourth Assessment Reports a set of emission projections from the *Special Report on Emission Scenarios* was used; these were referred to as the SREP scenarios. McKitrick *et al.* (2012) showed that, when converted to per capita values, the SREP scenario emissions distribution was skewed upwards and incompatible with observed trends. McKitrick and Wood (2013) further showed that global energy market dynamics constrained global per capita CO_2 emissions to an extent not reflected in IPCC scenarios. The bias of the SRES scenarios was confirmed by the later analysis of Hausfather *et al.* (2019) who showed (Figure 3.3.1) that observed atmospheric CO_2 concentrations tracked the low end of the SRES range and also of subsequent IPCC scenario ranges.

For AR5 the IPCC developed a new set of scenarios called the Representative Concentration Pathways (RCPs). These were identified by a number representing the increase in forcing and were thus called RCP2.6, RCP4.5, RCP6.0 and RCP8.5. RCP2.6 (implying an anthropogenic radiative forcing in 2100 of 2.6 W/m^2) projects a GHG concentration pathway leading to warming well below 2°C. At the other end of the scale RCP8.5 is an extreme outcome implying nearly 5°C warming just in this century.

RCP8.5 came to be referred to as the no-policy baseline, or "business-as-usual" scenario in both the academic literature and popular media. It was therefore used to generate the reference outcome supposedly representing the 21st century world in the absence of increasingly stringent emission reduction policies. But RCP8.5 was intended as a low-probability high emissions scenario and its use as a business-as-usual baseline has been criticized as grossly misleading.[1] Hausfather and Peters (2020) writing in a commentary in *Nature* magazine, pointed out that RCP8.5 was developed as an extreme worst-case, and its misuse as a "business as usual" baseline has resulted in a large number of misleading studies and media reporting.

The implausibility of the RCP8.5 scenario was examined by Burgess *et al.* (2021). They noted that RCP8.5 has already diverged from observed trends in energy use and the near future trends diverge sharply from those of the International Energy Agency (IEA), which provides market-based projections of energy use for the coming decades. The IEA trends, which are based on plausible extrapolations of current economic and demographic trends, run below the entire envelope of RCP projections, implying not only that RCP8.5 is dubious, but that even lower emission scenarios are looking unlikely.

Widespread use of RCP8.5 has created an alarmist bias in the climate impacts literature. The extent of this problem was confirmed in a literature analysis by Pielke Jr. and Ritchie (2020). They found that some 16,800 scientific papers published between 2010 and 2020 used the RCP8.5 scenario, with about 4,500 of the articles linking RCP8.5 to the concept of "business-as-usual". Their analysis showed how RCP8.5 was misused not only by individual researchers, but also by influential science agencies like the IPCC and the US National Climate Assessment (USNCA), which has directly led to misleading coverage in prominent media outlets.

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[1] This extreme scenario is useful for modelers, since a large forcing generates a large response (warming) making it easier to assess a model's sensitivity. But that's very different than claiming it is a plausible future.

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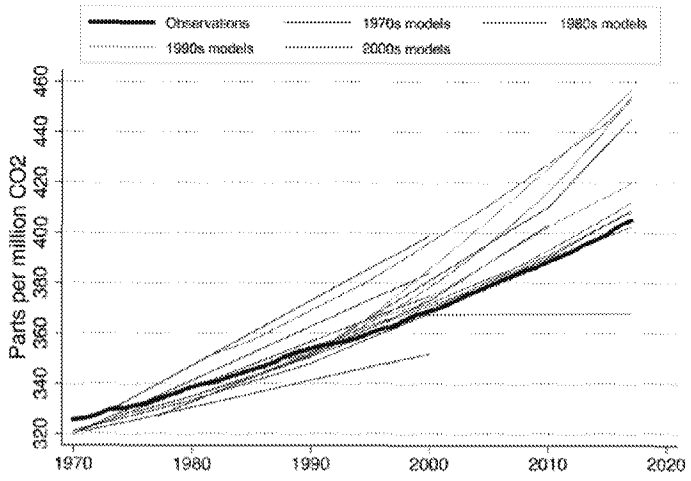

Figure 3.3.1 Comparison of observed atmospheric CO_2 levels (black line) with scenario projections (colored lines, mainly IPCC) since the 1970s. Source: Hausfather et al. (2019) Figure S4.

Pielke and Ritchie (2020) reported new studies using RCP8.5 were published at a rate of about 20 per day, with about two per day specifically linking RCP8.5 and "business as usual." They conclude that the climate research community has spent a decade "committing scientific resources to science fiction" and that "The scientific literature has become imbalanced in an apocalyptic direction."

The IPCC developed a new set of scenarios for AR6, the "Shared Socioeconomic Pathway" (SSP) scenarios, which have continued the bias shown in the RCP and SRES scenarios. Figure 3.3.2 shows total global observed CO_2 emissions compiled by the International Energy Agency (IEA) merged with the emission projection from the EIA taking account of energy use projections and current policies. The other lines show the range of IPCC SSP scenarios (SSP1-SSP5). As of 2023, global CO_2 emissions have been tracking well below SSP3 and higher.

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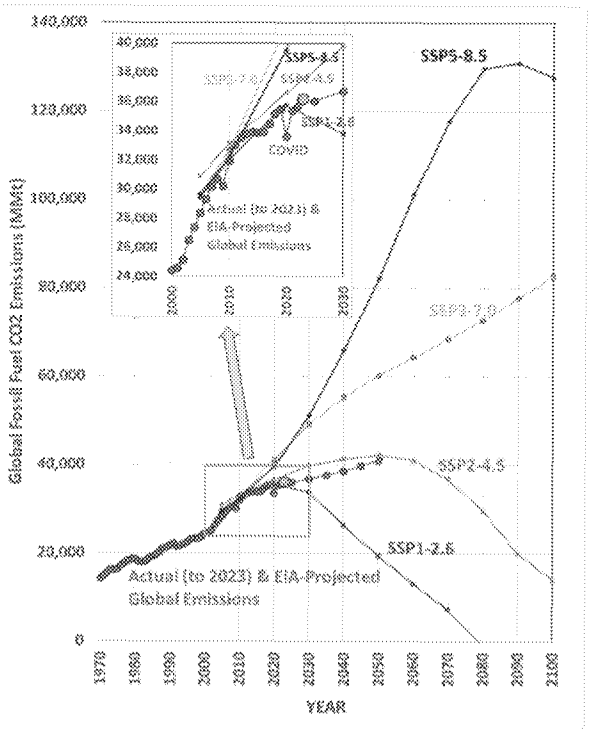

Figure 3.3.2. Observed and projected CO2 emissions. Source: IPCC (SSP scenarios) and Energy Information Administration (EIA). Green: observed historical emissions and EIA projections. Other lines: SSP1-5. Data source: Friedlingstein et al. (2024).

3.3.2 The carbon cycle relating emissions and concentrations

Carbon dioxide emissions from fossil fuel burning (and to a lesser extent land use [biomass burning] and cement production) have led to steadily increasing CO_2 concentrations in the atmosphere, as shown in Fig. 3.1.3. The relation between emissions and concentration is determined by the global carbon cycle of land and ocean processes that exchange carbon with the atmosphere. Our understanding of these processes was reviewed by Crisp et al. (2021).

There are about 850 Gt of carbon (GtC) in the Earth's atmosphere[2], almost all of it in the form of CO2. Each year, biological processes (plant growth and decay) and physical processes (ocean absorption and outgassing) exchange about 200 GtC of that carbon with the Earth's surface (roughly 80 GtC with the land and 120 GtC with the oceans). Before human activities became significant, removals from the atmosphere

[2] Because CO_2 is chemically transformed through the course of the carbon cycle, it is more convenient to track atoms of carbon rather than molecules of CO2. One gigatonne of carbon (GtC) is equilvalent to about 3.7 Gt of CO_2.

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were roughly in balance with additions. But burning fossil fuels (coal, oil, and gas) takes carbon out of the ground and adds it to the annual exchange. That addition (together with a much smaller contribution from cement manufacturing) amounted to 10.3 GtC in 2023, or only about 5 percent of the annual exchange.

The carbon cycle accommodates about 50 percent of humanity's small annual injection of carbon into the air by naturally sequestering it through plant growth and oceanic uptake, while the remainder accumulates in the atmosphere (Ciais et al., 2013). For that reason, the annual increase in atmospheric CO_2 concentration averages only about half of that naively expected from human emissions.

To project future CO_2 concentrations in the atmosphere, and hence future human influences on the climate, it is important to know how the carbon cycle might change in the future. The historical near-constancy of that 50% fraction means that the more CO_2 humanity has produced, the faster nature removed it from the atmosphere. That 50% fraction changes somewhat from year to year due to natural carbon cycle imbalances from El Nino, La Nina, and varying weather patterns. There was also a substantial additional reduction in atmospheric CO_2 after the 1991 eruption of Mt. Pinatubo, a curious result that has yet to be explained (Angert et al., 2004).

The main processes that remove excess CO_2 from the atmosphere are increased growth of land vegetation (especially at high latitudes), some increase in the sequestering of carbon in soils, and uptake of CO_2 by the ocean due to the increasing partial pressure of atmospheric CO_2 over that of CO_2 dissolved in the ocean. All twenty land carbon cycle models tracked by the Global Carbon Project (Friedlingstein et al., 2024) show land processes have been removing excess CO_2 at an increasing rate since 1959. This is consistent with a "global greening" phenomenon (Chapter 2.1) observed by satellites since monitoring of global greenness began in the 1982.

While land vegetation has been responding positively to more atmospheric CO_2, uptake of extra CO_2 by ocean biological processes remains too uncertain to be measured reliably. Our current understanding of these and many more carbon cycle processes was reviewed by Crisp *et al.* (2021).

CO_2 uptake by land processes

The uptake of extra CO2 from the atmosphere by land surface processes (as also inferred from global greening) has been modeled with 20 different dynamic global vegetation models, the outputs of which are updated every year by the Global Carbon Project (Friedlingstein, 2024). As seen in Fig. 3.3.3, all of those models agree that vegetation and soils have been sequestering carbon from the atmosphere. But we also see that the long-term trends over 1959 to 2023 (65 years) vary widely between models, by nearly a factor of 7. This demonstrates at there remains considerable uncertainty regarding how fast CO_2 from the atmosphere is being removed by land processes, which in turn translates into uncertainty regarding future atmospheric CO_2 concentrations. Those uncertain future CO_2 concentrations then imply a source of uncertainty in climate model simulations of future climate change.

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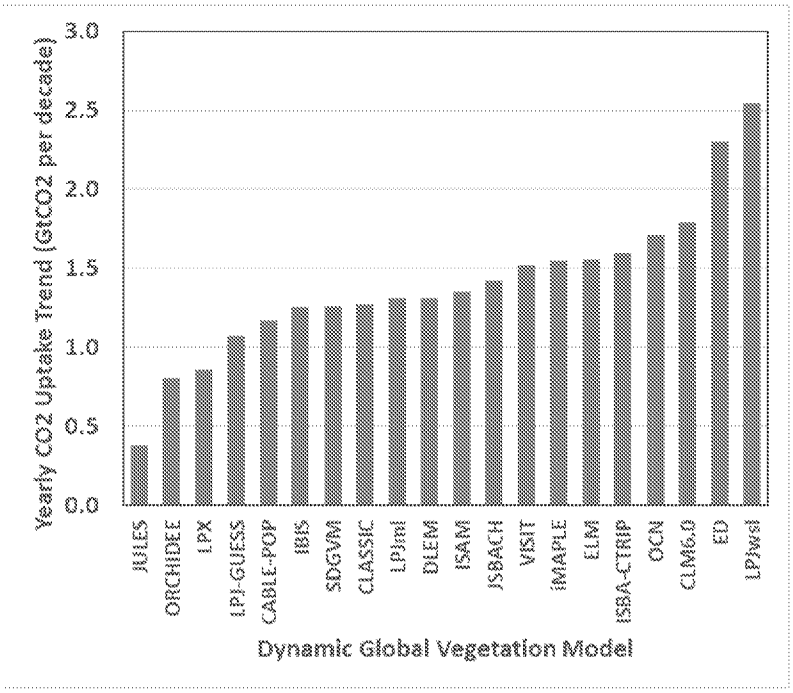

Figure 3.3.3 Decadal trends in the yearly CO_2 uptake by land processes during 1959-2023 simulated by 20 different dynamic global vegetation models periodically reported by the Global Carbon Project (Friedlingstein, 2024).

CO_2 uptake by ocean processes

The uptake of extra CO2 from the atmosphere by ocean processes has been modeled with 10 different dynamic global vegetation models, the outputs of which are updated every year by the Global Carbon Project (Friedlingstein, 2024). Like the results from the land models, all of the ocean models agree that the global oceans have been sequestering carbon from the atmosphere at an increasing rate during 1959-2023 (Fig. 3.3.4). Unlike the land models, however, the ocean models show much better agreement with each other, with the model producing the fastest increasing CO2 uptake being only 65% faster than the model with the most slowly increasing CO_2 uptake. In spite of the relative agreement among models, Friedlingstein et al. (2022) notes that there is also substantial discrepancy between the different methods on the strength of the ocean sink over the last decade, particularly in the Southern Ocean.

Note that the average trend in CO2 uptake across all land models in Fig. 3.3.3 is 25% larger than the average trend in ocean uptake. This suggests land processes are increasing in their ability to remove CO_2 faster than ocean processes are increasing their CO_2 sequestration.

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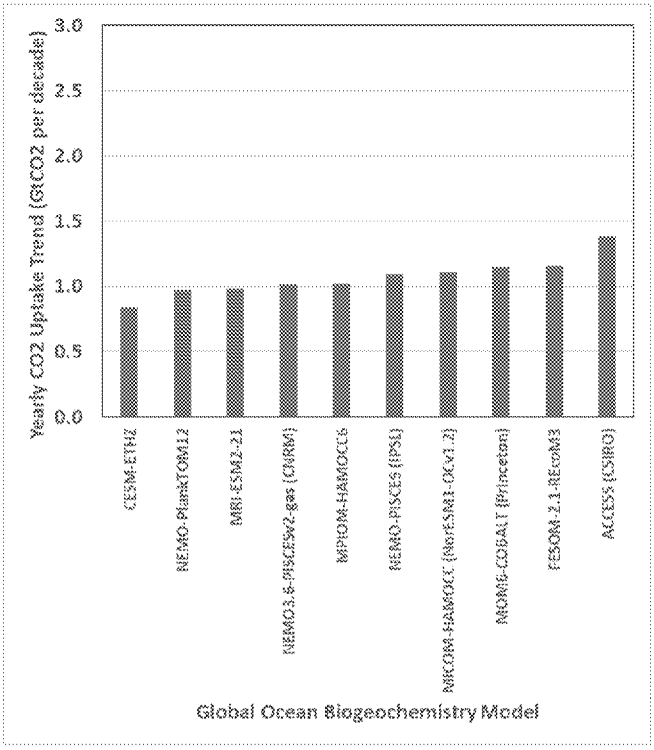

Figure 3.3.5 Decadal trends in the yearly CO_2 uptake by ocean processes during 1959-2023 simulated by 10 different ocean biogeochemistry models periodically reported by the Global Carbon Project (Friedlingstein, 2024).

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PART II: CLIMATE RESPONSE TO CO_2 EMISSIONS

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4 CLIMATE SENSITIVITY TO CO_2 FORCING

Chapter Summary

There is growing recognition that climate models are not fit for the purpose of determining the Equilibrium Climate Sensitivity (ECS) of the climate to increasing CO_2. The IPCC has turned to data-driven approaches using historical data and paleoclimate reconstructions, but their reliability is diminished by data inadequacies.

Data-driven ECS estimates tend to be lower than climate model-generated values. The IPCC AR6 upper bound for the likely range of ECS is 4.0°C, lower than the AR5 value of 4.5°C. This lowering of the upper bound seems well justified by paleoclimatic data. The IPCC AR6 lower bound for the likely range of ECS is 2.5°C, substantially higher than the AR5 value of 1.5°C. This raising of the lower bound is more weakly justified. Evidence since the AR6 finds the lower bound of the likely range to be around 1.8°C.

4.1 Introduction

The climate's response to increasing concentrations of CO_2 is central to the scientific debate on anthropogenic climate change, and so also to the public debate on "climate action." The simplest measure of that response is the rise in the global average surface temperature, quantified by the Equilibrium Climate Sensitivity (ECS). ECS is defined as the amount of warming expected in response to a doubling of CO_2 from its pre-industrial concentration of 280 ppm, after all climate components have had time to adjust. Some components, like temperatures in the lower atmosphere (troposphere), adjust rapidly, while others such as the deep ocean and cryosphere might take as long as centuries. A related measure, the Transient Climate Response (TCR), better describes the shorter time scales; it is defined as the amount of warming when the CO_2 concentration is doubled by rising one percent annually for 70 years.

The 1979 Charney Report for the US National Academy of Sciences (National Research Council 1979) proposed that the most likely ECS was 3.0 ± 1.5°C. The IPCC repeatedly reaffirmed that range with only minor variations until its most recent AR6. AR5 termed 1.5–4.5°C as the *likely* range (66 percent probability) and stated that ECS is *extremely unlikely* (95 percent probability) to be below 1.0°C and very unlikely (90 percent probability) to exceed 6.5°C.

The uncertainty in ECS has remained stubbornly wide, despite many individual studies that claimed to narrow it (Hausfather 2023). Most recently, AR6 narrowed the *likely* range to 2.5–4.0°C and deemed the *very likely* range to be 2.0–5.0°C. This narrowing on the low end is disputed, as will be discussed below.

Uncertainties in ECS are highly consequential for policy making. As will be discussed in Chapter 11, economic models use ECS values to project the costs of CO_2 emissions. The traditional value (3.0 °C) has typically yielded modest global social costs of CO_2 emissions, sufficient to justify some policy actions, but mostly deferred to later in this century. If ECS is very high (above 4.5°C) immediate aggressive emission controls become more imperative, whereas no CO_2 emission controls are economically justifiable for ECS below 2.0°C (Dayaratna et al. 2016, 2020). Obtaining a precise estimate is impossible, so policy making needs to account for the uncertainty.

Climate scientists use multiple lines of evidence to determine the Equilibrium Climate Sensitivity:

- Climate model simulations
- Historical observations
- Paleoclimatic observations

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- Process understanding of feedbacks

By itself, the equilibrium warming effect of a doubling of atmospheric CO2 is slightly more than 1°C (Soden and Held 2006). Larger values of ECS arise from positive feedbacks that amplify the CO2 warming. Water vapor feedback is positive: a warmer atmosphere may have more water vapor, which itself is a powerful greenhouse gas. Warmer temperatures also result in less snow and sea ice cover, allowing the Earth to absorb more of the sun's radiation. Some simple estimates of these feedbacks increase the ECS to around 2°C (Sherwood et al., 2020).

Values of ECS above 2°C arise primarily from positive cloud feedbacks whose magnitude, and even sign, are highly uncertain. Elements of cloud feedback include changes in the latitudinal distribution of clouds, changes in the distribution of cloud height (changes in low versus high clouds), changes to the phase of clouds (ice versus liquid), changes in cloud particle size (associated with changes in concentration and/or composition of aerosol particles), changes in the precipitation efficiency of clouds, and even changes in how clouds are distributed over the daily solar cycle (Curry and Webster, 1999). It is difficult for climate models to simulate any of these processes correctly owing to their small scale, let alone predict how they will change in the future. Further, cloud processes modulate the magnitudes of the water vapor, lapse rate, and the surface albedo feedbacks.

4.2 Model-based estimates of climate sensitivity

The ECS ranges given in IPCC AR4 and AR5 were obtained primarily by examining the behaviour of large-scale climate models, also called General Circulation Models (GCMs). However, the IPCC changed course in its AR6 when it turned to a more direct data-driven methodology. Here we discuss some of the pitfalls of using GCMs to try to determine the Earth's climate sensitivity.

ECS is an emergent property of climate models—that is, it is not directly parameterized or tuned. Historically, collections of parameterizations have been chosen to produce an expected value of climate sensitivity, particularly with regards to sub-grid-scale cloud processes, particularly in AR4 and to a lesser extent in AR5. Otherwise plausible GCMs and parameter selections have been discarded because of perceived conflict with this warming rate, or aversion to a model's climate sensitivity being outside an accepted range. For example, even in a CMIP6 model, Mauritsen and Roeckner (2020) state the following regarding their Max Planck Institute climate model (emphasis added):

> "We have documented how we tuned the MPI-ESM1.2 global climate model to match the instrumental record of warming; an endeavor which has clearly been successful. Due to the historical order of events, the choice was to do this practically by *targeting an ECS of about 3 K using cloud feedbacks*, as opposed to tuning the aerosol forcing."

In other words, the modelers chose an ECS value of 3°C and then tuned the cloud parameterizations to match their intended result.

Direct warming from CO_2 doubling is only about 1°C (Hall and Manabe 1997); further warming arises from climate feedbacks that are not explicitly resolved by the GCM but rely on parameterizations of physical processes. Higher values of ECS arise primarily from assumed positive cloud feedbacks, whereas the magnitude and even the sign of the feedbacks are very uncertain. Elements of cloud feedback include changes in the latitudinal distribution of clouds, changes in the distribution of cloud height (changes in low versus high clouds), changes to the phase of clouds (ice versus liquid), changes in cloud particle size (associated with changes in concentration and/or composition of aerosol particles), changes in the precipitation efficiency of clouds, and even changes in how clouds are distributed over the daily solar cycle (Curry and Webster, 1999). It is difficult for GCMs to simulate any of these processes correctly owing to

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their small scale, let alone predict how they will change in the future. Further, cloud processes modulate the magnitudes of the water vapor, lapse rate, and the surface albedo feedbacks.

ECS can be determined from climate model simulations by doubling the concentration of CO_2 and allowing several centuries for the warming to equilibrate. To avoid the necessity of long simulations, "effective climate sensitivity" is commonly evaluated from a 150-year simulation in response to a sudden quadrupling of CO_2.

The spread of ECS values from the CMIP5 ensemble of climate models used in the AR5 was 2.0–4.7°C; that range increased for the CMIP6 models used in the AR6 to between 1.8 and 5.7°C (Chen et al., 2021, Scaffeta 2021, see Figure 5.1). Far from settling the model-based sensitivity of climate system uncertainties appear to be growing. The main cause of the overall upward shift in ECS in CMIP6 relative to CMIP5 is a larger positive cloud feedback, driven by changes to the cloud parameterizations in many CMIP6 models (Zelinka et al., 2020)

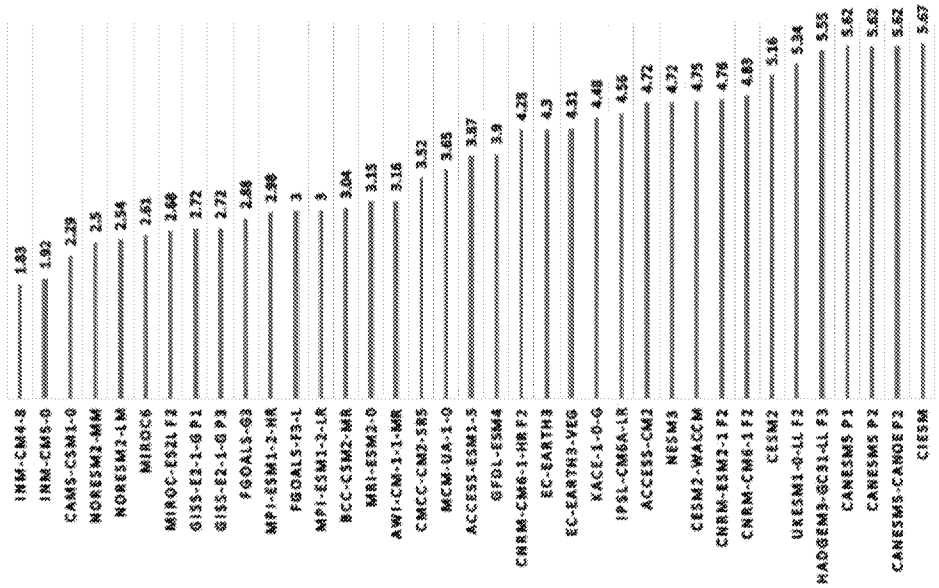

Figure 4.1 Equilibrium Climate Sensitivities in °C of 37 climate models from the CMIP6 ensemble. Identifiers for the various models appear along the horizontal axis. From (Scafetta, 2021) https://www.mdpi.com/2225-1154/9/11/161

Because of concerns about model tuning and the high sensitivity to cloud parameterizations, the IPCC AR6 (2021) did not rely on climate model simulations in their assessment of climate sensitivity, relying instead on other methods, chiefly a recent empirical estimate.

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4.3 Data-driven estimates of climate sensitivity

Climate sensitivity can also be estimated from instrumental records of surface temperatures and ocean heat content, combined with estimates of how climate forcings (e.g., greenhouse gases, solar, volcanoes, aerosols) have changed in the past (Otto et al., 2013). Using this information, a simple empirical model called an Energy Balance Model can be employed. It requires estimating a feedback parameter whose uncertainties are highly amplified in the resulting ECS (Roe and Baker, 2007).

The accuracy of the data-driven methods depends on the quality of the input data. Assumptions are needed about ocean heat storage, and the ocean data is inadequate. The greatest source of uncertainty is the amount and composition of aerosol particles and their interactions with cloud radiative properties (the so-called aerosol indirect effect). Climate models exhibit warming in response to GHGs but cooling in response to aerosols (Schwartz et al., 2007). Observed 20th century warming can be shown to be consistent either with low ECS and low aerosol cooling, or high ECS and high aerosol cooling. Since fossil fuel use adds both GHGs and aerosols to the atmosphere, both effects need to be estimated to isolate the warming effect of CO_2. Over time, the IPCC's estimate of the cooling effect of aerosols has declined (Lewis, 2023).

Paleoclimate proxies are also used to evaluate the sensitivity of past climates, by comparing paleoclimate changes in the Earth's temperatures to estimates of changes in forcings. The two most informative periods are the last glacial maximum (around 20,000 years ago), which was about 3–7°C colder than today, and a mid-Pliocene period (roughly three million years ago), which was 1–3°C warmer than today. The limits on cooling during the last glacial maximum give the best single evidence that high values of climate sensitivity are unlikely. However, paleoclimate estimates are associated with very large uncertainties in the estimated temperatures and forcings. Further, estimates of climate sensitivity based on past climate states may not be representative of the current state of the climate system.

A recurring theme in the climate literature is that ECS estimates based on historical data are smaller than ECS estimates inferred from climate models (Sherwood and Forest 2024). About 15 estimates based on historical data appeared in the peer-reviewed literature between 2012 and 2024 yielding ECS best estimates between 1.0°C and 2.5°C, although critics have questioned some of the methods and the data quality. For the AR6, the IPCC placed primary weight on the results of Sherwood et al. (2020) that combined historical and paleoclimate proxies with the process-based approach and yielded a best estimate of 3.1°C with a *likely* range of 2.6-3.9°C. Lewis (2022) raised a number of concerns about this result, including methodological errors, outdated input values, and use of subjective versus objective Bayesian priors in the analysis. Lewis' analysis found that climate sensitivity is estimated to be much lower and better constrained than in the Sherwood et al. analysis – median 2.2°C (1.8–2.7°C in the 17–83 percent *likely* range, and 1.6–3.2°C in the 5–95 percent *very likely* range). The IPCC AR6 estimated only a 5 percent probability that ECS was below 2.3°C, whereas Lewis estimated it to be over 50 percent. The most recent publications on the debate between Sherwood et al. and Lewis further defend their respective positions: Sherwood and Foster (2024) and Lewis (2025).

An argument emphasized in AR6 is that data-driven ECS estimates might understate the future warming response to GHGs because of a so-called "pattern effect" (Forster et al., 2021). The tropical Pacific is believed to strongly influence the overall efficiency with which the Earth radiates heat to space, but some regions remove heat more efficiently than others. If the west-to-east temperature gradient in the tropical Pacific is weakened in a warming climate, warming would concentration where heat is less efficiently removed, raising ECS.

Most climate models simulate that rising GHGs will weaken the east-west temperature gradient, which led the IPCC in the AR6 to conclude that data-driven ECS estimates understated the *future* ECS value. However, Seager et al. (2019) pointed out that, contrary to models, the east-west temperature gradient has been strengthening over time. They further argued that the mechanism predicting otherwise in climate models was based on a faulty characterization of oceanic dynamics and there is no reason to expect the gradient to weaken. A similar argument was recently made by Lee et al. (2024), who concluded that "the

trajectory of the observed trend reflects the response to increasing GHG loading in the atmosphere"; in other words, GHG warming should lead to a future strengthening rather than a weakening of the temperature gradient. Increased efficiency of atmospheric cooling implies, if anything, that the future ECS in a warming climate may be *lower* than current estimates.

4.4 Transient Climate Response

The Transient Climate Response (TCR) provides a more useful observational constraint on climate sensitivity. TCR is the amount of warming that results when CO_2 is increased at an annual rate of 1 percent over a period of 70 years (i.e., doubled gradually). Relative to the ECS, observationally determined values of TCR avoid the problems of uncertainties in ocean heat uptake and the fuzzy boundary in defining equilibrium arising from a range of timescales for the longer-term feedback processes (e.g., ice sheets). TCR is more generally related to peak warming and better constrained by historical warming, than ECS. IPCC AR6 judged the *very likely* range of TCR to be 1.2–2.4°C. In contrast to ECS, the upper bound of TCR is more tightly constrained. For comparison, the TCR values determined by Lewis (2023) are 1.25 to 2.0°C, showing much better agreement with the AR6 values than was seen in a comparison of the ECS values.

As will be discussed in Chapter 6, a real-world ECS smaller than the model average is supported by studies that show climate models on average overestimate the observed surface and troposphere warming over the past 50 years. Lower sensitivities also align with evidence from consistent fingerprinting (Section 8), where model responses need to be downscaled to align with observed trends.

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5 MODELS VERSUS OBSERVATIONS IN THE RECENT PAST

Chapter summary

Climate models show warming biases in many aspects of their reproduction of the past few decades. In response to observed changes in forcing inputs they produce too much warming at the surface (except in the models with lowest ECS), too much warming in the lower-and mid-troposphere and too much amplification of warming aloft.

Climate models also produce too much recent stratospheric cooling, too much water vapor increase, too much snow loss, and too much warming in the corn belt. The IPCC has acknowledged some of these issues but not all.

5.1 Introduction

Climate models are the primary tool used to project future climate changes in response to increasing atmospheric levels of anthropogenic greenhouse gases. They provide theoretical evidence underpinning energy policy changes, such as efforts to reduce carbon dioxide emissions. To assess the fitness of climate models for this purpose, it is reasonable to ask how well they reproduce the current climate and its variations over the past century. The nearby box "Climate modeling" gives some detail on how climate models work.

Of great concern is the fact that, after several decades of the climate modeling enterprise involving approximately three dozen models operated by research centers around the world, the range of future warming they produce in response to a hypothetical doubling of atmospheric CO_2 extends over a factor of three, as we discussed in the previous chapter. This range of disagreement among models has not changed for decades.

Problems with climate models are not just in their disagreement over the future, but also in their ability to replicate the recent past. Here we review some of the most important metrics of climate model accuracy: ability to reproduce historical surface, tropospheric and stratospheric temperature trends, ability to reproduce the vertical warming profile and ability to reproduce other climate features such as snowfall and humidity. In all cases a persistent finding is that models on average err on the side of too much warming in response to observed historical forcings.

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BOX: Climate modeling

Most climate models, except for the simplest ones, represent Earth's land surface using a grid of squares, typically 100 km wide. To simulate the atmosphere, 10 to 20 layers of grid boxes are stacked above these squares. The ocean is modeled using a similar but finer grid, resulting in approximately one million grid boxes for the atmosphere and 100 million for the ocean.

The computer models, based on physical laws, calculate how air, water, and energy move between grid boxes over time. The time step can be as small as 10 minutes, and repeating this process millions of times allows the simulation of climate over centuries. Running these models, even on the most powerful supercomputers, can take months. Comparing simulation results with historical climate data helps assess the accuracy of a model, while projections into the future estimate climate changes under assumed human and natural influences.

Despite sounding straightforward, climate modeling is highly complex. Many critical processes occur at scales smaller than the 100 km grid size. For instance, the flows of sunlight and heat in the atmosphere depend strongly on cloud cover. Since tracking individual clouds is impractical, researchers must make "subgrid" assumptions about the distribution of clouds in each grid box. Snow and ice cover, which affects how much sunlight is reflected or absorbed by the surface, is another subgrid factor.

Each subgrid assumption requires numerical parameters, which must be carefully set. Modelers initially estimate these parameters based on physics and observed climate patterns, then run the model. Because early results often diverge significantly from real-world observations, they "tune" these parameters to better match observed climate features. Different modeling teams use distinct assumptions and tuning strategies, leading to varied outcomes. Tuning is a necessary, but delicate, aspect of climate modeling, as it is in any complex system. Poor tuning can result in inaccurate simulations, while excessive tuning risks artificially steering results toward predetermined conclusions.

The spread of mod can be very wide. One of the most basic
indicators—Earth's y about 3°C across CMIP6 models prior to
1880 (Figure 6B.1) res to over 4 °C. For comparison, 20th century
warming was only ostantial differences among models' physical
process.

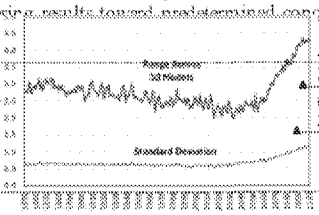

Figure 6B.1 CMIP6 Average surface temperature range across 33 models) and standard deviation using SSP5-85 scenario. Data from KNMI Climate Explorer website at [HYPERLINK "https://climexp.knmi.nl/start.cgi" \t "_blank"].

Beyond the models' ability to reproduce features of today's climate, the critical issue for society is how well they predict responses to subtle human influences, such as greenhouse gas emissions, aerosol cooling, and land-use changes. The most crucial aspect models must capture correctly is "feedbacks." These occur when climate changes triggered by greenhouse gases either amplify or suppress further warming. In general, the modeled net effect of all feedbacks doubles or triples the direct warming impact of CO_2.

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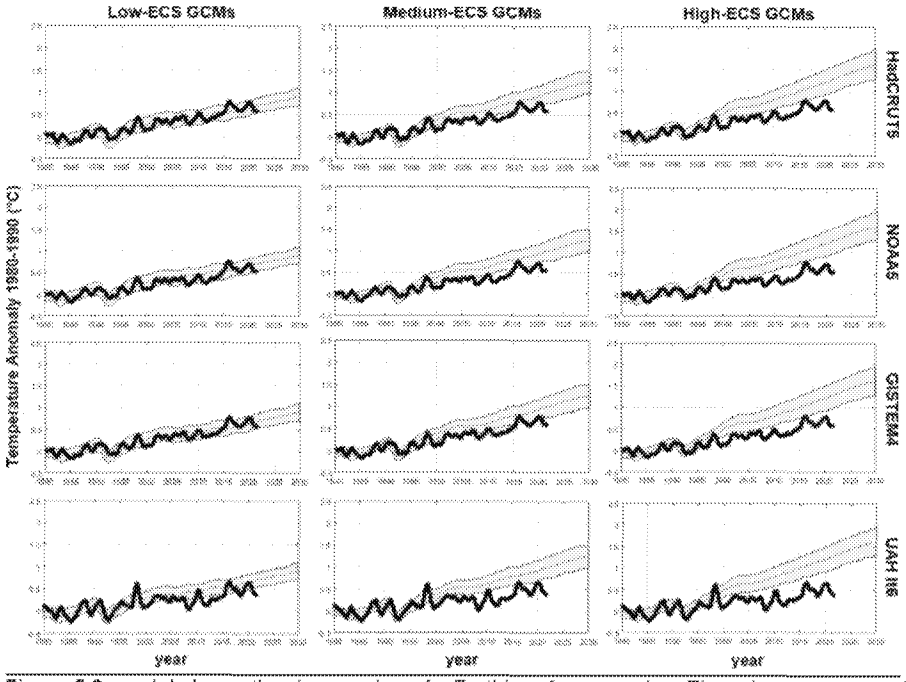

Figure 5.2: model-observational comparisons for Earth's surface warming. The columns correspond to model groups showing low-ECS to high-ECS, while the rows correspond to widely-used observed temperature records, the first three showing surface averages and the fourth showing the lower troposphere average. In each panel the yellow area denotes the mean and range (± one standard deviation) of climate model simulations for that group. The thick black line shows the observed annual average temperature in the indicated source. Source: Scafetta N, Climate Dynamics 2023 Fig 2.

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5.2 Surface warming

A straightforward test of a climate model's validity is its ability to reproduce historical warming in response to known past changes in climate drivers such as greenhouse gases. Figure 5.2 is reproduced from Scaffeta (2023), a peer-reviewed study that groups the latest-generation (CMIP6) climate models into low ECS (1.5 to 3.0 degrees C), medium ECS (3.0 to 4.5 degrees C) and high ECS (4.5 to 6.0 degrees C), and compares their post-1980 global average temperature simulation ranges to those of three surface temperature records and one satellite-based lower troposphere temperature data product.

The leftmost column shows that the low-ECS models track the post-1980 historical warming record reasonably well, but the middle and right columns show that the medium- and high-ECS models conspicuously over-predict the warming.

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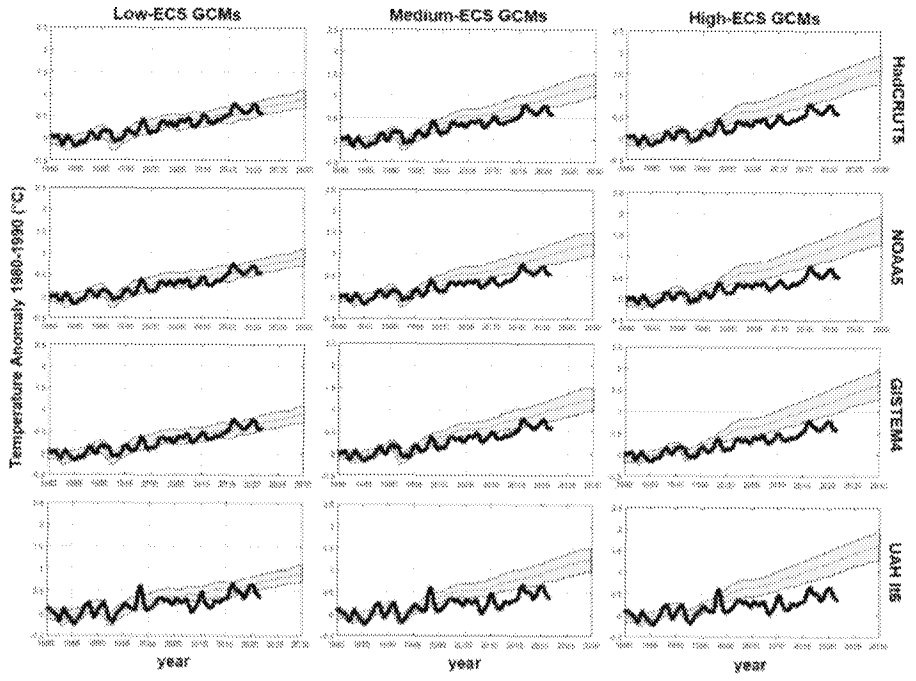

~~**Figure 5.2:** model-observational comparisons for Earth's surface warming. The columns correspond to model groups showing low-ECS to high-ECS, while the rows correspond to widely-used observed temperature records, the first three showing surface averages and the fourth showing the lower troposphere average. In each panel the yellow area denotes the mean and range (± one standard deviation) of climate model simulations for that group. The thick black line shows the observed annual average temperature in the indicated source. Source: Scafetta N. Climate Dynamics 2023 Fig.2.~~

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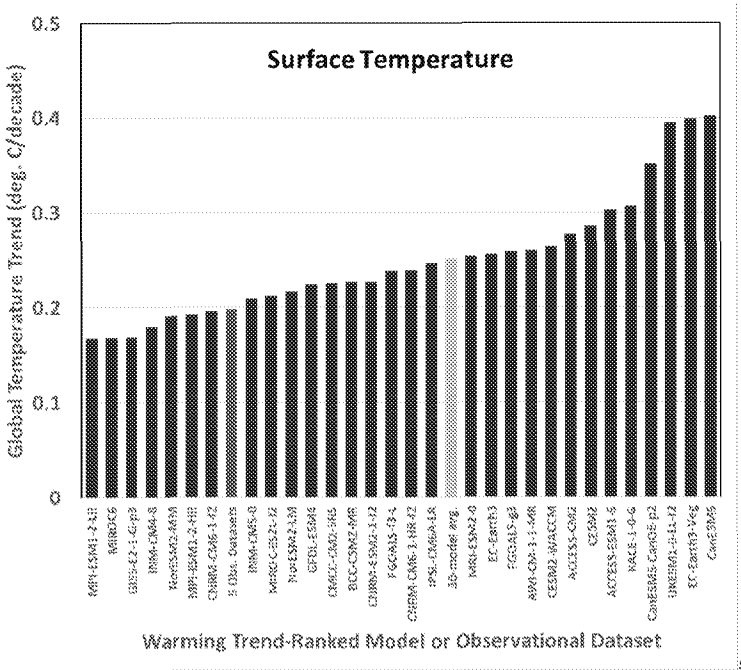

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~~Spencer (2024) has also provided a useful summary of the Model-Observation mismatch by comparing trends in surface temperature data products with those in individual climate models, as summarized in Figure 5.3.~~

Figure 5.3 Global surface air temperature trends (°C/decade), 1979-2024, from various CMIP6 climate models (red, 30-model average in orange); and the average of three thermometer datasets (HadCRUT5, NOAA Global Temp, and Berkeley 1 deg.) and two reanalysis datasets (ERA5 and NCEP/NCAR R1) in blue.. Source: Spencer (2024) updated

Spencer (2024) has also provided a useful summary of the Model-Observation mismatch by comparing trends in surface temperature data products with those in individual climate models, as summarized in Figure 5.3.

5.3 Tropospheric warming

It has long been known that climate models on average overstate warming in the tropical troposphere. This region is an important test of the models since it has long been hypothesized that this is where the signal of anthropogenic greenhouse warming emerges first and most strongly. Biases in tropospheric trends indicate model flaws in heat transfer processes and carry over to surface warming biases.

The discrepancy was flagged as a serious inconsistency in the first US Climate Change Science Program report (Karl et al. 2006) and has been mentioned in every IPCC report since, but the discrepancy has gotten worse over time, and the bias is now global. McKitrick and Christy (2020) compared

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tropospheric warming trends in CMIP6 climate models to observed trends from satellites, weather balloons and reanalysis systems. Every model overpredicted the average observed warming trend over 1979-2014 in both Lower- and Mid-Troposphere layers, both globally and in the tropics. In most individual models the bias was statistically significant and on average across models it was highly significant.

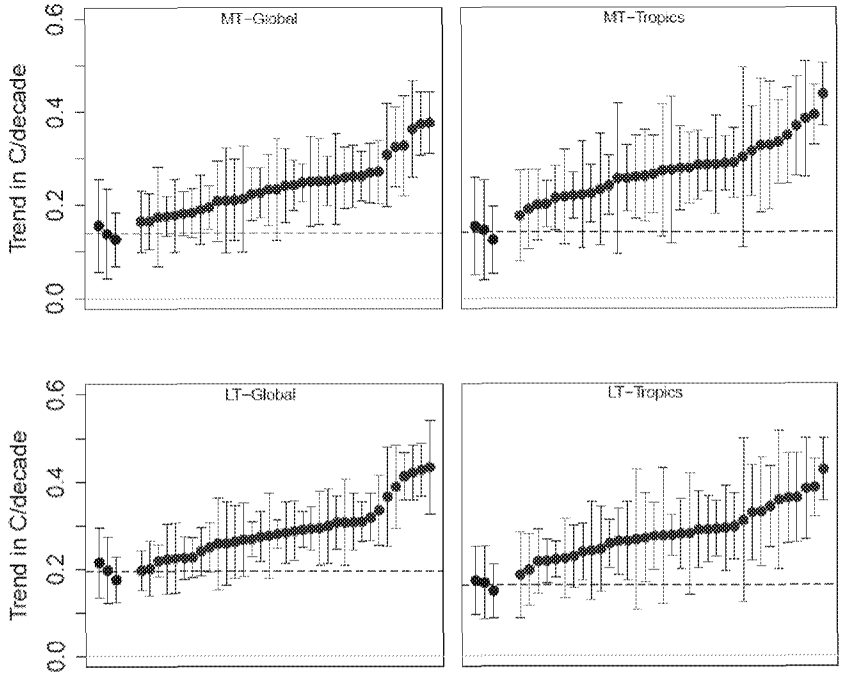

Figure 5.4: Observed versus CMIP6 modeled warming trends (°C/decade 1979-2024) in the global and tropical lower (LT) and mid-troposphere (MT). Uses methodology of McKitrick and Christy (2020) on updated data. Blue dots: warming trends with 95% confidence interval bars for 3 data products (radiosondes, reanalysis and satellites) and 95% confidence interval bars. Blue dashed line: warming trend average for 3 observed series. Red dots: modeled warming trends in 35 models arranged lowest to highest.

Figure 5.4 presents the comparisons with data updated to 2024. The recent warm years moved the observed trend up slightly and widened the trend confidence intervals but the overall pattern remains the same: model bias is towards too much warming, in most cases the difference is statistically significant and on average the bias is statistically highly significant. McKitrick and Christy (2020) also showed that the bias is larger in high-ECS models, but even the models with lower average ECS predict too much warming. If future climate models were to realistically represent global tropospheric warming, they would likely be less sensitive than even the low-ECS members of the CMIP6 ensemble.

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As mentioned the IPCC has long acknowledged the model-observation mismatch. For example AR6 pp. 443-444 offers this on the tropical troposphere (it does not address the global comparison):

> Several studies since AR5 have continued to demonstrate an inconsistency between simulated and observed temperature trends in the tropical troposphere, with models simulating more warming than observations (Mitchell et al., 2013, 2020; Santer et al., 2017a, b; McKitrick and Christy, 2018; Po-Chedley et al., 2021)... Over the 1979–2014 period, models are more consistent with observations in the lower troposphere, and least consistent in the upper troposphere around 200 hPa, where biases exceed 0.1°C per decade. Several studies using CMIP6 models suggest that differences in climate sensitivity may be an important factor contributing to the discrepancy between the simulated and observed tropospheric temperature trends (McKitrick and Christy, 2020; Po-Chedley et al., 2021), though it is difficult to deconvolve the influence of climate sensitivity, changes in aerosol forcing and internal variability in contributing to tropospheric warming biases (Po-Chedley et al., 2021). Another study found that the absence of a hypothesized negative tropical cloud feedback could explain half of the upper troposphere warming bias in one model (Mauritsen and Stevens, 2015).
>
> ... In summary, studies continue to find that CMIP5 and CMIP6 model simulations warm more than observations in the tropical mid- and upper-troposphere over the 1979–2014 period (Mitchell et al., 2013, 2020; Santer et al., 2017a, b; Suárez-Gutiérrez et al., 2017; McKitrick and Christy, 2018), and that overestimated surface warming is partially responsible (Mitchell et al., 2013; Po-Chedley et al., 2021). .... Hence, we assess with *medium confidence* that CMIP5 and CMIP6 models continue to overestimate observed warming in the upper tropical troposphere over the 1979–2014 period by at least 0.1°C per decade,

Notably, despite the accumulation of evidence of excess model warming the IPCC only assigns *medium confidence* to the existence of a warming bias.

5.4 Vertical temperature profile mismatch

Another important model-observational discrepancy is the excess amplification with altitude found in climate models. The comparison was in the IPCC AR5 Chapter 10, although only in the online supplement (Figure 10.SM.1) and only in a figure the formatting of which made the point difficult to see. Figure 10.SM.1 is not referenced in the main IPCC report nor in any summary so readers would not have been aware of it. Although not apparent at first glance, it shows that the 1979-2010 warming in the lower troposphere is so small as to be consistent with no GHG forcing at all, and is inconsistent with the model runs that do have GHG forcing. In Figure 5.6 we adapt IPCC AR5 Figure 10.SM.1 to draw out the critical point.

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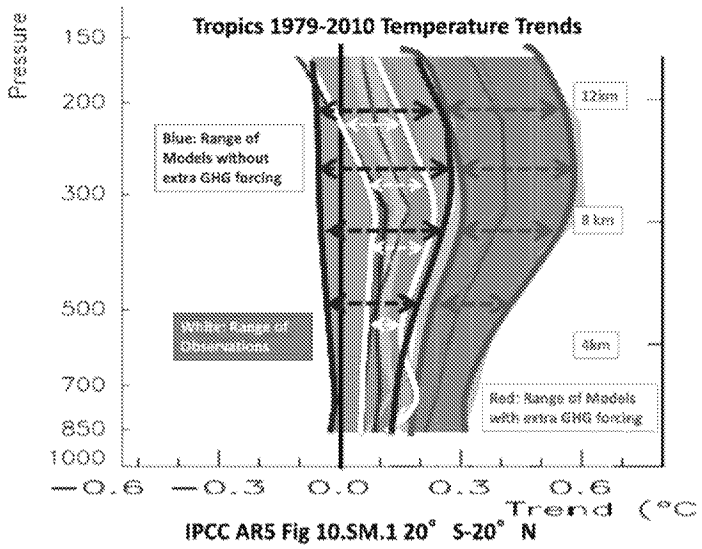

Figure 5.6: Vertical warming pattern for tropics (20S to 20N). Source: Annotated version of IPCC AR5 Figure 10.SM.1

Figure 5.6 compares temperature trends by altitude between models and observations between 20S and 20N (the tropics). In this region where the models say the warming should be strongest, the observations (shown here in white) lie within the "No CO2" band and entirely outside the "with CO2" red envelope. This means that in the entire tropical atmospheric column from the surface to the stratosphere, observed warming trends are so small they are consistent with the output of models that have no CO_2 warming effect in them, and they are inconsistent with the entire envelope of warming trends generated by models forced with increased CO_2.

A similar comparison is shown in Christy and McNider (2017), an updated version of which (covering 1979-2024) is reproduced as Figure 5.7. Modeled temperature trends exceed observations from the surface through the top of the troposphere, with observed trends below the entire model range at most pressure levels. Also shown in Figure 5.7 is the tropical tropospheric temperature (TTT) average from three satellite data products (NOAA, UAH and RSS) compared to the same layer average from climate models for 1979-2024. Again the observed trends lie below the entire model range.

The wide range of choices made by modelers to characterize the physical processes in the models (see Box: Climate Modeling in Section 5.1 above) is seen by the large spread of trends in the middle troposphere where values range over ±40% from the median value (Fig. 5.7). This illustrates the uncertainties characteristic of the varying attempts to model (parameterize) a complex system involving turbulence, moist thermodynamics and energy fluxes over the full range of time and space scales.

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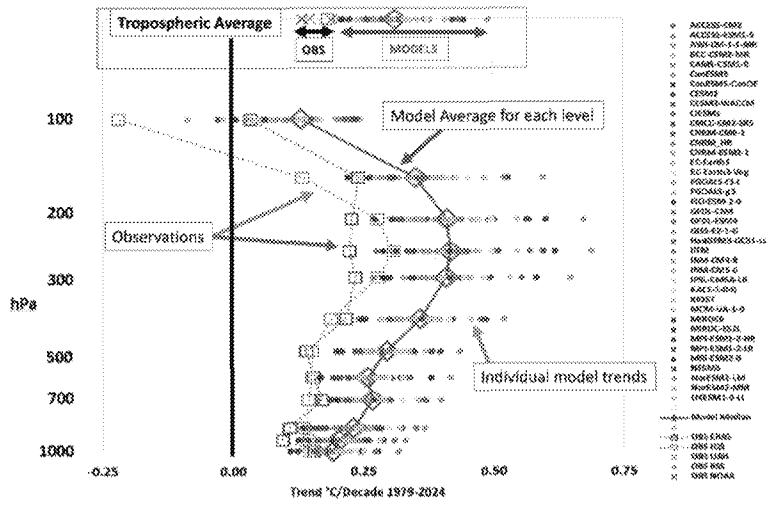

Figure 5.7: Modeled versus observed warming, tropical troposphere. Source: updated from Christy and McNider (2017) including data through 2024. Red line: model average. Green and blue lines observational series (reanalysis).

This discrepancy has been the source of much controversy, with some arguing that even if there is very little observed warming aloft in the tropics, a "hotspot" still exists in the sense that the warming aloft is greater than at the surface. But there is good evidence that models also exaggerate the amplification rate. Klotzbach et al. (2009), showed that models project greater amplification with altitude than is observed. This result was subsequently confirmed by detailed time series analysis (Vogelsang and Nawaz 2016) who found that the model-observational difference is statistically significant.

This is a case in which models are not merely uncertain but share a common bias compared to observations. This suggests the representation of certain fundamental physical processes in climate model feedbacks have large discrepancies not only among models but against the real climate.

The IPCC AR6 did not assess this issue.

5.5 Stratospheric cooling

An important element of the expected general "fingerprint" of anthropogenic climate change is simultaneous warming of the troposphere and cooling of the stratosphere. The IPCC AR6 acknowledged that cooling had been observed but only up to the year 2000. Thereafter the stratosphere has exhibited some warming, contrary to model projections.

The AR6 WG1 Ch 2 pp. 327-9 states (emphasis added):

> Temperatures averaged through the full lower stratosphere (roughly 10–25 km) have decreased over 1980–2019 in all data products, with **the bulk of the decrease prior to 2000**. The decrease

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> holds even if the influence of the El Chichon (1982) and Pinatubo (1991) volcanic eruptions on the trend, found by Steiner et al. (2020a) to have increased the 1979–2018 cooling trend by 0.06°C per decade, is removed. **Most datasets show no significant or only marginally significant trends over 2000–2019, and the results of Philipona et al. (2018) show weak increases over 2000–2015** in the very lowermost stratosphere sampled by radiosondes….
>
> It is virtually certain that the lower stratosphere has cooled since the mid-20th century. However, most datasets show that **lower stratospheric temperatures have stabilized since the mid-1990s with no significant change over the last 20 years**. It is likely that middle and upper stratospheric temperatures have decreased since 1980, but there is low confidence in the magnitude.

The cited source, Philipona et al. (2018), in an article entitled "Radiosondes Show That After Decades of Cooling, the Lower Stratosphere Is Now Warming", stated:

> In response to continued greenhouse gas increases and stratospheric ozone depletion, climate models project continued tropospheric warming and stratospheric cooling over the coming decades. Global average satellite observations of lower stratospheric temperatures exhibit no significant trends since the turn of the century. In contrast, an analysis of vertically resolved radiosonde measurements from 60 stations shows an increase of lower stratospheric temperature since the turn of the century at altitudes between 15 and 30 km and over most continents. Trend estimates are somewhat sensitive to homogeneity assessment choices, but all investigated radiosonde data sets suggest a change from late twentieth century cooling to early 21st century warming in the lower stratosphere.

A combination of tropospheric warming and stratospheric cooling is a commonly-cited "fingerprint" of anthropogenic warming. Stratospheric warming since 2000 coincides with continued surface and tropospheric warming, a pattern that is not found in climate model simulations and is not apparently consistent with the anthropogenic fingerprint.

5.6 Snow cover mismatch

Data compiled by the Rutgers University Snow Lab (undated) show that Northern Hemisphere winter snow cover is not decreasing (Figure 5.8). If anything, it shows an increasing trend.

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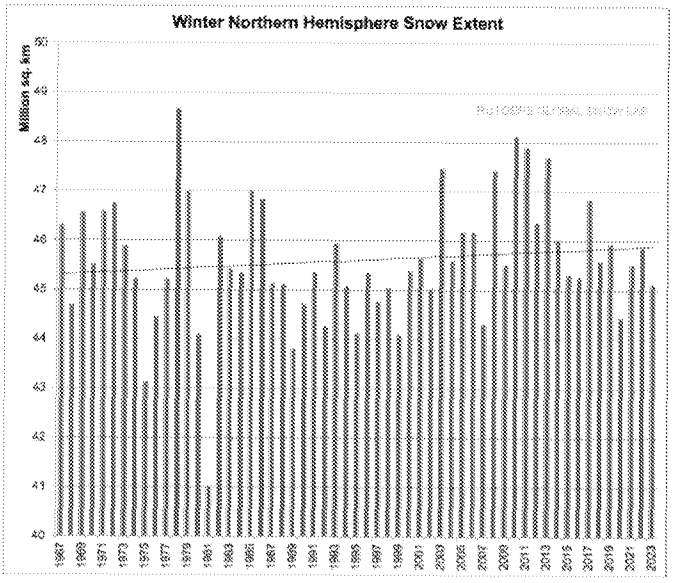

Figure 5.8: Northern Hemisphere Winter Snow extent.

Source: [HYPERLINK "https://climate.rutgers.edu/snowcover/chart_seasonal.php?ui_set=nhland&ui_season=1"] (February 24, 2024)

Yet models project declining Northern Hemisphere snowcover due to climate warming, as described by Connolly et al. (2019).

> The climate models were found to poorly explain the observed trends [in Northern Hemisphere snow cover]. While the models suggest snow cover should have steadily decreased for all four seasons, only spring and summer exhibited a long-term decrease, and the pattern of the observed decreases for these seasons was quite different from the modelled predictions. Moreover, the observed trends for autumn and winter suggest a long-term increase, although these trends were not statistically significant.

AR6 largely confines its discussion of changing Northern Hemisphere snowcover extent (SCE) to the Spring season for which models and observations agree on a downward trend. Regarding Winter changes it remarks as follows (AR6 WGI Ch. 2 p. 344):

> Assessment of SCE trends in the NH since 1978 indicates that for the October to February period there is substantial uncertainty in trends with the sign dependent on the observational product. Analysis using the NOAA Climate Data Record shows an increase in October to February SCE

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(Hernández-Henríquez et al., 2015; Kunkel et al., 2016) while analyses based on satellite borne optical sensors (Hori et al., 2017) or multi-observation products (Mudryk et al., 2020) show a negative trend for all seasons.

AR6 WGI Chapter 9 (p. 1284) points out that the NOAA Climate Data Record showing increased fall and winter SCE is inconsistent with land-based observations and model-based data sets. It notes that using optical satellite imagery to infer SCE in winter is challenging due to cloud cover and decreased solar illumination in winter months.

Focusing on the Pacific Coast states (CA, OR and WA) cold season mountain snowfall that melts in spring and summer provides a substantial portion of warm season water resources. A comprehensive reconstruction of snowfall for the main source regions (Cascades and Sierra Nevada Mountains) indicates no significant trends in annual totals since the late 19th century (Christy 2022).

5.7 Hemispheric symmetry of the planetary albedo

The planetary albedo is the fraction of solar radiation reflected by the Earth back to space, and is an important component of the radiative balance and hence whether the planet will warm or cool over time. An intriguing property of the Earth's albedo is that, on average, the Northern Hemisphere (NH) and Southern Hemisphere (SH) have had very nearly the same albedo at least throughout the fifty-year satellite record (Stephens et al., 2015). This symmetry is surprising, because the SH has much more ocean than land. Since ocean is less reflective than land, the NH should have higher albedo. Clouds (which are highly reflective) are more common in the NH, and so compensate the surface albedo imbalances of the two hemispheres. Datseris and Stephens (2021) show that this cloud compensation comes from the extra-tropical storm tracks of the SH, which are cloudier than those of the NH. While the mechanism for this hemispheric symmetry is unclear, it likely operates on large temporal and spatial scales.

The hemispheric symmetry of the albedo is a simple gross metric for climate models. Rugenstein and Hakuba (2023) defined that metric as the difference between the NH and SH annual mean albedos, expressed as Wm^{-2} of the reflected sunlight, and compiled it for the CMIP6 climate models, as shown in Figure 5.9. Most of the CMIP6 models do not reproduce the small observed asymmetry (about 0.1 Wm-2) and even disagree as to which hemisphere is more reflective. Moreover, the magnitude of the asymmetry ranges up to 5 Wm^{-2} in some models, twice as large as the current anthropogenic forcing (about 2.5 Wm^{-2}).

The significance of unphysical albedo asymmetries in the climate models is not yet fully known. However, other model studies suggest that interhemispheric changes in albedo can alter poleward heat fluxes, meridional temperature gradients, storminess, and differences in hemispheric ocean heat storage. The discrepancy between models and observations further raises issues regarding cloud feedback processes and so more generally diminishes confidence in model projections of the future climate.

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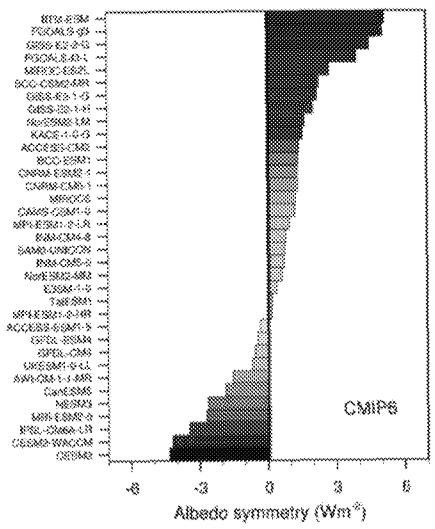

Figure 5.9. Differences in 20-year average reflectivity (albedo) between the Northern and Southern Hemispheres for CMIP6 models used in the most recent IPCC assessment (colored bars). The very small observed difference is indicated by the vertical black line. From Rugenstein and Hakuba (2023).

5.8 US Corn Belt

One of the largest discrepancies between models and observations is in the U.S. Corn Belt, a region of particular importance to global food production. Figure 5.10 shows the warming trends for summertime (June, July, August) for the 12-state Corn Belt (IN, IA, NE, ND, SD, MO, MN, WI, MI, OH, KS, NE) during 1973-2022. All 36 climate models (red) warm far too rapidly compared to observations (blue).

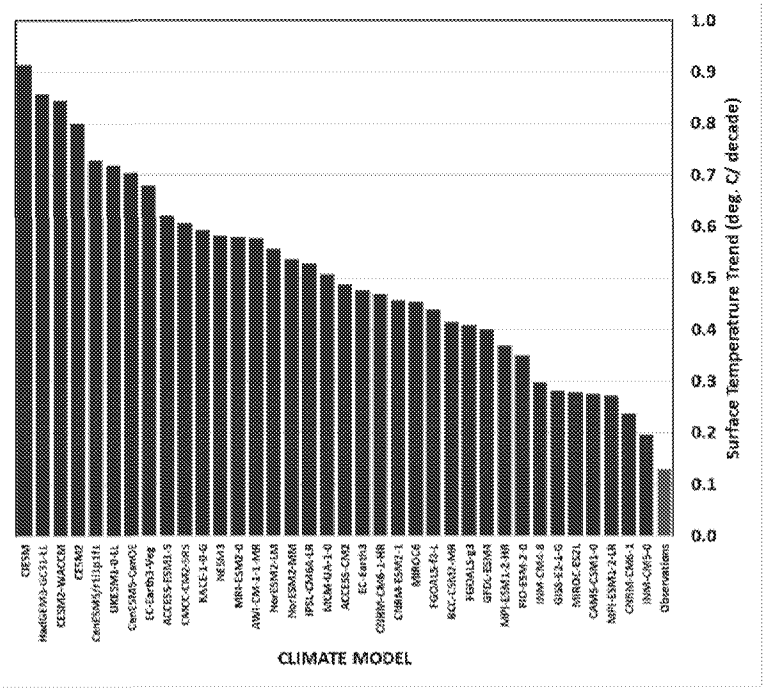

Figure 5.10: Modeled versus observed warming trends in the US corn belt.

As discussed in Chapter 10, the anticipated negative effects of increasing temperatures on U.S. corn yields have not materialized, in contrast to widely publicized studies proclaiming that theoretical future impacts are already being experienced (e.g. Seager et al., 2018).

The IPCC acknowledges limitations in the accuracy of regional climate model outputs. This example shows that users need to assess model projections carefully on a case-by-case basis since local biases may be sufficiently large that the models are simply not fit for purpose. As has recently been noted by two leaders of the modeling community (emphasis added)

> … for many key applications that require regional climate model output or for assessing large-scale changes from smallscale processes, we believe that **the current generation of models is not fit for purpose**. (Palmer and Stevens 2019)

To summarize:

- Climate models show warming biases in many aspects of their reproduction of the past few decades.
- They produce too much warming at the surface (except in the models with lowest ECS), too much warming in the lower-and mid-troposphere and too much amplification of warming aloft

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- They also produce too much stratospheric cooling, too much snow loss, and too much warming in the corn belt.
- The hemispheric albedo difference in individual climate models ranges widely in sign and magnitude compared to observations. The range in Watts/m2 is three times larger than the direct anthropogenic forcing of CO_2.
- The IPCC has acknowledged some of these issues but not others.

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6 EXTREME WEATHER

Chapter Summary

Most types of extreme weather exhibit no statistically significant long-term trends over the available historical record. While there has been an increase in hot days in the US since the 1950s, a point emphasized by the IPCC AR6, numbers are still low relative to the 1920s and 1930s. Extreme convective storms, hurricanes, tornadoes, floods and droughts exhibit considerable natural variability but long-term increases are not detected. Some increases in extreme precipitation events can be detected in some regions over short intervals but over long periods and at the regional scale the trends do not persist. Wildfires are not more common in the US than they were in the 1980s. Burned area increased from the 1960s to the early 2000's, however it is low compared to the estimated natural baseline level. US wildfire activity is strongly affected by forest management practices.

6.1 Introduction

High impact weather extremes, usually related to temperature, precipitation and/or high wind, can disrupt infrastructure and therefore endanger human health and wellbeing. The issue is not whether extremes occur. Rather, it is whether there are long-term (decadal scale) changes in the frequency or character of extremes ("detection"), as well as the extent to which such changes and the attendant changes in hazards are caused by anthropogenic emissions of greenhouse gases ("attribution"; e.g., IPCC AR6 Seneviratne et al. 2021).

It is naïve to assume that any recent extreme event is caused by human influences on the climate. Climate is about the statistical properties of weather over decades, not single events. Further, there are only about 130 years of reliable observational records that can be analyzed statistically. That brief interval does not begin to contain all the extreme events that the climate system can create on its own. Over geologic time the climate system has generated an (essentially) infinite variety of weather patterns and extremes that humans have never observed and thus are absent from the databases used to determine extreme statistics [see *Perils of short data records* below]. For that reason, attributing an extreme event unprecedented in the record requires assumptions about the magnitude of natural variations.

This chapter is concerned with detection of trends in extreme weather, while Chapter 8 considers causal attribution, with Section 8.4 specifically addressing extreme weather. If no trend is detected, then clearly there is no basis for attribution. But even where a trend is observed, attribution to human-caused warming should not be assumed to follow.

This is especially true for precipitation events. The hydrological literature has long noted the phenomenon of *Long-Term Persistence* (LTP) in rainfall data (Hurst 1951, Cohn and Lins 2005, Markonis and Koutsoyiannis 2016). LTP has a formal mathematical definition, but it can be thought of as the presence of natural variations with strong autocorrelations that result in cycle-like behavior on long time scales. The presence of LTP requires long records to accurately estimate variability. Analysis of records that are short relative to the LTP scale will tend to underestimate variability, therefore overstating the significance of apparent trends and underestimating the likelihood of extreme events (Cohn and Lins 2005).

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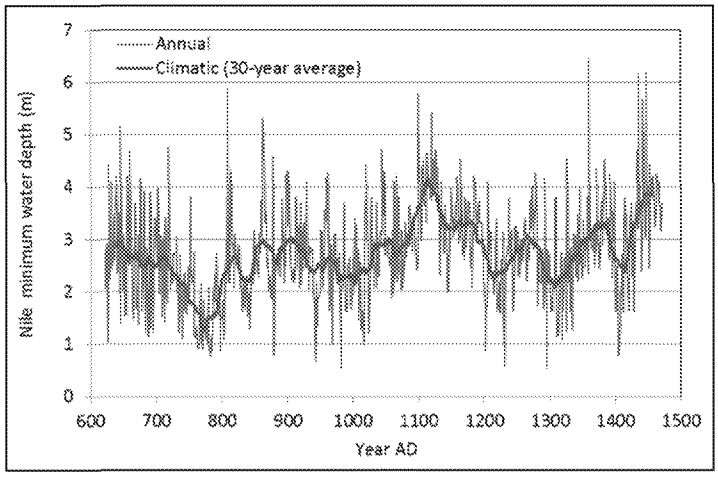

Figure 6.1.1: The annual minimum depth of the Nile River near Cairo over more than 650 years from 622 to 1284 A.D. The data, measured in meters, shows a characteristic pattern of year-to-year fluctuations around longer-term trends. Data from Koutsoyiannis (2013)

A good example of LTP is the eight-century long record of the annual minimum height of the Nile River observed at Roda Island in Cairo shown in Figure 6.1.1. Since human influences on the global climate were negligible before the 20th century, the century-scale variability of the thirty-year average is entirely natural; Egyptians of the seventh and eight centuries would have been incorrect to assume that the worsening drought during that time was the "new normal."

With these caveats in mind, we examine the evidence for changes in selected weather and climate extremes. An emergent theme is the wide gap between public perceptions and scientific evidence. It has become routine in media coverage, government and private sector discussions, and even in some academic literature to make generalized assertions that extreme weather of all types is getting worse due to GHGs and "climate change." Yet expert assessments typically have not drawn such sweeping conclusions and instead have emphasized the difficulty both of identifying specific trends and establishing a causal connection with anthropogenic forcing.

In the sections to follow we provide excerpts from various IPCC and NCA assessment reports denoting the sources as follows:

SREX: The IPCC Special Report on Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation (2012)

AR6: The IPCC Sixth Assessment Report Working Group 1 (2021).

NCA4: The US Climate Science Special Report of the Fourth National Climate Assessment (2017) Volume I.

NCA5: The US Climate Science Special Report of the Fifth National Climate Assessment (2023) Volume I.

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Note that in the excerpts. *italics* are in the original whereas **boldface** emphasis has been added.

Additionally we present updated data series from standard government sources to provide up-to-date information through 2024 wherever possible.

6.2 Hurricanes and tropical cyclones

The AR6 provides the following assessment of tropical cyclones (TCs; used here as a synonym for hurricanes):

> AR6: There is *low confidence* in most reported long-term (multidecadal to centennial) trends in TC frequency or intensity-based metrics due to changes in the technology used to collect the best-track data. (IPCC, 2021)
>
> AR6: It is *likely* that the global proportion of major (Category 3–5) tropical cyclone occurrence has increased over the last four decades . . . There is *low confidence* in long-term (multi-decadal to centennial) trends in the frequency of all-category tropical cyclones. (IPCC, 202)3
>
> AR6: A subset of the best-track data corresponding to hurricanes that have directly impacted the United States since 1900 is considered to be reliable, and shows **no trend in the frequency of U.S. landfall events**.

Since 1980, when satellite observations fully covered the global oceans, we have confidence in the numbers of total global hurricanes and major hurricanes (Category 3 and higher). Figure 6.2.1 shows that on average, each year there are about 50 hurricanes, with about 25 reaching major hurricane status (Maue, 2025). There is substantial year-to-year and decadal variability a weak decreasing trend in the number of hurricanes and a slight but insignificant increasing trend in the number of major hurricanes These two trends combine to create an increasing trend in proportion of major hurricanes.

Global hurricane statistics are dominated by the Northwest Pacific Ocean, which accounts for ~35 percent of total global hurricanes, whereas the Atlantic accounts for ~15 percent of global hurricanes (Colorado State University, 2025). Data in the Atlantic basin extends further back than in the other ocean basins, and is most relevant to U.S. policy makers.

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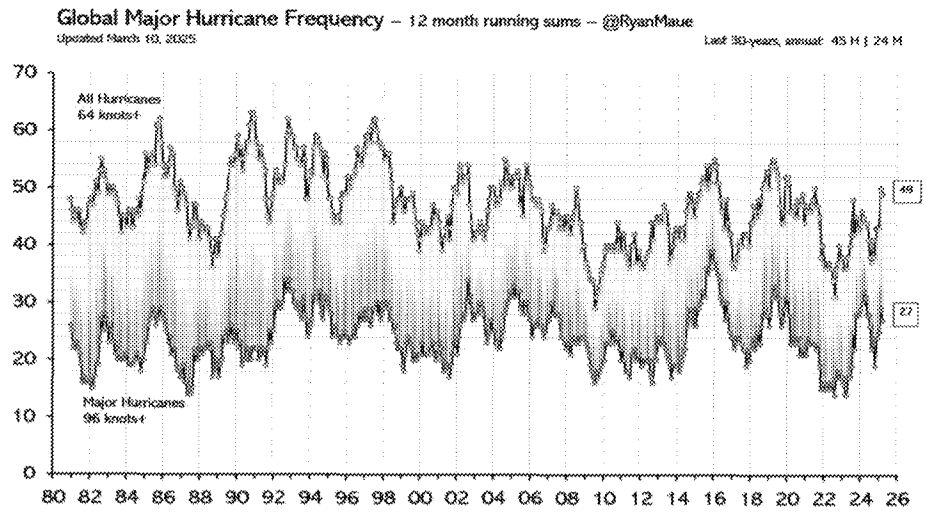

Figure 6.2.1: Global frequency of hurricanes and major hurricanes since 1980.

Figure 6.2.2 shows the frequency of Atlantic hurricanes and major hurricanes (Category 3 and higher) back to 1920. Data prior to 1965 (the onset of satellite observations in the Atlantic, shaded in blue) shows some undercounting, with data prior to 1920 showing substantial undercounting (Vecchi and Knutson, 2011). All measures of Atlantic hurricane activity show a significant increase since 1970. However, the period from 1971-1994 was a period of exceptionally low activity, with high values of hurricane activity (comparable to the past two decades, even with undercounting) also observed during the 1950's and 1960's, and even in the 1930's.

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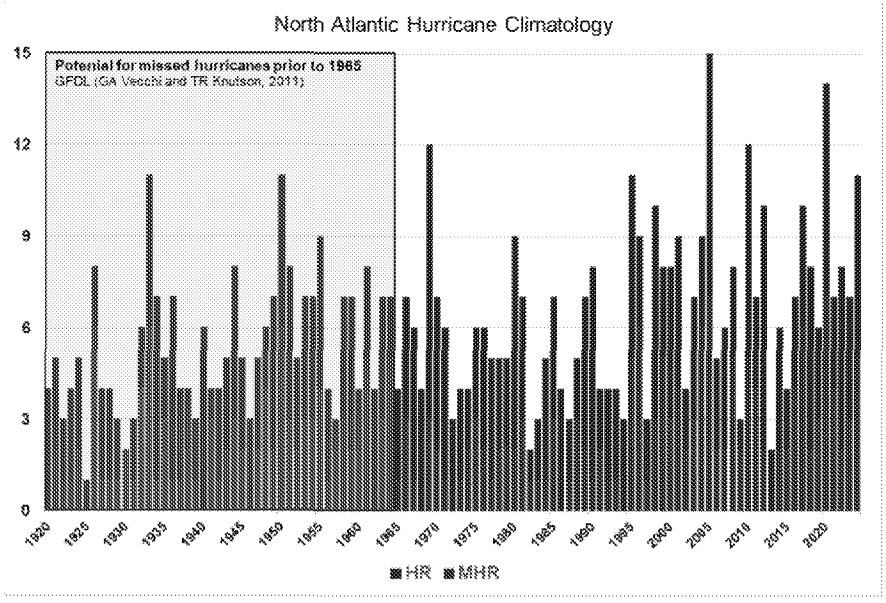

Figure 6.2.2: Atlantic frequency of hurricanes (HR) and major hurricanes (MHR) since 1920. Source National Hurricane Center (2024)

Figure 6.2.2 shows that Atlantic hurricanes vary strongly on decadal and multidecadal time scales. These variations are associated primarily with the Atlantic Multidecadal Oscillation (AMO), which is manifest in basin-wide sea surface temperature and sea level pressure fluctuations connected to large scale ocean circulation patterns. The AMO was in its warm phase during 1926-1970 and 1995-present, but in its cool phase during 1971-1994. The AMO has its greatest impact on the number of major hurricanes (Category 3+) identified by Goldenberg et al. (2001) to be associated with above normal SSTs and decreased vertical shear in the AMO warm phase (see also Bell and Chelliah, 2006; Klotzbach et al., 2018).

Klotzbach et al. (2018) conducted a comprehensive evaluation of the landfalling hurricane data for the Continental U.S. since 1900. Figure 6.3.3 updates their analysis through 2024. While the largest numbers of hurricanes are from 2004, 2005 and 2020, there is no statistically significant trend since 1920. Figure 6.2.3 also shows the time series for major hurricane landfalls (Category 3-5). The largest year in the record is 2005, with 4 major hurricane landfalls. However, there were no major hurricanes striking the U.S from 2006 through 2016, the longest such period since 1920.

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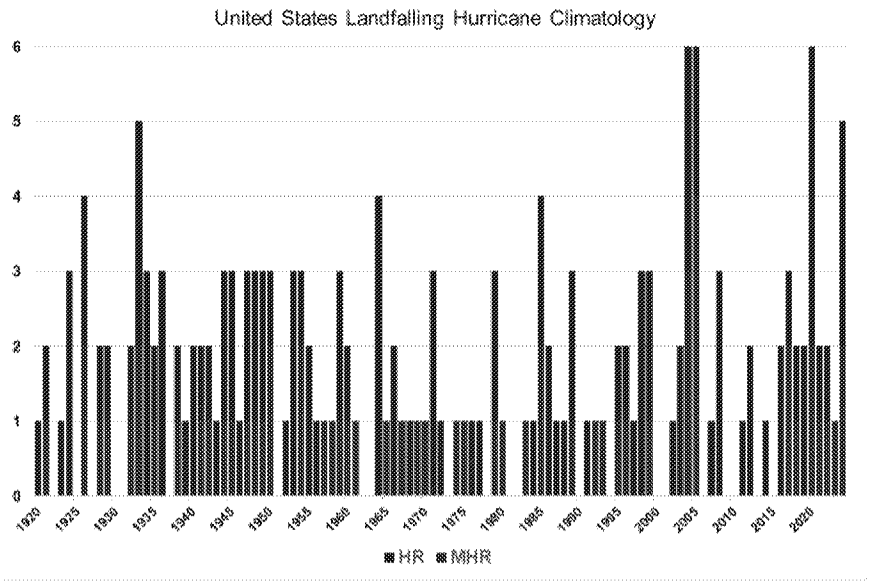

Figure 6.2.3: US landfalling frequency of hurricanes (HR) and major hurricanes (MHR) since 1920. Source NOAA HRD(a) (2024)

Substantial interannual to multidecadal variability in U.S. landfall activity is seen Figure 6.2.3. Klotzbach et al. (2018) examined how the landfall counts vary with ENSO (El Niño versus La Niña) and the warm versus cold phases of the Atlantic Multidecadal Oscillation (AMO).

Villarini et al. (2012) provide an analysis of U.S. hurricane landfalls back to 1878. While it is possible that some landfalls were missed in the late 19th century owing to sparsely populated regions on the Gulf Coast, it is remarkable that the highest year in the entire record, is 1886, with 7 landfalls,

Table 6.2.1 shows the 10 strongest hurricanes (plus ties) to make U.S. landfall. Of the hurricanes that have made landfall with sustained winds greater than 150 mph, only one has occurred in the 21st century.

In summary, analyses of both global and regional variability and trends of hurricane activity provide the basis for detecting changes and understanding their causes. The relatively short historical record of hurricane activity, and the even shorter record from the satellite era, is not sufficient to assess whether recent hurricane activity is unusual relative to the background natural variability. Atlantic hurricane processes are influenced substantially by the natural modes of ocean circulation variability in the Atlantic, notably the Atlantic Multidecadal Oscillation. While it has long been hypothesized that a rising global sea surface temperature would cause an increase in hurricane intensity, identification of any significant trend in the hurricane data is hampered by a short data record and substantial natural variability.

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Rank	Year	Landfall Wind (MPH)	Name
1	1935	185	"Labor Day"
2	1969	175	Camille
3	1992	165	Andrew
4	2018	160	Michael
5	1856	150	"Last Island"
5	1886	150	"Indianola"
5	1919	150	---------
5	1932	150	"Freeport"
5	2004	150	Charley
5	2020	150	Laura
5	2021	150	Ida
5	2022	150	Ian

Table 6.2.1 Strongest hurricanes to make landfall along the US coast. Source (NOAA HRD(b), 2024)

6.3 Temperature extremes

The AR6 assessment focused on the period after 1950 and reported increasing trends in heatwave frequency and intensity. However, the NCA4 noted that heatwave activity in the US reached a peak in the 1930s.

> AR6: It is *virtually certain* that hot extremes (including heatwaves) have become **more frequent** and **more intense** across most land regions since the 1950s, while cold extremes (including cold waves) have become less frequent and less severe (SPM, A3.1)
>
> AR6: In North America, there is very robust evidence for a *very likely* increase in the intensity and frequency of hot extremes and decrease in the intensity and frequency of cold extremes for the whole continent, though there are substantial spatial and seasonal variations in the trends. Minimum temperatures display warming consistently across the continent, while there are more contrasting trends in the annual maximum daily temperatures in parts of the USA. (Chapter 11, p 1550)
>
> NCA4: Changes in warm extremes are more nuanced than changes in cold extremes. For instance, the warmest daily temperature of the year **increased** in some parts of the West over the past century, but there were **decreases** in almost all locations east of the Rocky Mountains. In fact, all eastern regions experienced a net **decrease**, most notably the Midwest (about 2.2℉ [1.2℃]) and the Southeast (roughly 1.5°F [0.8℃]). (pp. 190-191)
>
> NCA4: Since the mid-1960s, there has been only a very slight increase in the warmest daily temperature of the year (amidst large interannual variability). Heat waves (6-day periods with a maximum temperature above the 90th percentile for 1961–1990) increased in frequency until the mid-1930s, became considerably less common through the mid-1960s, and increased in frequency again thereafter. As with warm daily temperatures, **heat wave magnitude reached a maximum in the 1930s. (pp. 190-191)**

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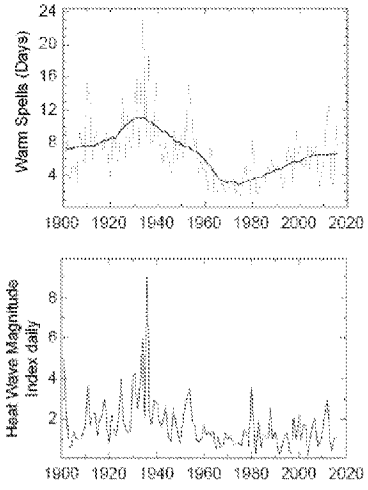

Figure 6.3.1: US Heat waves since 1900. Source: NCA4 Figure 6.4

6.3.1 Temperatures in the US are becoming less extreme

Daily maximum temperatures in the warm season (T max, May-Sep) and daily minimum temperatures in the cold season (Tmin, Dec-Mar) are available beginning in Dec 1898 (126 years). The dataset consists of 1,211 CONUS stations designated as United States Historical Climate Network or USHCN stations (see Figure 6.3.2; Quinlan et al. 1987, Karl et al. 1990). These stations were selected by NOAA as having the fewest problematic issues with gaps, station moves and instrument changes. Where gaps still exist, nearby stations (bias-corrected) were merged so that the median volume of data available for a station is 98%. Although there are certainly errors in the dataset, with over 1,000 stations we can have relatively high confidence in any underlying signal that might be discovered.

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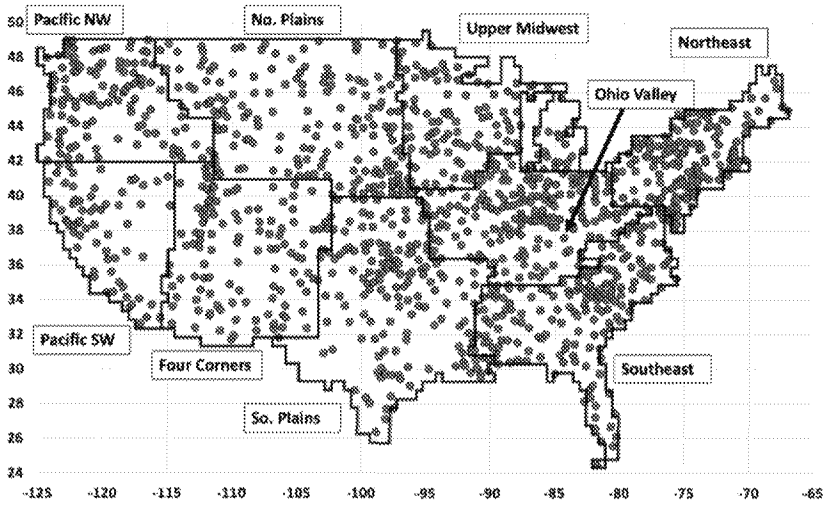

Figure 6.3.2 Locations of USHCN temperature stations. Source: USHCN.

We begin with the question of whether the occurrence of daily record high or low temperatures has changed since Dec 1898. Each warm-season has 153 days (1 May to 30 Sep) and each cold-season has 122 days (1 Dec to 31 Mar). For each station and day, we calculated the year in which the record highest (lowest) temperature occurred. With 126 years of observations, if there were no temperature trends over time,-the expected number of records for Tmax would be 1.21 (=153/126) per station per year and for Tmin 0.96 (=122/126) per station per year.

Figure 6.3.3 shows the observed distribution in time of the occurrence of these extreme events. There is a common feature in many metrics of warm-season extremes in the CONUS - the exceptional heat of the 1920s and especially the 1930s, peaking in 1936. On a per-station average, 60% of the Tmax records and 59% of the Tmin records occurred in the first half of the period (1899-1961).

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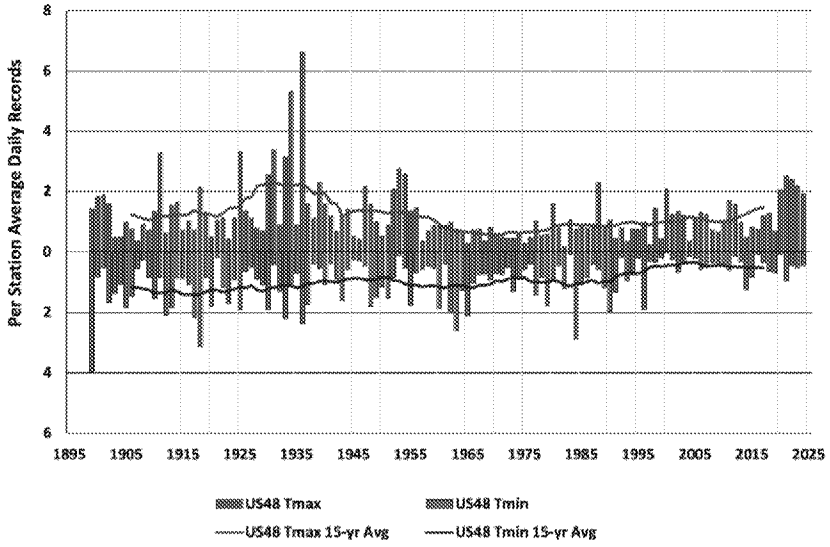

Figure 6.3.3 Number of daily record High (red) and Low (blue) temperatures for warm and cold seasons in the CONUS. The lines represent the 15-year running, centered average. Source: 1,211 USHCN stations supplemented as needed to achieve a minimum of 92% of observations in the 126-year period since Dec 1898. US48: contiguous US states. Tmax: maximum temperature. Tmin: minimum temperature.

On the cold side, the Valentine's Day Arctic outbreak in Feb 1899 stands as the most extensive cold extreme experienced by the CONUS, with 1917 in 2nd place. The frequency of cold records has declined, especially over the last quarter of the period in which only 13% of the extreme cold events were measured. In contrast, 25% of the extreme Tmax records were achieved in the last quarter, in accordance with statistical expectations. These general features have been noted in past assessments (see above, IPCC AR6, NCA4). Combining the two records the overall reduction in numbers of both cold and hot extremes over the past century indicates a climate less prone to extremes.

This pattern is also shown in Figure 6.3.4. For each station and for each year the hottest warm season and coldest cold-season temperatures were calculated. Then the differences between these were computed by station and geographically-averaged over all stations, thus yielding an annual measure of the expected range of local extreme temperatures for each year. Figure 6.3.4 shows the 15-year trailing average of this measure, which has clearly declined over the past century.

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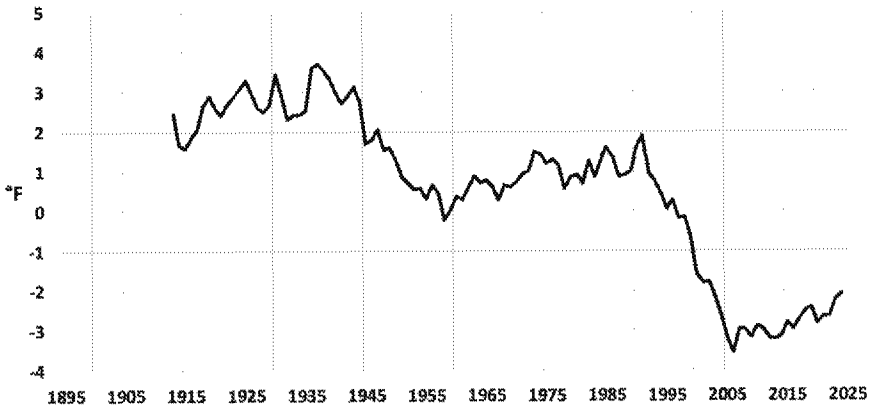

Figure 6.3.4. 15-year trailing average of the difference each year of each station's hottest warm-season Tmax and coldest cold-season Tmin relative to the long-term average. Source: USHCN, author calculations.

The average difference for each station between the hottest summer Tmax and coldest winter Tmin has declined by about 5°F in the past 126 years. The decline is due mostly to warmer winter Tmin, but a decline in summer Tmax is also a factor. The rise in Tmin has been strongly related to the growing presence of manufactured surfaces around the weather stations over the last 100+ years (the so-called urban heat island effect; Spencer et al. 2025).

In summary, while temperature extremes are regularly experienced in the U.S. and attract a great deal of media attention, long term records show the US climate has become less extreme over time (milder) when measured by the range between warm season maxima and cold season minima.

6.3.2 Exceedances of a heat threshold

Under the heading of "The Risk of Temperature Extremes is Changing", the most recent US National Climate Assessment report (NCA5) notes the increase in a threshold metric of number of days at or above 95°F, stating,

> The western US has been particularly affected by extreme heat since the 1980s …, experiencing a larger increase in days over 95°F, as would be expected given the greater warming in that region relative to the eastern US. Several major heatwaves have affected the US since 2018, including a record-shattering event in the Pacific Northwest in 2021.

Are the occurrences of 95°F days changing? In a climate as varied as that of CONUS, threshold statistics can be misleading. A region with many stations that have near 95°F Tmax average temperatures in the summer might see large swings in the metric when only small changes in average temperature occur. Elsewhere with stations that either rarely or virtually always achieve 95°F Tmax temperatures, a small change will not have much impact on the results.

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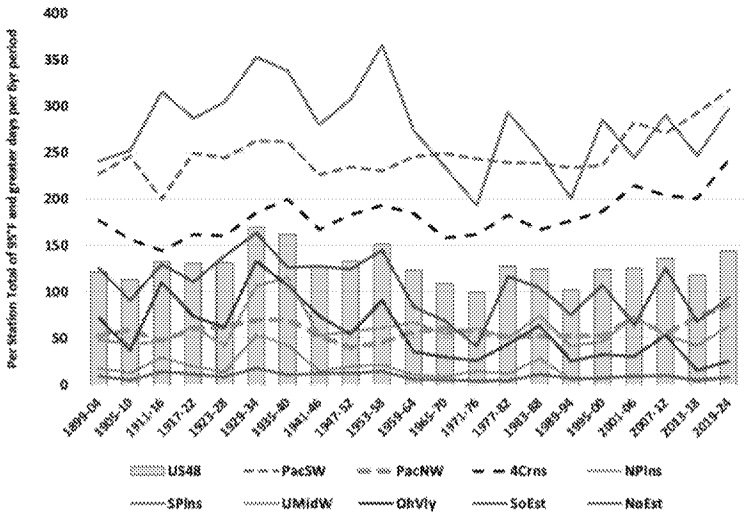

Figure 6.3.5. Total number of days ≥ 95°F in 6-yr periods, US 48 (bars) and regions (lines). Interval is 6-years as this is divisible into 126. Source: USHCN, author calculations. US48: contiguous US states. See Figure 6.3.2 for region names.

In the past 126 years, the average CONUS station experienced 129 days exceeding 95°C per 6-yr period, but the regional values range from 278 in the Southern Plains to 9 in the Northeast. Thus, such threshold analyses must be interpreted with caution. Figure 6.3.5 shows that only three of the nine regions, all in the West, have experienced upward trends in the number of 95°F or hotter days (dashed lines). The CONUS as a whole has not, and the other six regions have experienced declines.

The Pacific NW heatwave of 2021 referenced in the NCA5 quote will be examined more closely in Section 8.6.1. The evidence indicates that it was a single, unprecedented event in the record, not part of a pattern of increasing extreme heat. For example, the 5-day average tropospheric grid-point temperature anomaly over the Pacific NW during that event was +10.8 C, the most extreme Northern Hemisphere grid point summer anomaly in the 46 years from over 4 million grid values. In contrast, the global temperature anomaly during that time was virtually zero (+0.03 C, Mass et al. 2024).

The NCA5 heading "The Risk of Temperature Extremes is Changing" suggests pervasive positive trends are now being observed in threshold temperatures, but that is not evident in the figures above.

6.3.3 Heatwaves

Heatwaves (consecutive days that exceed an extreme threshold) have a greater societal impact than a single daily record temperature. We measure "Heatwave Days" here as the count of all days in May-Sep each year that exceed the 90th percentile for that day and that lie within a period of at least six consecutive days. This is equivalent to the method used in NCA4, except that the reference period here is the entire record 1899-2024 while NCA4 truncated the reference period to 1961-1990, which was a cool period. That truncation boosts positive results (days exceeding the 90th percentile) in periods warmer than the reference period, especially starting in 1960 and moving to the present (see *Urban Influences* below).

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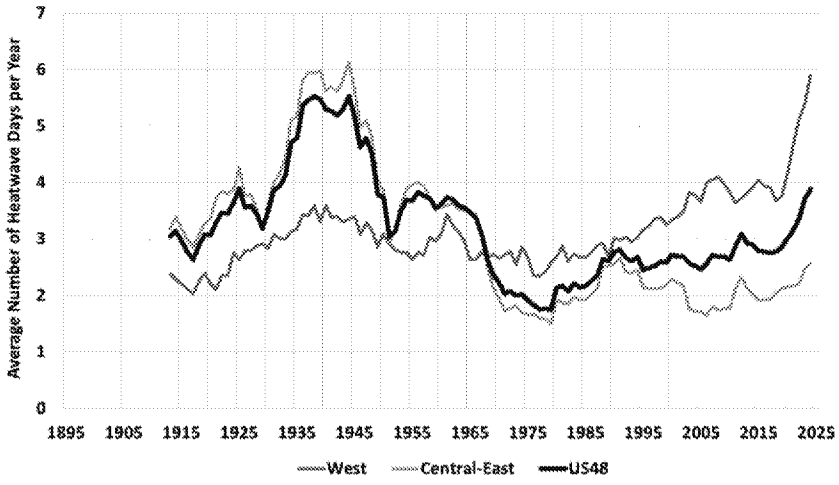

Figure 6.3.6 15-year trailing average of number of heatwave days per year per station in the CONUS (blackline) and two regions: West (red), Central-east (green).

Figure 6.3.6 indicates that there are regional variations in heatwave activity. The excessive heat of the first half of the 20th century occurred primarily in the eastern two-thirds of the nation, while the West has seen a recent increase of heatwave days (NCA5). This indicates that the background warm season circulation favored heatwaves in the eastern portions of the country in the first half of the 20th century, but in the 21st century the patterns have favored heatwaves in the West. For CONUS as a whole, heatwaves are no more common today than they were a century ago, consistent with the upper panel of our Figure 6.4.1 taken from NCA4.

This metric varies significantly with region. The four northern regions (Pacific NW, Northern Plains, Upper Midwest, and Northeast) on average experience 15 to 27 heatwave days per 15-year period. In contrast, the five southern regions (Pacific Southwest, 4-Corners, Southern Plains, Ohio Valley and Southeast) see 37 to 54 such days, essentially twice as many. This suggests the summer circulation pattern is more prone to stationary events in the southern regions while transient systems in the northern regions are more common and thus cut short these potentially longer events.

The analysis of heatwaves is an example of why it is important to consider complete datasets and appropriate metrics. The NCA5 directs readers to the website [HYPERLINK "https://www.globalchange.gov/indicators/heat-waves"] (USGCRP 2023) to view a figure showing the number of urban heatwaves by decade from the 1960s, which we reproduce as Figure 6.3.7.

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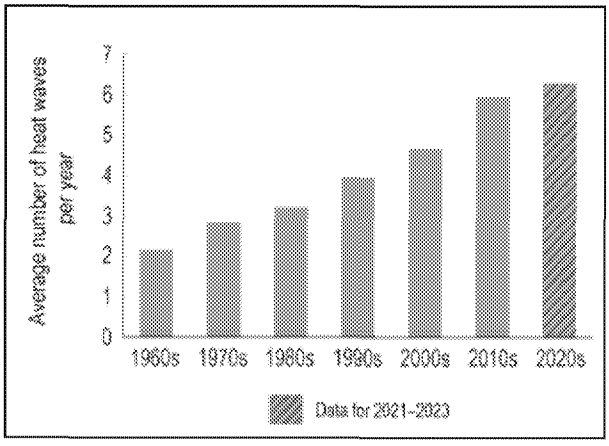

Figure 6.3.7: Average number of urban heatwaves per year for 50 large U.S. metropolitan areas. From [HYPERLINK "https://www.globalchange.gov/indicators/heat-waves"]

The figure shows a monotonic increase in each decade from 2 occurrences per year in the 1960s to 6 in the 2020s. The definition of a heatwave used is an unusual but practical measure of human discomfort - a period of at least 2 consecutive days when the *minimum* apparent temperature (combination of temperature and humidity) exceeds the 85th percentile. Note too, the dataset is limited to the 50 largest U.S. cities.

Given the unusual heatwave definition and urban focus, these increasing values since 1960 presented in USGCRP (2023) are not informative about long term trends or the influence of GHG emissions for at least two reasons. First, as shown in Figures 6.3.1 and 6.3.6, the 1960s was the coldest decade and 1970s the second coldest decade since the 1910's, so this starting date preconditions the time series to show increases. Second, post-1960 urbanization in these cities is a major factor in the rise of Tmin relative to co-located Tmax and relative to Tmin at nearby rural stations. This does not dismiss the real rise in nighttime temperatures in major U. S. cities and the societal impacts associated with these changes. However, we note for a variety of reasons, that summer Tmax (especially in rural areas) is a better metric for detecting changes in heatwaves influenced by changes in the background climate due, for example, to increasing GHGs (Christy et al. 2009). For the CONUS as a whole, the evidence in this section suggests GHG emissions have had little-to-no effect on heatwaves.

6.4 Extreme precipitation

The IPCC AR6 assessed that an increase in heavy precipitation has been observed in data starting in the 1950s.

> AR6: The frequency and intensity of **heavy precipitation events have increased** since the 1950s over most land area for which observational data are sufficient for trend analysis (high confidence). (SPM A3.2)

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> AR6: In North America, there is robust evidence that the **magnitude and intensity of extreme precipitation has *very likely* increased** since the 1950s. Both [one-day maxima] and [5-day maxima] have significantly increased in North America during 1950-2018. (Chapter 11, p. 1560)

The US National Climate Assessments (NCA4, NCA5) have highlighted an increase in the occurrence of the heaviest precipitation events (defined in different ways) primarily in the eastern half of the CONUS, especially the Northeast, when starting the analysis in either 1901 or 1958. Interestingly, the regional variations indicate that the largest increases in extreme precipitation events are in the Northeast and the smallest in the West, a pattern counter to the changes in temperature extremes.

McKitrick and Christy (2019) examined long-term and consistent station observations of extreme daily precipitation to test some of these NCA claims for the Southeast and West Coast using a trend model with a non-parametric variance estimator robust to the complex autocorrelation properties of precipitation data. When the time series were extended back in time (as far as 1872 in some cases) or when starting later (1978), there were no significant trends for either region.

These findings have been updated for this report (McKitrick and Christy 2025) with similarly constructed observations from 29 stations on the CONUS Pacific Coast (1893ff from San Diego CA to Blaine WA) and 24 stations in the humid Southeast (1872ff from Austin TX to Washington DC), also adding 27 stations in the Northeast (1888ff from Buffalo NY to Eastport ME). The locations are shown in Figure 6.4.1. The stations were selected on the basis of availability of long-term high-quality records. The regions are each associated with important features of extreme precipitation behavior. The Pacific coast is associated with landfalling atmospheric rivers for which AR6 cites evidence of increasing activity since 1948 with further increases expected as the world warms (AR6 8.3.2.8.2). The NCA report has indicated that the Northeast has experienced the greatest increase in extreme events. The Southeast is also a place noted in the NCAs for increased extreme events.

The results of applying the analysis of McKitrick and Christy (2019) were as follows for each region, then followed by further explanation.

Pacific Coast heavy rainfall events

- Average precipitation trend is statistically significant (downwards) in Astoria OR; insignificant elsewhere.
- The trend in rainfall variance is positive and significant in Big Sur CA; insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Aberdeen WA and Big Sur CA and negative and significant in Newport OR (insignificant elsewhere).
- Averaged over all stations in the region, none of these three trend parameters is statistically significant.

The Pacific Coast receives considerable precipitation from Atmospheric River (AR) events which often last more than a day or two (e.g., Gershunov et al. 2017, Pan et al. 2024). The worst series of such events in recent history was the so-called ARkStorm that occurred between December 1861 and January 1862; it dumped nearly 10 feet of rain in parts of California and submerged the entire Central Valley for weeks under as much as 15 feet of water (Brewer 1930, Null and Hulbert 2007). Additionally, Paleoclimate research has found six megastorms more severe than 1861–1862 in California during the last 1800 years, occurring at intervals of 300 years or so (Porter et al. 2011).

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Figure 6.4.1: Locations of precipitation monitoring stations used in this report. Orange: Pacific coast. Blue: Northeast. Green: Southeast.

We examine occurrences of 5-day deluges as follows. Taking the Pacific coast as an example, a 130-year span contains 26 5-year intervals. At each location we computed the 5-day precipitation totals throughout the year and selected the 26 highest values across the sample. A single year may have more than one of the 26 heaviest. Each of those can be thought of as 1-in-5yr events. If there are no trends in precipitation, then the total number of these events across all stations should be evenly spread out over the years. In Fig. 6.4.2 we show the distribution in time of these events for the Pacific coast. The deluges associated with the massive 1997-98 El Niño event are readily apparent. While erratic, as is typical of such precipitation metrics, there is no indication of a tendency to become more frequent over time.

Southeast heavy rainfall events

- The trend in average precipitation is positive and statistically significant in Mobile AL and Quitman GA, and insignificant elsewhere.
- The trend in rainfall variance is positive and significant in Mobile AL, insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Vicksburg MS and Norfolk VA, and insignificant elsewhere.
- Averaged over all stations in the region none of these three trend parameters is statistically significant

Figure 6.4.3 shows the same calculation for the last 150 years in the Southeast humid zone. The temporal pattern of 5-day totals of the 1-in-5yr heavy events is generally unremarkable, though a cluster of higher values appears in 1995 to 2019. The increase in those years is due largely to the 4 northeastern-most stations of Wilmington NC, Weldon NC, Washington DC, and Norfolk VA. This confirms the pattern indicated in NCA4 and NCA5 -- an increasing frequency of heavy events due to a temporal clustering of tropical storms from eastern NC to Maine discussed below. Otherwise, the remaining 20 stations show an unremarkable temporal distribution of heavy events.

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BOX: Perils of short data records

San Francisco provides a good case study of the limitation of using short historical samples to characterize natural variability of extreme events. Suppose we use a 130-year sample of daily San Francisco precipitation from 1895 to 2024 and we look for 3-day, 5-day, 14-day and 30-day rainfall records. The results are as shown in Table 7.2.

Event	Record (inches)	Year
3-day	6.94	2023
5-day	8.55	2023
14-day	12.62	2023
30-day	18.93	1998

Table 6.2: Extreme rainfall records, San Francisco, 1895-2024.

The records all cluster in the more recent years. 2023 appears to be an exceptional year and since it is near the end of the sample, it might suggest that the climate has shifted into a more hazardous state, perhaps as a result of human influences. Note: "2023" indicates the event occurred in the water-year of Aug 2022 to Jul 2023.

But the picture is very different if we use a sample that begins 45 years earlier, in 1850. Table 6.3 shows that the record-setting events all happened in the 1860s. Furthermore 2023 is now not even in 2nd place but falls to 3rd or 4th place. Also, comparing the records of the two charts the extreme precipitation events in 1862 and 1867 involved considerably more rainfall than the 1998 and 2023 events, with 14- and 30-day totals about 50 percent higher.

Event	Record (inches)	Year	Rank of 130-yr extreme
3-day	8.85	1867	3
5-day	9.80	1867	3
14-day	19.05	1862	4
30-day	28.25	1862	2

Table 6.3: Extreme rainfall records, San Francisco, 1850-2024.

The range of natural variability is made even more remarkable when paleoclimate evidence is examined. Porter et al. (2011) discovered that in the past 1,800 years at least six megastorms were more intense than the devastating 1861-62 ARkStorm that struck the region. Evidently such extreme events, "unprecedented" in our 1895-2024 sample, have impacted the region about every 300 years, though not since 1895.

This example illustrates the limitations of using relatively short climate periods (~130 years) to assess the character and range of natural variability in general and of extreme events in particular. Accurate representation of the full range of natural variability is necessary for any attribution analyses (see 8.6). Infrastructure planners, emergency management institutions and attribution scientists would understand the significant mischaracterization of the magnitude of a future extreme if based only on the last 130 years. In this case, a single time-sample of 130 years provides an underestimation of the extreme value by up to 50% determined when adding only 45 more years of observations. Compared to millennial-scale paleoclimate evidence, an even greater underestimation would occur. An important lesson is that the climate can deliver great surprises on its own, even without human influences.

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But the picture is very different if we use a sample that begins 45 years earlier, in 1850. Table 6.3 shows that the record-setting events all happened in the 1860s. Furthermore 2023 is now not even in 2nd place but falls to 3rd or 4th place. Also, comparing the records of the two charts the extreme precipitation events in 1862 and 1867 involved considerably more rainfall than the 1998 and 2023 events, with 14- and 30-day totals about 50 percent higher.

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This example illustrates the limitations of using relatively short climate periods (~130 years) to assess the character and range of natural variability in general and of extreme events in particular. Accurate representation of the full range of natural variability is necessary for any attribution analyses (see 8.6). Infrastructure planners, emergency management institutions and attribution scientists would understand the significant mischaracterization of the magnitude of a future extreme if based only on the last 130 years. In this case, a single time-sample of 130 years provides an underestimation of the extreme value by up to 50% determined when adding only 45 more years of observations. Compared to millennial-scale paleoclimate evidence, an even greater underestimation would occur. An important lesson is that the climate can deliver great surprises on its own, even without human influence.

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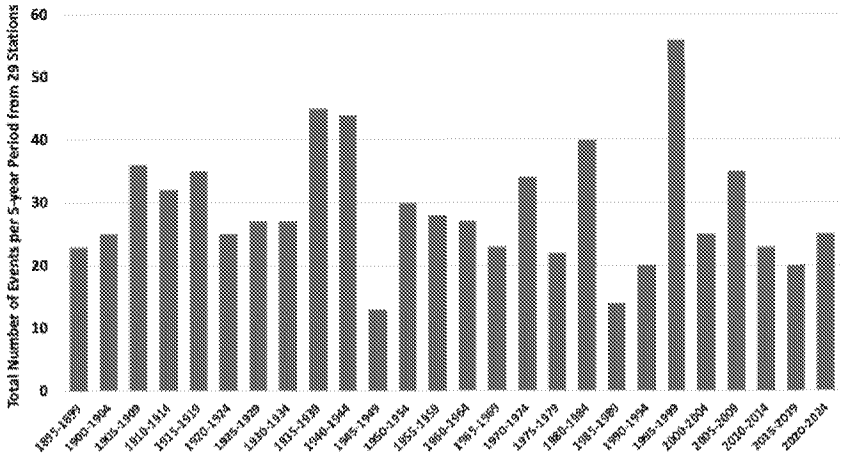

Figure 6.4.2. The time distribution by 5-year periods of the 26 heaviest (1-in-5 yr) occurrences for 29 stations on the Pacific coast.

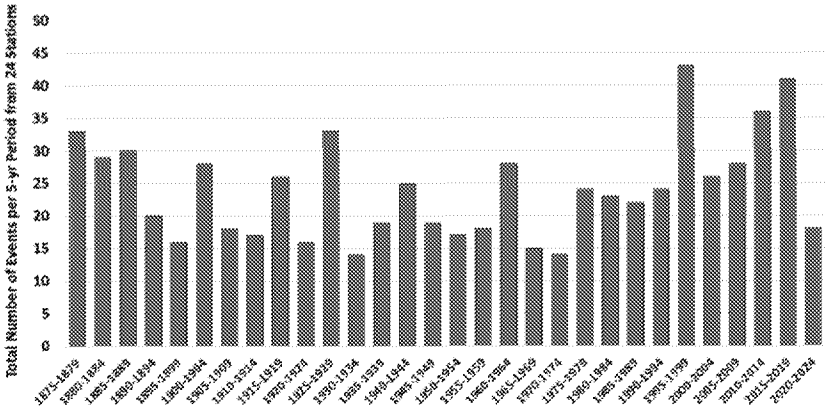

Figure 6.4.3. As in Fig. 6.4.2 but for the heaviest 30 (1-in-5yr) events for 24 stations in the humid Southeast from Austin TX to Washington DC in 5-year bins for 1875-2024.

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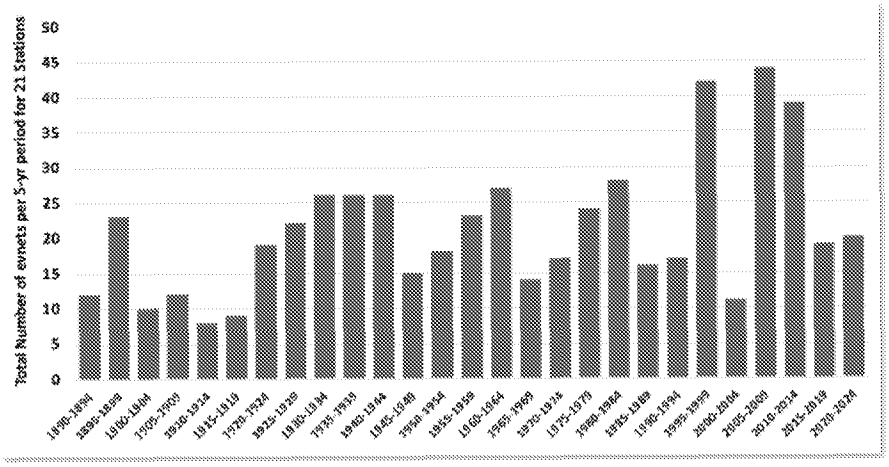

Figure 6.4.4. As in Fig. 6.4.2 but for the heaviest 27 (1-in-5yr) 3-day precipitation events for 27 Northeast stations from NY to ME, including Montreal.

Northeast heavy rainfall events

- The trend in average precipitation is positive and statistically significant in 12 of 27 locations and also in the regional average.
- The trend in rainfall variance is positive and significant in Portland ME, Albany NY, Buffalo NY and Eastport ME, but insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Portland ME, Gardiner ME and Eastport ME, but insignificant elsewhere.
- When averaged over all stations in the region, there is no statistically significant trend in either the precipitation variance or maximum

Fig. 6.4.4 shows the same calculation for the last 135 years in 27 stations in the Northeast (including Montreal Canada). We use 3-day totals here as this produced the largest temporal variations in time. In this region, 72% of events occur during June to October and are dominated by incursions of hurricanes, tropical storms, or tropical storms that transition to extratropical systems. According to NCA4 and NCA5 this region experienced the largest increases in extreme events, so it merits a closer examination.

There is a noticeable clustering of extreme events from 1995 to 2014. Howarth et al. (2019) examined a similar region as in Fig. 6.4.4 that includes PA and NJ and reported significant differences between two 18-year periods, 1979-96 and 1997-2014, of various precipitation extremes, including a 317% increase in 24-hr events exceeding 6 inches, while we find a 51% increase over the same years. However, Figure 6.4.4 shows that frequency drops sharply after 2014, returning to the long-term average in the subsequent 5 year intervals, again illustrating the perils of drawing conclusions from short-term trends in highly variable metrics.

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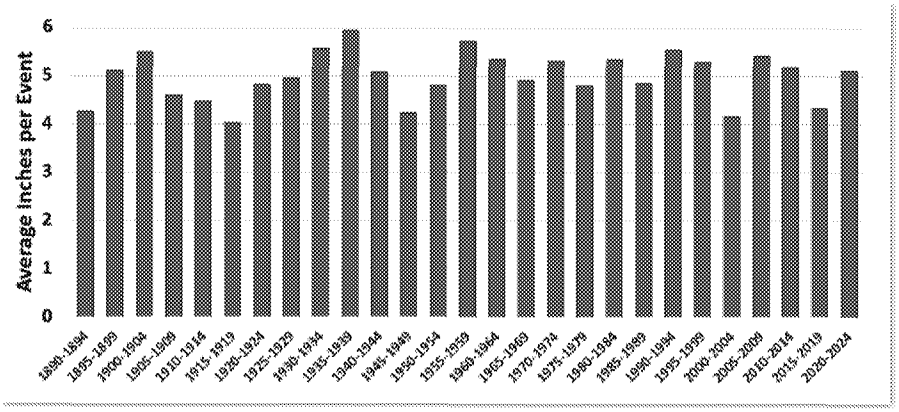

Figure 6.4.5. Average amount of precipitation falling in the 1-in-5yr events for the NE stations.

Also the high percentage increase in the Howarth et al. sample is associated with small numbers at relatively few locations: there were only 6 in the first period and 25 in the second across 58 stations. Most of the stations did not record such an event. If there is a region-wide increase in heavy events, it should be seen in the average across all stations. Figure 6.4.5 shows the average precipitation in the 1-in-5 yr events across the NE. The trend is only +0.02"/decade. The highest amount, 1935-39, includes the Great New England Hurricane of 1938, one of the rare major (Category 3 or higher) hurricanes to strike the region. The results in Figs. 6.4.4 and 6.4.5 thus suggest that though there was a surge in the number of events at a few locations during the 1995 to 2014 interval, there was no regional pattern and the change did not persist beyond 2014.

Jong et al. (2024) document the increase of tropical influences on precipitation events in the Northeast since 1959 and concluded, "The autumn extreme precipitation trend over the Northeast US is primarily attributed to tropical cyclone-related events since the 1990s." The question then becomes: was the temporal clustering of tropical systems in 1997-2014 which affected the Northeast a response to increasing GHGs? Jong et al. examined CMIP-6 model output which suggests that there will be fewer such systems in the 21st century but that the intensity of the rainfall events might increase. This conjecture is not seen in Fig. 6.4.5 where the amount-per-event has remained steady over the 135-year period.

There is some evidence to indicate the heaviest rainfall events may be redistributed due to the impact urban infrastructure has on the local weather (e.g., Pielke Sr. et al. 2011, Zhang et al. 2018, Yang et al. 2024). Yang et al. state, "Cities that experience compact development tend to witness larger increases in extreme rainfall frequency over downtown than their rural surroundings, while the anomalies in extreme rainfall frequency diminish for cities with dispersed development." While this is an important insight to consider, the effect on the specific stations used in this analysis is unknown, or at least not detectable in Fig. 6.4.4.

In summary, some regions show short-interval increases in extreme precipitation events, consistent with natural variability. But analysis of long term, nationwide historical records taking into account the autocorrelation properties of precipitation data does not support the claim that extreme short-duration rainfall events are becoming more frequent or intense.

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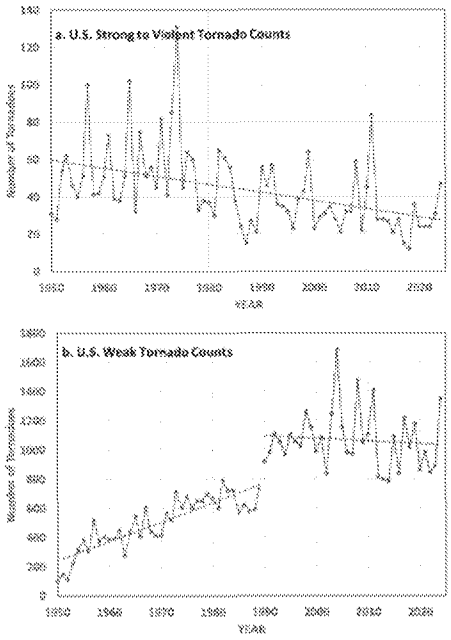

Figure 6.6.1. Annual U.S. tornado counts for (a) strong to violent tornadoes (EF3 to EF5), and (b) weak tornadoes (EF0 to EF2). Based upon NOAA Storms Prediction Center data, available at https://www.spc.noaa.gov/wcm/data/1950-2024_actual_tornadoes.csv

6.5 Tornadoes

AR6 assesses tornado trends in the US as follows:

> [O]bservational trends in tornadoes, hail, and lightning associated with severe convective storms are not robustly detected due to insufficient coverage of the long-term observations. There is *medium confidence* that the mean annual number of tornadoes in the USA has remained relatively constant. (Chapter 11, section 11.7.3, p. 1594)

The monitoring of weak tornadoes has changed over time. The growth of rural populations and the increasing ability to take video with hand-held devices has led to more frequent reports of weak tornadoes that produce minimal damage. In contrast, strong to violent tornadoes have been observed more consistently over time. Since statistics began in 1950, there has been a substantial decrease (by about 50%) in the number of strong to violent tornadoes as shown in Fig. 6.6.1a. Note that tornado strength is measured by the damage it produces, not by the visual appearance of the funnel. Limited real-time observational capabilities in earlier decades did not prevent identification because strong to violent tornadoes leave much more damage which will be assessed later even if the tornado itself was not observed.

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To summarize, there is a noticeable downward trend in the number of severe tornadoes in the U.S. since 1950. After 1990 the number of weak tornadoes in the U.S. has remained roughly constant; data before that are incomplete due to limited monitoring.

6.6 Flooding

Changes in floods were assessed as follows:

> AR6: The SREX **assessed *low confidence* for observed changes in the magnitude or frequency of floods** at the global scale. This assessment was confirmed by the AR5 report. The SR15 found increases in flood frequency and extreme streamflow in some regions, but decreases in other regions. . . [H]ydrological literature on observed flood changes is heterogeneous, focusing at regional and sub-regional basin scales, making it difficult to synthesise at the global and sometimes regional scales. (Chapter 11.5)
>
> AR6: [T]he seasonality of floods has changed in cold regions where snowmelt dominates the flow regime in response to warming (*high confidence*). Confidence about peak flow trends over past decades on the global scale is ***low***. (Chapter 11.5)
>
> NCA4: Trends in extreme high values of streamflow are **mixed** across the United States. Analysis of 200 U.S. stream gauges indicates areas of both increasing and decreasing flooding magnitude **but does not provide robust evidence** that these trends are attributable to human influences (pp. 240-241)

The absence of detectable US-wide trends in flooding is consistent with the findings in Section 6.5 of absence of coherent changes in extreme precipitation.

6.7 Droughts

Assessments of drought trends were as follows.

> AR6: Few AR6 regions show observed increases in meteorological drought (Section 11.9, p. 1575),
>
> AR6: Increasing trends in agricultural and ecological droughts have been observed on all continents (*medium confidence*), but decreases only in one AR6 region (*medium confidence*). Increasing trends in hydrological droughts have been observed in a few AR6 regions. (Chapter 11 Summary)
>
> NCA4: As a consequence of this increased precipitation, **drought statistics over the entire CONUS have declined**. (p. 233)
>
> NCA4: Recent droughts and associated heat waves have reached record intensity in some regions of the United States; however, by geographical scale and duration, the Dust Bowl era of the **1930s remains the benchmark** drought and extreme heat event in the historical record (very high confidence). (p.231)
>
> SREX: From a paleoclimate perspective, **recent droughts are not unprecedented**, with severe 'megadroughts' reported in the paleoclimatic record for Europe, North America, and Australia. (p. 170)

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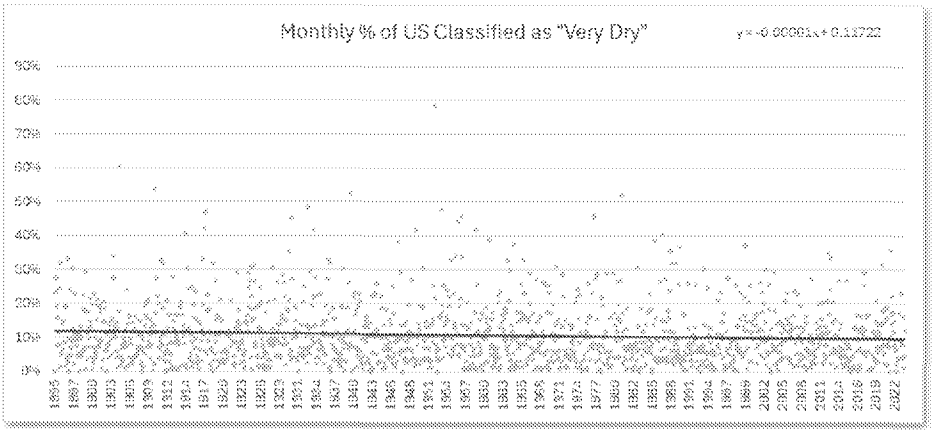

Figure 6.8.1: US dryness (%) 1895—2023. Source: NOAA [HYPERLINK "https://www.ncei.noaa.gov/access/monitoring/uspa/wet-dry/0"]

As shown in Figure 6.8.1, US long term data shows an insignificantly declining trend toward extreme dryness (-0.001% per year)

Kogan et al. (2020) examines a 38-year high-resolution satellite-based drought measure and concludes that global drought has not intensified and is not connected to climate change: "it is possible to state firmly that global and main grain countries' drought area and intensity trends have not been following global climate warming since 1980's."

In summary there is no evidence of increasing drought frequency or intensity in the U.S. or globally over recent decades.

6.8 Wildfires

The IPCC has not provided an assessment of wildfires. As shown in Figure 6.9.1, global wildfire activity as measured by European Space Agency shows a downward trend in the 21st century.

Figure 6.9.1: Global wildfire area 2001-2018. Source: From Lizundia-Loiola et al. (2021). The different coloured lines represent data products derived from different satellites and algorithms

Global data show that wildfire coverage is constant or declining on every continent (Samborska and Ritchie, 2024).

Active fire suppression since 1900 makes it difficult to establish a natural baseline for wildfire activity in the U.S. Paleoclimatic evidence indicates that past activity was much higher than today. Marlon et al. (2012) used sedimentary charcoal layers to reconstruct fire history of western US for the past 1400 years and also fit a model to predict fire activity as a function of climatic conditions. Their results are summarized in Figure 6.9.2 below (from Figure 2 in their paper). There has been a growing wildfire deficit over the 20th century. In other words, however much fire was observed in the 20th century, it was less than what would have been observed in previous centuries based on the climatic conditions. Parks et al. (2025) likewise find that despite the recent increase in wildfire burn area in North America, a significant wildfire deficit remains relative to historical wildfire regimes.

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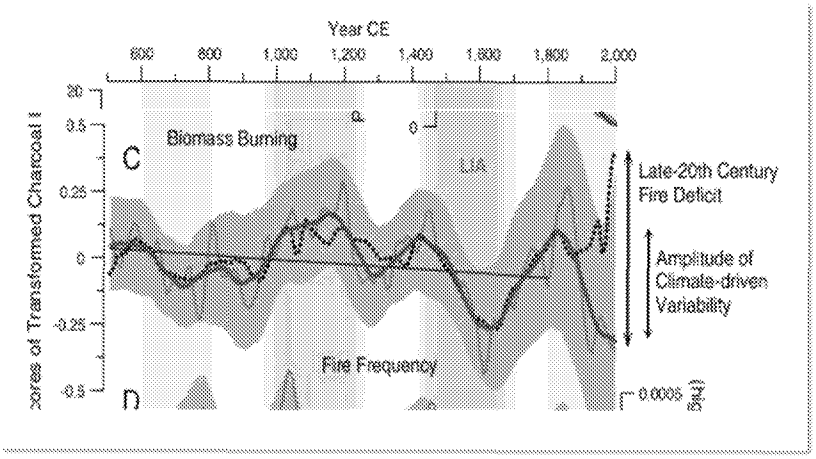

Figure 6.9.2 Fire frequency and fire deficit in the US. The red line shows the smoothed charcoal record and the black dotted line shows the predicted charcoal record based climatic condidions. model. *Source: Copied from Marlon et al. (2012)*

US data from the National Interagency Fire Centre (NIFC) from 1926 to 2023 are shown in Figure 6.9.3. The NIFC has removed the pre-1960 data from its current website on the grounds that measurement methods changed after 1960 making the comparison unreliable. Nonetheless just focusing on the post-1985 interval the number of fires is not increasing. The area burned did increase but only until about 2007.

Forest fires have always been a part of nature, and they can certainly create conditions that are inhospitable in the short term for all life including humans. Science has confirmed the overall benefit and necessity of the occurrence of forest fires. While recent high-profile fires and seasons serve as a reminder of the potential destructive impact, the highest profile forest fire remains the 1910 Big Blowup fire which destroyed over three million acres including the elimination of entire towns like Taft, MT (Apple, 2020). The 1910 fire reshaped the U.S. Forest Service (National Forest Foundation 2022) leading to a focus on fire suppression with a primary goal to defeat all forest fires (Forest History Society, 2022). This led to the 10 am rule in 1935 requiring that all fires spotted on any day had to be controlled by 10 am the following day (National Forest Foundation, 2022).

While defeating all fires seemed a noble goal, questions began to arise as to whether this behavior "followed the science" (US Forest Service, 2022). Over time the U.S. Forest Service has begun to rethink its goals, recognizing that new approaches such as prescribed burns, fuel elimination, and controlled wildfires are more appropriate (Sommer, 2016). Recent research is validating this approach and recognizing that more frequent smaller fires likely result in the healthier forests, water ecosystems and biodiversity (Stephens et al., 2021).

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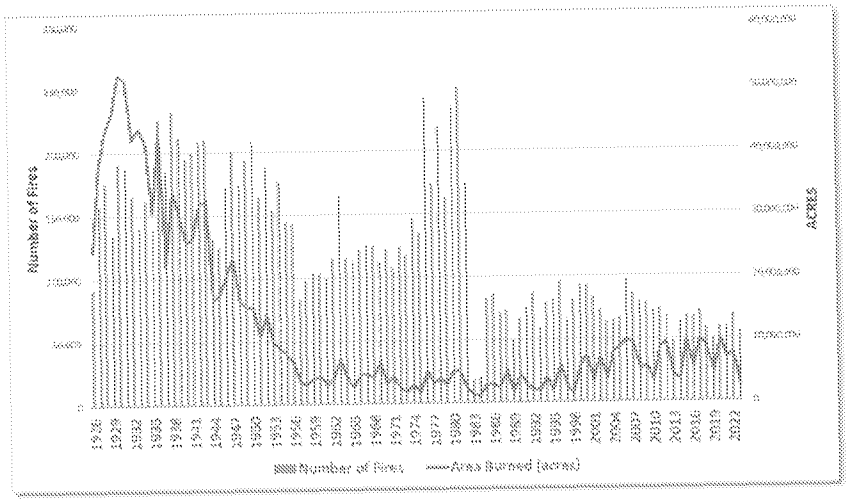

Figure 6.9.3: US wildfires 1926 to 2023. Source: Post-2018: National InterAgency Fire Center data Pre-2017 webarchive.org.

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7 CHANGES IN SEA LEVEL

Chapter Summary

Since 1900, global average sea level has risen by about 8 inches. Sea level change along U.S. coasts is highly variable, associated with local variations in processes that contribute to sinking and also ocean circulation patterns. The highest levels of sea level rise along U.S. coasts are Galveston, New Orleans, and the Chesapeake Bay regions - each of these locations is associated with substantial local land sinking (subsidence) unrelated to climate change.

Extreme projections of global sea level rise are associated with an implausible extreme emissions scenario and inclusion of poorly understood processes associated with hypothesized ice sheet instabilities. In evaluating the IPCC AR6 projections to 2050 (with reference to the baseline period 1995-2014), almost half of the sea level rise has already occurred by 2025 and at a lower rate than predicted. US tide gauge measurements shown herein reveal no obvious acceleration beyond the historical average rate of sea level rise.

7.1 Global sea level rise

Global sea level rise is arguably the most important climate impact driver that is unambiguously associated with increasing temperatures. At the global level, warming raises sea level through thermal expansion of the volume of sea water and through melting of glaciers and ice sheets. Variations in land water storage are another important factor. At the regional scale, sea level change is influenced by large-scale ocean circulation patterns, and geologic processes and deformation from the redistribution of ice and water. Locally, vertical land motion from geologic processes, ground water withdrawal, and fossil fuel extraction are also important.

The IPCC AR6 estimates that global mean sea level increased by 7.9 (5.9–9.8) inches between 1901 and 2018, with the rate of sea level rise accelerating in recent decades. At the ocean basin scale, sea levels have risen fastest in the Western Pacific and slowest in the Eastern Pacific over the period 1993–2018 (Fox-Kemper et al., 2021). The rate of global sea level rise is estimated to be 0.12 inches/year (for reference, 0.12 in is the height of two stacked pennies) (NASA, 2020).

The observing systems for global sea level rise have advanced significantly in the satellite era, particularly with the advent of satellite altimeters since 1993. Local tide gauges have provided useful data for the past century, and even longer for a few locations. Following the end of the Little Ice Age in the mid-nineteenth century, tide gauges show that the global mean sea level began rising during the period 1820–1860, well before most anthropogenic greenhouse gas emissions.

7.2 U.S. sea level rise

Observed and predicted rates of mean global sea level rise might have little scientific relevance for specific locations, owing to local processes (NOAA, 2025). Figure 7.1 shows that in Canada and Alaska (and also northern Washington), sea level is actually decreasing, owing to uplift from glacial rebound. Most of the Pacific coast tide gauges show rises between 0.12 inches/year, while largest U.S. rates are on the Gulf coast (Louisiana and Texas) and in the mid-Atlantic states (Chesapeake Bay region).

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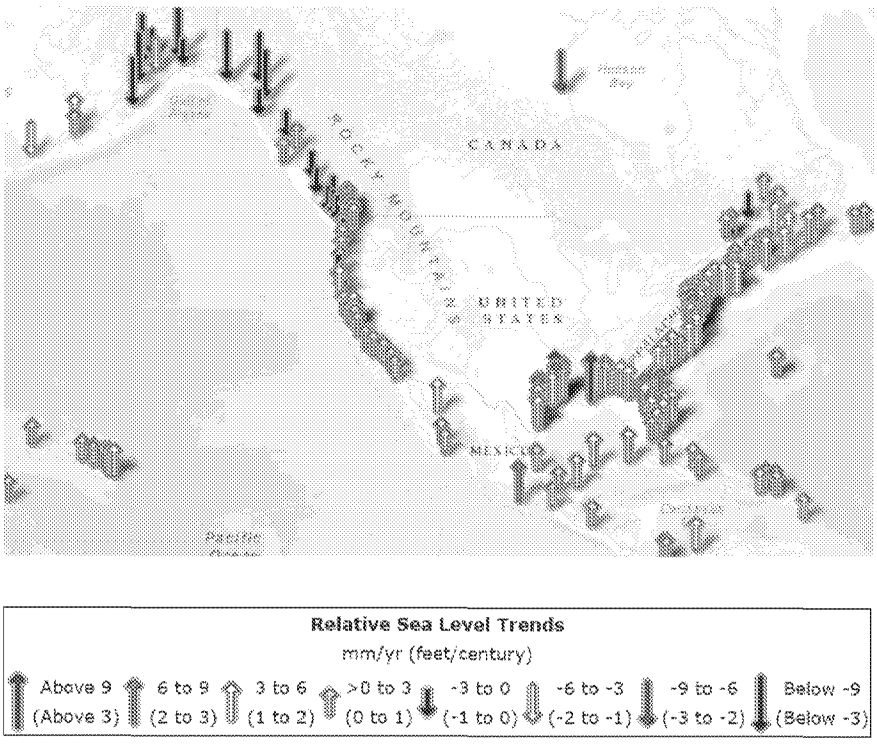

Figure 7.1. Map of rates of relative sea level rise along the U.S. coast (NOAA, https://tidesandcurrents.noaa.gov/sltrends/). For reference, 3 mm = 0.12 in.

Measurements of relative sea level rise from tide gauges conflate the climatologically relevant increase in the volume of seawater with local vertical land motion. That latter, which varies from place to place, is best measured using a Global Positioning System (GPS) station located near the tide gauge. It is driven by a range of processes that can be comparable to the climate signal and can locally exacerbate (subsidence/sinking) or mitigate (uplift) the risk of sea level rise (Wöppelmann and Marcos, 2016). Human activities triggering subsidence are often significant. They include soil drainage (e.g. for urban development purposes) and subsurface extraction of groundwater, oil, or gas.

Table 7.1 shows absolute sea-level rise (ASLR) for selected locations, determined from the sum of uncorrected sea-level rise as estimated from tide gauge time series (RSLR) (NOAA, 2025) and the vertical land motion (VLM) measurements (NAS, 2012; Letetrel et al., 2015; Karegar et al., 2016). The absolute sea level rise for each of these locations is significantly smaller than the measured relative sea level rise owing to local subsidence. More than half of the measured relative sea level rise is attributed to land sinking for these locations: San Francisco, Galveston, Grand Isle. For reference, the global average rate of absolute sea level rise is estimated to be 0.12 inches/year.

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Location	RSLR	VLM	ASLR
San Francisco, CA	+0.08	-0.06	+0.02
Galveston, TX	+0.26	-0.19	+0.07
Grand Isle, LA	+0.36	-0.28	+0.08
St Petersburg, FL	+0.12	-0.02	+0.10
New York City, NY	+0.11	-0.05	+0.06

Table 7.1 Absolute sea level rise (inches/year) consisting of Relative Sea Level Rise (RSLR) plus Vertical Land Motion (VLM))

San Francisco Bay

Over the past 100 years, relative sea level in the San Francisco Bay area has risen by 7.8 inches, at an average rate of 0.08 inches/year (Figure 7.2). As shown in Table 7.1, San Francisco's vertical land motion is -0.06 inches/year (sinking), producing a recent absolute rate of +0.02 inches/year. Portions of Treasure Island, San Francisco International Airport, and Foster City are sinking as fast as 0.4 inches/year (Shirzaei and Bürgmann, 2018). Problems in the San Francisco Bay area, including threats to the airport, have been caused primarily by soil compaction in landfill zones that were formerly wetlands, not by the slow creep of global sea level rise.

Galveston - Houston

Long-term tide measurements at Galveston Pier 21 show sea level rise of 2.18 feet in the past century, or a rate of 0.26 inches/year (Figure 7.3). Vertical land motion (subsidence) at Galveston is estimated at -0.19 inches/year, yielding an absolute rate of rise of +0.07 inches/year (Table 7.1). The U.S. Geologic Survey found that most of the land-surface subsidence in the Houston-Galveston region is a direct result of groundwater withdrawals (Kasmarek and Ramage), which caused compaction of the aquifer sediments, mostly in the fine-grained silt and clay layers. By 1979, as much as 10 feet of subsidence had occurred in Houston.

Hurricanes have been the major concern in the region. The 1900 hurricane that struck Galveston and killed between 6,000 and 12,000 people is still considered the deadliest U.S. natural disaster (Weems, 2016). Hurricane Ike (2008) decimated large portions of the Bolivar Peninsula, literally removing significant portions of the land mass (UCS, 2017). While only 17 people were killed in Texas, property damage exceeded $50 billion (White, 2017). In 2017, Hurricane Harvey struck the region, bringing catastrophic rainfall-triggered flooding that inflicted over $125 billion in damages (NOAA, 2025).

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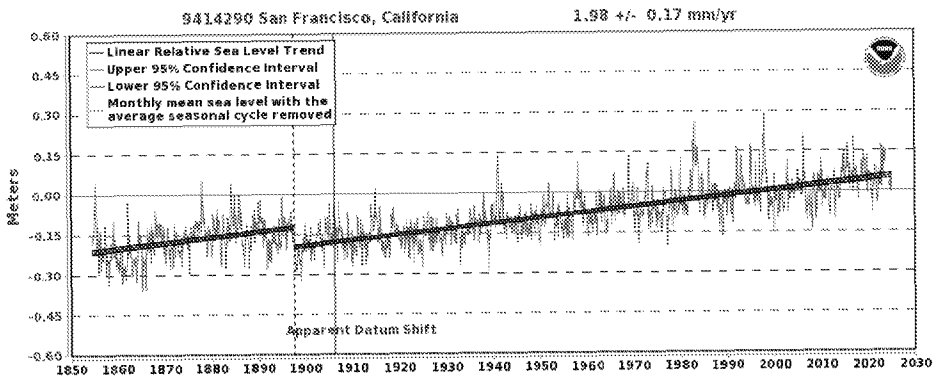

Figure 7.2. Tide gauge measurements at San Francisco, Califomia, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=9414290 (downloaded 4/22/25).

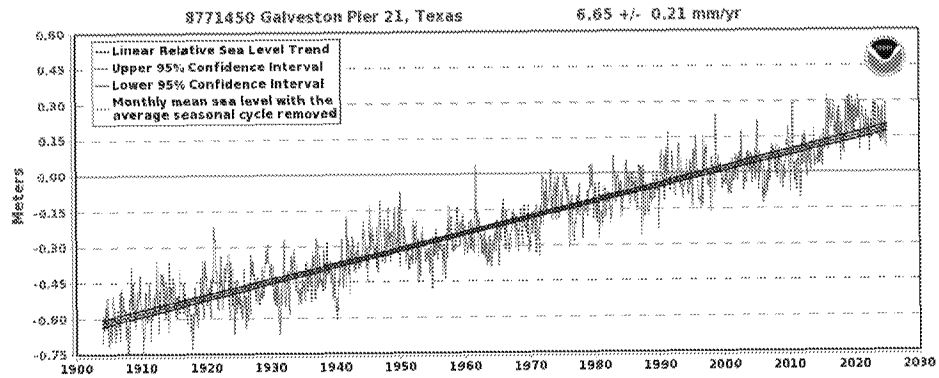

Figure 7.3. Tide gauge measurements Galveston Pier, TX, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8771450 (downloaded 4/22/2025).

New Orleans and the Mississippi delta

Long-term tide gauge measurements at Grand Isle, Louisiana, show that sea level has risen by slightly more than 3 feet over the last 100 years at an average rate of 0.36 inches/year (Figure 7.4). Vertical land motion (subsidence) is estimated -0.28 inches/year. Table 7.1 gives the absolute sea level rise as +0.08 inches/year.

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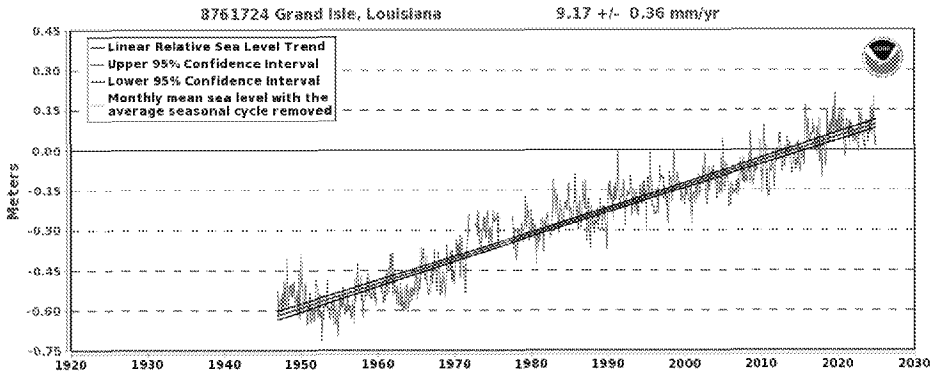

Figure 7.4. Tide gauge measurements at Grand Isle, LA, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8761724 (downloaded 4/22/25).

The issues of sea level rise and land loss in the Mississippi Delta region are complex, with geological subsidence and the decline in sediment transported by the Mississippi river being the dominant drivers. The construction of dams in the basin since the 1950s has decreased the Mississippi's suspended sediment load by ~50% (Maloney 2018). A new subsidence map of coastal Louisiana finds the coastal region to be sinking at about one third of an inch per year (Neinhuis et al. 2017), associated with groundwater and resource withdrawal. As the city's elevation averages one to two feet *below* sea level, sea level rise from anthropogenic warming is hardly the dominant driver of New Orleans' problems.

New York City

New York City is particularly vulnerable to the effects of sea level rise because it is built primarily on islands and has 520 miles of coastline. Measurements by a tide gauge at the southern tip of Manhattan (The Battery) show that relative sea level has risen over 11 inches over the past century, at an average rate of 0.11 inches/year (Figure 7.5). But vertical land motion in the New York City area is -0.05 inches/year (roughly 5 inches per century), so that the absolute rate of sea level rise at The Battery is 0.06 inches/year, or about 55% of the measured relative sea level rise.

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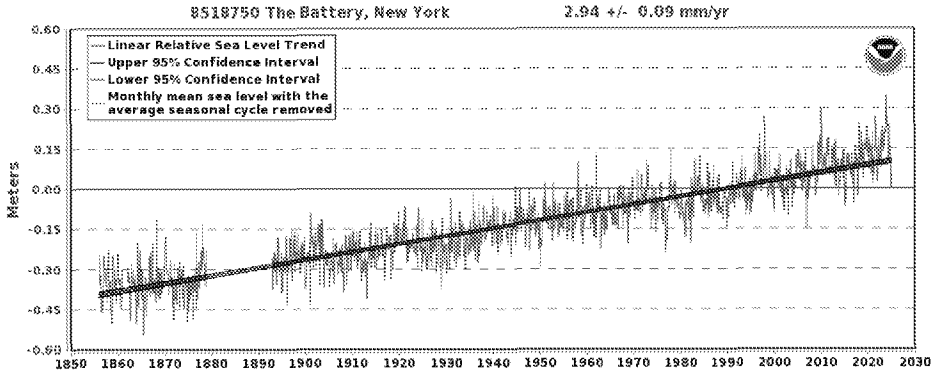

Figure 7.5. Tide gauge measurements at The Battery, New York, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8518750 (downloaded 4/22/25).

Following Superstorm Sandy, New York developed a comprehensive plan: [HYPERLINK "http://s-media.nyc.gov/agencies/sirr/SIRR_singles_Hi_res.pdf"] (CNY, 2013). A broad range of coastal protection measures are outlined that match the risks facing the various zones: increase coastal edge elevations, minimize upland wave zones, infrastructure to protect against storm surge, improve coastal design and governance, restore estuaries wetlands, coastal nourishment, site elevation, and drainage systems.

7.3 Projected sea level rise

The concern over sea level rise is not about the roughly 8 inches of global rise since 1900. Rather, it is about projections of *accelerated* rise based upon simulations of a warming climate through the 21st Century.

The IPCC AR6 finds *high agreement* across published global mean sea level projections for 2050 with little sensitivity to emissions scenarios. Considering only projections incorporating ice-sheet processes in whose quantification there is at least *medium confidence*, the global sea level projections for 2050, across all emissions scenarios, fall between 3.94 and 15.75 inches (5th–95th percentile *very likely* range) relative to the 1995–2014 baseline period (Fox-Kemper et al., 2021).

Conversely, AR6 states there is *low agreement* across published global mean sea level projections for 2100, particularly for higher emissions scenarios. Considering only projections representing ice sheet processes in whose quantification there is at least *medium confidence*, the AR6 global mean sea level projections for 2100 lie between 7.87 and 39.37 inches (5th–95th percentile *very likely* range) under the medium emissions scenario SSP2–4.5 (Fox-Kemper et al., 2021). There is deep uncertainty surrounding projections of sea level rise to 2100 owing to uncertainties in ice sheet instabilities, particularly for the higher emissions scenarios .

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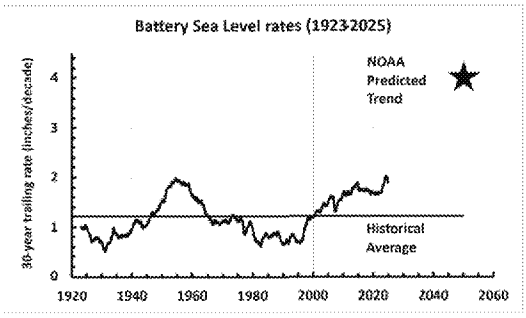

Figure 7.6 Rate of sea level rise at the Battery in Manhattan. Shown is the historical thirty-year trailing trend, together with the allegedly "locked in" NOAA predicted trend for 2050. Historical data: NOAA Tides and Current.

In evaluating the IPCC AR6 projections to 2050 (with reference to the baseline period 1995-2014), almost half of the sea level rise has already occurred by 2025. From the tide gauge measurements in Figures 7.2-7.5, there is no obvious acceleration in sea level rise beyond the historical average rate of sea level rise.

In February 2022, NOAA issued its projections of sea level rise for various sites along the US coast (Sweet et al, 2022). They claim that by 2050, the sea will have risen one foot at The Battery in Manhattan. A one foot rise in thirty years would be more than twice the current rate and about three times the average rate over the past century. In that historical context, NOAA's projection is remarkable—as shown in Figure 7.6, it would require a dramatic acceleration beyond anything observed in the early 20th century. But even more noteworthy is that they say this rise is "locked in"—it will happen no matter what future emissions are. We should know in a decade or so whether that prediction has legs.

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8 UNCERTAINTIES IN CLIMATE CHANGE ATTRIBUTION

Chapter summary

"Attribution" refers to determining cause regarding some aspect of climate change, specifically with reference to anthropogenic activity. There is an ongoing scientific debate around attribution methods, particularly regarding extreme weather events. Attribution is made difficult by high natural variability, the relatively small expected anthropogenic signal, lack of high-quality data, and reliance on deficient climate models. The IPCC has long cautioned that methods to establish causality in climate science are inherently uncertain and ultimately depend on expert judgement.

Substantive criticism of the main IPCC assessments of the role of CO_2 in recent warming focus on inadequate assessment of natural climate variability, uncertainties in measurement of solar variability and aerosol forcing, and problems in the statistical methods used for attribution.

The IPCC does not in general make attribution claims for most climate impact drivers related to extreme events. Statements related to statistics of global extremes (e.g. event probability or return times, magnitude and frequency) are not generally considered accurate owing to data limitations and are made with low confidence. Attribution of individual extreme weather events is challenging due to their rarity. Conflicting claims about the causes of the 2021 Western North America Heatwave illustrate the perils of hasty attribution claims about individual extreme events.

8.1 Introduction

The Intergovernmental Panel on Climate Change (IPCC) distinguishes between detection of climate change and attribution of its cause. As defined by the IPCC AR6 WGI Glossary (IPCC, 2025):

> *Detection*: Detection of change is defined as the process of demonstrating that climate or a system affected by climate has changed in some defined statistical sense, without providing a reason for that change. An identified change is detected in observations if its likelihood of occurrence by chance due to internal variability alone is determined to be small, for example, <10%.
>
> *Attribution:* Attribution is defined as the process of evaluating the relative contributions of multiple causal factors to a change or event with a formal assessment of confidence.

Both steps rely on statistical analysis. Detection focuses on whether changes are significant enough to stand out from random variation regardless of cause. Attribution involves comparison of observed events to model-generated counterfactuals. Since experimentation on the climate is not possible, attribution requires statistical inference to assess how much human activities, such as GHG emissions, versus natural factors, like volcanic eruptions, contribute to observed changes. Attribution methods assume all external and internal drivers of the system are known and represented.

There are ongoing scientific debates around attribution methods, especially those for attributing extreme weather events to climate change. The IPCC has long cautioned that methods to establish causality in climate science are inherently uncertain and ultimately depend on expert judgement. AR4 Working Group I (Hegerl et al., 2007) explained it as follows:

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> 'Attribution' of causes of climate change is the process of establishing the most likely causes for the detected change with some defined level of confidence... unequivocal attribution would require controlled experimentation with the climate system. Since that is not possible, in practice attribution of anthropogenic climate change is understood to mean demonstration that a detected change is 'consistent with the estimated responses to the given combination of anthropogenic and natural forcing' and 'not consistent with alternative, physically plausible explanations of recent climate change that exclude important elements of the given combination of forcings' (IPCC, 2001)...The approaches used in detection and attribution research described above cannot fully account for all uncertainties, and thus ultimately expert judgement is required to give a calibrated assessment of whether a specific cause is responsible for a given climate change.

AR5 Working Group II (Cramer et al., 2014) makes the following statement:

> Two broad challenges to the detection and attribution of climate change impacts relate to observations and process understanding. On the observational side, high-quality, long-term data relating to natural and human systems and the multiple factors affecting them are rare. In addition, the detection and attribution of climate change impacts requires an understanding of the processes by which climate change, in conjunction with other factors, may affect the system in question.

Because of the complexity of the causal chains in the climate system, investigation of these relationships is exceptionally challenging.

8.2 Attribution methods

The IPCC employs several attribution methods to assess the causes of observed climate changes, distinguishing between natural and human-induced factors. Below is a concise description of the key methods that have been used by the IPCC.

Optimal Fingerprinting uses linear regression to explain variations in observed climate data as a weighted sum of climate model simulations run with and without anthropogenic forcings. The data used in the regression model are weighted to try to minimize the influence of random noise and the estimation method is chosen to take account of climate model error.

Time Series Analysis exploits statistical differences between anthropogenic forcing and natural variability to see which dominates observed temperatures, and also uses variations in the timing of changes to determine if causal dependence across data series can be inferred.

Process-Based Attribution focuses on understanding the physical mechanisms behind observed changes. This approach combines observations, climate models, and theoretical understanding to attribute changes in specific processes to forcings. This approach is often used for regional climate phenomena, such as monsoon changes or polar amplification

Extreme Event Attribution assesses in the role of human influence in the likelihood of occurrence or intensity of extreme weather events (e.g. heat waves, droughts). Methods include:

- Probabilistic Event Attribution uses large ensembles of climate model simulations to compare observed events to model-generated counterfactuals
- Storyline Approach examines the physical processes driving an event and evaluates how anthropogenic forcings might have modified those processes

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8.3 Attribution of global warming

Attribution statements for global warming in the three most recent IPCC Assessment reports are:

> AR4: Most of the observed increase in global average temperatures since the mid-20th century is *very likely* due to the observed increase in anthropogenic greenhouse gas concentrations. (IPCC 2007)
>
> AR5: It is *extremely likely* that more than half of the observed increase in global average surface temperature from 1951 to 2010 was caused by the anthropogenic increase in greenhouse gas concentrations and other anthropogenic forcings together. The best estimate of the human- induced contribution to warming is similar to the observed warming over this period. (IPCC 2013)
>
> AR6: The *likely* range of total human-caused global surface temperature increase from 1850–1900 to 2010–2019 is 0.8°C to 1.3°C, with a best estimate of 1.07°C. It is *likely* that well-mixed GHGs contributed a warming of 1.0°C to 2.0°C, other human drivers (principally aerosols) contributed a cooling of 0.0°C to 0.8°C, natural drivers changed global surface temperature by –0.1°C to +0.1°C, and internal variability changed it by –0.2°C to +0.2°C. It is *very likely* that well-mixed GHGs were the main driver of tropospheric warming since 1979. (IPCC 2021)

The AR4 and AR5 attribution statements reference the warming since the mid-20th century, the period when greenhouse gas emissions began rapidly increasing. The words "most" and "more than half" are deliberately imprecise, potentially ranging from 51 to 99% of the warming – presumably this imprecision is to account for structural uncertainties such as natural internal variability. The confidence level increases from *very likely* to *extremely likely* from AR4 to AR5. The structure of the attribution statement in the AR6 is fundamentally different, referencing the warming to the period 1850-1900. The AR6 attribution statement is more precise numerically, but with a lower level of confidence at "likely" – the AR6 attributes essentially all the warming to increases in greenhouse gases. The most confident statement from the AR6 relates to the tropospheric warming since 1979, using the words "main driver" and "*very likely*."

There are three areas of substantive criticism of the IPCC's assessment of the causes of the recent warming: inadequate assessment of natural climate variability, inappropriate statistical methods, and substantial discrepancies between models and observations. The last is discussed in Chapter 6, while this chapter discusses the first two factors. All of these criticisms are relevant to the IPCC's policy-informing attribution of the recent warming, which also underpins extreme event attribution

8.3.1 Natural climate variability

IPCC AR6 states that natural external drivers since 1850-1900 have changed global surface temperature by –0.1°C to +0.1°C, and internal variability has changed it by –0.2°C to +0.2°C – on average having essentially no net impact on the warming since 1850-1900. As discussed below, this minimal contribution of natural variability has been disputed by several publications that question the magnitudes of solar variability and internal variability from large-scale ocean circulation.

Solar variability

IPCC AR5 concluded that the best estimate of radiative forcing due to Total Solar Irradiance (TSI) changes over the period 1750–2011 was very small (0.05 W/m^2, Myrhe et al., 2014). IPCC AR6 acknowledges a much larger range of estimates of changes in TSI over the last several centuries, stating that the TSI between the Maunder Minimum (1645–1715) and the second half of the twentieth century increased by 0.7–2.7 W/m^2, a range that includes both low and high variability TSI data sets (Gulev, 2021).

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However, the recommended forcing dataset for the CMIP6 climate model simulations used in AR6 for attribution studies averages two data sets with low solar variability (Matthes, 2017).

While AR6 shows a substantially greater solar impact than does AR5, the overall impact on the climate was still assessed to be small compared to anthropogenic forcing of climate change. However, the impact of solar variations on the climate is uncertain and subject to substantial debate - something that is not evident from the IPCC assessment reports (Lockwood, 2012; Connolly et al., 2021).

The change of TSI over time remains a challenging problem. Since 1978, there have been direct measurements of TSI from satellites. However, the data exhibits non-negligible inconsistencies, and interpreting any multi-decadal trends in TSI requires comparisons of observations from overlapping satellites. There are several rival composite TSI datasets, disagreeing as to whether TSI increased or decreased during the period 1986–96 (the ACRIM gap; see Chapter 4). Further, the satellite record of TSI is used to calibrate proxy models, whereby past solar variations are inferred from sunspots and cosmogenic isotope measurements (Velasco Herrera et al., 2015).

There is substantial evidence for high solar activity in the second half of the 20th century (starting in 1959) and extending into the 1990's, before a decline in the early 21st century; this period is often termed the "Modern Maximum." (Chatzistergos et al., 2023; Solanki et al., 2004; Usoskin et al., 2007). However, some scientists have concluded that it is not possible to be confident of any multi-decadal trend in TSI (Schmutz, 2021).

This uncertainty causes some reconstructions of TSI from 1750 to have low variability (implying a very low impact of solar variations on global mean surface temperature) whereas datasets with high TSI variability can explain more than 70% percent of the temperature variability since preindustrial times (Scafetta, 2013; Stefani, 2021). The choice of TSI satellite record used in an analysis can therefore substantially influence how much climate change is attributed to human versus natural forcings.

There is growing evidence that other aspects of solar variability, which are referred to as solar indirect effects, either amplify TSI forcing or are independent of TSI forcing. Scafetta et al. (2023) suggests that ~80% of solar influence on climate may stem from non-TSI mechanisms. There are numerous candidate processes, including solar ultraviolet changes; energetic particle precipitation; atmospheric-electric-field effect on cloud cover; cloud changes produced by solar-modulated galactic cosmic rays; large relative changes in the magnetic field; and the strength of the solar wind. Such solar indirect effects are not included in climate models, although indirect methods of estimating their impacts suggest they are significant. However, claims of non-TSI mechanisms influencing climate are uncertain and debated.

Natural variability of large-scale ocean circulations

Variations in global mean surface temperature are linked to recurrent large-scale variations in ocean circulation patterns, including the Atlantic Multidecadal Oscillation (AMO), the Pacific Decadal Oscillation (PDO) and the El Nino-Southern Oscillation (ENSO). These circulations influence ocean heat uptake and heat distribution, and also influence atmospheric circulation patterns and cloud distributions. There is some debate as to whether these variations are strictly internal to the climate system, or whether this variability can have a solar/astronomical origin or can be influenced by large volcanic eruptions.

While climate models simulate the large-scale ocean circulations and internal climate variability, most models show have too little amplitude compared to observations at multi-decadal frequencies and phasing out-of-sync with the observed climate (Kravtsov et al. 2024). Averaging multiple simulations effectively averages out the internal variations, leaving only the forced climate variability (e.g., CO_2 forcing). With most of the modern warming beginning in the late 1970's, the recent 50-year warming is on the same time scale as the multi-decadal oscillations of the AMO and PDO.

Here are summary statements from the IPCC AR5 and AR6 reports:

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> AR5: Decadal variability in the Pacific, associated with the PDO or IPO [Interdecadal Pacific Oscillation], contributes significantly to regional and global temperature trends, but the relative contributions of internal variability and external forcing are difficult to disentangle in CMIP5 simulations.
>
> AR6: Since AR5, there has been increased understanding of the role of internal variability, such as ENSO, PDO, and AMO, in modulating regional climate trends. However, limitations in simulating the exact timing and amplitude of these modes in CMIP6 models contribute to uncertainties in attributing observed changes to anthropogenic forcing (*high confidence*).

The amplitude of the peak-to-trough impact of the multi-decadal oscillations on global temperatures has been assessed by the IPCC AR6: "The likely range of change due to internal variability is -0.2°C to +0.2°C (IPCC, 2021)." This implies a trough-to-peak change of 0.4°C. Over many centuries, any global temperature changes from the troughs and peaks will cancel out, with little to no net impact.

However, with a nominal timescale of 60-80 years for oscillations such as the AMO and PDO, the timing of the peaks and troughs can be confused with the secular trend. This becomes very relevant for attributing the warming for the past 50 years, when most of the recent warming has occurred. Even if climate models have the correct amplitude of the multidecadal oscillations, the timing of the peaks and troughs is not adequately simulated, with each model and individual ensemble simulating a different phasing. Once the simulations of the ensemble members and different models are averaged, the natural internal variability contribution is averaged out, effectively cancelling the role of natural internal variability in the attribution process.

The time series of global surface temperature anomalies since 1850 (Figure 8.1) shows irregular variations of significant amplitude against the background of an overall warming trend and, after 1976, a pronounced difference in trends between the Northern Hemisphere and the Southern Hemisphere. The period between 1905-1945 shows a strong trend of warming. The following thirty years from 1945-1976 showed slightly declining temperatures. The most recent warming period started in 1977.

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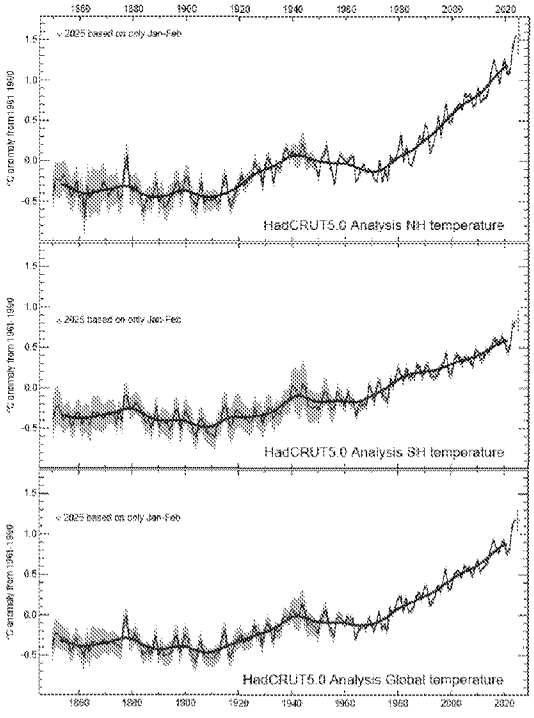

Figure 8.1 Global average surface temperature anomalies 1850—2025. Top: Northern hemisphere. Middle: Southern hemisphere. Bottom: Global average. Source: UK Hadley Centre [HYPERLINK "https://crudata.uea.ac.uk/cru/data/temperature/HadCRUT5.0Analysis.pdf"]

The causes of the early 20th century warming are discussed by Hegerl et al. (2017; 2019). The atmospheric CO_2 concentration increased from 298 ppm in 1905 to 310 ppm in 1941; hence this implies that CO_2 had little impact on the warming. Volcanic activity during this period was very low, and solar forcing is uncertain. Hegerl et al (2017) somehow inferred that 40-54% of this warming could be attributed to external forcing, with the rest associated with internal variability. Bronniman et al (2024) focused on the causes of the cooling in the first decade of the 20th century in the Southern Hemisphere. They found that the cooling was related to a La-Niña-like pattern in the Pacific, a cold tropical and subtropical South Atlantic, a cold extratropical South Pacific, and cool southern midlatitude land areas. The Southern Annular Mode was positive, with a strengthened Amundsen–Bellingshausen seas low, although the spread of the data products is considerable.

The warming in the 1930's and subsequent cooling during mid century was particularly pronounced in the Arctic. Bokuchava and Semenov (2021) find that most likely these variations were caused by a combined effect of long-term natural climate variations in the North Atlantic and North Pacific with a contribution of the natural radiative forcing related to the reduced volcanic activity and variations of solar activity as well as growing greenhouse gases concentrations. Tokinaga et al (2017) showed that the combined effect of internally generated Pacific and Atlantic interdecadal variability intensified Arctic

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warming in the early 20th century. The synchronized Pacific-Atlantic warming drastically alters planetary-scale circulations over the Northern Hemisphere; these same circulation patterns have a global influence.

The cooling period between 1945 and 1976 has been referred to as the "grand hiatus." Numerous causes have been hypothesized: natural internal variability from fluctuations associated with the Pacific Decadal Oscillation (PDO) and Atlantic Multidecadal Oscillation; cooling from increased emissions of aerosols from industrial activities; increased heat uptake in the Atlantic, Southern and Equatorial Pacific Oceans. Thompson et al. (2010) find that that the hemispheric differences in temperature trends in the middle of the twentieth century stem largely from a rapid drop in Northern Hemisphere sea surface temperatures of about 0.3 °C between about 1968 and 1972.

The Great Pacific Climate Shift of 1976-1977 was a notable climatic event characterized by an abrupt change in the North Pacific Ocean's atmosphere-ocean system that interacted with global climate patterns. This shift is closely associated with the Pacific Decadal Oscillation (PDO) that oscillates between warm and cool phases over decades. The 1976 shift marked a transition from a predominantly negative (cool) PDO phase (1947–1976) to a positive (warm) phase (1977 through 2000), with significant implications for global and regional climate patterns including the frequencies of El Nino and La Nina events. The Great Pacific Climate Shift coincided with the beginning of a period of accelerated global warming When the Great Pacific Climate Shift is accounted for in climate attribution analyses since 1950, 40 percent or more of the warming in the second half of the 20th century is attributed to natural internal variability (McLean et al., 2009; Tung and Zhou, 2013; Chylek et al., 2016; Scafetta, 2021).

8.3.2 Optimal fingerprinting

Optimal fingerprinting is a statistical technique introduced by Allen and Tett (1999) that compares observed climate data to climate model simulations to identify patterns (or "fingerprints") associated with human or natural forcing. It involves taking a vector of observed climate changes, such as warming rates in locations around the world, and decomposing them into a weighted sum of "signals", which are analogues to the observations generated by climate models with different types of forcings. The weights are chosen using a regression method called Total Least Squares. The analysis commonly uses just two signals, one generated by models using only anthropogenic forcings and one using only natural forcings. If the estimated weighting coefficient attached to a signal is significantly different from zero, then that signal is said to be "detected". If it is close to 1.0, then the model generating the signal is said to be consistent with observations. If it is less than 1.0, then the model signal for that forcing is too strong and needs to be scaled down, and vice-versa. Both the observed data and the signals are weighted using a model-generated estimate of patterns of randomness in the climate system so as, in principle, to put maximum weight on regions where natural variability is minimized.

Optimal fingerprinting is the primary tool for attribution in the research literature. While it has been widely used and prominently featured by the IPCC since 2001 there is very little literature examining the statistical properties of the results it generates. One of its inherent weaknesses is that results depend on assumptions about the accuracy of climate models, especially regarding their representation of natural variability (IPCC AR5 Ch 10.2.3).

A series of papers by McKitrick (McKitrick 2021, 2022, 2023, 2025) has challenged the optimal fingerprinting method, arguing that it is inherently unreliable and that practices adopted from econometrics can provide more valid results. Statistical theory requires that for regression models to yield unbiased coefficients, a set of assumptions called the Gauss-Markov conditions must hold. McKitrick (2021) argued that the Allen and Tett (1999) methodology violates the Gauss-Markov conditions, leading to potentially biased and inconsistent fingerprinting coefficients, undermining the reliability of the method. Chen et al. (2023) confirmed this analysis and argued that the method could yield unbiased results but only under very stringent assumptions. McKitrick (2022) and (2023) raised a further concern that climate scientists—virtually alone among

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scientific disciplines—have used an estimation method called Total Least Squares (TLS) to estimate anthropogenic greenhouse gas signal coefficients, despite its tendency to be unreliable unless some strong assumptions hold that in practice are unlikely to be true. Under conditions that easily arise in optimal fingerprinting, TLS yields estimates with large positive biases. Thus, any study that used TLS for optimal fingerprinting without verifying its applicability in the specific data context has likely overstated the result.

McKitrick (2025) presented an empirical example comparing the results of conventional optimal fingerprinting against methods drawn from mainstream econometrics that are known to be valid for the specific application of signal detection. While the IPCC optimal fingerprinting method yields an anthropogenic signal coefficient close to 1.0 on a global temperature data set spanning 1900 to 2010, consistent estimation yields a coefficient around 0.4, which rises to about 0.65 on data spanning 1980 to 2010, implying the model response to greenhouse gases needs to be scaled down by about half to optimally match observations. The natural forcing signal coefficient, by contrast, is between 2.0 and 4.0, implying the climate model signals of natural forcing need to be scaled up two-to four-fold to match observed climate change. The fingerprinting coefficients estimated in McKitrick (2025), when used to scale the average sensitivity of the climate models used to generate the forcing signals in his data set, imply a Transient Climate Sensitivity of 1.4°C, which is consistent with the estimate by Lewis (2023) using a different estimation method and multiple independent data sets.

While these analyses do not falsify all results stemming from the IPCC optimal fingerprinting method, they do challenge the basis on which they were believed to be correct.

8.3.3 Time series methods

The IPCC (AR5 WGI 10.2.2) drew attention to alternative approaches of assessing causality that emerged from the time series econometrics literature. These have the advantage of not depending on assumptions about the accuracy of climate models, but the disadvantage that they depend on difficult-to-test assumptions about the data generating process underlying climate and forcing data. The methods, now referred to as climate econometrics, use the tools of unit root testing, Granger causality and cointegration analysis, all of which are familiar in economics and finance and which are slowly being adopted in climate science. Time series analysis methods hold out the possibility of determining whether anthropogenic or natural forcings are the primary drivers of climate change without requiring the use of climate models, although key questions remain unsettled (e.g. Dergiades et al., 2016, Balcombe et al., 2019, Dagsvik et al., 2020, Razzak, 2022, Dagsvik and Moen, 2023).

"Granger causality" modeling is a tool for determining directions of influence in data series that move together. It is a statistical, not a physical, concept. If knowing the current value of one variable significantly improves the forecast accuracy of another variable we can infer a causal connection exists; this is called Granger causality. The modeling tools, called vector autoregression, measure direct impulse-response patterns and feedbacks. An application to the well-known Vostok ice core data revealed an error in Al Gore's documentary *An Inconvenient Truth*. Gore showed the data and drew attention to the coherence of temperature and CO_2 changes over a 440,000 year span, which he asserted was due to CO_2 driving temperature changes. But temperature changes can also affect atmospheric CO_2 levels. Davidson et al. (2015) examined the series and found that temperature Granger causes CO_2 but not the reverse. In other words on the time scales represented in the Vostok data the coherence in the series is primarily due to the influence of temperature on CO_2 levels not the feedback of CO_2 levels on temperature.

In summary, the primary statistical methods for attributing causation in climate data are optimal fingerprinting and time series analysis. Applications of the Allen and Tett (1999) optimal fingerprinting method dominate the attribution literature and have underpinned past IPCC conclusions, but results depend on the accuracy of climate models and the method has recently been criticized as inherently biased. Time

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series methods do not depend on climate models but require assumptions of their own and have generated results that have thus far not converged on a consensus.

8.4 Declining planetary albedo and recent record warmth

A sharp recent increase in global average temperatures has raised the question of short-term drivers of climate. One such candidate is the fraction of absorbed solar radiation which has also changed abruptly in recent years. The question to be answered is whether the change is an internal feedback to warming caused by greenhouse gases or whether something else changed the fraction of absorbed radiation which then caused the recent warming.

The albedo is the fraction of incoming solar radiation that is reflected back into space rather than being absorbed by the planet. Highly reflective surfaces like cloud tops and snowand ice are most important in this regard. The Earth's albedo is approximately 30%, meaning almost a third of the sunlight that reaches Earth is directly reflected back to space. A lower albedo implies more solar energy is absorbed by the planet to be then re-radiated as heat. Hence, other things being equal, a decline in albedo is associated with a warming of the Earth.

Arguably the most striking change in the Earth's climate system during the 21st century is a significant reduction in planetary albedo since 2015, which has coincided with at least two years of record global warmth. Figure 8.2 shows the planetary albedo variations since 2000, when there are good satellite observations. The 0.5% reduction in planetary albedo since 2015 corresponds to an increase of 1.7 W/m^2 in solar energy averaged over the planet (Hansen and Karecha, 2025). For comparison, the IPCC estimate of forcing from the increase in atmospheric CO_2 compared to preindustrial times is approximately 2.2 W/m^2 (Figure 3.1.2).

Looking back prior to 2000 with less adequate data, Goessling et al. (2025) assessed that planetary albedo was relatively low around the 1940's and 50's, before rising industrial aerosol precursor emissions increased albedo until the 1980's. The strongest planetary albedo excursions were high-albedo episodes caused by volcanic eruptions, such as after the Mount Pinatubo eruption in 1991. Although uncertain, the 2023 planetary albedo minimum may have been the lowest since at least 1940.

Changes in surface characteristics can't explain this decrease in planetary albedo since 2015:

- Arctic sea ice extent has declined by about 5% since 1980 (https://nsidc.org/data/seaice_index/images/s_plot_hires.png), although following 2007 there has been a pause in the Arctic sea ice decline (England et al., 2025)
- Regarding Antarctic sea ice, the IPCC AR6 concludes that "There has been no significant trend in Antarctic sea ice area from 1979 to 2020 due to regionally opposing trends and large internal variability." (Summary for Policymakers, A.1.5)
- Northern hemispheric annual snow cover has been slowly declining since 1967, with barely significant trends. The data show the Northern Hemisphere has snowier winters, accompanied by more rapid melt in spring and summer. [HYPERLINK "http://climate.rutgers.edu/snowcover/"]
- Global greening (Chapter 2) is contributing to the decrease in planetary albedo, as forests have a lower albedo than open lands or snow. However, there is some evidence that forests increase cloud cover (high reflectivity), which counteracts the direct albedo increase associated with increasing forested area.

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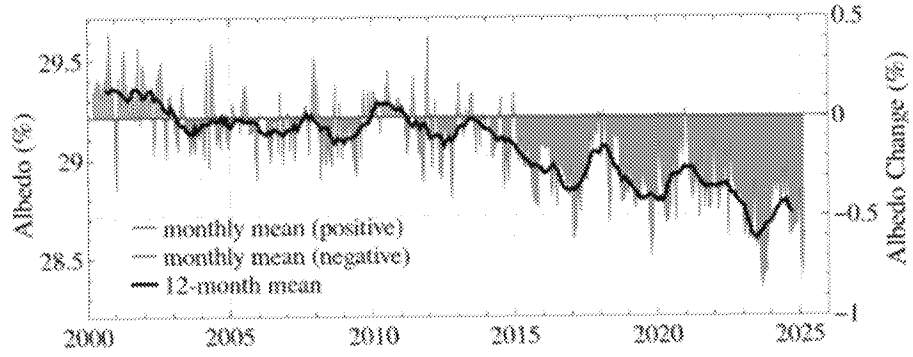

Figure 8.2. Earth's albedo (reflectivity, in percent), with seasonality removed. From Hansen and Karecha (2025)

Changes in surface reflectivity are contributing to a small, slow decline in planetary albedo. However, these changes cannot explain the sharp decline in planetary albedo beginning in 2015. Further, any changes in surface albedo are effectively damped by about a factor 3 on average, primarily due to cloud masking (Loeb et al. 2019). Surface albedo changes have thus contributed only weakly to the recent planetary albedo decline, particularly when averaged annually and globally.

This leaves the most likely explanation for the sharp albedo decline as changes in atmospheric aerosols and/or clouds.

Aerosols are small particles in the atmosphere that reflect sunlight and can interact with cloud processes to make the clouds more reflective. Aerosols have both natural origins (e.g dust from soils, wildfires) as well as human origins from combustion (including fossil fuels). Regions that are greening and/or have an increase in rainfall may be producing less dust. For anthropogenic aerosols, new ship fuel regulations aimed at reducing sulfur emissions were implemented in three phases, in 2010, 2015 and 2020. Sulfate particles reflect solar radiation. Cleaner air means less solar radiation is reflected, which contributes to surface warming. Indirect effects of sulfate aerosols include increasing the reflectivity of low-level clouds in the subtropics. There is controversy surrounding the importance of this change in sulfate emissions to the Earth's radiation balance (Hodnebrog et al., 2024; Yuan et al., 2024), but the restriction of this effect to major shipping routes suggests a small global impact (Schmidt, 2024).

Changes in clouds are the primary candidate to explain the decline in global albedo since 2015. Two recent papers (Loeb et al., 2024; Goessling et al 2024) have addressed recent variations in cloud properties. By considering satellite and reanalysis data, Loeb et al. found that decreases in low and mid-level clouds since 2015 are the primary reason for decreasing planetary albedo in the Northern hemisphere, whereas in the Southern hemisphere the decrease in planetary albedo is primarily due to decreases in mid-level clouds across all latitude zones. Goessling et al. (2024) found that cloud anomalies were mainly due to reduced low-level clouds. Regions with coherent low-level cloud reductions over the past decade include the warm pool region around the Maritime Continent and the northern extratropical western Pacific, as well as large parts of the Atlantic and adjacent land regions. The reduction of global cloud cover identified in these analyses since 2015 is 1-2 percent.

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The question then becomes what caused the change in cloud cover. Two causes have been posited for the declining cloud cover over the past decade:

- Natural climate variability
- Changes in low cloud cover associated with warming sea surface temperatures, implying an emerging positive feedback to climate change (Hansen and Karecha, 2025)

The existence of a new positive low cloud feedback that began emerging in 2015 is not easy to justify. However, there are numerous natural climate signals during this period that are associated with atmospheric circulation changes that can influence the distribution of clouds:

- The 2014-2016 was the strongest El Nino event on record
- A cold anomaly beginning in 2015 in the subpolar gyre of the North Atlantic reflects a shift in the ocean circulation pattern associated with decadal variability in the Atlantic (Frajka-Williams et al., 2017; Arthun et al. 2021).
- The Pacific Decadal Oscillation (PDO) positive index peaked in 2016, then declined and has been in negative territory since late 2019
- Eruption of the submarine Hunga-Tonga volcano in 2022

Interannual cloud anomalies associated with the El Nino Southern Oscillation (ENSO) have a significant global signal and strong regional signals especially over the tropical Indian and Pacific Oceans.

The Hunga Tonga eruption is remarkable in its coincidence with the exceptionally low value of planetary albedo in 2023. Goessling et al. (2024) found that the cloud perturbations in 2023 showed a different pattern from the overall pattern since 2015, with reduced cloud cover being most pronounced in the northern hemisphere and tropics. Regional reductions in cloud cover were most pronounced over the eastern Indian Ocean, over South America and extending over the eastern Pacific, as well as over northern North America, in the Southern Ocean around 60S, in the subtropical and eastern North Atlantic, and in parts of the North Pacific.

The Hunga Tonga eruption was unusual in that it injected large amounts of water vapor into the stratosphere, in addition to sulfate particles. Early publications focused on the impacts to stratospheric circulations and the direct radiative impact. In 2025, papers are emerging that examine the indirect effects of Hunga Tonga on surface climate using ensemble simulations from earth system models (Bednarz et al, 2025; Kuchar et al., 2025). These papers found statistically significant impacts on regional climates that were driven by circulation changes from couplings between the stratosphere and troposphere. These papers indicate the complex interactions between volcanic activity and climate dynamics. More research is needed to untangle any impacts of Hunga Tonga eruption on planetary albedo.

In summary, the decline in planetary albedo and the concurrent decline in cloudiness have emphasized the importance of clouds and their variations to global climate variability and change. A change of 1 to 2 percent in global cloud cover has a greater radiative impact on the climate than the direct radiative effect of doubling CO_2. While it is difficult to untangle causes of the trend, the competing explanations for the cause of the declining cloud cover have substantial implications for assessing the Equilibrium Climate Sensitivity and also the attribution of the recent warming. An additional 10 years of data should help clarify whether this is a strong positive cloud feedback associated with warming, or a temporary fluctuation driven by natural variability

8.5 Attribution of climate impact drivers

The IPCC (Ranasinghe et al. 2021) defines "climate impact drivers" or CIDs as "physical climate system conditions (e.g., means, events, extremes) that affect an element of society or ecosystems." Hence CIDs are those features of the weather and climate system of primary interest in assessing the impacts of

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climate change since they potentially affect humans and the natural world. For instance, under the heading "Heat and Cold" CIDs are identified as mean air temperature, extreme heat, cold spells and frost. The IPCC also points out that CIDs are not necessarily harm-related: depending on the system in question they can be detrimental, neutral, beneficial or a combination.

The first column of Table 12.12 in Ranasinghe et al. (2021, p. 1856), reproduced here as Table 8.1, summarizes the AR6 assessment of whether a signal of attributable anthropogenic influence has emerged across all major CIDs. One of the themes of this chapter is that attribution methods used by the IPCC tend to overstate the anthropogenic influence and understate the role of natural variability. Nonetheless a striking feature of that summary table is how few CIDs exhibit an anthropogenic signal sufficient to distinguish them from natural variability.

Out of the 33 weather impact categories an anthropogenic signal is asserted with *high confidence* in only five, and with *medium confidence* in a further four. (Note that one of the CIDs is an increase in CO_2 levels, and since it is a tautology to attribute this to increased CO_2 levels this CID can be ignored.) For the rest the IPCC does not claim to have detected anthropogenic drivers. Of the five *high confidence assertions*, two are for changes in average temperatures (air and ocean) hence are not measures of extreme weather. Also two of the four *medium confidence* assertions are related to ocean chemistry and thus are likewise not related to extreme weather. The IPCC does not assert a human influence on many non-temperature weather features such as wind, precipitation, flooding, drought etc.

Columns 2 and 3 of IPCC Table 12.12 report on whether anthropogenic signals are expected to emerge this century under RCP8.5, the most extreme forcing scenario. We have omitted these columns for several reasons. First, because they refer to whether climate models project detectable signals in the observations, which is a very different question than our concern here, whether a signal has been detected in historical data. Second, as we discuss in Chapter 4, the RCP8.5 scenario is a misleading and implausible high-end storyline, it is not a "base case" or business-as-usual projection. Third, the ensemble of detailed models is acknowledged (Palmer and Stevens 2019) to be "not fit for purpose" in describing regional changes and "unable to represent future conditions at the degree of spatial, temporal, and probabilistic precision with which projections are often provided." (Nissan et al, 2019)). Even with these caveats, we note that the omitted columns in AR6 Table 12.12 indicate most weather impacts are not expected to exhibit an anthropogenic signal this century.

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Climatic Impact-driver Type	Climatic Impact-driver Category	Already Emerged in Historical Period
Heat and Cold	Mean air temperature	1
	Extreme heat	2
	Cold spell	3
	Frost	
Wet and Dry	Mean precipitation	
	River flood	
	Heavy precipitation and pluvial flood	
	Landslide	
	Aridity	
	Hydrological drought	
	Agricultural and ecological drought	
	Fire weather	
Wind	Mean wind speed	
	Severe wind storm	
	Tropical cyclone	
	Sand and dust storm	
Snow and Ice	Snow, glacier and ice sheet	
	Permafrost	
	Lake, river and sea ice	11
	Heavy snowfall and ice storm	
	Hail	
	Snow avalanche	
Coastal	Relative sea level	
	Coastal flood	
	Coastal erosion	
Open Ocean	Mean ocean temperature	
	Marine heatwave	
	Ocean acidity	
	Ocean salinity	13
	Dissolved oxygen	14
Other	Air pollution weather	
	Atmospheric CO_2 at surface	
	Radiation at surface	

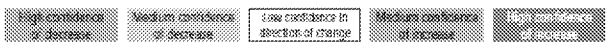

Table 8.1: Reproduction of column 1 of Table 12.12, IPCC AR6 Working Group I report. Emergence of anthropogenic signal in historical period for CIDs shown. White: no signal detected. Blue and orange: change detected (decrease or increase) and confidence level as indicated in color legend.

As discussed in Chapter 7 natural variability dominates patterns of extreme weather systems and simplistic assertions of trend detection are frequently undermined by regional heterogeneity and trend reversals over time. Table 8.1 makes the related point that, again contrary to popular belief, attribution of changes in most extreme weather types to human influence is not currently possible. Taking wind as an example, the IPCC claims that an anthropogenic signal has not emerged in average wind speeds, severe windstorms, tropical cyclones or sand and dust storms, nor is one expected to emerge this century even under an extreme emissions scenario. The same applies to drought and fire weather.

8.6 Extreme event attribution (EEA)

Examining the IPCC's treatment of extreme weather and climate event attribution more broadly, the AR6 provides an ambiguous assessment of the role of anthropogenic warming that differs between chapters. Chapter 11 of WG1 states (Seneviratne et al., 2021):

> Evidence of observed changes in extremes and their attribution to human influence (including greenhouse gas and aerosol emissions and land-use changes) has strengthened since AR5, in particular for extreme precipitation, droughts, tropical cyclones and compound extremes (including dry/hot events and fire weather). Some recent hot extreme events would have been extremely unlikely to occur without human influence on the climate system.

By contrast, as noted above, Chapter 12 of WG1 (Table12.12) paints a different picture – presumably, the expert judgment of different groups of authors for the two chapters came to different conclusions (Ranasinghe, 2021):

- High confidence in an increase in extreme heat events in tropical regions where observations allow trend estimation and in most regions in the mid-latitudes, medium confidence elsewhere
- Medium confidence in a decrease in extreme cold events in Australia, Africa and most of northern South America where observations allow trend estimation
- No evidence of emergence in the historical period of a change in river floods, heavy precipitation, drought, fire weather, severe wind storms, and tropical cyclones

While the overall issue of detecting changes in extreme weather events and their attribution remains ambiguous, most of the activity in this area relates to the attribution of particular extreme weather events. The most prominent effort is World Weather Attribution (WWA; worldweatherattribution.org), an international research initiative for extreme event attribution that purports to analyze how climate change influences the likelihood and intensity of extreme weather events. Their approach is to use large ensembles of regional climate models to compare the event in today's climate with a counterfactual pre-industrial climate without human influences.

WWA has a prominent public presence in linking extreme weather to climate change, with its press releases attracting considerable attention in public and policy discussions. However, the WWA's extensive promotion of non-peer-reviewed findings, its funding ties, its open admission to shaping analyses to serve litigation, and its methodological challenges have sparked controversies, with critics questioning the robustness and impartiality of their conclusions (Pielke Jr. 2024). Despite these issues, WWA's work continues to influence climate science and media narratives. Technical criticisms of the approach include a lack of a formal detection process; an implicit assumption that 100% of the post-industrial warming is caused by greenhouse gases; and a failure to adequately account for internal climate variability.

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Because EEA is relatively newmany basic methodological issues have yet to be settled in the expert literature. An important challenge is the lack of data. Extreme events are by definition rare. Many analyses of extreme event types (including the US National Assessment Reports) only evaluate data since 1950 or 1970. However, as emphasized by Chapter 7, many of the worst extreme weather and climate events in U.S. history occurred prior to the first half of the 20th century including in the early 19th century. And if paleoclimate reconstructions are considered, it becomes very difficult for an event to pass the detection thresholds of exceeding what is expected from natural variability, particularly if a reasonably sized geographic region is considered.

Another challenge is defining the event under study. There is a longstanding literature in statistics and econometrics on the challenge of analyzing data with outliers. The issue arises because a data series establishes a probability distribution defining the expected range of observations. If an outlier is observed it might indicate that the underlying process giving rise to the data distribution has changed (which in the weather context would mean that a climate change has been detected) or that the underlying process has multiple regimes each with a different probability distribution, in which case observing an outlier simply means we were temporarily in a different regime, but the system itself was unchanged. If a time series contains only a single outlier event at the end of the series it is not possible to determine which model is the correct one (Chen and Liu 1993). For instance, there might be an "ordinary" weather regime that yields a distribution of summer daytime highs in a particular coastal region, and a second "heatwave" regime that kicks in when an inland blocking event occurs, which yields a temperature distribution centered 15°C higher than the first one. A day with temperatures 13°C above normal would either be an extreme heat anomaly under the first regime or a somewhat cool event under the second, and we have no way in this case of knowing on statistical grounds which view is correct.

Visser and Petersen (2012) and Sardeshmukh et al. (2015) both point out that different distributions may fit observed data equally well but yield very different implications about the likelihood of a specific weather event. Visser and Petersen argue that, in view of the deep uncertainties of extreme weather analysis drawing a connection between individual events and global climate change should be avoided. Furthermore, the existence of an outlier at the end of a data series poses the problem that estimates of the event probabilities will be biased whether the outlier is included or excluded (Barlow et al., 2020). Methods to eliminate the bias have not yet been established, leading some experts (e.g. Miralles and Davison 2023) to argue that in settings in which a data series contains a single extreme event at the end, estimation of a return period for the extreme event will be so biased and uncertain it should be avoided altogether.

In spite of these concerns, the U.S. is presently considering something like the WWA, which is being investigated by a NASEM Panel on Attribution of Extreme Weather and Climate Events and their impacts (NASEM, 2025).

We provide a case study of a recent high impact extreme event in the U.S. to illustrate the challenges and ambiguities in attributing the frequency and intensity of extreme weather events to human-caused warming.

8.6.1 Case study – 2021 Western North America heat wave

The 2021 Western North America heat wave was an extreme event that affected much of Western North America in late June 2021. In particular, surface temperature records were set in Portland, OR (116°F; previous record 107°F) and Seattle, WA (108 °F; previous record 103°F) (Mass et al., 2024).

The WWA team generated international headlines with their analysis, which provided the following attribution statements (WWA, 2021; Philip et al., 2022):

- Based on observations and modeling, the occurrence of this heatwave was virtually impossible without human-caused climate change.
- The event is estimated to be about a 1 in 1000-year event in today's climate.

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- The event would have been at least 150 times rarer without human-induced climate change.
- This heatwave was about 2°C hotter than it would have been if it had occurred at the beginning of the industrial revolution (when global mean temperatures were 1.2°C cooler than today).

But an important counter to the first claim is that other researchers concluded from historical weather data that while a heat wave of the magnitude observed was indeed virtually impossible without anthropogenic climate change, it was also virtually impossible *with* climate change. Bercos-Hickey (2022) noted "these temperatures were virtually impossible under any previously experienced meteorological conditions, with or without global warming." McKinnon and Simpson (2022) stated "the most likely explanation remains that the weather event itself was 'bad luck.'" The 2023 Oregon Climate Assessment (Fleischman, 2023) concluded that the heat dome would have formed even without climate change and "There is no evidence that the highly unusual combination of weather features that drove the heat dome were made more likely by climate change, and climate models do not project an increase in the frequency of high-pressure ridges over the Pacific Northwest" (Fleischman, 2023, p. 49).

Mass et al. (2024) summarizes the proximate sequence of compound events leading to the heat wave. There was a record-breaking mid-tropospheric ridge over the Pacific Northwest, forced by a tropical disturbance in the western Pacific. This produced record-breaking mid-tropospheric temperatures, strong subsidence in the lower atmosphere, low-level easterly flow that produced downslope warming on regional terrain and the removal of cooler marine air, and an approaching low-level trough that enhanced downslope flow. The event occurred at a time of maximum solar insolation, and drier-than-normal soil moisture. Using a storyline approach, Mass et al. assessed that there is no trend in drought and dry soils in the Pacific Northwest; there is no evidence of global warming producing stronger ridges of high pressure, and no observed trend in heat waves or record temperatures in the region. They concluded that although anthropogenic warming may have contributed as much as 2°F to the magnitude of the event, there is little evidence of further amplification of the event from increasing greenhouse gases.

Bercos-Hickey et al. (2022) conducted a statistical analysis of a model-based attribution study of this heat wave. Because the event is a far outlier and far above the bounds of Generalized Extreme Value distributions fitted from historical data, they concluded that estimates of return times, quantitative changes in event magnitude and frequency, and probability of the extreme temperatures such as provided by the WWA are not accurate and should be interpreted as having low confidence. They found that hindcast attribution methods using an ensemble of regional climate models, combined with Pearl (2009) causal inferences, can provide limited and conditional information about the magnitude of the human influence on this heatwave - they provided a more highly constrained estimate that human activities caused a ~1.4°C–1.8°F increase in the daily maximum temperatures.

Zeder et al. (2023) also concluded that the methods employed by Philip et al. (2022, the WWA analysis) tend to overstate the rarity of extreme heat waves, leading to a biased perception of the effect of climate change on the heatwave event: "The tendency to overestimate the return period of observed extreme heatwave events may fuel the impression that seemingly impossible heatwave extremes are currently clustering at an unprecedented rate."

The 2021 Western North America heatwave was a rare and unprecedented compound weather event that broke century-old temperature records by as much as 9°F. While the WWA team received worldwide publicity for their rapid attribution claims blaming anthropogenic climate change, subsequent peer-reviewed analyses showed that the event was caused by rare meteorological conditions that were not made more probable by global warming.

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PART III: IMPACTS ON ECOSYSTEMS AND SOCIETY

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9 CLIMATE CHANGE AND US AGRICULTURE

Chapter summary

There is abundant evidence going back decades that rising CO_2 levels benefit plants, including agricultural crops, and that CO_2-induced warming will be a net benefit to US agriculture. The warming since 1981, in combination with adaptive behavior by farmers, has been beneficial for maize. The increased ambient CO_2 has also boosted productivity of all major US crop types. Various attempts to argue that CO_2-induced warming will harm agriculture do not hold up under scrutiny.

9.1 Econometric analyses

Econometric analyses of the effects of climate change on agriculture have sought to integrate information about long term crop yield changes in response to temperature and precipitation changes under assumptions about adaptive behavior by farmers. One method focuses on variations in agricultural land values. The rationale is that if climate change is a long-term net benefit for agriculture it should be capitalized into higher market values for agricultural land, and vice versa. While individual crops might benefit from or be harmed by climate change, once the adaptative responses of farmers are considered the value of farmland represents an index of whether the changes are expected to be beneficial or not. Mendelsohn et al. (1994) examined the relationship between historical climatic variations on agricultural land values and concluded global warming would be slightly beneficial to American agriculture.

The Mendelsohn et al. method, called Ricardian analysis after David Ricardo, the 19th century British economist who pioneered the study of land values, attracted subsequent criticism from authors who argued it failed to account for differences in land values attributable to fixed locational characteristics like soil quality, and non-climatic changes including nearby urbanization. Deschênes and Greenstone (2007) looked at agricultural profits instead of land values and reached conclusions similar to Mendelsohn et al. (1994), namely that past climatic variations had relatively little effect on farm profitability and that warming would likely yield small overall benefits for the US agricultural sector. However, a subsequent exchange with critics led them (Deschênes and Greenstone 2012) to revise their conclusions and project potentially large losses in US agriculture due to climate warming.

Burke and Emerick (2016) looked at temperature variations over 1980 to 2000 and argued that farmers were not as able to adapt to temperature changes as the Ricardian method assumes and that climate change would have large negative impacts on corn and soy yields. Schlenker and Roberts (2009) similarly argued that yield gains to past warming would not carry over to the future and corn and soy yields would sharply decrease this century due to climate change.

Two recent studies have argued that pessimistic findings such as these are not robust. Ortiz-Bobea (2019) argued that land values aggregate farm and non-farm influences and the latter need to be filtered out. He developed a data set using cash rents for agricultural activity as a measure of land value specifically for farming activity. Whereas the land value model implied future losses under climate warming, the same model estimated using cash rents did not, leading the author to conclude the pessimistic results were due to using an inaccurate measure of the returns to farming activity. Bareille and Chakir (2023) assembled a large data base on farm sale prices in France for properties that sold twice between 1996 and 2019. They could replicate pessimistic results showing negative effects of warming on agricultural land values using conventional econometric modeling. But by taking advantage of the repeat sales data, which provides information on site-specific changes in land prices, they found the results reversed and implied that climate change will be very beneficial for French agriculture. The authors concluded that, taking adaptation into account, a warming climate would yield positive benefits for French agriculture that were between two and

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20 times larger than had previously been estimated. On average, with full adaptation, they concluded that climate changes under the medium RCP4.5 scenario could double the value of French farmland by 2100.

A major deficiency of all these studies, however, is that they omit the role of CO_2 fertilization. Climate change as it relates to this report is caused by GHG emissions, chiefly CO_2. The econometric analyses referenced above focus only on temperature and precipitation changes and do not take account of the beneficial growth effect of the additional CO_2 that drives them. As explained in Chapter 2 CO_2 is a major driver of plant growth, so this omission biases the analysis towards underestimation of the benefits of climate change to agriculture.

9.2 Field studies of CO_2 enrichment

One of the ways the effect of CO_2 on crop growth has been studied is through "free air enrichment experiment" or FACE plots, in which small sources of CO_2 are placed in fields surrounding plants and the growth response to elevated CO_2 under varying weather conditions are recorded. Ainsworth et al. (2020) summarizes results from about 250 such studies. They found that elevation of CO_2 by 200 ppm caused an average 18% increase in crop yield in C3 plants. C4 plants exhibited benefits mainly under drought conditions.

In addition to FACE plot experiments there have been thousands of laboratory experiments on the effects of CO_2 on all kinds of plant growth. Here we review some of the results on key US agricultural crops.

Soybean

Studies on the impact of elevated CO_2 on Soybean (*Glycine max* (L.) Merr.) plants in the water-deficient region of Huang-Huai-Hai Plain, China, showed that elevated CO_2 concentrations improved photosynthesis rate, water use efficiency, and growth Li (2013) under both normal conditions and drought conditions. The CO2Science.org website reports on 108 published experiments between 1985 and 2019 exposing soybean to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +50.9 percent. There were also ten studies reporting on +600 ppm CO_2 enrichment, which increased photosynthesis by an average of 90.3%.

Maize (corn)

The CO2Science.org website reports on 28 published experiments between 1983 and 2018 exposing corn (*Zea mays* L.) to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +23.7 percent. Corn also benefits from increased drought tolerance under elevated CO_2. An experimental study (Allen Jr., 2011) exposed plants to water stress conditions in sunlit controlled-environment chambers at 360 ppm (ambient) and 720 ppm (elevated) CO_2. The drought stress caused a 41% loss of growth under ambient CO_2 but only a 13% loss under elevated CO_2.

Wheat

The CO2Science.org website reports on 92 published experiments between 1983 and 2020 exposing common wheat (*Triticum aestivum* L.) to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +67.6 percent. Blandino (2020) measured both the yield and nutritional quality of an "improver" hybrid wheat variety and its parents under elevated CO2 levels (+166 ppm). They reported a grain yield increase of +16% but a 7% decrease in grain protein levels. But they found that the food quality of different wheat varietals responded differently to elevated CO_2 levels, so that with proper varietal selection, growers could select wheat types that best take advantage of the elevated CO_2.

Further evidence

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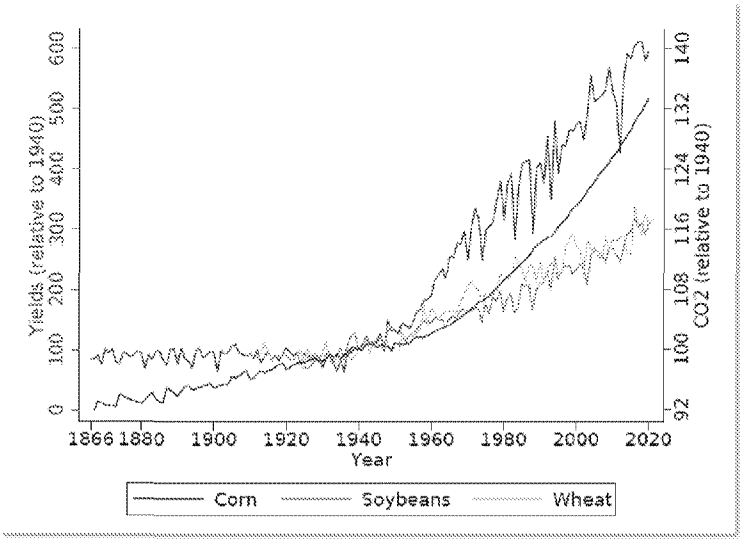

Figure 9.1: US average CO_2 levels and yields of corn, soy and wheat all normalized so 1940=100. Source: Taylor and Schlenker (2021)

A 2021 report from the US National Bureau of Economic Research (Taylor and Schlenker 2021) used satellite-measured observations of outdoor CO_2 levels across the United States, matched to county-level agricultural output data and other economic variables. After controlling for the effects of weather, pollution and technology the authors concluded that CO_2 emissions had boosted U.S. crop production since 1940 by 50 to 80 percent, attributing much larger gains than had previously been estimated using FACE experiments. They found that every ppm of increase in CO_2 concentration boosts corn yields by 0.5 percent, soybeans by 0.6 percent, and wheat by 0.8 percent.

Beyond growth benefits, extra CO_2 boosts plant resilience to dryness. Derying et al. (2016) surveyed evidence on crop water productivity (CWP, the yield per unit of water used), drawing attention to the potential for CO_2 both to enhance photosynthesis and reduce leaf-level transpiration (water loss during leaf respiration). They surveyed all available FACE data on crop yield changes for Maize (corn), Wheat, Rice and Soybean, and combined it with crop model data simulating yield responses as of 2080 under the extreme RCP8.5 scenario in four growing regions (Tropics, Arid, Temperate and Cold) each of which were split into rainfed and irrigated sub-regions. In every region they reported that models without CO_2 fertilization predicted CWP losses but CO_2 fertilization more than offset them; once fertilization was taken into account, all regions showed a net CWP gain. Deryng et al also reported that negative yield impacts on wheat and soybean were fully offset by CWP gains, and mitigated by up to 90% for rice and 60% for maize.

Similar to this, Cheng et al. (2017) noted that increased global plant growth from 1982 to 2011 due to rising CO_2 uptake was accompanied by such large gains in CWP that despite the extra biomass global water use by plants had not increased.

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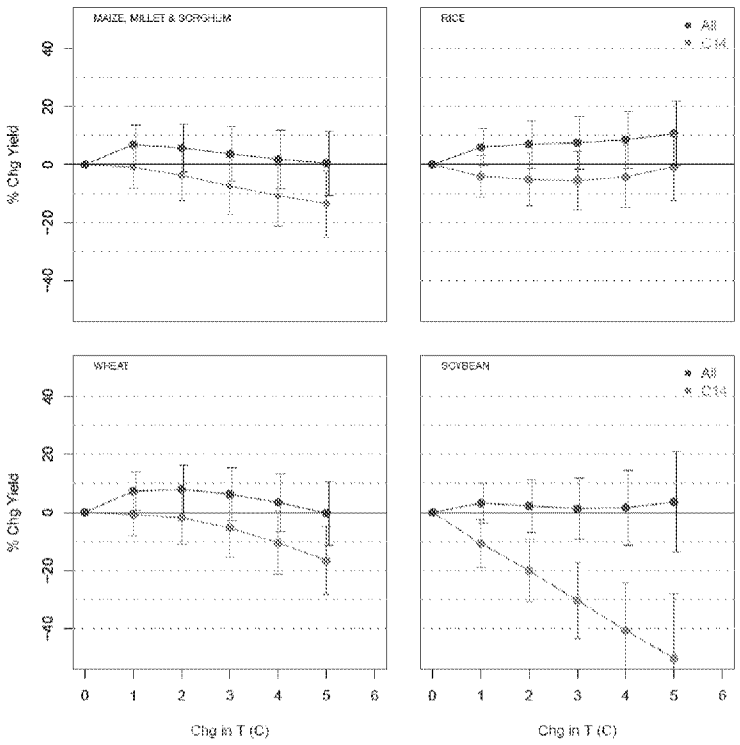

Figure 9.2 Crop yields under CO_2-induced climate warming. Blue: as published in Moore et al. (2017). Green: after including omitted data. Source: McKitrick (2025).

Deryng et al. (2016) assumed that climate change would "exacerbate water scarcity". It is noteworthy that while models predict drylands will expand under climate warming, observations show the opposite: greening is happening even in arid areas. Zhang et al. (2024) report that due to increased CO_2 levels "increasing aridity in drylands won't lead to a general loss of vegetation productivity"; increased desertification will happen in at most 4% of currently arid areas.

9.3 Crop modeling meta-analyses

Notwithstanding the abundant evidence for the direct benefits of CO_2 and of CO_2-induced warming on crop growth, in 2023 the US Environmental Protection Agency (EPA 2023) boosted its estimate of the Social Cost of Carbon (SCC) about five-fold based in large part on a very pessimistic 2017 estimate of global agricultural damages from climate warming (Moore et al., 2017). One of the two damage models used by the EPA attributed nearly half of the 2030 SCC to projected global agricultural damages based on the Moore et al. (2017) analysis. This study was a meta-analysis of crop model studies simulating yield changes for agricultural crops under various climate warming scenarios. Moore et al. projected declining global crop yields for all crop types in all regions due to warming.

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McKitrick (2025) re-examined the Moore et al. database and found that, while it claimed to cover 1,722 studies, only half the entries (N=862) had complete records, so that the sample available for regression analysis was much smaller than both studies indicated. McKitrick noted that the variable most commonly missing was the change in ambient CO_2 and found that in many cases these could be recovered from the underlying studies or the original climate scenario tables. He thereby increased the usable sample size by 40 percent. The crop yield projections incorporating the newly available data changed considerably. As shown in Figure 10.2, whereas the partial data set implied warming would decrease yield (blue lines), the complete data set implied constant or increase global yields, even out to 5°C warming (green lines).

In summary, there is abundant evidence going back decades that rising CO_2 levels benefit plants including agricultural crops, and that CO_2-induced warming will be a net benefit to US agriculture.

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10 Managing Risks of Extreme Weather

Chapter summary

Trends in losses from extreme weather and climate events are dominated by population increases and economic growth. Technological advances such as improved weather forecasting and early warning systems have substantially reduced losses from extreme weather events. Better building codes, flood defenses, and disaster response mechanisms have lowered economic losses relative to GDP. The US economy's expansion has diluted the relative impact of disaster costs, as seen in the comparison of historical and modern GDP percentages. Heat-related mortality risk has dropped substantially due to adaptive measures including the adoption of air conditioning, which relies on the availability of affordable energy. U.S. mortality risks even under extreme warming scenarios are not projected to increase as long as people are able to undertake adaptive responses.

10.1 Socioeconomic context

Risks from human-caused climate change are affected by natural weather and climate variability, and are dominated by the exposure of wealth in coastal and other disaster-prone regions and vulnerabilities of poorer populations. The evolution of climate risk in the U.S. has been dominated by societal factors, rather than by changes to the actual weather and climate hazards. Deaths from weather disasters have decreased substantially since 1900, even as US population grew from 76 million in 1900 to over 331 million in 2020 (Goklany 2011). For example, the Galveston hurricane killed over 8,000 people in 1900 (0.01% of the US population), whereas the worst recent disaster, Hurricane Katrina in 2005, killed 1800 people (or 0.0006% of the US population) (NOAA National Hurricane Center 2025; US Census Bureau 2025).

Technological advances have substantially reduced losses from extreme weather events. Early warning systems, satellite monitoring, and improved weather forecasting have reduced deaths, although exact numbers are hard to quantify (Deryugina and Hsiang 2023). US weather forecasting has been estimated to reduce losses from weather events with an annualized benefit of $31.5B, protecting lives, property, and supporting agriculture and transportation (NRC 2010). Improved hurricane forecasts have reduced pre- and post-landfall spending, with annual per hurricane cost reductions estimated at $5B (NBER 2024).

Infrastructure improvements have contributed to substantial reductions in losses from extreme weather events. Building codes, such as those implemented in Florida after Hurricane Andrew (1992) have reduced losses by ensuring structures can withstand high winds and floods. Homes built after 2002 showed minimal damage during Hurricane Michael (2018), unlike older homes (FEMA 2020). Sea walls, like the Galveston Seawall, protect against wave action and storm surge. The New Orleans Hurricane and Storm Damage Risk Reduction System successfully mitigated storm surge during Hurricane Isaac (2012) (Battelle Memorial Institute 2013). Inland dams in the US help control flooding by storing excess water during heavy rains. It is estimated that the Tennessee Valley Authority (TVA) dams prevent about $309M in annual flood damage in the TVA region and along the Ohio and Mississippi Rivers (TVA, 2025). During Hurricane Helene (2024), TVA's strategies prevented approximately $406M in potential damages (APPA 2024).

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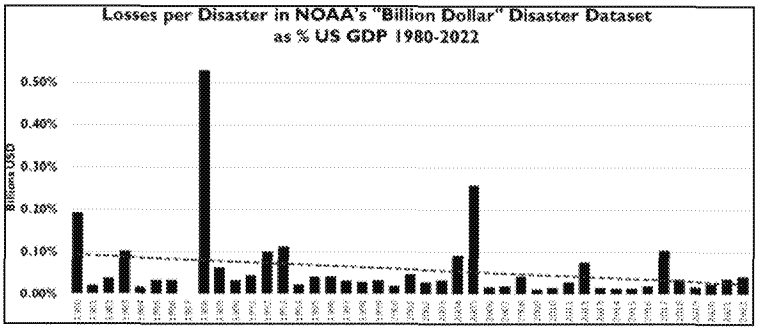

Figure 10.1: Losses per disaster in NOAA's billion dollar disaster dataset (the version downloaded in July 2023), 1980 to 2022. Source: (Pielke, Jr. 2024)

10.2 Data challenges

Since 1980, NOAA has provided a count of annual U.S. weather-related disasters that it estimates to have exceeded $1 Billion (inflation adjusted), showing a substantial increase starting in 2008. NOAA and other government officials have cited the upward trend in the Billion Dollar Disaster series as evidence that climate change is making extreme weather worse (Pielke Jr., 2024). But over time, population and wealth have increased dramatically in the US, so when an extreme weather or climate event occurs, there is more damage even if there is no underlying trend in the frequency or intensity of extreme weather. Pielke Jr. (2024) demonstrates that losses per weather disaster as a proportion of GDP have decreased by about 80% since 1980 as shown in Figure 10.1. Pielke Jr. (2024) also argued that in addition to relying on opaque data sources and unreported adjustments, NOAA failed to normalize its Billion Dollar Disaster data series properly for changes in population exposure and wealth. In May 2025 NOAA announced it has withdrawn the Billion Dollar Disaster product from publication (Pielke Jr., 2025).

In summary, trends in losses from extreme weather and climate events are dominated by population increases and economic growth. Technological advances such as improved weather forecasting and early warning systems have substantially reduced losses from extreme weather events. Better building codes, flood defenses, and disaster response mechanisms have lowered economic losses relative to GDP. And finally, the US economy's expansion has diluted the relative impact of disaster costs, as seen in the comparison of historical and modern GDP percentages.

10.3 Mortality from temperature extremes

10.3.1 Heat and cold risks

Changes in temperature extremes are among the most certain impacts expected in a warming world. It stands to reason that extreme heat events would likely become more frequent while extreme cold events would become less frequent. This pattern is evident in the historical period though not in the continental US (Chapter 6) and is expected to continue with further warming.

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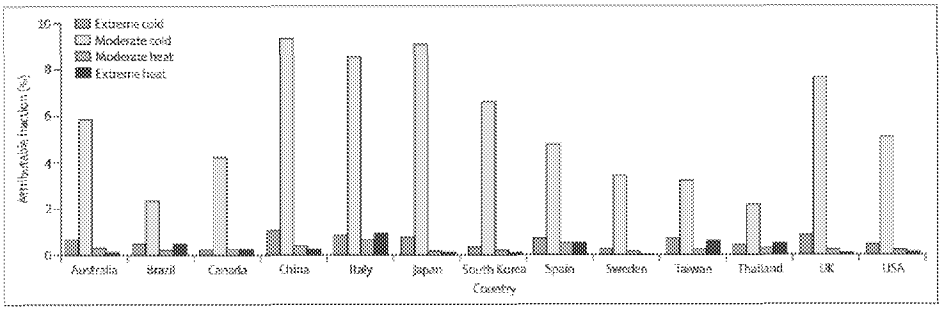

Figure 10.2. Mortality attributable to extreme and moderate cold and heat by country. Source: reproduced from Gasparini et al. (2015).

Mortality during heat extremes is typically caused by heat stroke and heat exhaustion, while mortality during cold extremes is typically caused by hypothermia and heart strain. Global mortality is substantially greater for cold conditions than for hot conditions (Zhao et al 2021, Ritchie 2024). Unlike with heat-related mortality, C cold-weather risks set in even at moderately cold conditions (Gasparini et al. 2015, Lee and Dessler 2023). The US EPA (citing data from the Centers for Disease Control) reports that on average over 1999 to 2015 there were 2.2 deaths per million Americans for which cold was listed as the main underlying cause and an additional 2.4 deaths per million for which cold was listed as a contributing factor (EPA 2025). By contrast there were 1.3 deaths per million for which heat was the main cause and an additional 0.8 per million for which it was a contributor. By this metric cold accounts for approximately double the weather-related deaths as does heat. ~~after adjusting for seasonality, the cold-related death rate in the U.S. ([HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-cold-related-deaths" \t "_blank"]) is about twice as large as the heat-related rate (see [HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-cold-related-deaths"] and ([HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-heat-related-deaths" \t "_blank"]).~~

Epidemiological methods, which consider correlational evidence not just death certificate reports, indicate the cold/warm ratio may be much higher. A 13-country study of 74 million deaths from 1985 to 2012 estimated that, on average, 7.7% of deaths were attributable to sub-optimal temperatures, of which 7.4% were attributable to cold and only 0.4% were attributable to heat (Gasparini et al. 2015). In other words cold weather killed 18.5 times as many people as did hot weather.

Figure 10.2 shows the distribution of results from Gasparini et al. (2015) by country. For the United States the fraction of deaths attributable to temperature was 5.9%, of which 5.5% was due to cold, thus cold weather killed 14 times as many people as hot weather.

~~The US EPA reports that, after adjusting for seasonality, the cold-related death rate in the U.S. ([HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-cold-related-deaths" \t "_blank"]) is about twice as large as the heat-related rate (see [HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-cold-related-deaths"] and ([HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-heat-related-deaths" \t "_blank"]).~~

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There is strong evidence that people adapt to weather risks. Lee and Dessler (2023) reported that 86% of temperature-related deaths across 40 cities in the U.S. were due to cold-related mortality, and that due to adaptation the relative risk of death declined in hot and cold cities alike as seasonal temperatures increased. Allen and Sheridan (2018) found that short, early-season cold events were 2 to 5 times deadlier than hot events, but the mortality risk of both cold and hot extremes drops to nearly zero if the events occur late in the season.

Davis et al. (2003) examined heat-related mortality in 28 U.S. cities from the 1960s to the end of the 1990s and found that heat-related mortality declined by three-quarters over the sample period. Bobb et al. (2014) examined mortality data for 106 million people in over 100 cities U.S. cities and found a 60% decline in average heat-related mortality over the period 1987—2005, from 51 per thousand deaths to 19. They furthermore found that the greatest drop was among seniors over the age of 75. In a study of 42 million deaths in 211 U.S. cities from 1962 to 2006. Nordio et al. (2015) found a more than 90 percent decline in the risk of mortality from excess heat.

In the context of large declines in heat-related mortality, by reducing mortality from cold events rising temperatures are associated with a net saving of lives.

The IPCC AR6 Working Group 2 Chapter 16.2.3.5 (O'Neill et al. 2022) acknowledges that heat-related mortality risk is declining over time:

> Heat-attributable mortality fractions have declined over time in most countries owing to general improvements in health care systems, increasing prevalence of residential air conditioning, and behavioral changes. These factors, which determine the susceptibility of the population to heat, have predominated over the influence of temperature change.

It is both disappointing and telling to see how the IPCC misrepresents the situation in its AR6 Synthesis report. Section A.2.5 of that document states: "In all regions increases in extreme heat events have resulted in human mortality and morbidity (*very high confidence*)." But it is silent on the larger decline of deaths during extreme cold events.

The observed decline in US heat-related mortality has been specifically attributed to adaptation. Wang et al. (2018) exploited the spatial variability of heatwave-related mortality across 209 U.S. cities from 1962 to 2006. While simple correlation appeared to imply an increase in mortality risk during heatwaves, accounting for adaptation to heatwave intensity caused the effect to fall to near zero and become statistically insignificant. They used the results of their epidemiological model to project heat-related mortality out to 2050 under four RCP warming scenarios (including RCP8.5) with and without adaptive behavior. Assuming people continue to adapt to the heatwave risks in the regions in which they live Wang et al. project not only no increase in heat-related mortality but an overall decrease for the US as a whole. They conclude that

> Ignoring adaptation would result in a substantial overestimate of future mortality related to heat waves… Accounting for adaptation, the overall heat-related mortality by 2050 would not change substantially over time compared to 2006.

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10.3.2 Mortality risks and energy costs

A 2016 study of US long term mortality risks associated with temperature variations (Barreca et al. 2016) showed that increases in mortality across the US are associated both with cold weather and hot weather. But over time, the introduction of electricity and the adoption of central heating and air conditioning (AC) dramatically reduced both risks, especially those associated with hot weather. Prior to 1960, a day above 90°F (32°C) added 2.2 percent to the average mortality risk rate, but after 1960 the same weather added only 0.3 percent to mortality risk, an 85 percent reduction. Prior to 1960 temperatures below 39°F (4°C) added about 1 percent to mortality risk but after 1960 the same weather only added about half that amount. Adaptation through conventional household improvements dramatically reduced public vulnerability to weather extremes. The entire reduction in hot weather mortality was attributable to widespread adoption of indoor AC, which depended on the availability of reliable and affordable electricity.

The corollary of this finding is that the use of heating and cooling systems depends on energy being affordable. Doremus et al. (2022) showed that wealthy and poor households in the US adjust their energy expenditures at similar rates in response to moderate temperature swings, but not in response to extreme temperature swings. When temperatures swing to very cold levels (< 5°C) energy spending in high-income households rises by 1.2 percent but in low-income households by only 0.5 percent. On very hot days (>30°C) electricity spending in high-income households rises by 0.5 percent but does not change at all in low-income households. The latter result is observed even in subsamples where all households have AC. The implication is that even with widespread adoption of home heating and cooling systems, inability to afford energy leaves low-income households exposed to weather extremes.

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11 CLIMATE CHANGE, THE ECONOMY AND THE SOCIAL COST OF CARBON

Chapter summary

Economists have long considered climate a relatively unimportant factor in economic growth, a view echoed by the IPCC itself in the AR5. Mainstream climate economics has recognized that CO2-induced warming may have some negative economic effects but they are too small to justify aggressive abatement policy, and trying to "stop" or cap global warming even at levels well above the Paris target would be worse than doing nothing. An influential study in 2012 suggested that global warming would harm growth in poor countries but the finding has subsequently been found not to be robust. Studies that take full account of modeling uncertainties either find no evidence of a negative effect on global growth from CO2 emissions or find poor countries as likely to benefit from it as rich countries.

Social Cost of Carbon (SCC) estimates are highly uncertain due to unknowns in future economic growth, socioeconomic pathways, discount rates, climate damages, and system responses. The SCC is not intrinsically informative as to the economic or societal impacts of climate change. It provides an index connecting large networks of assumptions about the climate and the economy to a dollar value. Some assumptions yield a high SCC and others yield a low or negative SCC (i.e. a social benefit of emissions). The evidence for or against the underlying assumptions needs to be established independently; the resulting SCC adds no additional information about the validity of those assumptions. Consideration of potential tipping points at present does not justify major revisions to SCC estimates.

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11.1 Climate change and economic growth

11.1.1 Overview: climate is a relatively minor issue for a modern economy

It has long been noted that economies tend to do poorly in very cold and very hot regions, with the optimum somewhere in between (Nordhaus, 2006). Temperature-sensitive economic activity migrates, whenever possible, to where it is best suited, and society always adapts to the local climate. Based in part on these observations, in 1992 Thomas Schelling, then President of the American Economic Association, argued that any effects of climate change on US economic activity would be small relative to the many other changes that would happen.

> … Manufacturing rarely depends on climate, and where temperature and humidity used to make a difference, air conditioning has intervened. When Toyota chooses among Ohio, Alabama, and Southern California for locating an automobile assembly, geographical considerations are important, but not because of climate... Finance is little affected by climate; similarly for health care, or education, or broadcasting. Transportation can be affected, but improvements in all-weather landing and take-off in the last 30 years are greater than any differences that climate makes. If the average effect is a warming, iced waterways and snow removal may decline in importance. Construction is affected, mainly by cold, and if the average effect is in the direction of warming, construction may benefit slightly.
>
> It is really agriculture that is affected. But even if agricultural productivity declined by a third over the next half century, the per capita GNP we might have achieved by 2050 we would achieve only in 2051. …
>
> I conclude that in the United States, and probably Japan, Western Europe, and other developed countries, the impact on economic output will be negligible and unlikely to be noticed.

Thirty years later virtually the identical point was made by the IPCC itself in the Fifth Assessment Report:

> **For most economic sectors, the impact of climate change will be small relative to the impacts of other drivers.** Changes in population, age, income, technology, relative prices, lifestyle, regulation, governance, and many other aspects of socioeconomic development will have an impact on the supply and demand of economic goods and services that is large relative to the impact of climate change.
>
> (Arent et al. 2014, emphasis added)

That statement is validated by experience. Since 1900, the average global surface temperature anomaly warmed 1.3°C, about as much as the IPCC predicts will occur in the next century under a moderate emissions scenario. But even as the globe warmed and the population quintupled, humanity prospered as never before. For example, global average lifespan went from thirty-two years to seventy-two years, economic activity per capita grew by a factor of seven, and the death rate from extreme weather events plummeted by a factor of fifty!

While extreme weather events are costly, in all modern economies they are becoming steadily less and less important (Formetta and Feyen, 2019). Since 1990 weather-related disaster losses have declined as a proportion of global GDP (Pielke Jr , 2018, 2020) as have mortality risks (Formetta and Feyen, 2019).

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While economic weather-related insurance payouts are rising, this is fully explained by the growth in the size of the economy and the value of the insured asset stock. Past increases in episodes extreme weather have not had a significant effect (positive or negative) on the market value of insurance firms (Hu and McKitrick, 2015). Nor have past extreme weather events had a significant effect on US banks' performance (Blickle et al., 2021). Figure 11.1 below is illustrative. For these reasons, economists have long been reluctant to endorse attempts to "stop" climate change or even aggressively reduce GHG emissions because the costs would not be worth it. As one critic of the economics of climate policy put it:

> Mainstream climate economics takes global warming seriously, but perplexingly concludes that the optimal economic policy is to almost do nothing about it… The contrast is striking. While climate science is sending out loud-and-clear messages that fossil-fuel disinvestment must start now, letting go of coal and oil and diverting resources into renewable energy technology systems, to keep warming below the 2°C limit (IPCC 2014), mainstream climate economics claims that overly ambitious climate targets will unnecessarily hurt the economy and immediate de-carbonization is too expensive. Most climate economists thus recommend humanity to just wait-and-see.
>
> (Storm 2017)

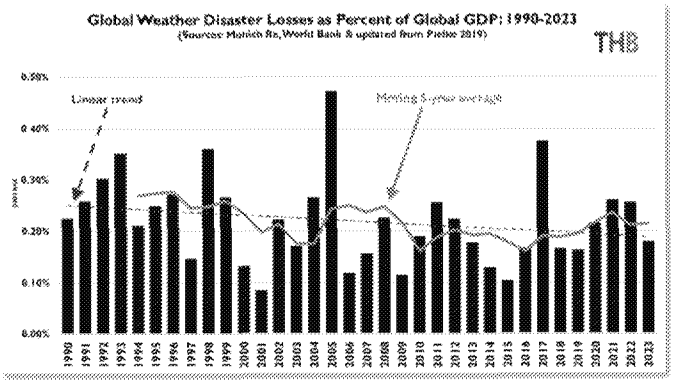

Figure 11.1 Global weather losses as a fraction of GDP. Source: Pielke Jr. (2023)

The mainstream economics position on the climate policy question is best represented by the findings over the past three decades from Integrated Assessment Models (IAMs) of climate change policy, for which Yale economist William Nordhaus was awarded the 2018 Nobel Memorial Prize in economics. IAMs combine economic, climate, and social data into a unified framework for simulating climate damages and evaluating the optimal policy response (Resources for the Future, 2025). Nordhaus' work has generally supported modest global climate policy, with aggressive measures largely deferred to later in the century. Nordhaus developed the so-called Dynamic Integrated Model of the Climate and Economy model, or DICE, in the early 1990s (Nordhaus, 1993) to study the interaction between climate change, climate policy, and

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global economic growth over long times. DICE assumes global emission control can be coordinated at no cost and asks what the optimal policy target should be. The climate component of the DICE model is based on simplified climatological modeling. The version of DICE at the time of Nordhaus' Nobel Prize award was described in Nordhaus (2018); it had a climate sensitivity parameter of 3.1°C for CO_2 doubling, consistent with the best estimate in contemporary IPCC reports (3.0°C).

The baseline (no policy) scenario incurs only $0.4T in global abatement costs and leads to $134.2T (trillion dollars) in global climate damages for a total cost of $134.6T. The climate model component of DICE projects 4.1°C warming by 2100 relative to preindustrial temperatures. Note that this is a higher estimate of warming than many IPCC climate models.

The Optimal Policy scenario barely deviates from the business-as-usual path. It aims for +3.5°C warming, in other words we modestly scale back fossil fuel use and otherwise just live with almost all the warming. This suggests that most CO_2 emissions are less harmful than the policies that would be necessary to abate them. Trying to prevent warming causes costs quickly to outstrip the benefits. Pursuing a goal of capping warming at 2.5°C creates total costs of $177.8T, which is $43.2T worse than doing nothing at all. Nordhaus didn't evaluate trying to reach a Paris-type target of 1.5°C warming but it would be even worse.

A subsequent edition of DICE includes higher assumed damages from warming which, not surprisingly leads to more aggressive policy recommendations, as explained in the section below on the Social Cost of Carbon.

11.1.2 Empirical analysis of climate change and economic growth

Many other studies have used econometric methods applied to historical data, instead of IAMs, to study the potential impact of climate change on economic growth. Dell et al. (2012, herein DJO12) was an influential study that used a multi-country panel of national-level data spanning 1950 to 2005, in which they matched climate and economic data by averaging temperature from the local grid cell level up to the national level using population weights. They found that warming yields an insignificant positive effect on income growth in rich countries but a significant negative effect in poor countries. Moore and Diaz (2015) modified the DICE model to take that finding into account and concluded that it implied a dramatically higher Social Cost of Carbon due to the compounding effects of income loss over time.

A large subsequent literature has debated the robustness of the DJO12 findings. Burke et al. (2015) analyzed a global panel with temperatures averaged up to the national level and found a negative effect on growth from warming in rich and poor countries alike when the national average temperature is above 13°C. Zhao et al. (2018) used the G-Econ data set of Nordhaus (2006), which breaks economic activity down to the grid cell level, replicated DJO12-type results on the same subset of countries as used in DJO12 but then showed that on the full global sample warming increases growth in rich countries and poor countries alike, though the positive effect in the latter group is confined to where local temperatures are below about 16°C. Greβer et al. (2021) developed a regional economic data set for 1,542 sub-national regions around the world between 2005 and 2015 and found temperature had no effect on growth. Yang et al. (2023) updated the DJO12 data set and applied an estimator robust to mixed sample frequencies, finding that while temperature shocks exerted a temporary effect on income levels, they did not have a significant lasting effect on growth rates.

Newell et al. (2021) noted that there is no underlying theory to guide econometric model specification in this literature. Taking into account the arbitrary choices of which explanatory variables to include they identified over 800 possible model specifications. Using the Burke et al. (2015) data they used an estimation method that accounts for model uncertainty and found that the model form preferred by Burke et al. (2015), which implied negative effects of warming on growth even in rich countries, is explicitly excluded by the optimal model selection algorithm. Overall they could not detect a temperature effect on GDP or GDP

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growth, and they estimated the 95% confidence interval for the impact on global growth as of 2100 even under the exaggerated RCP8.5 warming scenario spans −86 percent to +388 percent. In other words the net effect is likely positive but too uncertain to distinguish from zero.

Barker (2023) criticized the DJO12 assumption that countries can be grouped into fixed "poor" and "rich" categories based on their incomes many decades ago. He noted that many countries were once poor but became rich over time, and if this is taken into account the original temperature effects reported by Dell et al. became small and insignificant.

Berg et al. (2023) argued that countries shouldn't be grouped into large Rich/Poor categories because they are too heterogeneous. They instead estimated country-specific temperature response coefficients then grouped countries with similar response coefficients into small panels. They separately estimated responses to global and idiosyncratic local temperature shocks to better identify the climate signal in weather data. Overall they found countries experiencing negative effects of warming on growth outnumbered those experiencing positive effects, but only temporarily: eventually the effects reverse such that about twice as many countries experience a net positive growth effect. They also found global (as opposed to local) temperature changes are much more likely to benefit growth in poor countries than rich ones. In a simulation to 2100 even using the extreme RCP8.5 scenario they computed the average global GDP loss would be only 1.9% compared to a scenario with no warming. That is, instead of the economy growing 400% it would grow ~~398~~392%. The implication of Nordhaus' earlier analysis is that trying to prevent the warming would lead to far less than ~~398~~392% growth.

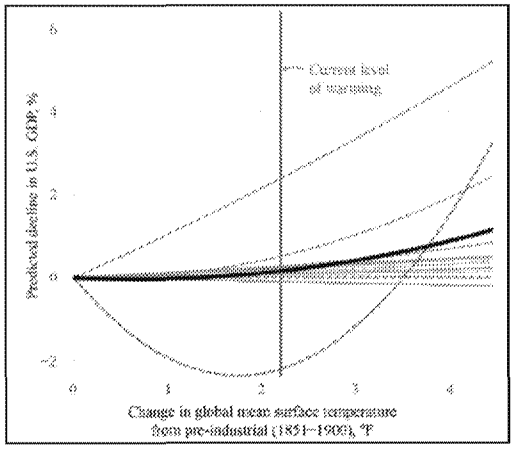

Figure 11.2: Decline in US GDP per degree of warming. Source: CEA-OMB (2023)

To summarize, economists have long considered climate a relatively unimportant factor in economic growth, a view echoed by the IPCC itself in the Fifth Assessment Report. Mainstream climate economics has recognized that CO_2-induced warming may have some negative economic effects but they are too small to justify aggressive abatement policy, and trying to "stop" or cap global warming even at levels well above the Paris target would be worse than doing nothing. An influential study in 2012 suggested that global warming would harm growth in poor countries but the finding has subsequently been found not to be robust. Studies that take full account of modeling uncertainties either find no evidence of a negative effect on global growth from CO_2 emissions or find poor countries as likely to benefit from it as rich countries.

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The expectation that significant global warming would have a small impact on the U.S. economy was acknowledged quietly by the Biden Administration, even as the President was proclaiming a climate emergency. Figure 11.2, from a 2023 CEA-OMB report, shows the expected decrement in U.S. GDP as a function of temperature rise. The colored lines show the results of a dozen peer-reviewed published studies while the solid black line is their average. The figure could be summarized as "a few percent impact for a few degrees of warming". Given that the economy's annual growth rate is expected to be 1-2 percent, the impact of a warming globe is indeed negligible.

11.2 Models of the Social Cost of Carbon

The Social Cost of Carbon (SCC) is a tool for quantifying the economic impact of carbon dioxide emissions, helping policymakers weigh the costs and benefits of climate policies. It estimates the damage caused by emitting one additional ton of CO_2, expressed in dollars. More formally, the SCC is the discounted present value of the current and future marginal loss of economic welfare due to an additional ton of CO2 entering the atmosphere.

11.2.1 Estimating the SCC

Although the literature refers to "estimates" of the SCC, it is not estimated in the way other economic statistics are estimated. For instance, data on market transactions including prices and quantities can be used to estimate the current inflation rate or the growth rate of per capita real Gross Domestic Product, and there are well-understood uncertainties associated with these quantities. But there are no market data available to measure many if not most of the marginal damages or benefits believed to be associated with CO2 emissions, so these need to be imputed using economic models.

For example, an influential component of some SCC calculations is the perceived social cost associated with changed risk of future mortality due to extreme weather. There is no market in which people can directly attach a price to that risk. At best economists can try to infer such values by looking at transactions in related markets such as real estate or insurance, but isolating the component of price changes attributable to atmospheric CO2 levels is very difficult.

Economists use IAMs to compute the SCC. Two of the best known are the Climate Framework for Uncertainty, Negotiation and Distribution ("FUND", Tol 1997) and Nordaus' DICE. IAMs embed a "damage function" or set of functions relating ambient temperature to local economic conditions. The assumptions embedded in the damage function will largely determine the resulting SCC. IAMs also assume a long-term discount rate or, as in DICE, compute the optimal internal discount rate as part of the solution.

One approach to developing a damage function is to begin with estimates of the costs (or benefits) of warming in specific sectors in countries around the world and aggregate up to a global amount. This was the approach used in FUND. An alternative approach is to develop a simple equation that penalizes global income according to a simple quadratic function of the average global temperature. This approach was used in DICE. In the case of the FUND model many hundreds of parameters had to be selected, whereas in DICE only three were needed and were originally chosen to assign a predetermined penalty (1.2%) to global output as of 3°C warming, with a quadratic term implying that damages grow with the square of the global average temperature anomaly. Barrage and Nordhaus (2024) recently changed the parameters to increase the penalty at 3°C to 1.6%, and added a discrete additional 1.0% GDP penalty at 3°C warming to account for "tipping points" (discrete large-scale environmental changes triggered by crossing a warming threshold)

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and a "judgmental adjustment" of 0.5% for excluded impacts at 3°C warming. Not surprisingly the newer version of DICE generates much higher SCC estimates than before.

The concepts of estimation and uncertainty do not readily apply to SCC calculations. By comparison, the question of whether precipitation has trended up or down along the South Carolina coast for the past hundred years can be addressed by collecting rainfall data, estimating a trend parameter and calculating statistical confidence intervals using methods with well-understood mathematical properties. In this way uncertainty can, in principle, be resolved by collecting more data and applying more accurate methods. But no amount of data collection can change the fact that many components of the SCC are unknown and rely on judgment and opinion based on knowledge of the underlying literature on the physical effects of climate change.

SCC calculations are thus best thought of as "if-then" statements: *if* the following assumptions hold, *then* the SCC is $X per tonne. The list of 'if' statements includes the premise that the world's climate and economy work according to the representation in the IAM. One way this may fail to hold is in the timing of warming. Every IAM assumes a value of the Equilibrium Climate Sensitivity (ECS), which controls the amount of warming that results from CO2 emissions and can be freely varied for the purpose of generating a distribution of SCC values associated with uncertainties over ECS. But as Roe and Baumann (2013) pointed out, time-to-equilibrium increases with the square of ECS, so an upward adjustment of the ECS parameter without an appropriate slowing down of the adjustment process can yield distorted present value damage estimates. In particular, the upper tail of warming associated with some commonly-used ECS distributions is physically impossible for even a thousand years into the future (Roe and Baumann 2013) yet in an IAM would be realized within a couple of centuries. Failure to align ECS with time-to-equilibrium will lead to an overestimate of the SCC value.

11.2.2 Variations in the SCC

Every level of the IAM calculation includes assumptions, some more influential than others. Key assumptions include the following.

- The discount rate: Climate damages accrue over a long time horizon, and costs a century or two in the future need to be discounted to the present. The higher the discount rate the smaller the present value of future damages and vice versa. The discount rate represents the opportunity cost of spending money today rather than investing it and then having more to spend tomorrow. Some economists have argued for the use of very low discount rates in SCC calculations, resulting in policy recommendations that favor relatively large immediate investments in CO2 emission reductions. The downside is that other investments could potentially earn a larger rate of return for society.
- Equilibrium Climate Sensitivity: IAMs have customarily employed a value of 3.0°C or 3.1°C following the IPCC's guidance. Empirically-estimated ECS values tend to be lower than this (see Chapter 9). Dayaratna et al. (2017, 2020, 2023) have shown that use of lower empirically-derived ECS values dramatically lower the resulting SCC estimate, even when low discount rates are used.
- Damage function coefficients: IAMs assume CO2 and warming cause net harms that increase exponentially with temperature. More recently, IAMs have also incorporated effects from assumed potential climate tipping points. The FUND model took limited but explicit account of CO_2 fertilization effects in agriculture. Since the coefficients were selected prior the publication of the current evidence of global greening and the magnitude of benefits to crops from elevated CO_2 (see Chapters 2 and 10) the growth effects are likely understated. The DICE model (and others) did not explicitly include any CO_2 fertilization benefit, except to the extent it was taken into account in the literature consulted when picking the damage function coefficients. The damage function in FUND contains a region in which low warming yields net benefits in many regions, a finding which is

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supported by econometric models of warming and growth (Berg et al. 2023) and econometric simulations of agricultural changes (McKitrick 2025). The DICE damage function, by construction, rules out net benefits at any warming level.

- Emission scenarios: IAMs generate SCC estimates that increase as the pre-existing concentration of CO_2 increases. Consequently the value of damages later in the century will be higher, depending on the assumed baseline emissions over the coming decades.
- Abatement costs: IAMs represent the cost to the economy of reducing CO_2 emissions. If CO_2 emission reductions are assumed to be inexpensive, then the model will conclude that the optimal policy should aim for deeper emission cuts and vice versa.

It is informative to ascertain whether SCC results are invariant to changes in some assumptions. But when different assumptions result in higher or lower SCC values, the change in the SCC value does not provide *prima facie* evidence about the validity of the assumptions. For example, in 2023 the US Environmental Protection Agency raised its preferred SCC value about 5-fold over the estimates it had issued ten years earlier. This is not because new data had been collected or better mathematical methods had been invented, but because new assumptions had been used, and the validity of those assumptions was a separate question. One was that global agricultural damages were far higher than previously assumed, based on an analysis in Moore et al. (2017). But as discussed in Chapter 10, McKitrick (2025) showed that Moore et al. (2017) had used a database in which half the CO_2 change observations were missing. When as many of those observations as possible were recovered from underlying sources and the analysis was rerun, the projected crop yield losses disappeared and turned into gains at all warming levels. Hence the portion of the EPA's SCC revision attributable to agricultural yield losses was unwarranted.

11.2.3 Evidence for low SCC

Chapter 2 reviewed evidence on climate change and greening, and Chapter 10 looked at climate change and US agriculture. Evidence shows that CO_2 fertilization has a stronger beneficial effect on agriculture than was known at the time that IAMs like DICE and FUND were parameterized. In particular Haverd et al. (2020) found the observed CO_2 fertilization rate has been almost double what had been predicted by crop models. Dayaratna et al. (2023) used the updated empirical ECS distribution estimate of Lewis (2022), which assimilates modern instrumental and paleoclimatic temperature records, and allowed for a 30 percent gain in the CO_2 fertilization benefit in the FUND model, and found that, even at a low discount rate of 2.5 percent, the median SCC as of 2050 is only $18.67, with a 24 percent probability of the true value being negative. At a five percent discount rate the median SCC value is negative until the mid-2040s and at 2050 was only $0.37 with a 49 percent probability of being negative. Thus, under reasonable assumptions a mainstream IAM using updated scientific inputs yields evidence consistent with the SCC not being significantly greater than zero.

It should also be noted that the SCC is focused on the social costs of CO_2 emissions from fossil fuel use. It does not measure the private marginal benefits to consumers and society from the availability of fossil fuels. Public willingness to pay for fuels of all types indicates the value to society of reliable, abundant fossil energy. Tol (2017) estimates that the private benefit of carbon is large relative to the social cost. This can be illustrated by noting that the price of a gallon of gas indicates the marginal value to the consumer of the fuel. Suppose we assume a relatively high Social Cost of Carbon of, say, $75 per tonne. Deflated by a MCPF value of 1.5 that would result in a carbon tax of $50 per tonne, which equates to about 44 cents per gallon of gas (Lavelle, 2019). A pre-tax price of $3.00 per gallon would imply the marginal social benefit of the fuel is nearly seven times the marginal social cost.

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11.2.4 Tipping points

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SCC calculations typically consider gradual impacts of a warming climate, such as slowly-melting glaciers and increasing average temperature. A driver of potentially high values of the Social Cost of Carbon (SCC) is the introduction into models of discrete catastrophic outcomes associated with abrupt changes (Dietz et al., 2021). They are often referred to as "tipping points."

The term "tipping point" mingles two different physical concepts that pose different research challenges. Many physical systems are inherently stable unless acted upon with sufficient external energy. For example an ice cap might remain intact over a wide range of temperatures but once the temperature crosses the 0°C threshold it melts. Such discontinuities are ubiquitous in nature and require an external force. Whether the force needs to be large relative to the size of the system depends on the underlying stability of the system.

A different type of tipping point is called a *bifurcation* and arises from the study of the internal dynamics of nonlinear systems (Crawford, 1991). Many systems have been observed to have more than one equilibrium point and can move between them with minimal or no external influence. For example a weather system might have two different equilibrium states: one calm and one with a tornado. A transition from one to another can happen either with no external force or with a minuscule change, such as a flap of the proverbial butterfly's wings (Shen et al. 2014). The term "tipping point" is sometimes used to mean a bifurcation of this type and implies instability inherent in the system itself, which is not necessarily dependent on outside forces. It depends, instead, on parameters of the system taking values that support the emergence of bifurcations (Crawford, 1991).

The two different concepts imply different research questions. Regarding the first we are interested in whether components of the climate system are susceptible to abrupt discontinuities in response to sufficiently-large anthropogenic forcing. Regarding the second we are interested in whether the Earth's climate system has inherent bifurcations that imply the possibility of abrupt transitions with or without external forcing.

Models have been developed that imply the latter is a possibility. Kypke et al. (2020) presented a simple climate model in which the GHG concentration is one of the parameters that controls the emergence of bifurcations of the Arctic climate to one with both cold and warm equilibria. If sufficiently high GHG forcing combined with a sufficiently high rate of ocean heat transport are imposed a bifurcation becomes possible. More generally GCMs have been observed to contain bifurcations and multiple equilibria (Brunetti and Ragon, 2023).

The possible existence of bifurcations in the Earth's climate system implies abrupt transitions are possible, not just in response to large forcing but to small perturbations as well. This places tipping points into the category of low-likelihood and potentially catastrophic events, such as large meteor strikes. A key question to ask is whether those kinds of tipping points can be predicted. Current research has not resolved that question (Dakos et al., 2024) and indeed may not be able to since one implication of the "butterfly effect" is the existence of predictability boundaries of nonlinear systems (Palmer et al., 2014). It is therefore not obvious how to incorporate such possibilities into SCC calculations. Small variations in assumptions will lead to arbitrarily large variations in the resulting SCC with no grounds for choosing among them. If such tipping points are possible the most appropriate stance for economic policy is to maximize resilience to any form of external catastrophe since it is unlikely we could predict or prevent it from happening.

The IPCC AR6 (Chapter 1) focuses mainly on the first type of tipping point, namely an abrupt change in response to external forcing. This is also the meaning associated with popular usage of the "tipping point" concept in discussions of climate change (see [HYPERLINK "https://report-2023.global-tipping-points.org/what-is-a-tipping-point/"] for example). As summarized by the IPCC AR6, there is evidence of abrupt change in the paleoclimate record, and some of these events have been interpreted as tipping points. Some projections with Earth System Models for example have produced tipping points such as Amazon forest dieback in response to specified values of CO_2 concentration or temperature increases.

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The alarm surrounding climate tipping points is reflected by *The Global Tipping Points Report* that was launched at the COP28 on 6 December 2023 (Lenton et al., 2023). It identifies more than 25 parts of the climate system said to constitute tipping points. What gets classified as a climate "tipping point" is a moving target. The most common examples in the literature and assessment reports include: Greenland ice sheet disintegration, West Antarctic ice sheet disintegration, summertime disappearance of Arctic sea ice, Amazon rainforest dieback, coral reef dieoff, thawing of permafrost and methane hydrates, Atlantic Meridional Overturning Circulation collapse, boreal forest shift, West African monsoon shift, and Indian Monsoon shift.

All such tipping points require a certain amount of system instability in order to exhibit an abrupt transition in response to warming. For this reason there seems to be very little to discriminate between a tipping event and natural climate variability. Natural climate variability has in the past produced shifts in the West African and Indian monsoons, Amazon forest and coral reef dieback, and disintegration of the Greenland and West Antarctic ice sheets. These impacts can be reversed on the decadal timescales associated with natural climate variability and ecosystem responses.

Some abrupt changes are potentially more consequential, including collapse of the West Antarctic ice sheet and collapse of the Atlantic Meridional Overturning Circulation (AMOC). The IPCC AR6 WGI Summary for Policy Makers states:

> The Atlantic Meridional Overturning Circulation is very likely to weaken over the 21st century for all emission scenarios. While there is high confidence in the 21st century decline, there is only low confidence in the magnitude of the trend. There is medium confidence that there will not be an abrupt collapse before 2100. (C.3.4)
>
> There is limited evidence for low-likelihood, high-impact outcomes (resulting from ice-sheet instability processes characterized by deep uncertainty and in some cases involving tipping points) that would strongly increase ice loss from the Antarctic Ice Sheet for centuries under high GHG emissions scenarios (e.g., SSP5-8.5). (B.5.2)

For the purpose of SCC calculations the research question implied by this type of tipping point is whether such events have been observed in the past in climate conditions similar to what we currently experience or will in the near future. The IPCC AR6 finds little evidence for impending collapse of the Atlantic Meridional Overturning Circulation or the West Antarctic ice sheet. It finds there is no tipping point associated with Arctic Sea ice (AR6 Technical Summary p. 76)

Dietz et al. incorporated several potential tipping points (abrupt changes) into an SCC model and found they added about 25 percent to the estimate, mainly associated with thawing permafrost and release of methane hydrates. However the IPCC considers this scenario *very unlikely* (AR6 Technical Summary p. 107).

In summary, there may be unknown bifurcation tipping points that are associated with natural climate processes, but this possibility does not translate into specific guidance on the SCC. There are potential abrupt change points in the climate system in response to warming, although the IPCC assigns low probabilities to most, including the largest ones. When these have been taken into account the result is only a modest increase in the SCC value in the 21st century.

11.2.5 Are there alternatives?

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It is increasingly being argued that the SCC is too variable to be useful for policymakers. Cambridge Econometrics (Thoung, 2017) stated it's "time to kill it" due to uncertainties. The UK and EU no longer use SCC for policy appraisal, opting for "target-consistent" carbon pricing (UK Department for Energy Security and Net Zero 2022, Dunne 2017). However the uncertainty of SCC estimates doesn't mean that other regulatory measures are inherently better or more efficient. Many emissions regulations (such as electric vehicle mandates, renewable energy mandates, energy efficiency regulations and bans on certain types of home appliances) cost far more per tonne of abatement than any mainstream SCC estimate, which is sufficient for establishing they fail a cost-benefit test.

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12 GLOBAL CLIMATE IMPACTS OF U.S. EMISSIONS POLICIES

Chapter Summary

U.S. policy actions are expected to have undetectably small direct impacts on the global climate and any effects will emerge only with long delays.

12.1 The scale problem

The emissions rates and atmospheric concentrations of criteria air contaminants are closely connected because their lifetimes are short and their concentrations are small; when local emissions are reduced the local pollution concentration drops rapidly, usually within a few days. But the global average CO_2 concentration behaves very differently, since emissions mix globally and the global carbon cycle is vast and slow. Any change in local CO_2 emissions today will have only a very small global effect, and only with a long delay.

Following the emission of a pulse (release) of CO_2 into the atmosphere only about 40 ± 15 percent of the emitted CO_2 will have been sequestered after twenty years. That fraction rises to 75 ± 10 percent after a thousand years, and the remainder will be gradually removed over the ensuing tens of thousands of years (Ciais et al., 2013, pp. 472-473). Consequently, any reduction in U.S. emissions would only modestly slow, but not prevent, the rise of global CO_2 concentration. And even if global emissions were to stop tomorrow, it would take decades or centuries to see a meaningful reduction in the global CO_2 concentration and hence human influences on the climate.

Reducing the atmospheric stock of CO_2 would require emissions to fall below the natural sequestration rate, assuming the entire increase is anthropogenic. Since that rate has been averaging about 50% of emissions in recent decades, a reduction of global emissions by 50% would (at least temporarily) halt the rise in atmospheric CO_2. The 1997 Kyoto Protocol proposed to cap industrial nations' CO_2 emissions at a modest five percent below 1990 levels by the year 2012. Even though this policy was too difficult for most nations to implement, full compliance would not have substantially reduced atmospheric CO_2 levels. It would only have slightly slowed CO_2 growth, reaching the projected year 2100 level in 2105 instead (Wigley, 1998). Lomborg (2016) estimated that full compliance with the Paris Accord would not stop warming, it would only prevent about 0.1C warming and delay hitting the baseline year 2100 temperature levels by about a decade.

Thus, in contrast with conventional air pollution control, even drastic local actions will have negligible local effects, and only with a long delay. The practice of referring to unilateral US reductions as "combatting climate change" or "taking action on climate" therefore reflects a profound misunderstanding of the scale of the issue.

12.2 Case study: US motor vehicle emissions

The scale problem can be illustrated with reference to U.S. motor vehicles. The EPA's 2009 Endangerment Finding focused on CO_2 emissions from cars and light-duty trucks in the U.S. because Section 202(a) of Clean Air Act mandates the EPA to set emissions standards for motor vehicles if pollutants are found to endanger public health or welfare. The 2009 Endangerment Finding therefore obligated the EPA to regulate emissions from new motor vehicles, ostensibly to reduce or eliminate climate-related harms to the US public.

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Two questions that naturally arise are: (1) How large a reduction in CO_2 emissions would result from such regulation? and (2) What would be the climate impact of such regulation?

The first question can be addressed by comparing US vehicle-based CO_2 emissions to the global total. The second question can be addressed by using the fact that the reduction in global warming would be, according to the models relied upon by the EPA, proportional to the reduction in global emissions, keeping in mind that the change in the CO_2 content of the atmosphere in any given year is the result of total global CO_2 emissions, not just U.S. emissions.

In 2022, the emissions from U.S. cars and light duty trucks totaled 1.05 billion metric tons of carbon dioxide ($GtCO_2$, EPA 2024). Meanwhile global CO_2 emissions from energy use totaled 34.6 $GtCO_2$ (Energy Institute 2024). Hence U.S. cars and light trucks account for only 3.0% of global energy-related CO_2 emissions. To a first approximation we can say that even eliminating all US vehicle-based emissions would change the multidecadal warming trend by at most 3%. For the period 1979-2023, which has the most extensive global coverage of a variety of weather data types, warming trends are determined to a precision of about $\pm$ 15%, so the impact of reducing the rate of global warming by eliminating U.S. vehicle CO2 emissions would be far below the limits of measurability.

Given that global-average temperature is the most direct climate change metric, impacts on any secondary climate metrics (e.g. severe weather, floods, drought, etc.) from reducing U.S. vehicle CO_2 emissions would be even less measurable.

Consequently, in contrast to the case of local air contaminants like particulates and ozone, even the most aggressive regulatory actions on GHG emissions from U.S. vehicles cannot be expected to remediate alleged climate dangers to the US public on any even remotely-measurable scale.

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GLOSSARY

2XCO2: Doubling of the atmospheric carbon dioxide (CO2), a commonly used baseline for addressing climate change. In 2025, atmospheric CO2 concentrations were approximately 50% of the way to 2XCO2 compared to 1800.

ABATEMENT: In the context of environmental policy, the reduction of emissions.

ACE (Accumulated Cyclone Energy): A statistical measure of a tropical cyclone's energy accumulated over its lifetime, calculated from a summation of the square of maximum sustained wind speeds.

ACIDIFICATION: A commonly used term for the reduction in ocean pH from more alkaline to less alkaline values.

ACRIM (Active Cavity Radiometer Irradiance Monitor) GAP: the gap in total solar irradiance data between 1989 and 1991 caused by a delay in the launch of a satellite-borne monitor in time to overlap and intercalibrate with the prior system.

AEROSOLS: Tiny solid or liquid particles suspended in the air.

ALKALINE: Having a chemical pH greater than 7.0. The "opposite" of acidic, which means a pH less than 7.0.

AMBIENT: Relating to the immediate surroundings of something.

ANTHROPOGENIC: Created by humans.

AR (Assessment Reports): Periodic assessments published by the Intergovernmental Panel on Climate Change evaluating current knowledge of the climate system, global change, and associated issues. An AR now consists of reports from three working groups, the first (WG1) concerned with physical science and the others concerned with societal impacts and mitigation strategies The most recent was the AR6.

ATTRIBUTION: Assertion of a causal relation, chiefly from anthropogenic GHG emissions to observed climate patterns.

AUTOCORRELATION: The phenomenon in which the current value of a random time series variable is correlated with its value in a previous time period.

BIOMASS: The total quantity or weight of organic matter in a given area or volume.

CARBON: The 6th element in the periodic table. Popularly used as a shorthand term for carbon dioxide.

CMIP (Coupled Model Intercomparison Project): The Intergovernmental Panel on Climate Change's organized effort to compare many different global ocean-atmosphere climate models when they are all forced with the same emissions scenario(s). The two most recent were the CMIP5 and CMIP6 for, respectively, AR5 and AR6.

CONUS: The contiguous 48 U.S. states.

DICE (Dynamic Integrated model of the Climate and Economy): An Integrated Assessment Model developed by William Nordhaus in 1993.

DISCOUNT RATE: The interest rate used to convert future costs and benefits into their present value. Varies inversely with present value so a high discount rate reduces the present value of future costs and benefits.

DRY ICE: Frozen carbon dioxide.

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ECONOMETRICS: The branch of economics concerned with the use of statistical methods (chiefly multiple regression) to analyse economic systems.

ECS (Equilibrium Climate Sensitivity): The total amount of eventual global-average surface warming in response to a hypothetical doubling of the atmospheric CO2 concentration compared to pre-Industrial levels.

EL NIÑO: The warm phase of the El Niño – Southern Oscillation, involving weaker upwelling of cold water off the South American coast and weaker Pacific trade winds.

EMISSIONS SCENARIO: An assumed scenario of future greenhouse gas emissions (or resulting atmospheric concentrations) based upon assumptions regarding global economic activity, the prevalence of fossil fuel use, and (sometimes) global carbon cycle model estimates of the rate of CO2 uptake by land and ocean.

ENDANGERMENT FINDING(EF): The 2009 finding by the Administrator of the Environmental Protection Agency that emissions of well-mixed greenhouse gases (primarily CO2) endanger human health and welfare.

ENSO: El Niño – Southern Oscillation, a prominent natural climate fluctuation involving year-to-year variations in the upwelling of cold water off the equatorial coast of South America and associated strengthening or weakening of trade winds across the Pacific Ocean.

FACE: (Free-Air CO2 Enrichment) Large-scale open-air studies that expose plants to elevated CO2 levels, simulating future climate scenarios.

FOSSIL FUEL: A natural hydrocarbon fuel such as coal, oil, or natural gas formed in the geological past from the remains of living organisms.

FUND (Framework for Uncertainty, Negotiation, and Distribution): An Integrated Assessment Model developed by Richard Tol in 1997.

GBR (Great Barrier Reef): The world's largest coral reef ecosystem located off the northeast coast of Australia.

GDP (Gross Domestic Product): Total market value of all the final goods and services produced within a country's border, usually over one year.

GHCN (Global Historical Climatology Network): A time-varying number of globally distributed weather stations making hourly, daily, or monthly measurements of precipitation, temperature and sometimes snowfall and snow depth.

GLOBAL GREENING: The satellite-observed increase in greenness in most vegetated land areas observed since the early 1980s.

GNP (Gross National Product): Total market value of all the final goods and services produced by the citizens of a country, including exports and imports, usually over one year.

GDP (Gross National Product): Total market value of all the domestically-produced final goods and services produced by the citizens of a country, usually over one year.

GREENHOUSE EFFECT (GHE): The tendency for any planetary atmosphere containing greenhouse gases to be warmer in the lowest layers than if those gases did not exist.

GREENHOUSE GAS (GHG): An atmospheric gas that absorbs and emits infrared radiation, especially water vapor, CO2, and methane.

HURST PHENOMENON: See long term persistence.

HYDROLOGY: The study of the movement of water, especially on land and in the atmosphere.

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IAM (Integrated Assessment Model): A computerized tool that combines economics, climate science, and social sciences to provide quantitative relationships between human and Earth systems, helping to inform policy decisions.

IEA (International Energy Agency): A Paris-based intergovernmental organization, established in 1974, that provides policy recommendations, analysis and data on the global energy sector.

IPCC (Intergovernmental Panel on Climate Change): A panel of international experts and governmental representatives established by the United Nations and World Meteorological Organization in 1988 to provide governments at all levels with scientific information that they can use to develop climate policies.

IR (Infrared): Heat radiation that all solid objects and greenhouse gases emit by virtue of their temperature.

LA NIÑA: The cool phase of ENSO, involving stronger upwelling of cold water off the South American coast and stronger Pacific trade winds.

LONG TERM PERSISTENCE (LTP, or HURST PHENOMENON): A form of autocorrelation in which random fluctuations induce long term but not permanent changes in the mean value of a series, which shows up in some climate data series as cyclical or trending patterns on short time scales.

MAIZE: Corn.

MODEL: A computer code or collection of codes which quantify our knowledge of various processes and the interactions between those processes.

MORTALITY: Death or number of deaths, usually expressed for a specific population and period.

NCA (National Climate Assessment): A periodic report mandated by the Global Change Research Act of 1990 summarizing the impact of climate change on the United States. There have been five NCA reports: NCA1 (2000), NCA2 (2009), NCA3 (2014), NCA4 (2017-18), and NCA5 (2023).

OECD (Organization for Economic Cooperation and Development): A group of 38 member countries that have a democratic system of government and free economic systems.

PALEOCLIMATE: Climate of the distant past, before modern weather instruments were widely used (typically pre-1850), necessitating the use of "proxy" measurements such as tree rings, ice core, pollen records, etc.

PDI (Power Dissipation Index): A statistical estimate of the accumulated destructive potential of a tropical cyclone over time, calculated as a summation of the cube of the maximum sustained wind speeds.

PHOTOSYNTHESIS: The process by which plants grow, requiring carbon dioxide, water, and light at sufficiently warm temperatures (10 to 35 deg. C for optimal function).

PPM: Parts per million, a measure of the concentration of a substance in (for example) the atmosphere

PRE-INDUSTRIAL: The near-term historical period before which human greenhouse gas emissions were considered significant, usually assumed to be the mid-1700s.

RADIATIVE FEEDBACK: A change in the balance between absorbed solar radiation and emitted infrared (IR) radiation, referenced to the top of the atmosphere, caused by changes in surface temperature.

RADIATIVE FORCING: The change in the balance between absorbed solar radiation and emitted infrared (IR) radiation, referenced to the top of the atmosphere, caused by changes in greenhouse gases, anthropogenic aerosols, volcanoes, etc.

RCP (Representative Concentration Pathway) SCENARIOS: Different scenarios for future greenhouse gas emissions and their impact on the atmosphere, introduced in the IPCC's Fifth Assessment Report (AR5). RCP scenarios have a number label (e.g. RCP6.0) indicating how much radiative forcing they

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assume in 2100 relative to pre-Industrial times, in Watts per square meter. RCP4.5 and RCP6.0 are intermediate scenarios, whereas RCP8.5 is an extreme scenario with very large future emissions of greenhouse gases.

REGRESSION: A statistical method for selecting the coefficients of a linear equation to explain the behaviour of a dependent variable in terms of variations in one or more explanatory variables.

RICARDIAN ANALYSIS: A method used in environmental economics named after David Ricardo to estimate the economic impacts of climate change, particularly on agriculture, by making use of the hypothesis that expected changes in the return to future agricultural activity will be capitalized into current land values.

SCC (Social Cost of Carbon): An estimate of the net economic damages, measured in present-value dollars, caused by emitting one additional ton of CO2 into the atmosphere.

SSP (Shared Socioeconomic Pathway) SCENARIOS: Different scenarios for future greenhouse gas emissions and their impact on the atmosphere, introduced in the IPCC's Sixth Assessment Report (AR6). Some of the SSP scenarios are analogous to the older RCP scenarios, for purposes of continuity and comparison to earlier climate model assessments.

TC: Tropical cyclone.

TMAX: The daily maximum surface air temperature.

TMIN: The daily minimum surface air temperature.

TOA: Top of atmosphere.

TOXICOLOGY: The study of the adverse effects of chemical substances on living organisms.

TREND: A coefficient, usually estimated using linear regression, representing the slope of a line of best fit through a time series of data, indicating any tendency for the series mean to move up or down over time.

TSI (Total Solar Irradiance): The measure of the total amount of incident solar radiation per unit area, including all wavelengths of electromagnetic radiation, that reaches the Earth's atmosphere. Typically shown in units of watts per square meter (W/m^2).

UHI (Urban Heat Island): The tendency for inhabited areas to be warmer than their rural surroundings, due to the replacement of natural land and vegetation with roads, parking lots, buildings, and waste heat sources.

USHCN: The U.S. Historical Climatology Network, consisting of quality-controlled temperature and precipitation data from 1,218 surface weather stations.

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Review of the Fifth National Climate Assessment

[TOC \O "1-3" \H \Z \U]

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EXECUTIVE SUMMARY

This report critically evaluates the Fifth National Climate Assessment (NCA5), finding it an inadequate basis for climate and energy policies. A detailed review of the foundational chapters on climate science raises several key concerns:

Scientific Integrity

- An advocacy tone, particularly in the Overview chapter, that is inconsistent with scientific objectivity
- ~~An e~~Endemic overconfidence when stating conclusions, including inflated certainty levels and overstatement of findings from underlying sources

Flawed methodology

- Use of ~~*ad hoc* time scales~~ short time periods for trend ~~analyses in areas such as precipitation and heatwaves~~ analyses; more generally lack of a consistent rule for choosing time scales
- ~~An o~~Overemphasis on extreme scenarios for future emissions and sea level rise
- Inadequate traceable accounts to support key conclusions

Deficient Review Process

- A review by the National Academy of Sciences, Engineering, and Medicine (NASEM) failed to challenge some fundamental flaws in NCA5
- The NCA5 authors failed to adopt some improvements recommended by NASEM

The report shows NCA5 to be a biased and incomplete picture of climate science that skews toward excessive climate risk. Consequently, NCA5 should not be used as basis for climate and energy policies.

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1 INTRODUCTION

1.1 About this Report

This report responds to a request from Office of Science and Technology Policy (OSTP) Director Michael Kratsios (reproduced in Appendix 1) that we assess the suitability of the Fifth National Climate Assessment (NCA5 2023) for informing climate and energy policies.

To conduct this assessment we reviewed NCA5 itself, the National Academies of Science, Engineering and Medicine (NASEM) review of the Third Order NCA5 Draft, and the USGCRP responses to that review. We have drawn upon our long experience working on advisory reports, including for the NASEM, the Intergovernmental Panel on Climate Change (IPCC) and other organizations, as writers, reviewers and study leaders.

We find that NCA5 should not be relied on for policy making as it is an incomplete and misleading picture of our understanding of the changing climate and the risks it poses to the nation. NCA5 was selective and incomplete in its survey of climate science ~~as of its publication in 2023 and has become even more so in the years since~~. Further, we have reviewed ~~many key~~ recent scientific developments in a separate report to Energy Secretary Wright (Climate Working Group 2025, herein denoted "CWG25"). The overall effect of the biases and omissions of NCA5 is an excessively dire picture of climate risk.

To support our finding, the following sections of this chapter provide some background on the National Climate Assessments and the standards by which we have assessed NCA5. Chapters 2 through 7 detail important ways in which NCA5 fails to meet those standards. ~~Chapter 7 then follows with an assessment of the NASEM review.~~ Finally, Chapter 8 summarizes our evaluation of NCA5 and makes recommendations for NCA6.

1.2 About the National Climate Assessments

The [HYPERLINK "https://www.globalchange.gov/"] (USGCRP) was established by Presidential Initiative in 1989 and mandated by Congress in the Global Change Research Act (GCRA) of 1990 to develop and coordinate "a comprehensive and integrated United States research program which will assist the Nation and the world to understand, assess, predict, and respond to human-induced and natural processes of global change."

The National Climate Assessment (NCA) Reports evolved from the GCRA requirement to:

> Prepare and submit to the President and the Congress an assessment which (1) integrates, evaluates, and interprets the findings of the Program and discusses the scientific uncertainties associated with such findings; (2) analyzes the effects of global change on the natural environment, agriculture, energy production and use, land and water resources, transportation, human health and welfare, human social systems, and biological diversity; and (3) analyzes current trends in global change, both human-induced and natural, and projects major trends for the subsequent 25 to 100 years.

The five NCA Reports to date have interpreted this mandate in different ways:

- NCA1 (2000) was a multidisciplinary effort to study and portray in regional detail the potential effects of human-induced global warming on the United States.

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- NCA2 (2009) was a relatively short report that not only focused on the potential consequences of climate change but also sought to identify potential adaptation measures and identify the highest priorities for future research.
- NCA3 (2014) was an expanded effort that continued along the lines of NCA2, and was distinguished by a comprehensive review process, including a review by the National Academy of Sciences. NCA3 introduced a traceability analysis for each key finding, including a description of uncertainties.
- NCA4 (2017/2018) was ~~an~~ further expanded effort that was published in two volumes: I Climate Science Special Report (CSSR), and II Impacts, Risk, and Adaptation in the United States. This was the first NCA report to devote significant effort to assessing climate science.
- NCA5 (2023) returned to a single volume format, reducing discussion of climate science to a cursory two chapters, with continuing ~~with a~~ focus on regional and sectoral impacts of climate change. NCA5 expanded its remit to topics that are arguably outside the purview of the USGCRP, focusing on "Climate Action" and policy recommendations with a social justice emphasis. ~~*NCA5's self-description is reproduced as Appendix II.*~~

These successive interpretations of the NCA are poorly aligned with the GCRA's statutory requirements. Only NCA4 gives serious consideration to climate science, which receives the great majority of USGCRP funding, and even then NCA4 misrepresents some important points ~~(Section 1.4 below offers an example)~~. Natural climate variability is essentially dismissed as unimportant in NCA4 and barely mentioned in NCA5 in most contexts, despite the mandate to include both human-caused and natural climate change. Most significantly, NCA5 has redirected the focus away from climate science and even the hazard elements of impacts, towards evaluation of societal vulnerabilities and advocacy for particular policy options, especially wind and solar power. The cover image for the NCA5 Report is the installation of a solar panel.

1.3 Standards for Assessing NCA Products

Beyond the statutory requirements stated above, another pertinent standard is President Trump's executive order of May 23, 2025, [HYPERLINK "https://www.whitehouse.gov/presidential-actions/2025/05/restoring-gold-standard-science/"]. It enumerates some of the characteristics of good science, namely that it should be:

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i. reproducible;
ii. transparent;
iii. *communicative of error and uncertainty;*
iv. collaborative and interdisciplinary;
v. skeptical of its findings and assumptions;
vi. structured for falsifiability of hypotheses;
vii. subject to unbiased peer review;
viii. accepting of negative results as positive outcomes; and
ix. without conflicts of interest.

Although these should be self-evident to those trained in science, it is surprising that some scientists have found them controversial (Dellawalla et al. 2025).

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When science is assessed for the purpose of climate policymaking there are further requirements to bear in mind. Good climate and energy policies should balance the risks and benefits of a changing climate against the world's growing demand for reliable and affordable energy. To strike that balance, policymakers must understand at least two complex and nuanced topics: the certainties and uncertainties of climate science and the technoeconomic realities of energy systems. Experts in those subjects have a responsibility to communicate their understanding to non-experts clearly, completely, and without bias.

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Expertise in energy systems resides largely in the private sector, where it is essential to providing the reliable and affordable energy services that are the lifeblood of society. Understanding is therefore continually refined and tested by operational demands and the discipline of the marketplace. In contrast, climate science expertise is found largely in the non-profit realm of universities and government laboratories and so has a more academic character, with greater uncertainties and hence need for expert judgement.

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~~I THINK THE TEXT SHOULD STAY (AND NOT JUST BECAUSE I WROTE IT). IT MOTIVATES WHY YOU NEED ASSESSMENTS TO BEGIN WITH AND WHY THOSE FOR CLIMATE SCIENCE ARE DIFFERENT - WHY YOU NEED STRENGTH OF KNOWLEDGE STATEMTO ENTS.~~

~~For those reasons,~~ FAFor those reasons assessments of climate science that will be influential for policy making must include statements about the strength of knowledge. The IPCC's approach to assessing strength of knowledge is based on judgment of the available evidence and agreement among experts. But there are more sophisticated knowledge characterizations for risk management (Aven 2017). Here are its elements:

- The degree to which the assumptions made are reasonable/realistic based on background knowledge
- The degree to which data/information exists and are reliable and relevant
- The degree to which there is disagreement among experts (including those from different environments or academic fields)
- The degree to which the phenomena involved are understood and accurate models exist
- The degree to which the knowledge has been thoroughly examined with respect to unknown knowns

1.4 When Peer Review Fails

Peer review of reports like IPCC and NCA products is not a silver bullet that guarantees quality. The peer review process for these reports differs in some important respects from traditional peer review in academic journals. First, during the open review phases of early NCA drafts reviewers are volunteers who self-select what parts of the report to read, whereas the editors of academic journals editors recruit reviewers with relevant expertise and assign them to specific papers. As a result, there is no guarantee at that stage that any particular section of an NCA report was reviewed by anyone, let alone by someone with relevant expertise and a perspective that differs from the authors. In fact, many potential reviewers have given up over the years because their input has systematically been ignored. The introduction of a NASEM review stage is at best a partial remedy for this. However, NCA authors have near complete authority whether to accept or reject review comments. By contrast, the Editor of an academic journal mandates the author to respond, and the reviewer and Editor assess

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its adequacy. Finally, the work of an NCA chapter author team is guaranteed to be published, whereas academic journals routinely reject papers that do not pass review.

These points are important to bear in mind because some readers might dismiss any criticism of NCA products out of hand on the grounds that they were "peer reviewed". But the test of a report is whether its contents are a valid representation of the available evidence. Here we give an example in which NCA4 gave prominence to a figure that completely misrepresented the underlying data and which evaded peer review to do so. Further details can be found in Koonin (2024).

~~As shown in CWG25 Section 6.3, the number of heat extremes in the U.S. peaked in the 1930s and has declined ever since. Considering cold and hot extremes together, the U.S. the U.S. climate has become more moderate over time.~~

NCA4's Part I says (prominently and with *Very High Confidence*) on Page 19 of the Executive Summary:

> There have been marked changes in temperature extremes across the contiguous United States. The number of high temperature records set in the past two decades far exceeds the number of low temperature records.

and offers this figure in support:

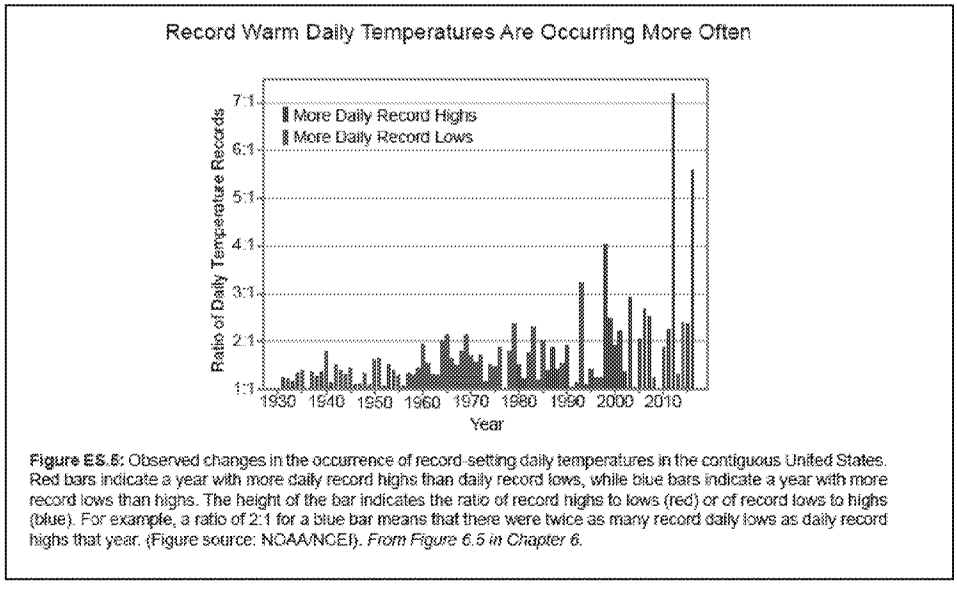

Figure ES.5: Observed changes in the occurrence of record-setting daily temperatures in the contiguous United States. Red bars indicate a year with more daily record highs than daily record lows, while blue bars indicate a year with more record lows than highs. The height of the bar indicates the ratio of record highs to lows (red) or of record lows to highs (blue). For example, a ratio of 2:1 for a blue bar means that there were twice as many record daily lows as daily record highs that year. (Figure source: NOAA/NCEI). *From Figure 6.5 in Chapter 6.*

FIGURE 1: Reproduction of Figure ES.5 from NCA4

The figure appears to validate the claim that record warm daily temperatures are occurring more often. But the underlying data do not. Rather, they show that extreme heat in the U.S. peaked in the 1920s and 1930s and declined thereafter, as supported by Figure 6.4 deep within the CSSR itself (reproduced in ~~our~~ Section 4.2 of this Report).

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Figure ES.5 does not even show what its title implies, as it displays not simple counts of cold and warm extreme events, but rather ratios of the two counts. ~~In fact, b~~Both types of extremes declined over the sample interval, but cold events declined more than warm events, so the ratio of warm extremes to cold extremes increased. By graphing the ratio instead of counts, NCA4 ~~creates~~ created a visual impression opposite to what is in the data.

Figure 6.3.3 of CWG25 (reproduced below) shows the results of a proper analysis and presentation of the data – defining each record as the singular extreme in the sample interval, displaying numbers of warm and cold records separately, and beginning the analysis in 1899 rather than 1930. It makes evident the exceptional heat of the 1920s and especially the 1930s, peaking in 1936. (The increased heat of the past five years is too brief to be deemed climatically significant and, in any event, occurred after the CSSR was published in 2017.) The frequency of cold records has declined, especially over the last quarter of the period in which only 13 percent of the extreme cold events were measured. In contrast, 25 percent of the heat records were achieved in the last quarter, which is consistent with statistical expectations. As a result, the ratio of ~~heat~~ hot to cold extremes shown in Figure ES.5 of the CSSR explodes in recent decades. The overall reduction in numbers of both cold and hot extremes over the past century indicates a climate *less* prone to extremes, in sharp contrast to the message of ~~NCAs~~ NCA4 Figure ES.5.

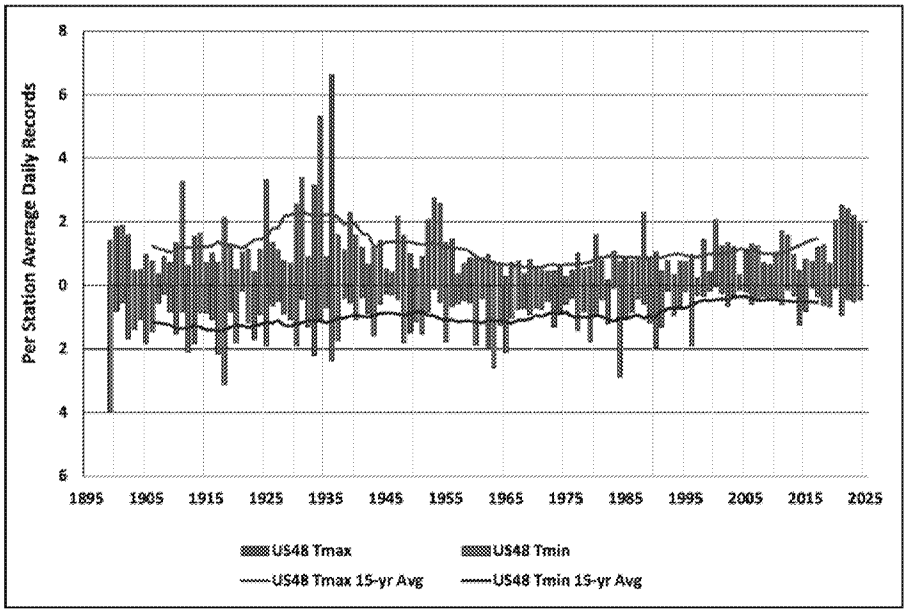

FIGURE 2: Number of daily record High (red) and Low (blue) temperatures for warm and cold seasons in the CONUS. The lines represent the 15-year running, centered average. Source: 1,211 USHCN stations supplemented as needed to achieve a minimum of 92 percent of observations in the 126-year period since Dec 1898. US48: contiguous U.S.

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states. Tmax: maximum temperature. Tmin: minimum temperature. Source: CWG25 Figure 6.3.3. ~~DON'T BOLD CAPTION AND BLOCK FORMAT THE CAPTION~~

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How did the flawed figure ES.5 pass the NASEM Review? The ~~National Academies' expert~~ NASEM Review panel [HYPERLINK "https://www.nap.edu/catalog/24712/review-of-the-draft-climate-science-special-report"] the discussion of temperature extremes in a [HYPERLINK "https://biotech.law.lsu.edu/blog/Draft-of-the-Climate-Science-Special-Report.pdf"]t of the CSSR. Key Finding 2 of Chapter 6 in that draft stated:

> Accompanying the rise is [*sic*] average temperatures, there have been – as is to be expected – increases in extreme temperature events in most parts of the United States. Since the early 1900s, the temperature of extremely cold days has increased throughout the contiguous United States, and the temperature of extremely warm days has increased across much of the West. In recent decades, intense cold waves have become less common while intense heat waves have become more common. (*Extremely likely, Very high confidence*)

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In reference to ~~the CSSR's Key Finding 2 of Chapter 6,~~ this ~~they~~ NASEM said:

> Further, it is difficult to understand how a statement that includes increases in extreme warmth can be associated with a high confidence or extremely likely statement, given that most of the graphics in this chapter show a decrease in extreme warmth in the historical record.

The Federal official in charge of the CSSR (Michael Kuperberg, Executive Director of the USGCRP) [HYPERLINK "https://science2017.globalchange.gov/PDFs/CSSR-NASresponse_110217.pdf"] to the Academies' review by noting that

> Almost every recommendation from the NAS was incorporated [in the final version]... and a new figure was added on changes in record high and low temperatures

The "new figure" referred to is the Figure ES.5, which did not appear in the draft reviewed by the Academies' panel. It seems unlikely that the Academies panel ever saw that figure because they surely would have commented if they had and it wouldn't have survived to publication. And the figure's problems were evidently not flagged (or were ignored) in the subsequent internal government reviews.

With this background we now present our critique of NCA5.

2 NCA5 Overview Chapter: Hyperbolic advocacy language and unscientific tone

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NCA5 Chapter 1, "Overview", holds a prominent place in the Report since it summarizes the entire report and is the only section that many will read. Although the later chapters of NCA5 ~~contain much sound scholarly content~~ are not without some [?] merits, ~~HOW CAN WE SAY IT'S SOUND IF, FOR EXAMPLE, THE REGIONAL IMPACTS CHAPTERS ARE BASED ON EXTREME SCENARIOS FED INTO DEFICIENT MODELS?~~ Chapter 1 is marred by an activist tone and language that aggressively promotes mitigation policies. As such, this chapter adds an imprimatur of scientific authority to ~~oversimplified~~ naïve and simplistic declarations of opinion about public policy. Many examples can be cited; here we note a few that are particularly egregious.

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Chapter 1 opens as follows:

> The more the planet warms, the greater the impacts. Without rapid and deep reductions in global greenhouse gas emissions from human activities, the risks of accelerating sea level rise, intensifying extreme weather, and other harmful climate impacts will continue to grow. Each additional increment of warming is expected to lead to more damage and greater economic losses compared to previous increments of warming, while the risk of catastrophic or unforeseen consequences also increases.

This statement presupposes that all warming is anthropogenic, that all warming is bad and causes economic damage, and that warming intensifies extreme weather. Such sweeping generalizations go well beyond what science has or can establish. The statement also refers to growing risks without saying whether they were large to begin with – a small risk that grows may still be a small risk, and a large risk may be only incrementally worsened. A ~~scientifically sound v~~more objective version of this paragraph would instead say something like the following: ~~THIS PARA MOSTLY IS NOT ABOUT SCIENCE. I DON'T LIKE THE FOLLOWING PARAGRAPH BECAUSE IT IS ALSO ABOUT POLICY, WHICH IS NOT THE PURVIEW OF NCA. WOULD LIKE TO KEEP THIS IT IS MOSTLY SCIENCE, AND IT IS NOT POLICY PRESCRIPTIVE OTHER THAN TO SAY CONSIDER TRADEOFFS~~

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> The Earth is warming because of a combination of natural and anthropogenic influences. This warming will generate a mix of benefits and harms that varies by region, to which society should be prepared to adapt. Some types of extreme weather have worsened recently, while others have not changed or have even declined in intensity. Reducing emissions of greenhouse gases might slow the warming although such policies have proven to be costly and disruptive, so any climate actions need to be weighed against the potential benefits.

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On the next page the Overview states:

> Each additional increment of warming leads to greater risks [here it lists 7 key components of society including food security and the economy]... Without deeper cuts in global net emissions, climate risks to the US will continue to grow... Action to limit future warming and reduce risks can have near-term benefits and opportunities: low-carbon energy jobs, Improved air quality, Health benefits, Economic benefits..."

The authors write as if climate policy does not involve trade-offs and all measures to reduce future warming will be effective and beneficial. But the vast body of scholarship on climate science and economics does not support such simplistic claims. Not every additional increment of warming leads to economic harms; in fact, NCA5 Chapter 19 acknowledges that there will also be benefits. Nor is

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climate policy guaranteed to create jobs or benefit the economy; in many cases it has done the opposite. Such deceit is a disservice to policymakers.

Pages 8 and 9 of the Overview promote renewable energy options by noting, correctly, that the levelized costs of wind/solar generation have fallen sharply since 2010, and so offers this rationale for pursuing deep emission reductions:

> Recent growth in the capacities of wind, solar, and battery storage technologies is supported by rapidly falling costs of zero- and low-carbon energy technologies, which can support even deeper emissions reductions. For example, wind and solar energy costs dropped 70% and 90%, respectively, over the last decade, while 80% of new generation capacity in 2020 came from renewable sources.

Yet it has long been known that intermittent renewables generation must be supplemented by dispatchable generation to meet grid reliability standards, and that doing so (e.g., through natural gas, fission, or storage) significantly increases the cost of electricity. Further, there are yet unmet synchronization challenges in transmission grids with heavy penetration of wind and solar power. Here again it is advisory malpractice not to acknowledge these facts. But more importantly, we see no reason for the NCA5 to be discussing renewable energy technologies and economics in context of its mandate.

Page 14 of the Overview presents future emission scenarios with the following description: "Climate modeling experts develop global climate projections for a range of plausible futures." The word "plausible" is applied to all of the scenarios. The accompanying Figure includes both SSP3-7.0 and SSP5-8.5, the latter reaching the same radiative forcing as RCP8.5. The Figure does not compare observed emissions with previous projections nor does it caution readers that SSP3-7.0 and SSP5-8.5 are extreme ~~worst-case~~ scenarios that, like RCP8.5, have been heavily criticized in the scientific literature as being implausible (CWG25 3.2.1). By describing the entire envelope of emission projections as "plausible" the report opens the door to later presenting impacts associated with ~~extreme and~~ implausible worst case emission scenarios as part of a baseline "business-as-usual" future.

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Page 17 of the Overview introduces the discussion of risks from extreme weather events by saying "Harmful impacts from more frequent and severe extremes are increasing across the country." This creates the impression that extreme weather events are worsening and that disaster losses are driven by trends in extreme weather. While the section goes on to acknowledge that some of the increase is due to increases in the value of assets at risk, it then invokes the NOAA Billion Dollar Dataset as evidence of extreme weather getting worse. Scientists have shown that this is an invalid metric (CWG 10.2) since it measures the growth of the economy not the frequency of extreme weather, and indeed NOAA has recently withdrawn the data product (Pielke Jr. 2024, 2025). Even though the dataset was still distributed by NOAA at the time of the NCA5, the report authors ~~should have assessed~~ failed to assess it skeptically and ~~seen~~ that it was unfit for the purpose of measuring climate trends.

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Page 23 of the Overview states, in large font:

> Climate changes are making it harder to maintain safe homes and healthy families; reliable public services; a sustainable economy; thriving ecosystems, cultures, and traditions; and strong communities.

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It is pointless to try to evaluate this statement on scholarly grounds; it is self-evidently hyperbolic. This kind of language doesn't belong in a scientific assessment report. Its prominent inclusion points not only to the willingness of NCA5 authors to promote alarm, but also the ineffectiveness of the review process in eliminating such statements.

3 OVERCONFIDENCE WHEN STATING CONCLUSIONS

3.1 Inflated certainty levels

Overconfidence in stating conclusions has been an endemic problem in NCA Reports. The authors frequently make sweeping generalizations without appropriate caveats or acknowledging uncertainties. The overconfidence begins with inflated language to describe certainty levels.

NCA5 uses specific terms to convey information about scientific confidence associated with important finds, observations and projections. The Front Matter of NCA5 states that

> confidence in a finding is based on the type, amount, quality, strength, and consistency of evidence; the skill, range, and consistency of methods to detect, evaluate, attribute, and interpret climate trends; and the degree of agreement across scientific information sources.

and Table 1 from the Front Matter provides calibrated language for confidence assessment:

Very high:	Strong evidence (established theory, multiple sources, well documented and accepted methods, etc.). High consensus
High:	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.). Medium consensus
Medium:	Suggestive evidence (a few sources, limited consistency, methods emerging, etc.) Competing schools of thought.
Low:	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.). Disagreement or lack of opinions among experts.

This terminology is obviously inflated: *high confidence* with just moderate evidence and medium consensus; *medium confidence* with only suggestive evidence and competing schools of thought. This inflation in terminology leads to overconfidence in conclusions.

Even with this inflated terminology, NCA5 further inflates the confidence level of many of its conclusions to well beyond what the IPCC has concluded with more thorough justification, and also by incorrectly applying its own standards. An example is the conclusion about anthropogenic influence on climate change, arguably one of the most important scientific statements of the report.

Key Message 3.1 Traceable Account states:

> There is *very high confidence* that emissions of GHGs from human activities, fossil fuel use in particular, have unequivocally caused *all* global warming observed over the industrial era.

while the corresponding statements from IPCC AR5 and AR6 are

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> **AR5:** It is *extremely likely* that *more than half* of the observed increase in global average surface temperature from 1951 to 2010 was caused by the anthropogenic increase in greenhouse gas concentrations and other anthropogenic forcings together. (IPCC, 2013)

> **AR6:** The *likely* range of total human-caused global surface temperature increase from 1850–1900 to 2010–2019 is 0.8°C to 1.3°C, with a best estimate of 1.07°C. It is *likely* that well-mixed GHGs contributed a warming of 1.0°C to 2.0°C, other human drivers (principally aerosols) contributed a cooling of 0.0°C to 0.8°C, natural drivers changed global surface temperature by –0.1°C to +0.1°C, and internal variability changed it by –0.2°C to +0.2°C. It is *very likely* that well-mixed GHGs were the main driver of tropospheric warming since 1979.

The IPCC AR5 and AR6 statements provide likelihood assessments but not a confidence level. While NCA5 claims with *very high confidence* that fossil fuels have unequivocally caused *all* global warming, AR5 refers to "*more than half* of the observed warming" and AR6 provides a more nuanced statement that relates to a *likely* range of warming contributed to by human drivers.

3.2 Overstating findings in underlying sources

Although we did not do a detailed audit of NCA5's use of source material, spot checks turned up evidence of a pattern of overstatement.

Chapter 2 page 11 reports on an increase in precipitation in some areas and attributes it to "climate change":

> Many eastern regions of the country are getting wetter (Figure 2.4). Average annual precipitation from 2002-2021 was 5%-15% higher relative to the 1901-1960 average in the central and eastern US, a trend attributable to climate change.

~~The change metric in NCA5 Figure 2.4 is non-standard; we will discuss NCA5's inconsistent choices of time scales of analysis below.~~ The claims were attributed to Knutson and Zeng (2018). But Knutson and Zeng did not say these things. They examined linear trends over 1901 – 2010, 1951 – 2010 and 1981 – 2010. ===They did not compare average rainfall over 2002 - 2021 to average rainfall over 1901 – 1960, if only because their study was published in 2018. === ~~KEEP THIS TEXT~~For 1981-2010, they noted stronger trends in some areas but cautioned that such changes are consistent with natural variability, and they did not claim attributable trends were observed over the United States:

> Observed annual precipitation trends over the recent 30-yr period 1981–2010 ([HYPERLINK "https://journals.ametsoc.org/view/journals/clim/31/12/jcli-d-17-0672.1.xml" \l "fig5"]) have generally stronger magnitudes (in mm yr^{-1} $decade^{-1}$) than observed trends for 1901–2010 or 1951–2010. This is as expected since trends over a shorter period have stronger potential for occurrence of pronounced temporary trends due to internal variability alone. Only 9% of the analyzed area has detectable and attributable anthropogenic influence (categories –3, –2, +2, +3), which represents less than one-third of the fractional areal coverage of such trends for 1901–2010 (29%). ... Eight percent of the analyzed area has attributable anthropogenic *increases* (categories +2, +3), including parts of eastern Europe, northern Asia, northern Australia/Maritime Continent, and tropical Africa.

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As another example of overstating findings, NCA5's Chapter 2 page 18 states

> There is robust evidence that human-caused warming has contributed to increases in the frequency and severity of the heaviest precipitation events across nearly 70% of the US.

This is ~~allegedly~~ apparently attributed to two papers Diffenbaugh *et al.* (2018) and Kirchmeier-Young and Zhang (2020). Diffenbaugh *et al.* presented ratios of observed occurrences of extreme events to simulated occurrence rates in CMIP6 models run with only natural forcings. They report that for 79% of the U.S., extreme rainfall event frequencies are currently higher than in model simulations, and the ratio of occurrences will rise under future warming simulations. This is not "robust" evidence of anthropogenic influence since it requires readers to assume that climate models provide accurate simulations of regional precipitation patterns, something that even IPCC AR6 admits is uncertain (AR6 WGI SPM Box SPM 1.2, SPM Section C.1.3).

Kirchmeier-Young and Zhang looked at extreme 1- and 5-day events in North America and, using three global climate models, found

> A signal of combined anthropogenic and natural external forcing can be detected in the intensification of extreme precipitation at the continental scale over North America. In some cases, this intensification can be attributed to human influence specifically.

However, they noted large differences among the three models in their simulated precipitation changes. Also, two of the three models failed to yield attribution for 5-day events for the North America mean. In other words, the models disagreed on whether the observed changes were anthropogenic. Both cited references utilized the implausible RCP8.5 scenario and began their "historical" observational records only in 1961. Here again this is not "robust" evidence, it is merely suggestive, particularly since other studies have found natural variability predominant.

3.3 Technical example: Emergent constraints

Some of the overconfidence expressed by NCA5 arises by omitting discussion of counterevidence in the peer reviewed literature. An example is the topic of "emergent constraints". Chapter 3 page 17 invokes this concept to support its claim that key uncertainties in climate model projections have been reduced:

> An approach to reduce uncertainty in climate change projections has matured over the past decade. It is known as "emergent constraints." The term refers to strong statistical relationships between highly uncertain future climate parameters and observable trends or variations in the current climate, along with a physical explanation of this relationship.

Emergent constraints appeared in the climate modeling literature as an attempt to tie climate model behavior to climate observations in hopes of generating insight into real-world equilibrium climate sensitivity to a doubling of carbon dioxide (ECS). Climate models exhibit a wide range of ECS values. The idea of emergent constraints is to examine whether the models with shared sensitivity values also share a common representation of some climate process (usually related to clouds) that might indirectly influence ECS. Suppose, for instance, that in low-ECS models the total cloud fraction in the tropics is strongly correlated with that in the southern midlatitudes but in high-ECS models the correlation is weak. Then researchers could examine whether the observed correlation is strong or weak and draw a conclusion about which type of model, and therefore which ECS value, is more likely.

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A problem in the emergent constraint literature is that there has been a proliferation of proposed correlations with little physical motivation. As shown by Schlund *et al.* (2020) many emergent constraints patterned on CMIP5 models (Coupled Model Intercomparison Project No. 5, used for the IPCC 5th Assessment Report) failed to work on CMIP6 models (used for the IPCC 6th Assessment Report). In other words, the observed correlations in CMIP5 models between ECS and cloud processes failed to show up in CMIP6 models, indicating that they were likely spurious rather than clues to physical processes governing the climate system. As a result, emergent constraints estimated on CMIP5 models *increased* the uncertainty of ECS, rather than ~~narrowing~~ decreasing it as intended and as claimed by NCA5.

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Schlund *et al.* summarized the problem as follows [**boldface** ~~used~~ added for emphasis]

> In this study, we systematically analyze 11 published emergent constraints on ECS that have mostly been derived from models participating in the Coupled Model Intercomparison Project Phase 5 (CMIP5) project... The focus of the study is on testing if these emergent constraints hold for [Earth System Models] participating in the new Phase 6 (CMIP6). Since none of the emergent constraints considered here have been derived using the CMIP6 ensemble, CMIP6 can be used for cross-checking of the emergent constraints on a new model ensemble. **The application of the emergent constraints to CMIP6 data shows a decrease in skill and statistical significance of the emergent relationship for nearly all constraints, with this decrease being large in many cases.** Consequently, the size of the constrained ECS ranges (66% confidence intervals) widens by 51% on average in CMIP6 compared to CMIP5.

Yet NCA5 described the use of emergent constraint analysis as a great "advance" that reduced uncertainty in climate model projections.

4 Reliance on Short Time Periods for Trend Analysis

In CWG25 Chapter 6 we discussed the need for care in the choice of time scale when making claims about trends in extreme weather. We provided examples from analysis of river flooding, heatwaves, and extreme precipitation to show how assertions about apparent trends based on short data sets fall apart when looking at longer versions of the same data sets. Here are some examples of this problem from NCA5.

4.1 Average and Extreme Precipitation

McKitrick and Christy (2019) showed that apparently significant positive trends in U.S. average and extreme precipitation over the NCA4 interval (1901 – 2016) disappeared on longer samples (extending back as far as the 1840s, depending on location) or on a shorter interval coinciding with the final 40 years of the data then available (1978 – 2017). In CWG25 Section 6.4 we presented analyses of updated data for the same locations (and many others), in all cases finding both considerable regional heterogeneity and that trends detectable on one time scale are often not detected on longer or shorter time scales. These ~~findings argue~~ findings argue against simple attribution of changes to anthropogenic influences.

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NCA5 did not continue the NCA4 precedent of using the post-1901 interval as the time scale for its precipitation discussion; instead it refers to changes "since the 1950s"; NCA5 Figure 2.8 suggests 1958 might be the intended start date. Under Key Message 2.2 "Extreme Events Are Becoming More Frequent and Severe" (p. 2-16) NCA5 states (p. 2-18):

> Rainfall is becoming more extreme
> Since the 1950s, there has been an upward trend in heavy precipitation across the contiguous US. This increase is driven largely by more frequent precipitation extremes, with relatively smaller changes in their intensity.

In addition to our concerns that inferences about trends are contingent on choice of time scale, we cannot reproduce the finding that positive trends are observed in extreme precipitation across all CONUS regions. We extended the data used in CWG25 (McKitrick and Christy 2025) to include 141 stations across the US in the Pacific Coast, Midwest, Northeast and Southeast regions (Christy 2025). See details in Appendix 23. Many post-1950 trends are positive but statistically insignificant depending on the measure of extreme precipitation. It is possible to find locations that support the NCA5 ~~positionstatement~~, such as the Southeast post-1950, but results are not robust to selecting longer or shorter time scales of analysis nor do they generalize across all regions.

The following is a more accurate summary of what U.S. data show.

> There are regionally heterogenous changes in average and extreme precipitation. Positive trends in annual average rainfall and extreme events (defined either as the annual maximum or the number of exceedances of the 99th percentile value) are generally weak or non-existent when looking at samples exceeding 130 years in length. Significant trends can be found when beginning the sample in the 1950s although in most cases the trends weaken and become insignificant when looking at the post-1980 interval. ~~The data do not~~This argues against ~~support~~attribution of changes in precipitation to anthropogenic warming.

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4.2 Heatwaves

In contrast to NCA5, NCA4 examined heat extremes across the entire 20th century, pointing out that peak heat wave activity was reached in the 1930s and has decreased since then, and that recent increases are confined to areas west of the Rockies. NCA4 stated:

> [The] warmest daily temperature of the year increased in some parts of the West over the past century, but there were decreases in almost all locations east of the Rocky Mountains. In fact, all eastern regions experienced a net decrease, most notably the Midwest (about 2.2°F [1.2°C]) and the Southeast (roughly 1.5°F [0.8°C]).
>
> Since the mid-1960s, there has been only a very slight increase in the warmest daily temperature of the year (amidst large interannual variability). Heat waves (6-day periods with a maximum temperature above the 90th percentile for 1961–1990) increased in frequency until the mid-1930s, became considerably less common through the mid-1960s, and increased in frequency again thereafter. As with warm daily temperatures, heat wave magnitude reached a maximum in the 1930s.
> (NCA4 pp. 190-191)

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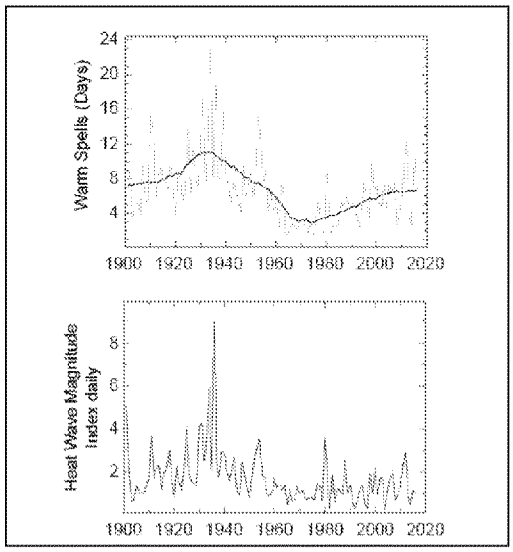

FIGURE 3. U.S. Heat waves since 1900. ~~Source:~~ Reproduction of NCA4 Figure 6.4

Contrast this careful and factual language from ~~the~~ NCA4 with NCA5 Key Message 2.2: "Extreme Events Are Becoming More Frequent and Severe." This blanket statement is followed by NCA5 Figure 2.7 (reproduced as Figure 4 below) which shows the number of hot days, cold days and warm nights (respectively in panels a—c) over the period 2002-2021 compared to 1901-1960. [Presumably these are annual counts, although not stated in the caption.]

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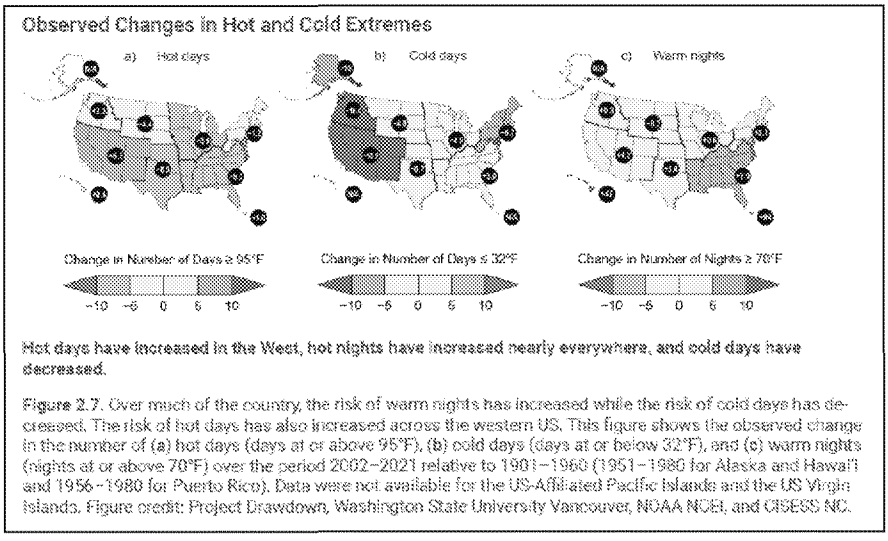

FIGURE 4: Reproduction of NCA5 Figure 2.7.

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Close inspection shows that this figure actually contradicts the NCA5 Key Message with respect to heatwaves and instead reinforces the position of NCA4. Notwithstanding Key Message 2.2, the leftmost panel in Figure 2.7 above shows that over most of CONUS the number of extreme heat days has *decreased* in recent decades compared to the prior century.

The accompanying discussion in NCA5 becomes convoluted as it appears to be aimed at supporting the Key Message despite the contrary evidence. NCA5 eChapter 2 elaborates on Key Message 2.2 by stating (page 16):

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> Observations show an increase in the severity, extent, and/or frequency of multiple types of extreme events. Heatwaves have become more common and severe in the West since the 1980s (*high confidence*).

But while it mentions the increase in hot days in the West, ~~NCA5 it~~ fails to mention the decrease everywhere east of the Rockies. On the next page (page 17) it raises the comparison to the 1930s and then switches to discussing global counts:

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> By some measures, the most extreme heatwaves on record in the United States occurred during the Dust Bowl era of the 1930s. ... Globally such heatwaves are becoming more frequent, and in recent decades the western United States has been following those trends.

The reference to a global trend seems to be an attempt to tie heatwaves to anthropogenic climate change. But the obvious question, unaddressed by NCA5, is why one region follows the global pattern of increasing heatwaves while most of the country is trending in the opposite direction. These issues are addressed in Chapter 6 of CWG25, demonstrating that the range and magnitude of extreme temperatures (10s of degrees) are driven more by natural variability than by the small anthropogenic forcing. The analysis in CWG25 shows that for both (a) hot days (≥ 95°F) and (b) number of days in heatwaves, the current decade is no hotter than a century ago in the U.S. Thus, the NCA5 claim that

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"multiday heatwaves have become hotter, more frequent, larger, and longer lasting in recent decades," is simply false when expanding the temporal and spatial domains to encompass all available data (Chapter 6 and Figure 6.3.6 of CWG25).

NCA5 then pointed to the dramatic Pacific Northwest heatwave of 2021. CWG2025 Section 8.6.1 discussed this event in detail, reviewing the multiple peer reviewed studies that explained the unusual meteorological context was unrelated to human-caused climate change, a point omitted from the NCA5 discussion.

NCA5 finally addresses the declining heatwave trend in CONUS east of the Rockies but attempts to reframe it by focusing on urban warm spells.

> [The] number of very hot days has actually decreased across the central and eastern regions due to summer cooling trends in the region (Figure 2.7; Ch. 22). This does not, however, mean the central and eastern US are not affected by heat. The impacts of extreme high temperatures are more severe if such conditions persist for several days, and overall, multiday heatwaves have become hotter, more frequent, larger, and longer lasting in recent decades. Across 50 large US cities, the US Global Change Research Program heatwave indicator ([HYPERLINK "https://www"].globalchange.gov/indicators/heat-waves) shows that the average number of heatwaves has doubled since the 1980s, and the length of the heatwave season has increased from about 40 days to about 70 days.

We discussed this part of NCA5 in CWG25 Section 6.3. The figure referenced by NCA5 uses an *ad hoc* definition of heat wave, namely two consecutive days with minimum nighttime temperatures above the 85th percentile, and a short time period which begins in the 1960s, the coldest decade in the last century, thus suppressing any comparison to the 1930s. Further, nighttime temperatures in urban areas have increased faster than daytime temperatures (which NCA5 acknowledges), but this is a signature of urban heat islands and not a good metric of climate change. CWG25 ~~noted as well~~ found that the occurrence of hot days (≥ 95° F) has recently increased in the West, but declined in the remainder of the country, and in the nationwide average, since 1899.

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5 INADEQUATE TRACEABLE ACCOUNTS

NCA3 began the practice of including a section on Traceable Accounts at the end of each chapter. This is the description from ~~the~~ WE HAVE CONSISTENTLY DROPPED "THE" NCA5:

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> Each chapter concludes with a section entitled Traceable Accounts, which provides information on the overall process used to develop the chapter as well as a separate Traceable Account section for each Key Message. These Traceable Accounts describe the supporting evidence behind each Key Message, the process and rationale authors used in reaching their conclusions, and the author team's expert assessment of the confidence in and, where applicable, likelihood of these conclusions. As such, Traceable Accounts provide information about the state of the science, document sources of uncertainty, identify research gaps, and allow traceability to data and resources.

The Traceable Accounts for each Key Message includes the following sections:

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- Description of Evidence Base
- Major Uncertainties and Research Gaps
- Description of Confidence and Likelihood

The Traceable Accounts could have addressed the statutory requirement that the NCA discuss scientific uncertainties. They could also help satisfy the Executive Order for Restoring Gold Standard Science by addressing reproducibility, transparency, communication of error and uncertainty, and skepticism of findings and assumptions. However, the Traceable Accounts in NCA5's Chapters 2 and 3 are uneven, often superficial and uninformative.

An example of a deficient Traceable Account is NCA5's Key Message 2.2: "Extreme Events are Becoming More Frequent and Severe". The "Description of the Evidence Base" offers two paragraphs, the first summarizing the findings and the second generically describing the types of data that can be used for storms. "Major Uncertainties and Research Gaps" focuses on the uneven distribution across U.S. populations due to differences in exposure and vulnerability. The only limitations in data referred to are the lack of a long-term record of lightning and the lack of homogenized daily and hourly temperature data apart from reanalysis products.

But the most astonishing deficiency is in the "Description of Confidence and Likelihood." One of the statements in Key Message 2.2 is:

> Hurricanes have been intensifying more rapidly since the 1980s (*high confidence*) and causing heavier rainfall and higher storm surges (*high confidence*).

The Description of Confidence and Likelihood includes the following statement:

> Basic physical understanding and climate models both provide robust explanations for the links between climate change and observed changes in these extremes: this is why the authors also have high confidence that storms are delivering more rainfall and high confidence that storm surges are becoming higher.

No reference to journal publications or a specific data set is made anywhere in the Chapter regarding hurricane-induced precipitation or storm surge to support this *high confidence* conclusion.

The Traceable Accounts for the various Key Findings of Chapter 3 have some substantial inconsistencies. Consider

> Key Message 3-1: Human activities have caused global warming.
> Confidence and Likelihood: "There is *very high confidence* that emissions of GHGs from human activities, fossil fuel use in particular, have *unequivocally* caused all global warming observed over the industrial era. There is also *very high confidence* that changes in natural climate drivers have had globally small and regionally variable long-term effects over this period."

The Uncertainties section addresses only uncertainties in radiative forcing by greenhouse gases, ignoring the great uncertainty in model response (CWG XX). In contrast, the Traceability Analysis of Key Message 3-4 ("Humans Are Changing Earth System Processes") raises the problem that relatively little is known about the role of natural variability at the regional scale. From its Description of Evidence Base:

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> In the last decade, there has been increasing recognition of the role of regional-scale natural variability in the past and future evolution of climate, as well as the fact that regional natural variability signals can be competitive with forced anthropogenic signals.

And from its Major Uncertainties and Research Gaps:

> For example, the true natural variability of the climate system on decadal to centennial timescales could be larger than what we estimate from GCMs, which would further increase the irreducible uncertainty stemming from natural variability.

These statements are among the most scientifically credible in the entire NCA5 and they directly undercut the confidence assigned to Key Message 3-1.

There is also a generic problem in tracing NCA5's Traceable Accounts. The Front Matter of NCA5 states:

> Each figure and some tables are accompanied by a metadata survey, which can be accessed in the online version of the report by clicking on the eyeball icon above the figure or table (see the table below for explanations of additional icons used throughout the report). The metadata survey describes data sources, figure or table development methods, copyright information, and other important documentation.

Clicking on the eyeball icon does not reveal the metadata information; instead a "Contact Us" email appears, addressed to the USGCRP. This is ~~clearly~~ an inadequate way to archive the metadata information.

In summary, the Traceable Analyses examined here, with few exceptions, fail to meet the stated objectives ~~set forward by~~ of the NCA.

6 Overemphasis on Extreme Scenarios

To respond to the GCRA mandate to "project major trends for the subsequent 25 to 100 years," NCA5 relies on projections of future climate change based on estimates of future emissions (the so-called SSP scenarios) and/or specified levels of radiative forcing (the RCP scenarios). For the most part, NCA5 uses scenarios developed for the IPCC Assessment Reports.

In CWG Section 3.2.1 we presented a critical assessment of past and current IPCC scenario envelopes, showing that they have tended to overshoot observed emissions. The IPCC AR6 published in 2021 stated that "The likelihood of high-emission scenarios like RCP8.5 and SSP5-8.5 is considered low in light of recent energy sector developments." and that same year UN Climate Negotiators (COP) stopped using scenarios above 4.5.

NCA5 makes the following observations about the scenarios they use:

> NCA5 authors were advised to assess the full range of scenarios available. (Front Matter)

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> Scenarios of future emissions and land-use change are developed as plausible alternatives, but no relative likelihood is attached to them. Some recent studies, however, have argued that the highest scenario, SSP5-8.5, is no longer plausible without a reversal of current trends in the adoption of renewables and energy efficiency. (Key Message 3.3 Traceable Analysis)

Despite acknowledging the issue, NCA5 otherwise ignored the problem. A keyword search of NCA5 of mentions of RCP/SSP scenarios finds over 50% referred to the extreme emissions scenarios RCP8.5/SSP5-8.5. Further, nine NCA5 figures (5.2, 6.9, 7.4, 11.3, 14.6, 22.13, 22.19, 27.9, 29.13) included *only* the 8.5 scenarios.

The problem of relying on extreme scenarios is particularly acute for NCA5's sea level rise projections. The sea level rise scenarios used in NCA5 do not relate directly to the SSP emissions scenarios, but rather to specified amounts of sea level rise by 2100. Table 4 of NCA5's "Guide to Using this Report" reproduced below shows that Low relates to 0.3 m (0.98 feet), Intermediate-Low relates to 0.5 m (1.64 feet), Intermediate relates to 1.0 m (3.28 feet), Intermediate-High relates to 1.5 m (4.92 feet), and High relates to 2.0 m (6.56 feet).

Table 4. Descriptive Terms for Common Sea Level Rise Scenarios Used in NCA5

Future global mean sea level rise and sea level rise along United States coastline are shown for five scenarios in feet (and meters), relative to a 2000 baseline. The US values shown in the right half of the table are averaged across the US coastal regions, including the contiguous US, Alaska, Hawai'i and the US-Affiliated Pacific Islands, and the US Caribbean. The national values shown in the table differ substantially from regional values. For example, sea level rise is higher in the Gulf Coast and lower or even negative in some parts of Alaska. In the next 30 years (2020–2050), sea level along the contiguous US coasts is expected to rise 0.92 feet (0.28 m), the same amount of sea level rise observed over the last 100 years (1920–2020). See Chapter 9 for regional sea level information. Adapted from Sweet et al. 2022.[1]

Sea Level Rise Scenario Descriptor	Global Mean Sea Level			United States		
Year	2050	2100	2150	2050	2100	2150
Low	0.49 (0.15)	0.98 (0.3)	1.31 (0.4)	0.59 (0.18)	0.98 (0.3)	1.64 (0.5)
Intermediate-Low	0.66 (0.20)	1.64 (0.5)	2.62 (0.8)	0.75 (0.23)	1.64 (0.5)	2.95 (0.9)
Intermediate	0.92 (0.28)	3.28 (1.0)	6.23 (1.9)	0.89 (0.27)	3.28 (1.0)	6.89 (2.1)
Intermediate-High	1.21 (0.37)	4.92 (1.5)	8.86 (2.7)	1.12 (0.34)	4.92 (1.5)	8.86 (2.7)
High	1.41 (0.43)	6.56 (2.0)	12.14 (3.7)	1.38 (0.42)	6.56 (2.0)	12.46 (3.8)

TABLE 1: Reproduction of Table 4 from the Front Matter of NCA5

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Considering only projections representing processes quantified with at least medium confidence, IPCC AR6 assessed global mean sea level projections for all emissions scenarios (including implausible extreme emissions scenarios) for 2100 to fall between 0.2 and 1.6 m (5th—95th percentile range). Nevertheless, the range of sea level rise scenarios considered by NCA5 extends to 2.0 m. Further, NCA5 fails to include plausible lower scenarios, such as 0.2 m cited by the IPCC, which also corresponds to the average rate of sea level rise over the past century.

Chapter 9 of NCA5 offers the following predictions of sea level rise:

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> "Under a range of potential global warming levels, average sea level along US coastlines is *likely* to be between 12 and 20 inches above 2000 sea levels in 2050 (Figure 9.2)."

The projected sea level rise values span from the Intermediate scenario to values slightly greater than the High scenario. They can be put into context by considering the [HYPERLINK "https://www.climate.gov/news-features/understanding-climate/climate-change-global-sea-level"] global sea level rise from 2000-2024, approximately 3.39 inches (0.28 feet). NCA5 is thus projecting a sea level rise during 2025 and 2050 that is 3.5 to 5.8 times the rate observed during 2000-2024, an exceedingly implausible outcome. Chapter 9 further states:

> "Looking toward the future, an 11 inch (28 cm) average rise along the contiguous US coastline is expected by 2050 (relative to 2020), with a *likely* range of 9-13 inches."
> ...
> "There is *high confidence* and it is *likely* that sea levels will rise about 11 inches (likely range of about 9–13 inches) between 2020 and 2050"

In summary, NCA5 presents future sea level rise scenarios that are not directly relatable to either SSP/RCP emissions scenarios ~~nor~~ to plausible global warming levels. The NCA5 scenarios include two scenarios that are higher than the 95th percentile for the extreme emissions scenario RCP8.5 and include hypothesized ice sheet processes in which there is low confidence. Projections to 2050 require rates of sea level rise from 2025 to 2050 that are more than triple the rate observed during 2000-2024. Further, NCA5 ascribes *high confidence* to these sea level rise projections. CWG25 Section 7.3 provides further context for USGCRP projections of extraordinary sea level rise in the next 25 years.

More generally, NCA5's inclusion, and even focus, on implausible extreme ~~emissions~~ scenarios supports projections of alarming impacts that are very unlikely to be driven by anthropogenic warming.

7 Conflation of Natural and Anthropogenic Climate Changes

NCA5's Glossary defines "climate change" as a change in climate for any reason. This is technically correct, but at variance with the (now) popular perception of all climate changes being anthropogenic. The distinction is not academic- it is central both to attribution questions and to projections, since anthropogenic changes would respond to mitigation, while natural changes are unavoidable.

The discussion on NCA5 page 2-4 begins "Human activities are changing the climate", then goes on to list evidence for anthropogenic GHG emissions and climate warming. The next paragraph begins "Climate change is happening now in the United States. Including Alaska, the continental US has been warming about 60% faster than the planet as a whole since 1970." In context the implication is that the warming of CONUS faster than the planetary average is an effect of GHG emissions. But it is an artifact of the Earth's surface being 70 percent ocean. Since the ocean warms more slowly than land, comparison of any land surface outside the tropics with the global average would show the land surface to be warming faster than the global average, regardless of the cause of the warming.

The same page goes on to say "Climate change is already affecting people in the United States. Extreme heat was estimated to be responsible for more than 700 deaths per year between 2004 and

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2018 although some estimates put heat-related mortality closer to 1,300 deaths annually." But extreme heat, whether naturally-caused or not, has always been a risk to human health. As to trends, the data show that adaptation has caused the mortality burden of extreme heat to drop substantially in recent decades (CWG25 Section 10.3.1).

Consider also the NCA5 statement on precipitation that we cited in Section 3.2:

> Many eastern regions of the country are getting wetter (Figure 2.4). Average annual precipitation from 2002-2021 was 5%-15% higher relative to the 1901-1960 average in the central and eastern US, a trend attributable to climate change.

The attribution is superfluous because the statement is a tautology by NCA5's definition of climate change. But its gratuitous inclusion effectively attributes the observed trend to greenhouse gas emissions.

Exploitation of the ambiguity in "climate change" is endemic to NCA5. The NASEM review (pp. 23-24) commented on this problem, and it could have been easily addressed by adopting the phrase "changing climate" for general changes while reserving the term "climate change" for anthropogenic changes. But the NCA5 authors seemingly ignored their recommendation.

A separate but related issue is use of the term "climate change" to refer to increases in socioeconomic vulnerabilities that have anthropogenic but non-GHG-related causes. NCA5 page 1-19 states "Climate change exacerbates inequalities." But the issue discussed is the negative correlation between neighborhood-level income and land surface temperatures (LST) in urban areas. To the extent the correlation is real the most likely explanation is that low-income households concentrate where housing is less expensive, which may be in neighborhoods with less nearby parkland and tree cover, which has nothing to do with CO_2 emissions. Similarly the NCA5 makes repeated reference to the Billion Dollar Disaster dataset which is dominated by trends in population and economic growth, as we discussed in CWG25 Section 10.2.

8 Summary Evaluation of NCA5 and Recommendations for NCA6

This chapter summarizes our evaluation of NCA5 relative to OSTP's charge: whether NCA5 (1) complied with the USGCRP's statutory obligation; (2) adheres to the core tenets of Gold Standard Science; and (3) can suitably inform federal climate and energy policy. The points made in this summary analysis refer to specific sections of this report as well as to the CWG25 report.

8.1 Compliance with USGCRP's statutory obligation

As cited in Section 1.2 of this report, the Global Change Research Act requires an assessment that:

(1) integrates, evaluates, and interprets the findings of the [Global Change Research] Program and discusses the scientific uncertainties associated with such findings;
(2) analyzes the effects of global change on the natural environment, agriculture, energy production and use, land and water resources, transportation, human health and welfare, human social systems, and biological diversity; and
(3) analyzes current trends in global change, both human-induced and natural, and projects major trends for the subsequent 25 to 100 years.

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We deem NCA5 to have fallen short when evaluated against these criteria because of the following major shortcomings (each is detailed in the chapters cited):

- Inadequate assessment of the relevant topics in climate science and the hazard components of climate impacts. NCA5 instead focuses on evaluation of societal vulnerabilities, "Climate Action," and policy recommendations with a social justice emphasis (Section 1.2).
- Overconfidence in conclusions and inadequate assessment of uncertainties (Section 3.1.5)
- Inadequate discrimination between natural and human-induced causes of trends and impacts (Chapter 7)
- Inadequate analysis of climate trends by relying on short time periods (Chapter 6)
- Uncritical evaluation of emission scenarios and climate simulations in making projections for the next 25 to 100 years (Chapter 6; Section 3.2.1 and Chapter 5 of CWG25).

8.2 Adherence to the tenets of Gold Standard Science

With respect to the tenets of Gold Standard science listed in Section 1.2 of this report, we have identified the following major shortcomings in NCA5:

- **Lack of reproducibility.** In the few examples that we examined closely, we identified an instance where we could not reproduce the findings of the NCA5, a task that should require only rudimentary data analysis (Section 4.1)
- **Lack of transparency.** In many instances, the Traceable Account fails to justify the Key Finding. Metadata was not readily accessible. (Chapter 5)
- **Inadequate communication of error and uncertainty.** The Traceable Account for each Key Message provides a section on Major Uncertainties and Research Gaps; most of the accounts that we examined were superficial and uninformative. (Chapter 5)
- **Not skeptical of findings and assumptions.** The main text of the chapters is, with few exceptions, not skeptical of its findings and assumptions. (Chapters 3, 5)
- **Some hypotheses and Key Messages are not falsifiable.** Key Message 13.4 is but one of many examples: "Equitable Distribution of Transportation Trade-offs and Benefits Requires Community Involvement."
- Failure to acknowledge benefits of warming and additional atmospheric CO_2 (CWG25 Section 2.1, Chapter 9)
- **Inadequacy of the peer review process.** The review of the NCA5 Third Order Draft by National Academies of Science, Engineering and Medicine (NASEM) identified some of the deficiencies we have noted, but the NCA5 authors were not obligated to adopt the NASEM recommendations. More importantly, we've identified many other deficiencies in NCA5; the NASEM review did not point them out and thus lacked the rigor expected of NASEM ~~Academies'~~ reports. (Section 1.4, Chapter 7)

8.3 Suitability for informing Federal climate and energy policy

We assess that the selection and presentation of information in NCA5 makes it an unreliable basis for U.S. climate and energy policymaking. For each of the elements of knowledge characterization suitable for risk management listed in Section 1.3, here are specific examples where NCA5 falls short:

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- The degree to which the assumptions made are reasonable/realistic based on background knowledge
    - NCA5 focused on implausibly extreme scenarios for emissions and sea level rise scenarios and made policy recommendations without adequate consideration of the costs
- The degree to which data/information exists and are reliable and relevant
    - NCA5's assumptions about model reliability, and its use of short time periods for analysis, does not support its high-confidence conclusions
- The degree to which there is disagreement among experts, including those from different environments or academic fields
    - While NCA5 was an interdisciplinary effort, the concerns we have raised all point to a failure to canvass or communicate the full range of evidence including data and research findings that speak against Key Messages.
- The degree to which the phenomena involved are understood and accurate models exist
    - NCA5 is not appropriately skeptical of the ability of climate models to attribute the causes of warming and extreme events or to project future climates
- The degree to which the knowledge has been thoroughly examined with respect to unknown knowns
    - A major NCA5 shortcoming is its dismissal of natural climate variability in many contexts where it should have been a major consideration

NCA5 is also problematic for informing policy because its hyperbolic language promotes alarm and advocates for specific policies without proper analysis of marginal costs and benefits. In recent decades, climate science has engendered passion and emotion. Indeed, some younger climate scientists have gone into the field to "save the planet." The NASEM Review of the NCA5 underscores the endemic nature of this problem - their review appears to be written by authors who share many of the biases of the NCA5 team, with an inordinate focus ~~in the review~~ on social and policy issues. It is then not surprising that objectivity can suffer when climate scientists communicate their understanding to non-experts. Further, the field of climate science has been politicized by NetZero mandates. This lack of objectivity usurps the public's right to make informed choices and undermines their confidence in the scientific enterprise.

Philip Handler, President of the National Academy of Sciences, identified the problem forty-five years ago in an [HYPERLINK "https://science.sciencemag.org/content/208/4448/1093"] that still resonates:

> Difficulty arises in the scientific community from confusion of the role of scientist *qua* scientist with that of scientist as citizen, confusion of the ethical code of the scientist with the obligation of the citizen, blurring the distinction between intrinsically scientific and intrinsically political questions. When scientists fail to recognize these boundaries, their own ideological beliefs, usually unspoken, easily becloud seemingly scientific debate.

8.4 Recommendations for NCA6

While we have assessed NCA5 to be scientifically inadequate and unsuitable as a basis for decision-making, one can imagine a different National Climate Assessment that meets statutory and policymaker requirements. The goal should be not to persuade, but to impart understanding by being objective, transparent and complete. A suitable NCA would be an important complement to IPCC assessments to the extent that its analysis and conclusions differed from the oracular "consensus" of

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the IPCC reports and additional topics are addressed. Such a report would promote a more open scientific discussion and a more informed policy debate.

We recommend that the NCA6 have a narrower scope than NCA5, refocusing on climate science, including natural climate variability, with topics to include:

- How the climate has ~~varied and~~ changed~~, or not,~~ in the ~~distant and recent~~ past. The U.S. has the best and longest meteorological records and they should be ~~displayed~~ examined and displayed in their entirety. Trend analyses should include longest periods available and employ suitable statistical methods. Local paleoclimate data should be considered where available. Analysis should demonstrate not only how the climate has varied and changed, but also regional heterogeneity. Standard, easily understandable metrics should be employed. ~~NO EXTRA BULLET~~
- The range of climatic and weather conditions, including ~~weather~~ extremes, that have occurred in the past and can reasonably be expected to occur in U.S. regions over the near future ~~for which planners and policymakers should be prepared~~.
- A critical survey of current global and regional modeling capabilities and their suitability for regional impact assessments and projections. This would include an assessment of their ability to reproduce observed changes. It should also discuss alternative methods ~~where feasible~~ for generating projections and forecasts on regional and decadal scales, along the lines of Chapter 10 of the IPCC AR6 WGI Report.
- Assessment of the impacts of recent historical climate variability and change on ecosystems and society. Where long-term data indicate a detectable trend of a regional change, regional projections can be made targeted at the period 2030-2050. Emissions scenarios exceeding RCP4.5/SSP2-4.5 and ~~models with~~ ECS values exceeding 4°C should not be used. There is no credibility at this time to making regional projections to 2100.

Particularly in terms of future projections, it is important that they be assessed in context of current science capabilities. T~~. It would also be important to work at a level of detail appropriate to current science capabilities.~~ The pressures toward spatially or temporally precise projections should be resisted ~~if they~~ as the current models are nowhere up to the task (an example is discussed in CWG25 Section 5.8). In that light, the many pages of NCA5 devoted to projected regional and sectoral impacts have little value. ~~value in absence models. It would have been simpler and more accurate to say "we can't predict at that level." [Does this contradict the second bullet above?]~~

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Given the substantial deficiencies in NCA5 that we have identified, along with the endemic bias of the climate community towards alarmism and policy advocacy, producing an objective NCA6 report with the current NCA organizational construct would be a challenge. A NASEM review could help, but only if the selected participants reflect full viewpoint diversity and do not simply mirror the assumptions and biases of past NCA teams. We regard the CWG25 report to be a good start on NCA6 in terms of scope and objectivity, including adherence to the tenets of Gold Standard Science.

More broadly, we recommend a rewrite of the Global Change Research Act. The current mandate is vague and off point, allowing successive NCA teams to reinterpret the mandate as they see fit. Moreover, it is becoming increasingly clear that current and foreseeable models are not fit-for-purpose to describe regional climates, let alone usefully project them for 25 and 100 years. Further, the use of the term "global change" is ambiguous and outdated.

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APPENDICES

APPENDIX 1: Charge to the review

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APPENDIX 2: Reproducibility of NCA5 claim of extreme precipitation trends

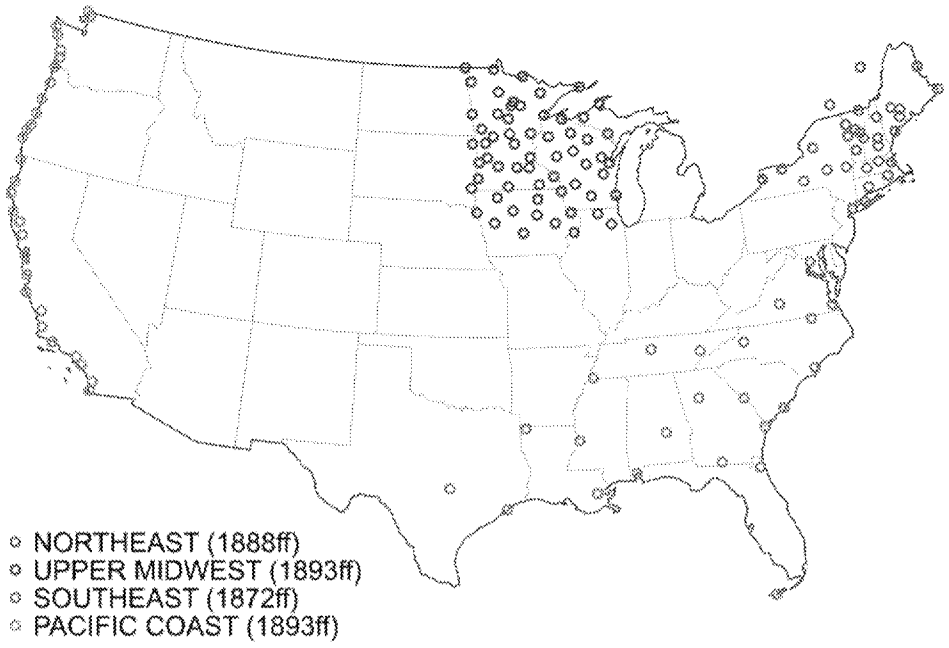

Figure A1: Stations used in the following analyses

Note: All data are available at Christy (2025). Methods as described in McKitrick and Christy (2019), code available at McKitrick and Christy (2025).

Table codes: (-) neg insignificant, -- neg significant, (+) pos insignificant, ++ pos significant.

Column 1: Measure
Column 2: Number of stations in that region
Column 3: Count of stations exhibiting a significant positive trend
Column 3: Count of stations exhibiting a significant negative trend
Column 5: Characteristic of region-wide trend

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PACIFIC COAST

Since 1893:

	No. stns	+ trends	- trends	region
1-day average	29	0	1	(-)
2-day average	29	0	1	(-)
Avg on non-zero days	29	0	9	--
Variability	29	1	0	(+)
Annual max	29	2	1	(+)
Number of 99th pct exceedances	29	1	0	(-)

Since 1950:

	No. stns	+ trends	- trends	region
1-day average	29	0	1	(-)
2-day average	29	0	1	(-)
Avg on non-zero days	29	0	4	(-)
Variability	29	2	3	(-)
Annual max	29	6	3	(-)
Number of 99th pct exceedances	29	2	1	(-)

Since 1980:

	No. stns	+ trends	- trends	region
1-day average	29	0	0	(-)
2-day average	29	0	0	(-)
Avg on non-zero days	29	0	1	(-)
Variability	29	0	0	(-)
Annual max	29	2	1	(-)
Number of 99th pct exceedances	29	0	0	(+)

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SOUTHEAST

Since 1873:

	No. stns	+ trends	- trends	region
1-day average	24	1	1	(+)
2-day average	24	1	1	(+)
Avg on non-zero days	24	7	2	(+)
Variability	24	1	0	(+)
Annual max	24	2	0	(+)
Number of 99th pct exceedances	24	5	0	(+)

Since 1950:

	No. stns	+ trends	- trends	region
1-day average	24	5	0	++
2-day average	24	5	0	++
Avg on non-zero days	24	5	1	++
Variability	24	6	0	++
Annual max	24	4	0	++
Number of 99th pct exceedances	24	4	0	(+)

Since 1980:

	No. stns	+ trends	- trends	region
1-day average	24	5	0	(+)
2-day average	24	5	0	(+)
Avg on non-zero days	24	5	1	(+)
Variability	24	3	0	(+)
Annual max	24	4	0	(+)
Number of 99th pct exceedances	24	4	0	(+)

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MIDWEST

Since 1893:

	No. stns	+ trends	- trends	region
1-day average	61	23	0	++
2-day average	61	23	0	++
Avg on non-zero days	61	2	12	(+)
Variability	61	12	0	++
Annual max	61	9	0	(+)
Number of 99th pct exceedances	61	10	0	(+)

Since 1950:

	No. stns	+ trends	- trends	region
1-day average	61	28	2	++
2-day average	61	28	2	++
Avg on non-zero days	61	11	1	++
Variability	61	16	0	(+)
Annual max	61	8	0	(+)
Number of 99th pct exceedances	61	19	0	++

Since 1980:

	No. stns	+ trends	- trends	region
1-day average	61	6	1	(+)
2-day average	61	6	1	(+)
Avg on non-zero days	61	8	2	(+)
Variability	61	5	1	(+)
Annual max	61	0	1	(+)
Number of 99th pct exceedances	61	5	3	(-)

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NORTHEAST

Since 1888:

	No. stns	+ trends	- trends	region
1-day average	27	12	0	++
2-day average	27	12	0	++
Avg on non-zero days	27	7	1	(+)
Variability	27	4	0	(+)
Annual max	27	3	0	(-)
Number of 99th pct exceedances	27	5	0	(+)

Since 1950:

	No. stns	+ trends	- trends	region
1-day average	27	12	0	++
2-day average	27	12	0	++
Avg on non-zero days	27	7	1	(+)
Variability	27	4	0	(+)
Annual max	27	3	0	(-)
Number of 99th pct exceedances	27	5	0	++

Since 1980:

	No. stns	+ trends	- trends	region
1-day average	27	10	1	++
2-day average	27	10	1	++
Avg on non-zero days	27	6	0	(+)
Variability	27	2	0	(+)
Annual max	27	1	0	(+)
Number of 99th pct exceedances	27	1	1	++

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ABOUT THE AUTHORS

[Insert updated bios]

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Impacts of Carbon Dioxide Emissions on the U.S. Climate

CONFIDENTIAL DRAFT FOR DISCUSSION PURPOSES

Ad hoc Climate Working Group
United States Department of Energy
May 28, 2025

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CONFIDENTIAL DRAFT FOR DISCUSSION PURPOSES

Impacts of Carbon Dioxide Emissions on the U.S. Climate

Report to US Energy Secretary Christopher Wright

May 28, 2025

Ad hoc Climate Working Group:

John Christy, Ph.D.

Judith Curry, Ph.D.

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Roy Spencer, Ph.D.

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SECRETARY'S FOREWORD

Energy, Integrity, and the Power of Human Potential

Over my lifetime, I've had the privilege of working as an energy entrepreneur across a range of fields—nuclear, geothermal, natural gas, and more—and I now serve as Secretary of Energy under President Donald Trump. But above all, I'm a physical scientist who sees modern energy as nothing short of miraculous. It powers every aspect of modern life, drives every industry, and has made America an energy powerhouse with the ability to fuel global progress.

The rise of human flourishing over the past two centuries is a story worth celebrating. Yet we are told—relentlessly—that the very energy systems that enabled this progress now pose an existential threat. Hydrocarbon-based fuels, the argument goes, must be rapidly abandoned or else we risk planetary ruin.

That view demands scrutiny. That's why I commissioned this report: to encourage a more thoughtful and science-based conversation about climate change and energy. With my technical background, I've reviewed reports from the Intergovernmental Panel on Climate Change, the U.S. government's assessments, and the academic literature. I've also engaged with many climate scientists, including the authors of this report.

What I've found is that media coverage often distorts the science. Many people—even well-meaning ones—walk away with a view of climate change that is exaggerated or incomplete. To provide clarity, I asked a diverse team of independent experts to summarize the current state of climate science, with a focus on how it relates to the United States.

I didn't select these authors because we always agree—far from it. In fact, they may not always agree with each other. But I chose them for their rigor, honesty, and willingness to elevate the debate. I exerted no control over their conclusions. What you'll read are their words, drawn from the best available data and scientific assessments.

I've reviewed the report carefully and challenged its findings. I believe it faithfully represents the state of climate science today. Still, many readers may be surprised by its conclusions—which differ in important ways from the mainstream narrative. That's a sign of how far the public conversation has drifted from the science itself.

To correct course, we need open, respectful, and informed debate. That's why I'm inviting public comment on this report. Honest scrutiny and scientific transparency should be at the heart of our policymaking.

Climate change is real, and it deserves attention. But it is not the greatest threat facing humanity. That distinction belongs to global energy poverty. As someone who values data, I know that improving the human condition depends on expanding access to reliable, affordable energy. Climate change is a challenge—not a catastrophe. But misguided policies based on fear rather than facts could truly endanger human well-being.

We stand at the threshold of a new era of energy leadership. If we empower innovation rather than restrain it, America can lead the world in providing cleaner, more abundant energy—lifting billions out of poverty, strengthening our economy, and improving our environment along the way.

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EXECUTIVE SUMMARY

This report reviews scientific certainties and uncertainties in how anthropogenic carbon dioxide (CO_2) emissions have affected, or will affect, the Nation's climate, extreme weather events, and selected metrics of societal well-being. Those emissions are increasing the concentration of CO_2 in the atmosphere through a complex and variable carbon cycle, where some portion of the additional CO_2 persists in the atmosphere for centuries.

Elevated concentrations of CO_2 enhance plant growth, globally "greening" the planet and increasing agricultural productivity. They also decrease ocean alkalinity by an amount within the range of natural variability. That is possibly detrimental to coral reefs, although the recent rebound of the Great Barrier Reef suggests otherwise.

Carbon dioxide also acts as a greenhouse gas, exerting a warming influence on climate and weather. The world's several dozen detailed climate models offer little guidance on how the climate responds to that influence, with the average surface warming under a doubling of the CO_2 concentration ranging from 1.8°C to 5.7°C, generally higher than simpler, data driven estimates, which suggest 1.8 to 2.9°C.

Detailed climate models generally run "hot" in their description of the climate of the past few decades - too much warming at the surface, in the lower- and mid-troposphere, and too much amplification of warming aloft. They also produce too much ~~recent~~ stratospheric cooling, too much water vapor increase, too much snow loss, and too much warming in the corn belt. The combination of overly sensitive models and extreme scenarios for future emissions has exaggerated projections of future warming.

Most extreme weather events do not show long-term trends. Claims of increased frequency or intensity of hurricanes, tornadoes, floods, and droughts are not supported by historical data. Additionally, forest management practices are an often-overlooked factor in assessing wildfire activity. Global sea level has risen approximately 8 inches since 1900, but there are significant regional variations in tide gauge data driven primarily by local land subsidence; U.S. tide gauge measurements in aggregate do not show any long-term sea level acceleration.

The attribution of particular climate changes or extreme weather events to human CO_2 emissions is challenged by natural climate variability, data limitations, and inherent model deficiencies. Moreover, solar activity's contribution to the warming climate may be underestimated.

Both models and experience suggest that CO_2-induced warming may be less significant economically than commonly believed, and excessively aggressive mitigation policies could prove more detrimental than beneficial. Social Cost of Carbon estimates, which attempt to quantify the economic damage of CO_2 emissions, are highly sensitive to their underlying assumptions and so provide limited independent information.

The report supports a more nuanced and evidence-based approach to climate policy. The risks and benefits of a climate changing under both natural and human influences must be weighed against the costs, efficacy, and collateral impacts of any "climate action", taking into account the nation's need for reliable and affordable energy with minimal local pollution. Assumptions about future emissions should be grounded in reality. Climate models should be re-evaluated to address biases and uncertainties, and the limitations of event attribution studies should be clearly acknowledged. An approach that acknowledges both the potential risks and benefits of CO_2, rather than relying on flawed models and extreme scenarios, is essential for informed and effective decision-making.

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INTRODUCTION: OVERVIEW AND PURPOSE OF THIS DOCUMENT

This document originated in late March 2025 when Secretary Wright assembled an independent group to write a report on issues in climate science relevant for energy policymaking, with particular focus on the question of whether carbon dioxide emissions endanger the US public. We agreed to undertake the work on the condition that there would be no editorial oversight by the Secretary, the Department of Energy, or any other government personnel. This condition has been honored throughout the process and the writing team has worked with full independence.

The group began working in early April with a deadline of May 28. The short timeline and the technical nature of the material meant that we could not comprehensively review all topics. While the report is intended to be accessible for non-experts, we have omitted some introductory or explanatory material that can easily be accessed elsewhere. Nor have we attempted to survey entire literatures related to the topics covered. We have focused as much as possible on literature published since 2020 and referenced previous IPCC and NCA assessment reports. We have also used data through 2024 where possible.

The writing team is grateful to Secretary Wright for the opportunity to prepare this report and for his support of independent scientific assessment.

May 2025

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PART I: DIRECT HUMAN INFLUENCE ON ECOSYSTEMS AND THE CLIMATE

DOJ_00076956

1 CARBON DIOXIDE AS A POLLUTANT

Chapter summary

Carbon dioxide (CO_2) differs in many ways from the so-called Criteria Air Pollutants. It does not affect local air quality and has no human toxicological implications at ambient levels. It is an issue of concern because of its potential effects on the global climate, a complex issue that occupies much of this report.

-------- FORMAT SO THE CHAPTE SUMMARY IS DISTINCT FROM REST OF TEXT

The Clean Air Act of 1970 defined six so-called Criteria Air Contaminants subject to regulation (EPA): particulate matter, ground-level Ozone, Sulfur Dioxide, Nitrogen Dioxide, Lead and Carbon Monoxide (CO). In 2007, the Supreme Court ruled that greenhouse gases (CO2 among them) were also "pollutants" subject to regulation under Clean Air Act (Mass. v. EPA, 2007). While the definition of "pollutant" is ultimately a legal one, there are important scientific distinctions between CO2 and the Criteria Air Contaminants. The latter are subject to regulatory control because they cause local problems depending on concentrations that include nuisances (odor, visibility), damage to plants, and, at high enough exposure levels, toxicological effects in humans. In contrast, CO2 is odorless, does not affect visibility and has no toxicological effects at ambient levels. It is a naturally occurring part of the atmosphere and a key component of human and plant respiration. CO2 is essential for plant photosynthesis and higher levels are beneficial for vegetation. In these aspects, CO2 is similar to water vapor.

Ambient outdoor air today contains about 430 parts per million (ppm) CO2, increasing at about 2 ppm per year. The US Occupational Safety and Health Administration issues guidelines for indoor workplaces in which elevated CO2 might be encountered, such as where dry ice is used. The Permissible Exposure Limit is 5,000 ppm over 8 hours (OSHA, 2024). Allen et al. (2015) reported evidence of diminished performance on some cognitive tasks among workers in office cubicles when exposed to CO2 levels above 1,000-1,500 ppm. These levels are far larger than any plausible ambient outdoor value through the end of the 22nd century.

The growing amount of CO2 in the atmosphere directly influences the earth system by promoting plant growth (global greening), thereby enhancing agricultural yields, and by neutralizing ocean alkalinity. But the primary concern about CO2 is its role as a greenhouse gas (GHG) that alters the earth's energy balance. How the climate will respond to that influence is a complex question that will occupy much of this report.

NEED SUBSCRIPTS ON CO2

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DOJ_00076957

2 DIRECT IMPACTS OF CO_2 ON THE ENVIRONMENT

Chapter summary

CO_2 enhances photosynthesis and improves plant water use efficiency, thereby promoting plant growth. Global greening due to increased CO_2 levels in the atmosphere is well-established on all continents.

CO_2 absorption in sea water reduces the alkalinity of the oceans. The recent decline in alkalinity is within the range of natural variability on millennial time scales. Most ocean life evolved when the oceans were mildly acidic. Declining alkalinity might adversely affect corals, although the Australian Great Barrier Reef has shown considerable growth in recent years.

ITALICS ARE PAINFULL TO READ PREFER REGULAR

2.1 CO_2 and global greening

The growing CO_2 concentration in the atmosphere has the important positive effect of promoting plant growth by enhancing photosynthesis and improving water use efficiency. That is evident in the "global greening" phenomenon discussed below, as well as in the improving agricultural yields discussed in Chapter 10.

2.1.1 Measurement of global greening

"Greening" refers to an increase in the fraction of the Earth's surface covered by plants. It can be quantified by the "Leaf Area Index" (LAI) measured by satellite. Many studies over the past decade have confirmed a global greening pattern (increase in LAI) attributable to rising CO_2 levels. Zhu *et al.* (2016) was one of the first studies to report that global greening was detectable using satellite sensors. From 1982 to 2011 they detected greening over 25-50% of the Earth versus "browning" over only 4% and attributed 70% of the greening to rising CO_2 levels (see Figure 2.1).

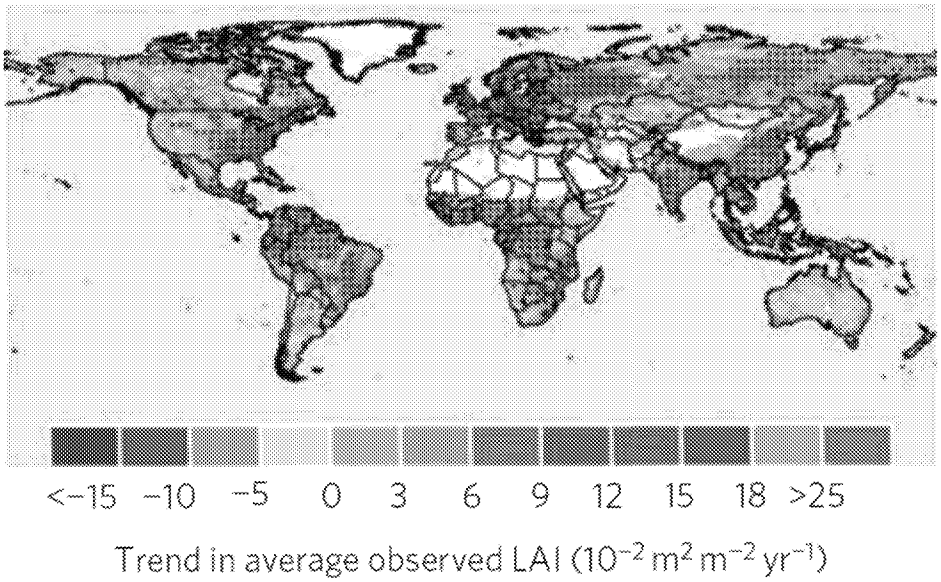

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Figure 2.1: Trends in average Leaf Area Index (LAI). Source: Zhu et al. 2016 Figure 3.

Zheng (2017) confirmed the pattern, noting that greening over thirty years had added 8% to global leaf area and that greening was mitigating warming. Greening has been observed globally but Chen et al. (2019) noted that China and India were exploiting it though land management changes. Thus, while China accounts for only 6.6% of global vegetated area it accounts for 25% of global net increase in LAI. Piao et al. (2020) noted that greening was even observable in the Arctic.

While plant models predict increased in photosynthesis in response to rising CO_2, Haverd et al. (2020) reported a CO_2 fertilization rate nearly double what models had predicted. That is, CO_2 fertilization had driven an increase in observed global photosynthesis by 30% since 1900, versus 17% predicted by plant models. This indicates that global models of the socioeconomic impacts of rising CO_2 have likely understated the benefits to crops and agriculture. The connection between CO_2 fertilization and climate policy will be discussed in Chapter 10.

Finally, Chen et al. (2024) surveyed claims that greening had slowed since 2000 due to drought stress. They found instead that faulty satellite sensors were the cause and that the corrected data showed accelerated greening over 55% of the globe while browning was accelerating over only 7%. In other words, the greening trend continued with no evidence of slowdown, and CO_2 fertilization remained the dominant driver

2.1.2 Photosynthesis and CO_2 levels

Plants build biomass through p*hotosynthesis,* a process that converts carbon dioxide, water, and light into sugar. The plant enzyme responsible for photosynthesis is called Ribulose-1,5-bisphosphate-carboxylase/oxygenase or "Rubisco". Photosynthesis is initiated when CO_2 is available at the surface of the RuBisCo enzyme where it is converted to a molecule with 3 carbon atoms and thereafter incorporated into plant mass. This is referred to as the "C3" process.

Rubisco is estimated to have evolved about 3 billion years ago. Over geological time the Earth's atmospheric CO_2 levels were usually many times higher than they are today, as shown in Figure 2.2.

About 400 million years ago CO_2 levels were an estimated 2,000-4,000 ppm and were at or above 1,000 ppm for much of the interval from 200 to 50 million years ago (Berner 2006, Judd et al. 2024). Over the past 35 million years the level of atmospheric CO_2 has been steadily declining. During glaciations levels have fallen as low as 170 ppm (Gerhart and Ward 2010).

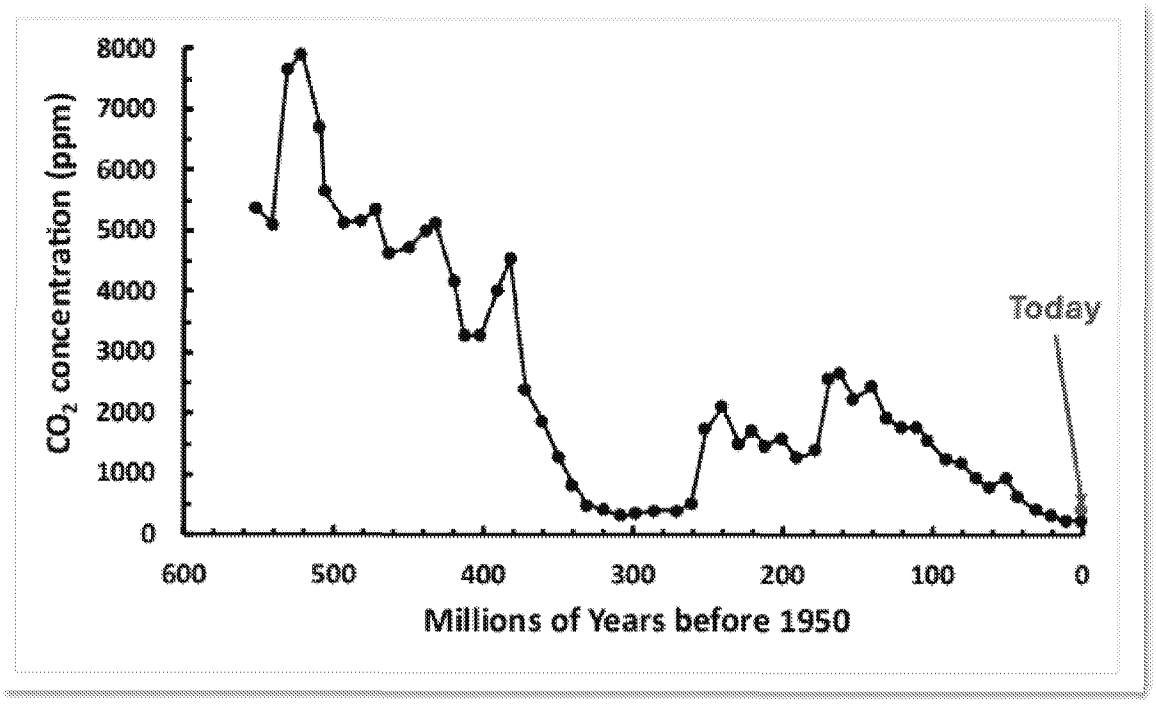

Figure 2.2. Ambient CO_2 levels over the past 550 million years. Today's concentration of 430 ppm is also shown. Source: (Berner 2006)

In response to low-CO_2 conditions some plants evolved another photosynthetic pathway called C4, in which CO_2 is concentrated in the vicinity of Rubisco allowing for the C3 process to function more efficiently. For agricultural purposes the plant categories are:

TOO MUCH SPACE

- C3: rice, wheat, soybeans and most other crops
- C4: maize (corn), sugar cane, millet, sorghum

Had atmospheric CO_2 levels continued declining, plant growth rates would have declined and eventually ceased. Below 180 ppm many C3 species experience growth rate reductions on the order of 40-60% compared to their growth rates at 350 ppm (Gerhart and Ward 2010). C3 plants have been observed under experimental conditions to stop growing altogether at levels between 60—140 ppm CO_2. Some C4 plants are still able to grow at levels even as low as 10 ppm, albeit very slowly (Gerhart and Ward 2010).

Currently, CO_2 levels are about 420 ppm, up from 280 ppm in the early 1800s. The positive response of plants to extra CO_2 is illustrated in Figure 2.3 which is reproduced from Gerhart and Ward (2010). It shows the growth effect of CO_2 on Velvetleaf (*Abutilon theophrasti*) seedlings over 14 days under controlled conditions where only the CO_2 exposure is varied. The gains exhibited from increasing CO_2 from 150 ppm to 350 ppm continue under a further doubling to 700 ppm.

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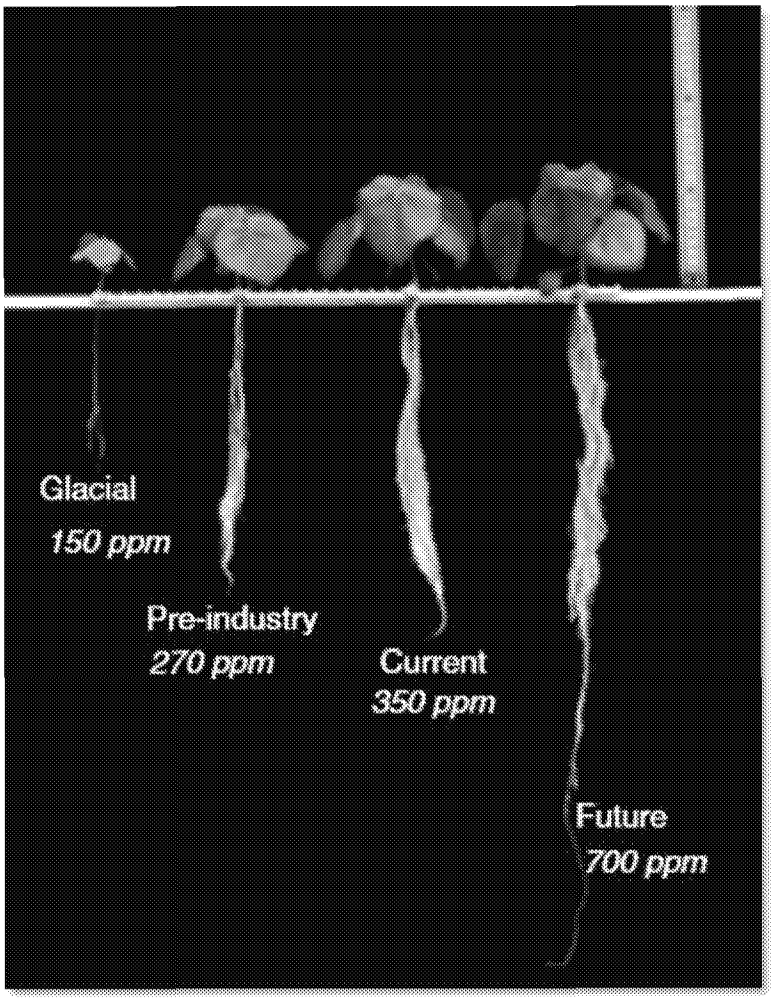

Figure 2.3: growth of *Abutilon theophrasti* after 14 days under identical conditions but for the indicated variations in CO_2 levels. Source: Gerhart and Ward (2010). Note "Current" refers to 2010 in image.

Over the past 60+ years there have been thousands of studies on the response of plants to rising CO_2 levels. The overwhelming theme is that plants, especially C3 plants, benefit from extra CO_2. There are two mechanisms by which CO_2 confers a growth benefit:

TOO MUCH SPACE

- Enhanced photosynthesis via the metabolic pathways described above.
- Increased water use efficiency. This arises because plants draw in CO_2 by opening the stomata (pores) on the leaf surface. When CO_2 is scarce the stomata must be kept wide open for long periods, which allows water to evaporate away. Under enriched CO_2 conditions the stomata are closed for longer periods helping the plant retain water longer, thereby increasing water use efficiency.

Specific effects of climate change on US agriculture will be reviewed in Chapter 10.

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2.1.3 Rising CO_2 and crop water use efficiency

Derying et al. (2016) surveyed evidence on crop water productivity (CWP), the yield per unit of water used, drawing attention to the potential for CO_2 both to enhance photosynthesis and reduce leaf-level transpiration (water loss during leaf respiration). They surveyed all available FACE data (Free Air CO_2 Enrichment—see Chapter 10) on crop yield changes for Maize (corn), Wheat, Rice and Soybean, and combined it with crop model data simulating yield responses as of 2080 under the extreme RCP8.5 scenario in four growing regions (Tropics, Arid, Temperate and Cold) each of which were split into rainfed and irrigated sub-regions. In every region they reported that models without CO_2 fertilization predicted CWP losses but CO_2 fertilization more than offset them so that all regions showed a net CWP gain. Deryng et al (2016) also reported that negative impacts of warming on wheat and soybean yields were fully offset by CWP gains and mitigated by up to 90% for rice and 60% for maize.

Similarly, Cheng et al. (2017) noted that increased global plant growth from 1982 to 2011 due to rising CO_2 uptake was accompanied by such large gains in CWP that despite the extra biomass global water use by plants had not increased.

Deryng et al. (2016) assumed that climate change would "exacerbate water scarcity". It is noteworthy that while models predict drylands will expand under climate warming, current data show the opposite: greening is happening even in arid areas. Zhang et al. (2024) report that due to increased CO_2 levels "increasing aridity in drylands won't lead to a general loss of vegetation productivity"; increased desertification will happen in at most 4% of currently arid areas.

2.1.4 CO2 fertilization benefits in IPCC Reports

The IPCC has only minimally discussed global greening and CO_2 fertilization of agricultural crops. The topic is briefly acknowledged in a few places in the body of the IPCC 6th and earlier Assessment Reports but is omitted in all Summary documents. Section 2.3.4.3.3 of the AR6 Working Group I report entitled "global greening and browning" points out that the IPCC Special Report on Climate Change and Land had concluded with *high confidence* that greening had increased globally over the past 2-3 decades. It then discusses that there are variations in the greening trend among data sets, concluding that while they have *high confidence* greening has occurred, they have *low confidence* in the magnitude of the trend. There are also brief mentions of CO_2 fertilization effects and improvements in water use efficiency in a few other chapters in the AR6 Working Groups I and II Reports.

Overall, however, the Policymaker Summaries, Technical Summaries and Synthesis Reports fail to discuss the topic.

TOO MUCH SPACE

2.2 Ocean Alkalinity

2.2.1 Changing pH

A neutral aqueous solution has a pH of 7.0, while one with pH greater than 7.0 is basic and with pH less than 7.0 is acidic. The modern-day global average pH of sea water is estimated to be 8.04 (Copernicus Marine Service 2025), down from an estimated value of 8.2 in pre-Industrial times (Gattuso and Hansson, 2011). As CO_2 concentrations in the atmosphere increase, more is absorbed by the oceans, which decreases alkalinity. Depending upon the buffering capacity of the oceans, the oceans are expected to become somewhat less alkaline over time, consistent with the observed decrease in pH.

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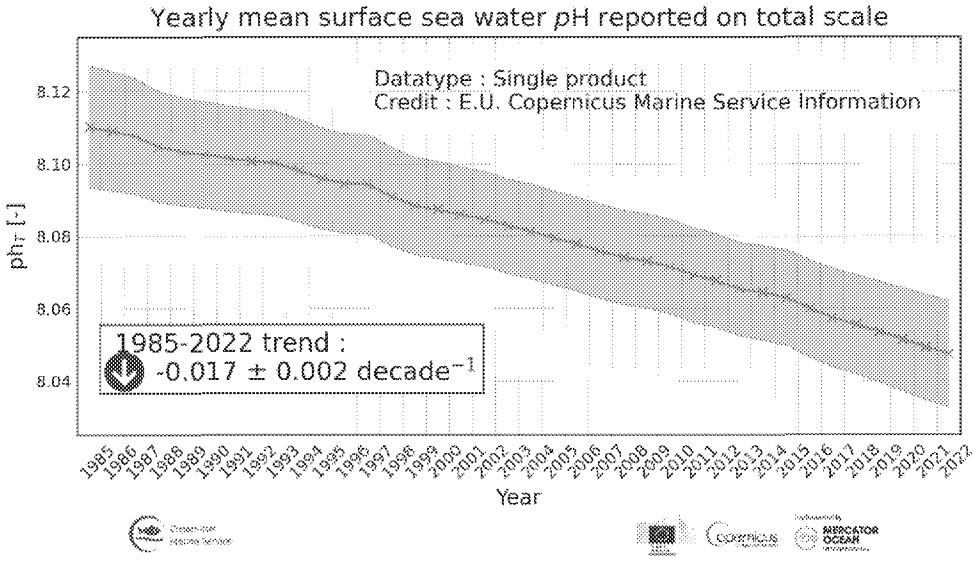

Figure 2.4: Ocean pH 1985 – 2022. Source: Copernicus Marine Service 2025

While this process is often called "ocean acidification", it is a misnomer because the oceans are not expected to become acidic; "ocean neutralization" would be more accurate. Even if the water were to turn acidic, it is believed that life in the oceans evolved when the oceans were mildly acidic with pH 6.5 - to 7.0 (Krissansen-Totton et al., 2018). On the time scale of thousands of years, boron isotope proxy measurements of pH show that ocean pH was around 7.4 or 7.5 during the last glaciation (up to about 20,000 years ago) increasing to present-day values as the world warmed during deglaciation (Rae et al., 2018). Thus, there appears to be considerable resilience of ocean biota to natural long-term changes in ocean pH since marine organisms are exposed to wide ranges in pH anyway.

2.2.2 Coral reef changes

There are concerns that a decreasing pH of sea water will reduce the calcification rate of coral reefs. But coral reefs already endure large swings in pH, partly due to daily photosynthetic activity in the reef; measured pH values range from 9.4 during the day to 7.5 at night (Revelle and Fairbridge, 1957). De'ath et al. (2009) reported that a portion of Australia's Great Barrier Reef (GBR, the world's largest coral reef ecosystem) had experienced a 14% decline in calcification since 1990. This was tentatively attributed to increasing water temperature and decreasing pH. But Ridd et al. (2013) showed that report to have resulted from a biased data analysis which, when corrected, showed no change in calcification rates. Nevertheless, the alarm produced by the original paper has persisted as evidenced by the large number of published citations (541) received by the original study compared to only 11 citations for the correction (as of 30 April 2025).

The most recent annual summary of GBR conditions from the Australian Institute of Marine Science indicates a strong rebound has occurred in coral production (AIMS, 2023). Figure 2.5 shows the results of the AIMS surveys of hard coral cover, expressed as a percentage of the reef area. Much of the decline in the GBR before 2011 turned out to be due to intense tropical cyclone activity (Beeden et al., 2015) as well as a string of marine heatwaves, agricultural runoff and invasive species (Woods Hole, 2023). Given the reported declines in GBR calcification between 1990 and 2009 and the continued increase in atmospheric CO_2 levels the rebound has come as a surprise to some observers.

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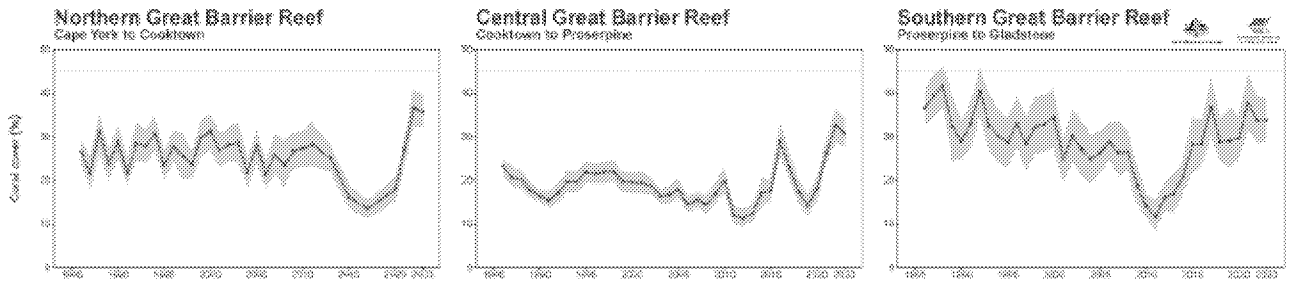

Figure 2.5 Hard coral cover of three regions of the Great Barrier Reef 1985 to 2023. Source: AIMS 2023.

It is being increasingly recognized that publication bias (alarming ocean acidification results preferred by high-impact research publications) exaggerates the impacts of declining ocean pH. An ICES Journal of Marine Science Special Issue addressed this problem with an article entitled, *Towards a Broader Perspective on Ocean Acidification Research*. In the Introduction to that Special Issue, H. I. Browman stated, "As is true across all of science, studies that report no effect of ocean acidification are typically more difficult to publish." (Browman, 2016).

Similarly, a meta-analysis (Clements et al., 2021) of the negative effects of ocean acidification on reef fish behavior found what they called a "decline effect": initially dramatic conclusions published in prominent journals showing apparently large reported effects of acidification tended to be followed up by subsequent studies on larger sample sizes yielding much smaller and typically non-existent effects. They call for their colleagues to improve research practices to prevent the "decline effect" from persisting:

> [The] vast majority of studies with large effect sizes in this field tend to be characterized by low sample sizes, yet are published in high-impact journals and have a disproportionate influence on the field in terms of citations. We contend that ocean acidification has a negligible direct impact on fish behavior, and we advocate for improved approaches to minimize the potential for a decline effect in future avenues of research (Clements et al., 2021).

In summary, ocean life is complex and much of it evolved when the oceans were acidic relative to the present. Much of the public discussion of the effects of ocean "acidification" on marine biota has been one-sided and exaggerated. There is a known tendency for studies reporting dramatic effects on things like coral cover and fish behavior to get published in high-impact journals and receive widespread press coverage, then subsequent research rebutting those findings receives little attention.

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3 HUMAN INFLUENCES ON THE CLIMATE

Chapter Summary

The global climate is naturally variable on all time scales. Anthropogenic CO_2 emissions add to that variability by changing the total radiative balance in the atmosphere.

The IPCC has downplayed the role of the sun in climate change but there are plausible solar irradiance reconstructions that imply it contributed to recent warming.

Climate projections are based on IPCC emission scenarios that have tended to exceed observed trends. The vast majority of academic climate "impacts" studies in recent years are based upon the extreme 8.5 scenario that is now considered implausible; its use as a business-as-usual scenario has been misleading.

Carbon cycle models connect annual emissions to growth in the atmospheric CO_2 stock. Models disagree over the rate of land and ocean CO_2 uptake but all agree that it has been increasing since 1959.

LOSE THE ITALICS, HARD TO READ

3.1 Components of radiative forcing and their history

3.1.1 Historical radiative forcing

A changing climate has been the norm throughout the Earth's 4.6-billion-year history. The Earth's temperature and weather patterns change naturally over timescales ranging from decades to millions of years. Natural variations in the surface climate originate in two ways. Internal climate fluctuations associated with circulations in the atmosphere and ocean produce exchanges of energy, water, and carbon between the atmosphere, oceans, land, and ice. External influences on the climate system include variations in the energy received from the sun and the effects of volcanic eruptions. Human activities influence climate through changing land use and land cover. Humans are also changing the composition of the atmosphere by emissions of CO_2 and other greenhouse gases and by altering the concentration of aerosol particles in the atmosphere.

The earth is warmed by the sunlight it absorbs and is cooled by the radiative heat it emits to space. Averaged over the Earth's surface, each of these processes involve power flows of about 240 Watts per square meter (W/m^2). When they are in balance, there are no net external causes of warming or cooling. Both human and natural influences on the climate alter this balance and so cause the climate to change.

Influences on the Earth's energy balance at the top of the atmosphere are quantified by "radiative forcing", the extent to which they disturb the warming/cooling balance; a positive forcing warms while a negative forcing cools. The IPCC's estimated history of major components of radiative forcing since 1750 is shown in the following two figures from its AR6.

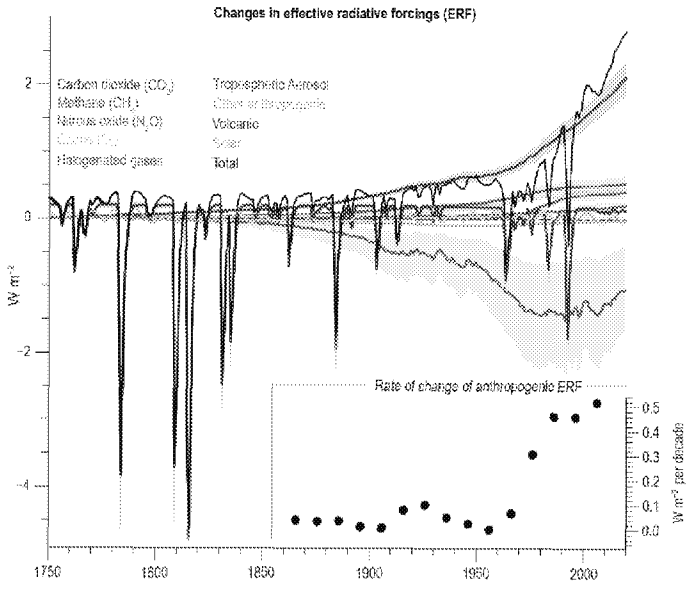

Figure 3.1.1: IPCC estimates of radiative forcing components over time. Source: [HYPERLINK "https://www.ipcc.ch/report/ar6/wg1/figures/chapter-2/figure-2-10/"]

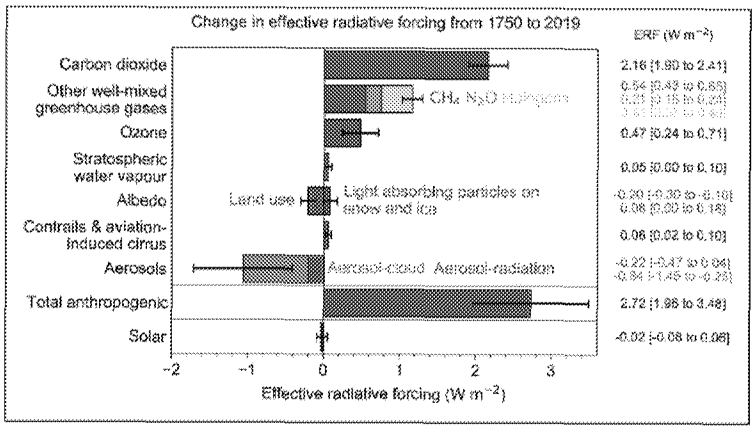

Figure 3.1.2 IPCC estimates of radiative forcing component changes from 1750 to 2019. Source: [HYPERLINK "https://www.ipcc.ch/report/ar6/wg1/figures/chapter-7/figure-7-6/"]

These graphs show that the total radiative forcing is comprised of both natural and anthropogenic components. Carbon dioxide is the largest human influence on the climate and the one most relevant to the influence of energy systems. It exerts a warming influence by decreasing the cooling power of the atmosphere. Emissions of CO_2 are accumulating in the atmosphere, as described in the following section, so that the warming influence is increasing. Other greenhouse gases (methane, nitrous oxide, halogens, and ozone) act similarly, currently adding another 75% to CO_2's warming. Aerosols exert a cooling effect, although with large uncertainties in the way they catalyze the formation of reflective clouds. As a result, understanding the causes of recent warming requires not just identifying the warming effects of CO_2, but also the more uncertain cooling effects of aerosols.

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The IPCC views the change in the radiative forcing by the sun to be negligible, based on their preference for reconstructions that imply minimal solar change since preindustrial times. Connolly *et al.* (2021) reviewed sixteen different Total Solar Irradiance (TSI) reconstructions in the literature covering the 1600-2000 interval; they vary from almost no change in TSI to a relatively large upward trend. Those authors note that the variation in TSI reconstructions combined with variations in surface temperature reconstructions allows for inferences consistent with either no or most 20th Century warming being attributable to the sun.

A particularly thorny issue is the gap in TSI data between 1989 and 1991 due to a delay in the launch of a monitor following the Space Shuttle Challenger disaster on January 28 1986. This delay prevented a replacement satellite from being launched in time to overlap with, and its readings to be intercalibrated with, the prior system (Zacharias 2014, Scafetta et al. 2019). This is called the ACRIM (Active Cavity Radiometer Irradiance Monitor) gap problem. The question of whether there is an upward trend in TSI over 1978 to 2018 comes down to how the ACRIM data gap is filled. Connolly et al. (2021) found that the IPCC's consensus statements on solar forcing were prematurely formulated through the suppression of dissenting scientific opinions.

The other natural radiative forcing component is largely volcanic aerosols, which exert an episodic cooling influence. Box 4.1 in the IPCC AR6 Report addresses the climate impact of volcanic eruptions, noting three explosive volcanic eruptions that occurred in the first half of the 19th century. This included the 1815 Tambora eruption that resulted in the 'year without summer', with multiple harvest failures across the Northern Hemisphere. There is uncertainty about the sign of the relatively small forcing due to the submarine volcano Hunga Tonga which erupted in 2022 (Jenkins et al. 2023, Schoeberl et al. 2024).

The anthropogenic forcing component was negligible before about 1900 and has increased steadily since, rising to almost 3 W/m^2 today. However, this is still only about 1 percent of the unperturbed radiation flows, making it a challenge to isolate the effects of anthropogenic forcing; state-of-the-art satellite estimates of global radiative energy flows are only accurate to a few W/m^2. Natural sources of global energy imbalance other than volcanoes and total solar irradiance (TSI) are not included in these graphs because they remain largely unknown.

3.1.2 Change in atmospheric CO_2 since 1958

Carbon dioxide's warming influence depends upon how much "extra" CO_2 accumulates in the atmosphere- *i.e.*, its concentration above the preindustrial value of 280 ppm. The CO_2 level as recorded at the Mauna Loa observatory in Hawaii is generally used as the representative global average concentration. The data are available online at [HYPERLINK "https://gml.noaa.gov/ccgg/trends/index.html"]. The concentration was about 316 ppm at the start of the record in 1959 and is now about 430 ppm, a 36 percent increase. At the end of the last glaciation CO_2 levels had fallen to about 180 ppm. As discussed in Chapter 2 C3 plants begin dying at CO_2 levels below about 140 ppm and C4 plants at CO_2 levels below 100 ppm, so if CO_2 levels had continued falling plant life might have been in peril.

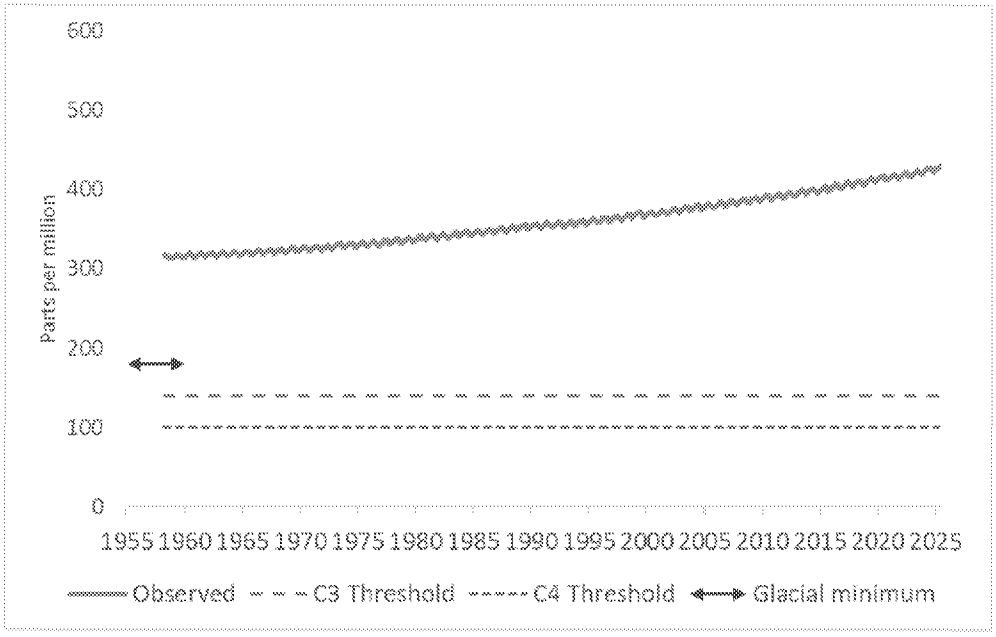

Fig. 3.1.3. CO2: Yearly average atmospheric CO_2 concentrations (1959-2025) in ppm measured at Mauna Loa (blue). C3 Threshold: Level below which C3 plants begin dying (140 ppm, see Chapter 2). C4 Threshold: Level below which C4 plants begin dying (100 ppm, see Chapter 2). Glacial minimum: Minimum level during recent glaciations (purple arrow). CO_2 data source: [HYPERLINK "https://gml.noaa.gov/ccgg/trends/index.html"]

The annual increase in concentration is only about half of the CO_2 emitted because land and ocean processes currently absorb "excess" CO_2 at a rate approximately 50% of the human emissions. Future concentrations, and hence future human influences on the climate, therefore depend upon two components: (1) future rates of global human CO_2 emissions, and (2) how fast the land and ocean remove extra CO_2 from the atmosphere. We discuss each of these in turn.

TOO MUCH SPACE

3.2 Urbanization influence on temperature trends

Historical temperature data over land has been collected mainly where people live. This raises the problem of how to filter out non-climatic warming signals due to Urban Heat Islands (UHI) and other changes to the land surface. If they are not removed the data may over-attribute observed warming to greenhouse gases. The IPCC acknowledges that raw temperature data are contaminated with UHI effects but claims to have data cleaning procedures that remove them. It is an open question whether those procedures suffice for the purpose.

AR6 downplayed the issue by saying (WGI p. 235) that no recent evidence had emerged to alter the AR5 finding that urbanization causes an upward bias of no more than 10% in the global land surface warming trend. AR5 (WGI p. 189) also cited the 10% upper bound without citing a source. AR4 (WGI p. 244) cited Jones et al. (1990) and Peterson et al. (1999) as the basis of the claim. Peterson et al. had failed to find any difference in trends between rural and urban samples, although their definition of rural included local populations up to 10,000 persons and the relative influence of urbanization begins well below that (Spencer et al., 2025). Jones et al. compared rural/urban warming in three regions: Eastern Australia, Eastern China and Western Soviet Union. Their definition of ''rural'' included towns of up to 10,000 in the

former Soviet Union and up to 100,000 in China. They found relative warming biases greater than 10% in these areas but conjectured that the urbanization effect averaged over the areas they did not examine would bring the global land bias to under 10% of the observed warming trend.

Prior to the IPCC AR4 several papers appeared in print arguing that the warming effect of UHIs added a relatively large (30-50%) component to observed warming and was not simulated by climate models (de Laat and Maurellis 2006, McKitrick and Michaels 2007). These findings were based on correlations between locations of maximum warming over land with locations of maximum socioeconomic development. AR4 asserted (p. 244) that these correlations were an artefact of natural atmospheric circulations and were in fact statistically insignificant, and on that basis set the findings aside. The claim attracted controversy because it was presented with no supporting evidence. McKitrick (2010) and McKitrick and Nierenberg (2010) showed that taking into account various conjectured alternative explanations for the correlations did not affect their significance. The AR5 (p. 189) conceded that the AR4 had provided "no explicit evidence" for its assessment and further acknowledged, based on these papers, that there was "significant evidence for such contamination of the record" i.e. a warming bias in the land record. However as noted, elsewhere in the report they carried forward the AR4 claim that it was less than 10% of observed warming and they expressed no caution against using the land record for climate measurement on the assumption that it is not contaminated with UHI bias. Recently Soon et al. (2023) estimated an urbanization bias in the Northern Hemisphere land record over 1850-2018 sufficient to increase the trend in the blended record from 0.55°C to 0.89°C per century.

Some studies providing evidence against UHI contamination compared warming rates between rural and urban locations (Jones et al. 1990, Peterson et al. 1999, Wickham et al. 2013). It is not known whether such methods would be capable of detecting UHI bias even when present. The influence of UHI warming is logarithmic, in other words it is strongest at low population growth levels then tapers off as local urbanization expands (Oke 1973, Spencer et al. 2025). Hence finding the same or more warming in rural areas than in nearby urban areas is consistent either with UHI bias being absent or present. McKitrick (2013) provided an empirical demonstration in which the rural/urban trends were not significantly different in a data set shown on other grounds to be contaminated with UHI bias.

Parker (2006) instead examined a sample of urban locations and found no difference in trends between subsets partitioned according to nighttime wind speed, concluding on this basis that urbanization could not be a significant factor. Here again the question is whether such a method would find UHI bias even if present. McKitrick (2013) presented an example in which UHI-contaminated data did not exhibit significant trend differences when stratified according to wind speed.

The challenge in measuring UHI bias is relating local temperature change to a corresponding change in population or urbanization, rather than to a static classification variable such as rural or urban. Spencer et al. (2025) use newly-available historical population archives to undertake such an analyses and found evidence of significant UHI bias in US summertime temperature data.

In summary while there is clearly warming in the land record, there is also evidence that it is biased upward by patterns of urbanization and that these biases are not removed by the data processing algorithms used to produce climate data sets.

TOO MUCH SPACE

3.3 Future emission scenarios and the carbon cycle

3.3.1 Emission scenarios

Because of the great uncertainties about these many factors in the decades to come, Assessing the dangers of future GHG emissions requires assumptions about what those emissions will be. Future emissions, and hence human influences on the climate, will depend upon future demographics, economic

activity, regulation, and energy and agricultural technologies. Various assumptions about each of those lead to projections of greenhouse gas emissions and concentrations, aerosol concentrations, and changes in land use, which ultimately can be combined into assumptions about anthropogenic radiative forcing.

Instead of making precise predictions of future concentrations, the IPCC has created various sets of scenarios meant to span a plausible range of possibilities for population, economy, and technologies. Recent versions of the scenarios are labeled by a number indicating the anthropogenic radiative forcing expected in 2100 under that scenario. Thus, a scenario labeled with a "6" corresponds to 6 W/m^2 of human-induced radiative forcing (warming) at the end of the century. (Recall current anthropogenic radiative forcing is about 2.7 W/m^2.)

Although the IPCC does not claim its emission scenarios are forecasts, they are often treated as such. Comparisons of past scenario groups against observations show a tendency for IPCC emission projections to overstate actual subsequent emissions. For the IPCC Third and Fourth Assessment Reports a set of emission projections from the *Special Report on Emission Scenarios* was used; these were referred to as the SREP scenarios. McKitrick *et al.* (2012) showed that, when converted to per capita values, the SREP scenario emissions distribution was skewed upwards and incompatible with observed trends. McKitrick and Wood (2013) further showed that global energy market dynamics constrained global per capita CO_2 emissions to an extent not reflected in IPCC scenarios. The bias of the SRES scenarios was confirmed by the later analysis of Hausfather *et al.* (2019) who showed that observed atmospheric CO_2 concentrations tracked the low end of the SRES range and also of subsequent IPCC scenario ranges.

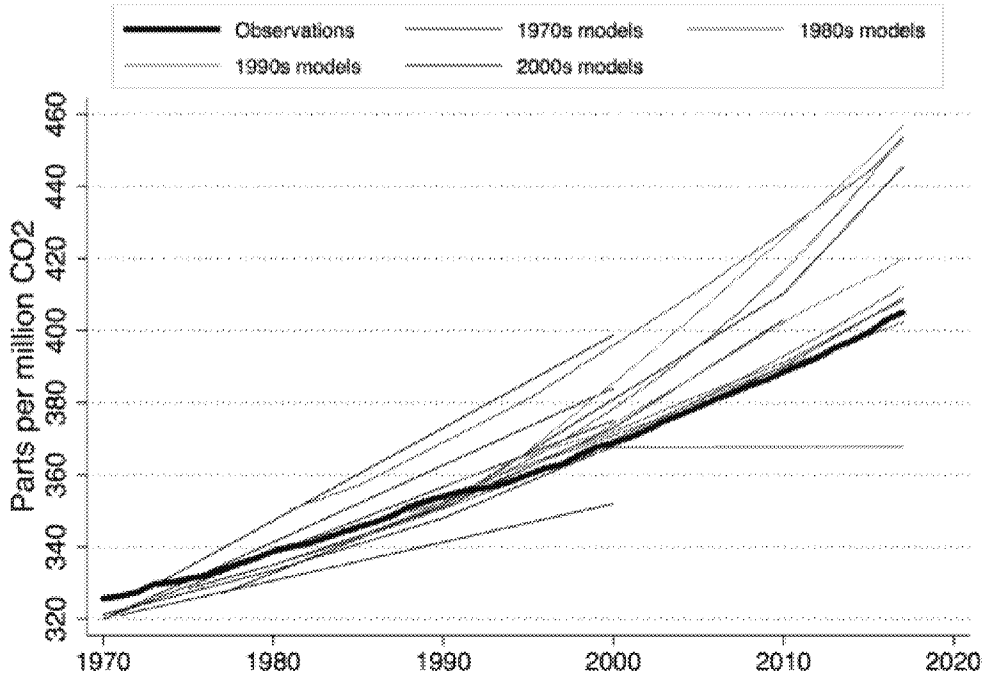

Figure 3.3.1 Comparison of observed atmospheric CO_2 levels (black line) with scenario projections (colored lines, mainly IPCC) since the 1970s. Source: Hausfather et al. (2019) Figure S4.

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For AR5 the IPCC developed a new set of scenarios called the Representative Concentration Pathways (RCPs). These were identified by a number representing the increase in forcing and were thus called RCP2.6, RCP4.5, RCP6.0 and RCP8.5. RCP2.6 (implying an anthropogenic radiative forcing in 2100 of 2.6 W/m^2) projects a GHG concentration pathway leading to warming well below 2°C. At the other end of the scale RCP8.5 is an extreme outcome implying nearly 5°C warming just in this century.

RCP8.5 came to be referred to as the no-policy baseline, or "business-as-usual" scenario in both the academic literature and popular media. It was therefore used to generate the reference outcome supposedly representing the 21st century world in the absence of increasingly stringent emission reduction policies. But RCP8.5 was intended as a low-probability high emissions scenario and its use as a business-as-usual baseline has been criticized as grossly misleading.[1] Hausfather and Peters (2020) writing in a commentary in *Nature* magazine, pointed out that RCP8.5 was developed as an extreme worst-case, and its misuse as a "business as usual" baseline has resulted in a large number of misleading studies and media reporting.

The implausibility of the RCP8.5 scenario was examined by Burgess *et al.* (2021). They noted that RCP8.5 has already diverged from observed trends in energy use and the near future trends diverge sharply from those of the International Energy Agency (IEA), which provides market-based projections of energy use for the coming decades. The IEA trends, which are based on plausible extrapolations of current economic and demographic trends, run below the entire envelope of RCP projections, implying not only that RCP8.5 is dubious, but that even lower emission scenarios are looking unlikely.

Widespread use of RCP8.5 has created an alarmist bias in the climate impacts literature. The extent of this problem was confirmed in a literature analysis by Pielke Jr. and Ritchie (2020). They found that some 16,800 scientific papers published between 2010 and 2020 used the RCP8.5 scenario, with about 4,500 of the articles linking RCP8.5 to the concept of "business-as-usual". Their analysis showed how RCP8.5 was misused not only by individual researchers, but also by influential science agencies like the IPCC and the US National Climate Assessment (USNCA), which has directly led to misleading coverage in prominent media outlets.

Pielke and Ritchie (2020) reported new studies using RCP8.5 were published at a rate of about 20 per day, with about two per day specifically linking RCP8.5 and "business as usual." They conclude that the climate research community has spent a decade "committing scientific resources to science fiction" and that "The scientific literature has become imbalanced in an apocalyptic direction."

The IPCC developed a new set of scenarios for AR6, the "Shared Socioeconomic Pathway" (SSP) scenarios, which have continued the bias shown in the RCP and SRES scenarios. Figure 3.3.2 shows total global observed CO_2 emissions compiled by the International Energy Agency (IEA) merged with the emission projection from the EIA taking account of energy use projections and current policies. The other lines show the range of IPCC SSP scenarios (SSP1-SSP5). As of 2023, global CO_2 emissions have been tracking well below SSP3 and higher.

[1] This extreme scenario is useful for modelers, since a large forcing generates a large response (warming) making it easier to assess a model's sensitivity. But that's very different than claiming it is a plausible future.

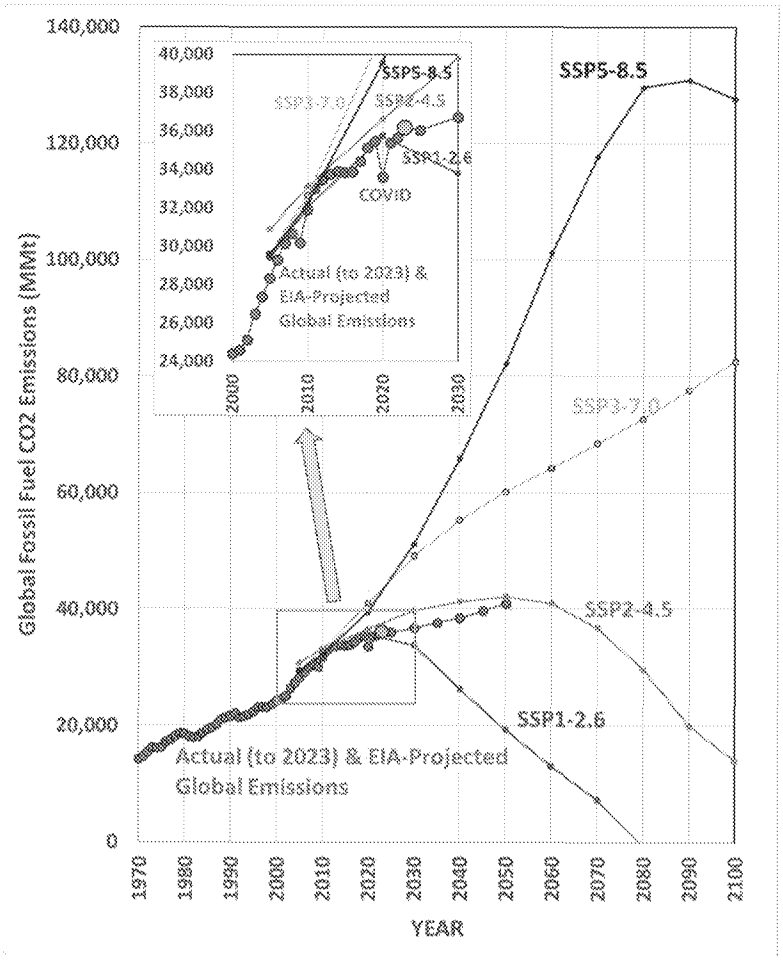

Figure 3.3.2. Observed and projected CO_2 emissions. Source: IPCC (SSP scenarios) and Energy Information Administration (EIA). Green: observed historical emissions and EIA projections. Other lines: SSP1-5. Data source: Friedlingstein et al. (2024).

3.3.2 The carbon cycle relating emissions and concentrations

Carbon dioxide emissions from fossil fuel burning (and to a lesser extent land use [biomass burning] and cement production) have led to steadily increasing CO_2 concentrations in the atmosphere, as shown in Fig. 3.1.3. The relation between emissions and concentration is determined by the global carbon cycle of land and ocean processes that exchange carbon with the atmosphere. Our understanding of these processes was reviewed by Crisp et al. (2021).

There are about 850 Gt of carbon (GtC) in the Earth's atmosphere[2], almost all of it in the form of CO2. Each year, biological processes (plant growth and decay) and physical processes (ocean absorption and outgassing) exchange about 200 GtC of that carbon with the Earth's surface (roughly 80 GtC with the land and 120 GtC with the oceans). Before human activities became significant, removals from the atmosphere

[2] Because CO_2 is chemically transformed through the course of the carbon cycle, it is more convenient to track atoms of carbon rather than molecules of CO2. One gigatonne of carbon (GtC) is equilvalent to about 3.7 Gt of CO_2.

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were roughly in balance with additions. But burning fossil fuels (coal, oil, and gas) takes carbon out of the ground and adds it to the annual exchange. That addition (together with a much smaller contribution from cement manufacturing) amounted to 10.3 GtC in 2023, or only about 5 percent of the annual exchange.

The carbon cycle accommodates about 50 percent of humanity's small annual injection of carbon into the air by naturally sequestering it through plant growth and oceanic uptake, while the remainder accumulates in the atmosphere (Ciais et al., 2013). For that reason, the annual increase in atmospheric CO_2 concentration averages only about half of that naively expected from human emissions.

To project future CO_2 concentrations in the atmosphere, and hence future human influences on the climate, it is important to know how the carbon cycle might change in the future. The historical near-constancy of that 50% fraction means that the more CO_2 humanity has produced, the faster nature removed it from the atmosphere. That 50% fraction changes somewhat from year to year due to natural carbon cycle imbalances from El Nino, La Nina, and varying weather patterns. There was also a substantial additional reduction in atmospheric CO_2 after the 1991 eruption of Mt. Pinatubo, a curious result that has yet to be explained (Angert et al., 2004).

The main processes that remove excess CO_2 from the atmosphere are increased growth of land vegetation (especially at high latitudes), some increase in the sequestering of carbon in soils, and uptake of CO_2 by the ocean due to the increasing partial pressure of atmospheric CO_2 over that of CO_2 dissolved in the ocean. All twenty land carbon cycle models tracked by the Global Carbon Project (Friedlingstein et al., 2024) show land processes have been removing excess CO_2 at an increasing rate since 1959. This is consistent with a "global greening" phenomenon (Chapter 2.1) observed by satellites since monitoring of global greenness began in the 1982.

While land vegetation has been responding positively to more atmospheric CO_2, uptake of extra CO_2 by ocean biological processes remains too uncertain to be measured reliably. Our current understanding of these and many more carbon cycle processes was reviewed by Crisp *et al.* (2021).

CO_2 uptake by land processes

The uptake of extra CO2 from the atmosphere by land surface processes (as also inferred from global greening) has been modeled with 20 different dynamic global vegetation models, the outputs of which are updated every year by the Global Carbon Project (Friedlingstein, 2024). As seen in Fig. 3.3.3, all of those models agree that vegetation and soils have been sequestering carbon from the atmosphere. But we also see that the long-term trends over 1959 to 2023 (65 years) vary widely between models, by nearly a factor of 7. This demonstrates at there remains considerable uncertainty regarding how fast CO_2 from the atmosphere is being removed by land processes, which in turn translates into uncertainty regarding future atmospheric CO_2 concentrations. Those uncertain future CO_2 concentrations then imply a source of uncertainty in climate model simulations of future climate change.

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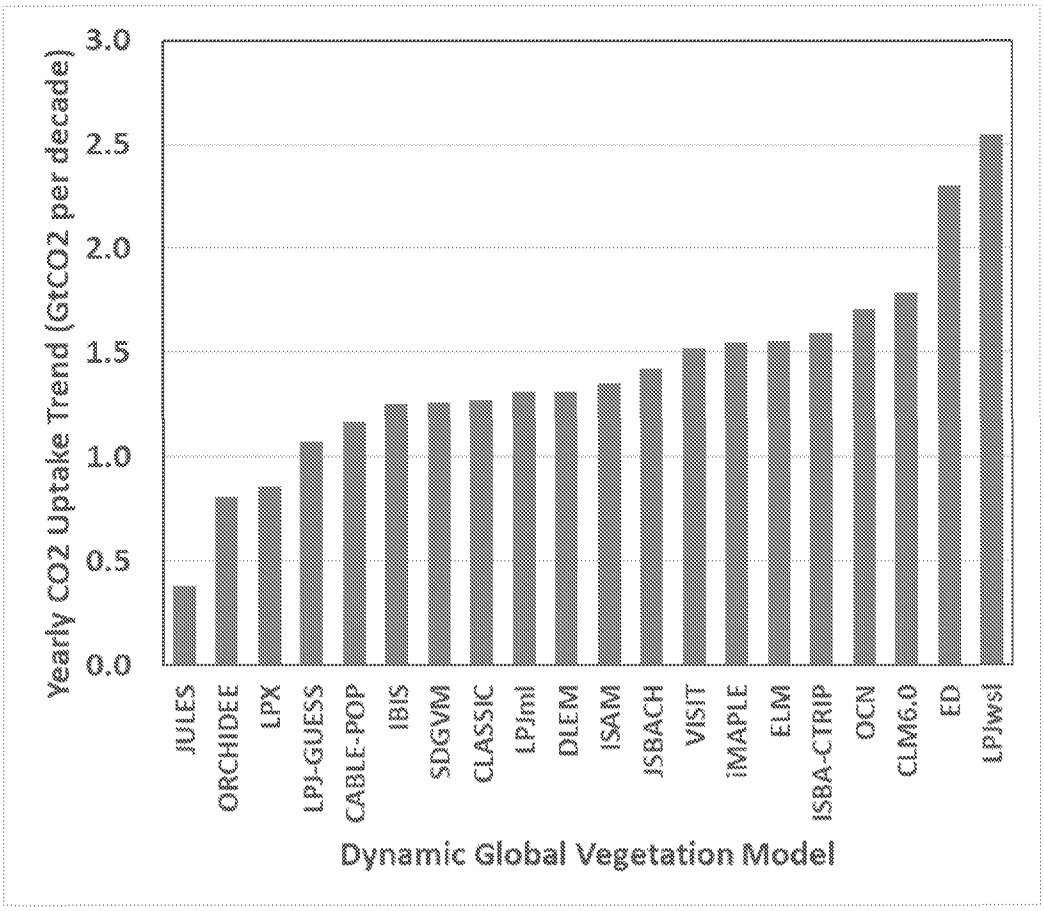

Figure 3.3.3 Decadal trends in the yearly CO_2 uptake by land processes during 1959-2023 simulated by 20 different dynamic global vegetation models periodically reported by the Global Carbon Project (Friedlingstein, 2024).

CO_2 uptake by ocean processes

The uptake of extra CO2 from the atmosphere by ocean processes has been modeled with 10 different dynamic global vegetation models, the outputs of which are updated every year by the Global Carbon Project (Friedlingstein, 2024). Like the results from the land models, all of the ocean models agree that the global oceans have been sequestering carbon from the atmosphere at an increasing rate during 1959-2023 (Fig. 3.3.4). Unlike the land models, however, the ocean models show much better agreement with each other, with the model producing the fastest increasing CO2 uptake being only 65% faster than the model with the most slowly increasing CO_2 uptake. In spite of the relative agreement among models, Friedlingstein et al. (2022) notes that there is also substantial discrepancy between the different methods on the strength of the ocean sink over the last decade, particularly in the Southern Ocean

NEW PARANote that the average trend in CO2 uptake across all land models in Fig. 3.3.3 is 25% larger than the average trend in ocean uptake. This suggests land processes are increasing in their ability to remove CO_2 faster than ocean processes are increasing their CO_2 sequestration.

Pierre Friedlingstein et al., "Global Carbon Budget 2021," *Earth System Science Data* 14, no. 4 (April 26, 2022): 1917-2005, https://doi.org/10.5194/essd-14-1917-2022.

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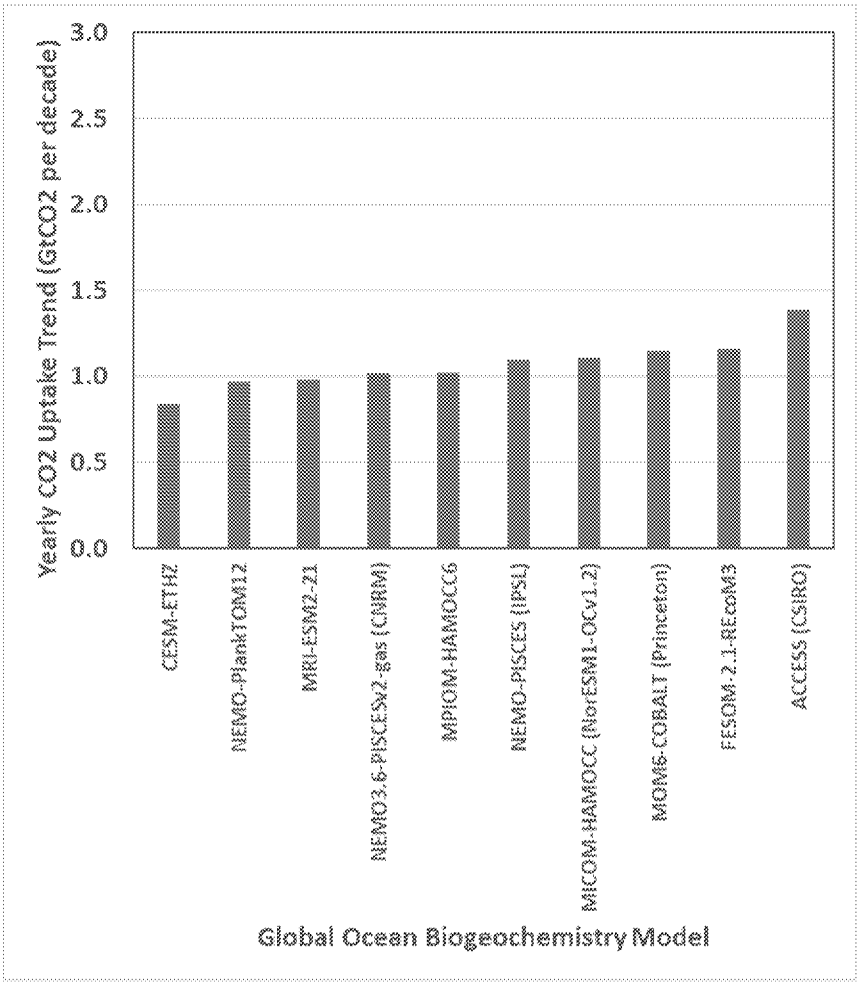

Figure 3.3.5 Decadal trends in the yearly CO_2 uptake by ocean processes during 1959-2023 simulated by 10 different ocean biogeochemistry models periodically reported by the Global Carbon Project (Friedlingstein, 2024).

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PART II: CLIMATE RESPONSE TO CO_2 EMISSIONS

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4 CLIMATE SENSITIVITY TO CO_2 FORCING

Chapter Summary

There is growing recognition that climate models are not fit for the purpose of determining the Equilibrium Climate Sensitivity (ECS) of the climate to increasing CO_2. The IPCC has turned to data-driven approaches using historical data and paleoclimate reconstructions, but their reliability is diminished by data inadequacies.

Data-driven ECS estimates tend to be lower than climate model-generated values. The IPCC AR6 upper bound for the likely range of ECS is 4.0°C, lower than the AR5 value of 4.5°C. This lowering of the upper bound seems well justified by paleoclimatic data. The IPCC AR6 lower bound for the likely range of ECS is 2.5°C, substantially higher than the AR5 value of 1.5°C. This raising of the lower bound is more weakly justified. Evidence since the AR6 finds the lower bound of the likely range to be around 1.8°C.

LOSE THE ITALICS

4.1 Introduction

The climate's response to increasing concentrations of CO_2 is central to the scientific debate on anthropogenic climate change, and so also to the public debate on "climate action." The simplest measure of that response is the rise in the global average surface temperature, quantified by the Equilibrium Climate Sensitivity (ECS). ECS is defined as the amount of warming expected in response to a doubling of CO_2 from its pre-industrial concentration of 280 ppm, after all climate components have had time to adjust. Some components, like temperatures in the lower atmosphere (troposphere), adjust rapidly, while others such as the deep ocean and cryosphere might take as long as centuries. A related measure, the Transient Climate Response (TCR), better describes the shorter time scales; it is defined as the amount of warming when the CO_2 concentration is doubled by rising one percent annually for 70 years.

The 1979 Charney Report for the US National Academy of Sciences (Charney *et al.* 1979) proposed that the most likely ECS was 3.0 ± 1.5°C. The IPCC repeatedly reaffirmed that range with only minor variations until its most recent AR6. AR5 termed 1.5–4.5°C as the *likely* range (66 percent probability) and stated that ECS is *extremely unlikely* (95 percent probability) to be below 1.0°C and very unlikely (90 percent probability) to exceed 6.5°C.

The uncertainty in ECS has remained stubbornly wide, despite many individual studies that claimed to narrow it. Most recently, AR6 narrowed the *likely* range to 2.5–4.0°C and deemed the *very likely* range to be 2.0–5.0°C. This narrowing on the low end is disputed, as will be discussed below.

Uncertainties in ECS are highly consequential for policy making. As will be discussed in Chapter 11, economic models use ECS values to project the costs of CO_2 emissions. The traditional value (3.0 °C) has typically yielded modest global social costs of CO_2 emissions, sufficient to justify some policy actions, but mostly deferred to later in this century. If ECS is very high (above 4.5°C) immediate aggressive emission controls become more imperative, whereas no CO_2 emission controls are economically justifiable for ECS below 2.0°C (Dayaratna et al. 2016, 2020). Obtaining a precise estimate is impossible, so policy making needs to account for the uncertainty.

Climate scientists use multiple lines of evidence to determine the Equilibrium Climate Sensitivity:

- Climate model simulations
- Historical observations
- Paleoclimatic observations

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- Process understanding of feedbacks

TOO MUCH SPACE

By itself, the equilibrium warming effect of a doubling of atmospheric CO2 is slightly more than 1°C (Soden and Held 2006). Larger values of ECS arise from positive feedbacks that amplify the CO2 warming. Water vapor feedback is positive: a warmer atmosphere may have more water vapor, which itself is a powerful greenhouse gas. Warmer temperatures also result in less snow and sea ice cover, allowing the Earth to absorb more of the sun's radiation. Some simple estimates of these feedbacks increase the ECS to around 2°C (Sherwood et al., 2020).

Values of ECS above 2°C arise primarily from positive cloud feedbacks whose magnitude, and even sign, are highly uncertain. Elements of cloud feedback include changes in the latitudinal distribution of clouds, changes in the distribution of cloud height (changes in low versus high clouds), changes to the phase of clouds (ice versus liquid), changes in cloud particle size (associated with changes in concentration and/or composition of aerosol particles), changes in the precipitation efficiency of clouds, and even changes in how clouds are distributed over the daily solar cycle (Curry and Webster, 1999). It is difficult for climate models to simulate any of these processes correctly owing to their small scale, let alone predict how they will change in the future. Further, cloud processes modulate the magnitudes of the water vapor, lapse rate, and the surface albedo feedbacks.

4.2 Model-based estimates of climate sensitivity

The ECS ranges given in IPCC AR4 and AR5 were obtained primarily by examining the behaviour of large-scale climate models, also called General Circulation Models (GCMs). However, the IPCC changed course in its AR6 when it turned to a more direct data-driven methodology. Here we discuss some of the pitfalls of using GCMs to try to determine the Earth's climate sensitivity.

ECS is an emergent property of climate models—that is, it is not directly parameterized or tuned. Historically, collections of parameterizations have been chosen to produce an expected value of climate sensitivity, particularly with regards to sub-grid-scale cloud processes, particularly in AR4 and to a lesser extent in AR5. Otherwise plausible GCMs and parameter selections have been discarded because of perceived conflict with this warming rate, or aversion to a model's climate sensitivity being outside an accepted range. For example, even in a CMIP6 model, Mauritsen and Roeckner (2020) state the following regarding their Max Planck Institute climate model (emphasis added):

TOO MUCH SPACE

> "We have documented how we tuned the MPI-ESM1.2 global climate model to match the instrumental record of warming; an endeavor which has clearly been successful. Due to the historical order of events, the choice was to do this practically by *targeting an ECS of about 3 K using cloud feedbacks*, as opposed to tuning the aerosol forcing."

TOO MUCH SPACE

In other words, the modelers chose an ECS value of 3°C and then tuned the cloud parameterizations to match their intended result.

Direct warming from CO_2 doubling is only about 1°C (Hall and Manabe 1997); further warming arises from climate feedbacks that are not explicitly resolved by the GCM but rely on parameterizations of physical processes. Higher values of ECS arise primarily from assumed positive cloud feedbacks, whereas the magnitude and even the sign of the feedbacks are very uncertain. Elements of cloud feedback include changes in the latitudinal distribution of clouds, changes in the distribution of cloud height (changes in low versus high clouds), changes to the phase of clouds (ice versus liquid), changes in cloud particle size (associated with changes in concentration and/or composition of aerosol particles), changes in the precipitation efficiency of clouds, and even changes in how clouds are distributed over the daily solar cycle

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(Curry and Webster, 1999). It is difficult for GCMs to simulate any of these processes correctly owing to their small scale, let alone predict how they will change in the future. Further, cloud processes modulate the magnitudes of the water vapor, lapse rate, and the surface albedo feedbacks.

ECS can be determined from climate model simulations by doubling the concentration of CO_2 and allowing several centuries for the warming to equilibrate. To avoid the necessity of long simulations, "effective climate sensitivity" is commonly evaluated from a 150-year simulation in response to a sudden quadrupling of CO_2.

The spread of ECS values from the CMIP5 ensemble of climate models used in the AR5 was 2.0–4.7°C; that range increased for the CMIP6 models used in the AR6 to between 1.8 and 5.7°C (Chen et al., 2021, Scaffeta 2021, see Figure 5.1). Far from settling the model-based sensitivity of climate system uncertainties appear to be growing. The main cause of the overall upward shift in ECS in CMIP6 relative to CMIP5 is a larger positive cloud feedback, driven by changes to the cloud parameterizations in many CMIP6 models (Zelinka et al., 2020)

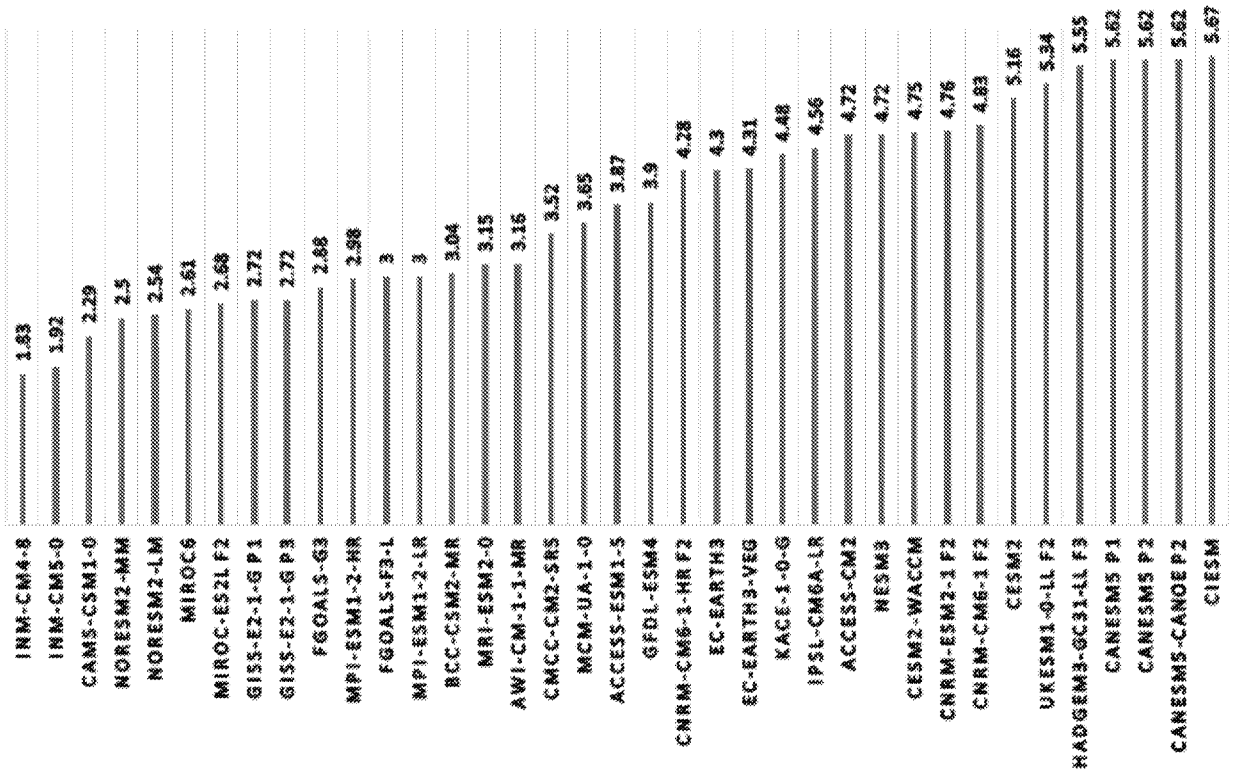

Figure 4.1 Equilibrium Climate Sensitivities in °C of 37 climate models from the CMIP6 ensemble. Identifiers for the various models appear along the horizontal axis. From (Scafetta, 2021) https://www.mdpi.com/2225-1154/9/11/161

Because of concerns about model tuning and the high sensitivity to cloud parameterizations, the IPCC AR6 (2021) did not rely on climate model simulations in their assessment of climate sensitivity, relying instead on other methods, chiefly a recent empirical estimate.

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4.3 Data-driven estimates of climate sensitivity

Climate sensitivity can also be estimated from instrumental records of surface temperatures and ocean heat content, combined with estimates of how climate forcings (e.g., greenhouse gases, solar, volcanoes, aerosols) have changed in the past (Otto et al., 2013). Using this information, a simple empirical model called an Energy Balance Model can be employed. It requires estimating a feedback parameter whose uncertainties are highly amplified in the resulting ECS (Roe and Baker, 2007).

The accuracy of the data-driven methods depends on the quality of the input data. Assumptions are needed about ocean heat storage, and the ocean data is inadequate. The greatest source of uncertainty is the amount and composition of aerosol particles and their interactions with cloud radiative properties (the so-called aerosol indirect effect). Climate models exhibit warming in response to GHGs but cooling in response to aerosols (Schwartz et al., 2007). Observed 20th century warming can be shown to be consistent either with low ECS and low aerosol cooling, or high ECS and high aerosol cooling. Since fossil fuel use adds both GHGs and aerosols to the atmosphere, both effects need to be estimated to isolate the warming effect of CO_2. Over time, the IPCC's estimate of the cooling effect of aerosols has declined (Lewis, 2022).

Paleoclimate proxies are also used to evaluate the sensitivity of past climates, by comparing paleoclimate changes in the Earth's temperatures to estimates of changes in forcings. The two most informative periods are the last glacial maximum (around 20,000 years ago), which was about 3–7°C colder than today, and a mid-Pliocene period (roughly three million years ago), which was 1–3°C warmer than today. The limits on cooling during the last glacial maximum give the best single evidence that high values of climate sensitivity are unlikely. However, paleoclimate estimates are associated with very large uncertainties in the estimated temperatures and forcings. Further, estimates of climate sensitivity based on past climate states may not be representative of the current state of the climate system.

A recurring theme in the climate literature is that ECS estimates based on historical data are smaller than ECS estimates inferred from climate models (Sherwood and Forest 2024). About 15 estimates based on historical data appeared in the peer-reviewed literature between 2012 and 2024 yielding ECS best estimates between 1.0°C and 2.5°C, although critics have questioned some of the methods and the data quality. For the AR6, the IPCC placed primary weight on the results of Sherwood et al. (2020) that combined historical and paleoclimate proxies with the process-based approach and yielded a best estimate of 3.1°C with a *likely* range of 2.6-3.9°C. Lewis (2022) raised a number of concerns about this result, including methodological errors, outdated input values, and use of subjective versus objective Bayesian priors in the analysis. Lewis' analysis found that climate sensitivity is estimated to be much lower and better constrained than in the Sherwood et al. analysis – median 2.2°C (1.8–2.7°C in the 17–83 percent *likely* range, and 1.6–3.2°C in the 5–95 percent *very likely* range). The IPCC AR6 estimated only a 5 percent probability that ECS was below 2.3°C, whereas Lewis estimated it to be over 50 percent. The most recent publications on the debate between Sherwood et al. and Lewis further defend their respective positions: Sherwood and Foster (2024) and Lewis (2025).

An argument emphasized in AR6 is that data-driven ECS estimates might understate the future warming response to GHGs because of a so-called "pattern effect" (Forster et al., 2021). The tropical Pacific is believed to strongly influence the overall efficiency with which the Earth radiates heat to space, but some regions remove heat more efficiently than others. If the west-to-east temperature gradient in the tropical Pacific is weakened in a warming climate, warming would concentration where heat is less efficiently removed, raising ECS.

Most climate models simulate that rising GHGs will weaken the east-west temperature gradient, which led the IPCC in the AR6 to conclude that data-driven ECS estimates understated the *future* ECS value. However, Seager et al. (2019) pointed out that, contrary to models, the east-west temperature gradient has been strengthening over time. They further argued that the mechanism predicting otherwise in climate models was based on a faulty characterization of oceanic dynamics and there is no reason to expect the gradient to weaken. A similar argument was recently made by Lee et al. (2024), who concluded that "the

trajectory of the observed trend reflects the response to increasing GHG loading in the atmosphere"; in other words, GHG warming should lead to a future strengthening rather than a weakening of the temperature gradient. Increased efficiency of atmospheric cooling implies, if anything, that the future ECS in a warming climate may be *lower* than current estimates.

TOO MUCH SPACE

4.4 Transient Climate Response

The Transient Climate Response (TCR) provides a more useful observational constraint on climate sensitivity. TCR is the amount of warming that results when CO_2 is increased at an annual rate of 1 percent over a period of 70 years (i.e., doubled gradually). Relative to the ECS, observationally determined values of TCR avoid the problems of uncertainties in ocean heat uptake and the fuzzy boundary in defining equilibrium arising from a range of timescales for the longer-term feedback processes (e.g., ice sheets). TCR is more generally related to peak warming and better constrained by historical warming, than ECS. IPCC AR6 judged the *very likely* range of TCR to be 1.2–2.4°C. In contrast to ECS, the upper bound of TCR is more tightly constrained. For comparison, the TCR values determined by Lewis (2023) are 1.25 to 2.0°C, showing much better agreement with the AR6 values than was seen in a comparison of the ECS values.

As will be discussed in Chapter 6, a real-world ECS smaller than the model average is supported by studies that show climate models on average overestimate the observed surface and troposphere warming over the past 50 years. Lower sensitivities also align with evidence from consistent fingerprinting (Section 8), where model responses need to be downscaled to align with observed trends.

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5 MODELS VERSUS OBSERVATIONS IN THE RECENT PAST

Chapter summary

Climate models show warming biases in many aspects of their reproduction of the past few decades. In response to observed changes in forcing inputs they produce too much warming at the surface (except in the models with lowest ECS), too much warming in the lower-and mid-troposphere and too much amplification of warming aloft.

Climate models also produce too much recent stratospheric cooling, too much water vapor increase, too much snow loss, and too much warming in the corn belt. The IPCC has acknowledged some of these issues but not all.

ITALICS

5.1 Introduction

Climate models are the primary tool used to project future climate changes in response to increasing atmospheric levels of anthropogenic greenhouse gases. They provide theoretical evidence underpinning energy policy changes, such as efforts to reduce carbon dioxide emissions. To assess the fitness of climate models for this purpose, it is reasonable to ask how well they reproduce the current climate and its variations over the past century. The nearby box "Climate modeling" gives some detail on how climate models work.

Of great concern is the fact that, after several decades of the climate modeling enterprise involving approximately three dozen models operated by research centers around the world, the range of future warming they produce in response to a hypothetical doubling of atmospheric CO_2 extends over a factor of three, as we discussed in the previous chapter. This range of disagreement among models has not changed for decades.

Problems with climate models are not just in their disagreement over the future, but also in their ability to replicate the recent past. Here we review some of the most important metrics of climate model accuracy: ability to reproduce historical surface, tropospheric and stratospheric temperature trends, ability to reproduce the vertical warming profile and ability to reproduce other climate features such as snowfall and humidity. In all cases a persistent finding is that models on average err on the side of too much warming in response to observed historical forcings.

Box: Climate modeling

Most climate models, except for the simplest ones, represent Earth's land surface using a grid of squares, typically 100 km wide. To simulate the atmosphere, 10 to 20 layers of grid boxes are stacked above these squares. The ocean is modeled using a similar but finer grid, resulting in approximately one million grid boxes for the atmosphere and 100 million for the ocean.

The computer models, based on physical laws, calculate how air, water, and energy move between grid boxes over time. The time step can be as small as 10 minutes, and repeating this process millions of times allows the simulation of climate over centuries. Running these models, even on the most powerful supercomputers, can take months. Comparing simulation results with historical climate data helps assess the accuracy of a model, while projections into the future estimate climate changes under assumed human and natural influences.

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Despite sounding straightforward, climate modeling is highly complex. Many critical processes occur at scales smaller than the 100 km grid size. For instance, the flows of sunlight and heat in the atmosphere depend strongly on cloud cover. Since tracking individual clouds is impractical, researchers must make "subgrid" assumptions about the distribution of clouds in each grid box. Snow and ice cover, which affects how much sunlight is reflected or absorbed by the surface, is another subgrid factor.

Each subgrid assumption requires numerical parameters, which must be carefully set. Modelers initially estimate these parameters based on physics and observed climate patterns, then run the model. Because early results often diverge significantly from real-world observations, they "tune" these parameters to better match observed climate features. Different modeling teams use distinct assumptions and tuning strategies, leading to varied outcomes. Tuning is a necessary but delicate aspect of climate modeling, as it is in any complex system. Poor tuning can result in inaccurate simulations, while excessive tuning risks artificially steering results toward predetermined conclusions.

To distill useful insights from these varied models, climate studies often rely on ~~averaged~~ results from multiple models, forming an "ensemble." The latest UN IPCC assessments use the CMIP6 ensemble, which shows a significant diversity of results. ~~One of the most basic climate indicators – the Earth's average surface temperature – varies by about 3°C across the ensemble, three times greater than observed warming during the 20th century.~~ THIS IS NOT CORRECT WITHOUT SOME SORT OF TIME SCALE

Although model success in reproducing some features of today's climate is reassuring, the critical issue for society is how well they predict responses to subtle human influences, such as greenhouse gas emissions, aerosol cooling, and land-use changes. The most crucial aspect models must capture correctly is "feedbacks." These occur when climate changes triggered by greenhouse gases either amplify or suppress further warming. For example, as global temperatures rise, snow and ice melt, reducing Earth's reflectivity. With less reflective surfaces, the planet absorbs more sunlight, leading to additional warming. Other significant feedbacks involve water vapor levels, cloud formation, and atmospheric temperature profiles. Based on ensemble averages, the net effect of all feedbacks is estimated to double or triple the direct warming impact of CO_2.

5.2 Surface warming

A straightforward test of a climate model's validity is its ability to reproduce historical warming in response to known past changes in climate drivers such as greenhouse gases. Figure 5.2 is reproduced from Scaffeta (2023), a peer-reviewed study that groups the latest-generation (CMIP6) climate models into low ECS (1.5 to 3.0 degrees C), medium ECS (3.0 to 4.5 degrees C) and high ECS (4.5 to 6.0 degrees C), and compares their post-1980 global average temperature simulation ranges to those of three surface temperature records and one satellite-based lower troposphere temperature data product.

The leftmost column shows that the low-ECS models track the post-1980 historical warming record reasonably well, but the middle and right columns show that the medium- and high-ECS models conspicuously over-predict the warming.

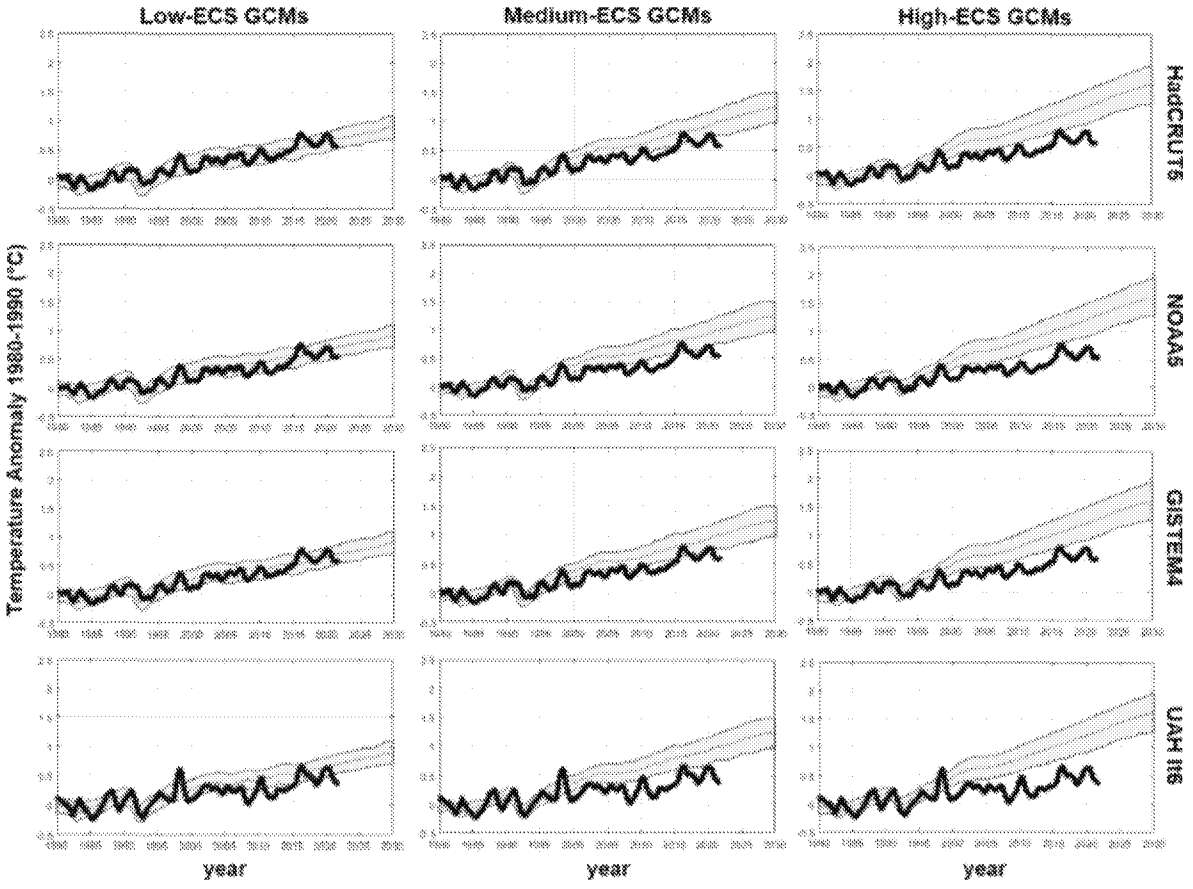

Figure 5.2: model-observational comparisons for Earth's surface warming. The columns correspond to model groups showing low-ECS to high-ECS, while the rows correspond to widely-used observed temperature records, the first three showing surface averages and the fourth showing the lower troposphere average. In each panel the yellow area denotes the mean and range ($\pm$ one standard deviation) of climate model simulations for that group. The thick black line shows the observed annual average temperature in the indicated source. Source: Scafetta N. Climate Dynamics 2023 Fig.2.

Spencer (2024) has also provided a useful summary of the Model-Observation mismatch by comparing trends in surface temperature data products with those in individual climate models, as summarized in Figure 5.3.

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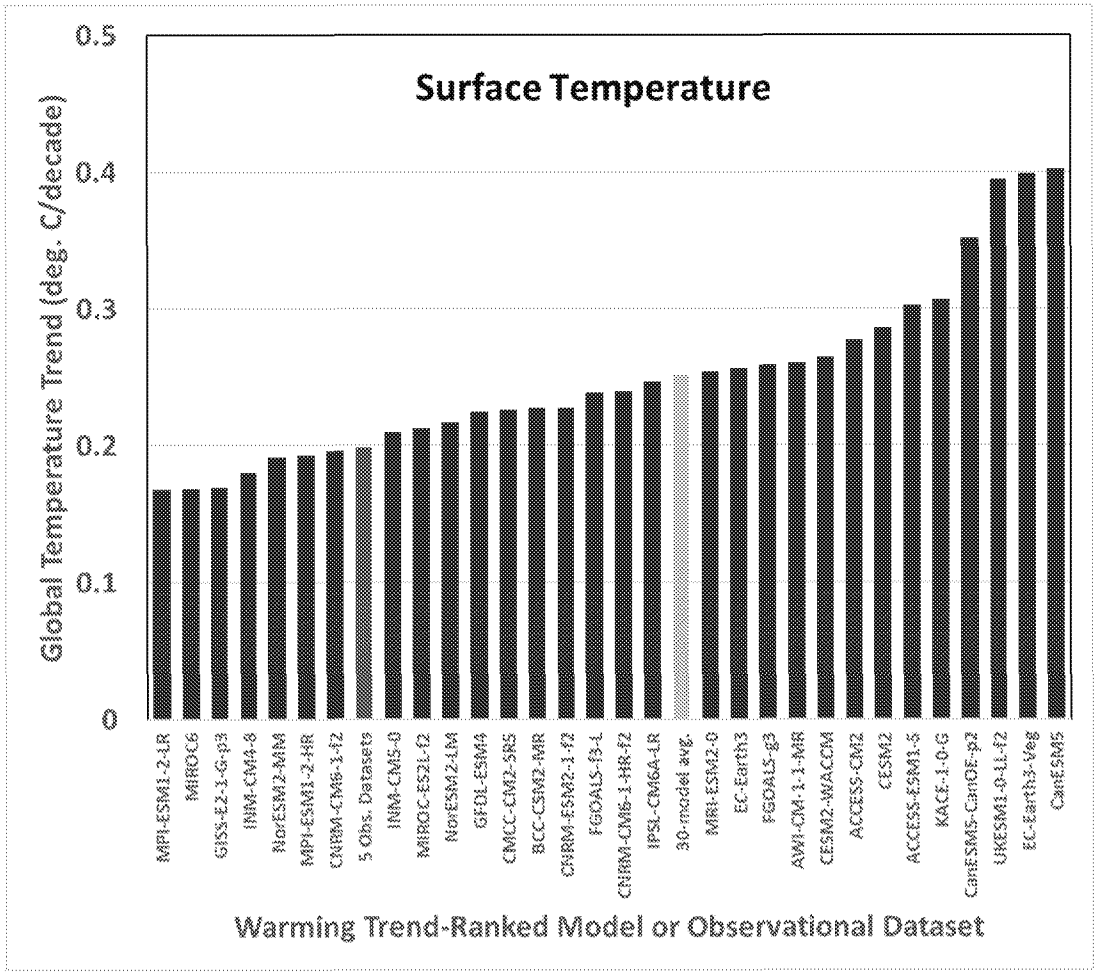

Figure 5.3 Global surface air temperature trends (°C/decade), 1979-2024, from various CMIP6 climate models (red, 30-model average in orange); and the average of three thermometer datasets (HadCRUT5, NOAA Global Temp, and Berkeley 1 deg.) and two reanalysis datasets (ERA5 and NCEP/NCAR R1) in blue.. Source: Spencer (2024) updated

LEFT JUSTIFY

5.3 Tropospheric warming

It has long been known that climate models on average overstate warming in the tropical troposphere. This region is an important test of the models since it has long been hypothesized that this is where the signal of anthropogenic greenhouse warming emerges first and most strongly. Biases in tropospheric trends indicate model flaws in heat transfer processes and carry over to surface warming biases.

The discrepancy was flagged as a serious inconsistency in the first US Climate Change Science Program report (Karl et al. 2006) and has been mentioned in every IPCC report since, but the discrepancy has gotten worse over time, and the bias is now global. McKitrick and Christy (2020) compare tropospheric warming trends in CMIP6 climate models to observed trends from satellites, weather balloons and reanalysis systems. Figure 5.4 presents the comparisons with data updated to 2024. Every model overpredicts the average observed warming trend in both Lower- and Mid-Troposphere layers, both globally and in the tropics. In most individual models the bias is statistically significant and on average across models it is highly significant. McKitrick and Christy (2020) also show that the bias is larger in high-

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ECS models, but even the models with lower average ECS predict too much warming. If future climate models were to realistically represent global tropospheric warming, they would likely be less sensitive than even the low-ECS members of the CMIP6 ensemble

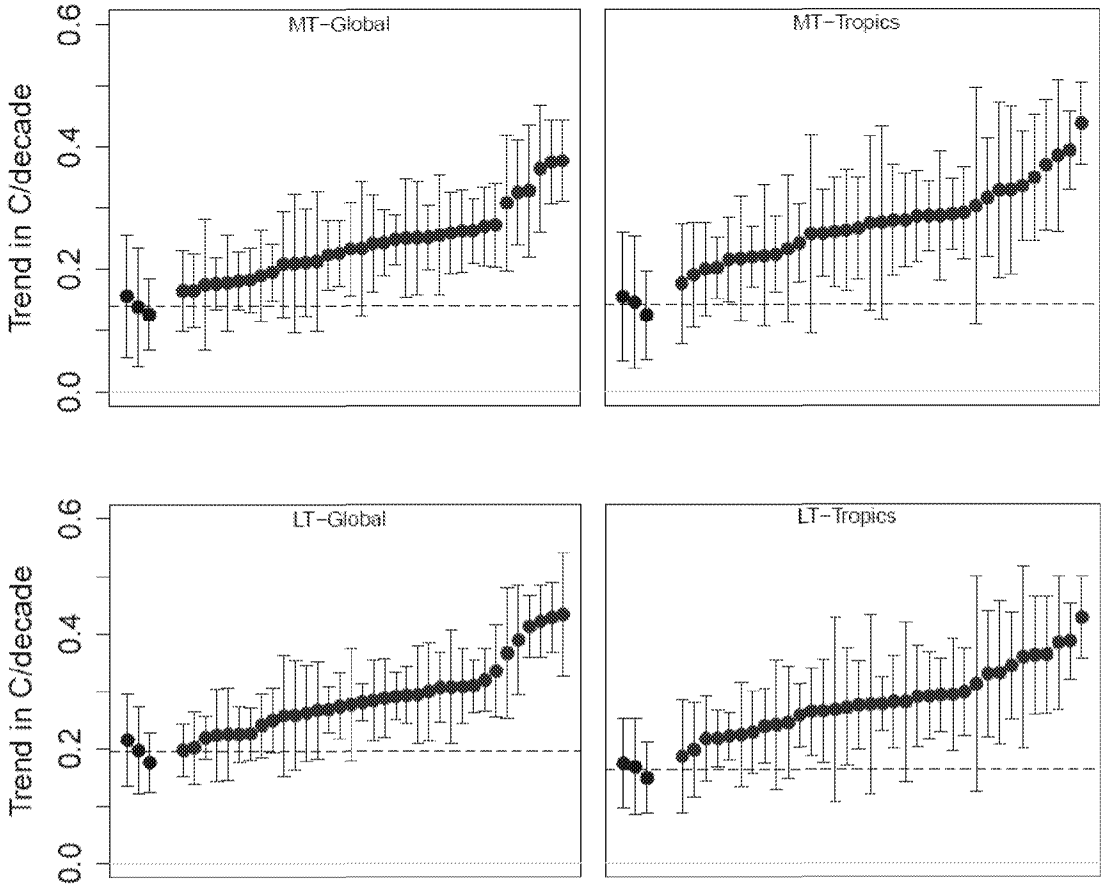

Figure 5.4: Observed versus CMIP6 modeled warming trends (°C/decade 1979-2024) in the global and tropical lower (LT) and mid-troposphere (MT). Uses methodology of McKitrick and Christy (2020) on updated data. Blue dots: warming trends with 95% confidence interval bars for 3 data products (radiosondes, reanalysis and satellites) and 95% confidence interval bars. Blue dashed line: warming trend average for 3 observed series. Red dots: modeled warming trends in 35 models arranged lowest to highest.

As mentioned the IPCC has long acknowledged the model-observation mismatch. For example AR6 pp. 443-444 offers this on the tropical troposphere (it does not address the global comparison):

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> Several studies since AR5 have continued to demonstrate an inconsistency between simulated and observed temperature trends in the tropical troposphere, with models simulating more warming than observations (Mitchell et al., 2013, 2020; Santer et al., 2017a, b; McKitrick and Christy, 2018; Po-Chedley et al., 2021)… Over the 1979–2014 period, models are more consistent with observations in the lower troposphere, and least consistent in the upper troposphere around 200 hPa, where biases exceed 0.1°C per decade. Several studies using CMIP6 models suggest that

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differences in climate sensitivity may be an important factor contributing to the discrepancy between the simulated and observed tropospheric temperature trends (McKitrick and Christy, 2020; Po-Chedley et al., 2021), though it is difficult to deconvolve the influence of climate sensitivity, changes in aerosol forcing and internal variability in contributing to tropospheric warming biases (Po-Chedley et al., 2021). Another study found that the absence of a hypothesized negative tropical cloud feedback could explain half of the upper troposphere warming bias in one model (Mauritsen and Stevens, 2015).

TOO MUCH SPACE

… In summary, studies continue to find that CMIP5 and CMIP6 model simulations warm more than observations in the tropical mid- and upper-troposphere over the 1979–2014 period (Mitchell et al., 2013, 2020; Santer et al., 2017a, b; Suárez-Gutiérrez et al., 2017; McKitrick and Christy, 2018), and that overestimated surface warming is partially responsible (Mitchell et al., 2013; Po-Chedley et al., 2021). …. Hence, we assess with *medium confidence* that CMIP5 and CMIP6 models continue to overestimate observed warming in the upper tropical troposphere over the 1979–2014 period by at least 0.1°C per decade,

TOO MUCH SPACE

Notably, despite the accumulation of evidence of excess model warming the IPCC only assigns *medium confidence* to the existence of a warming bias.

TOO MUCH SPACE

~~5.4~~ Vertical temperature profile mismatch ~~and excess amplification~~

Another important model-observational discrepancy is the excess amplification with altitude found in climate models. The comparison was in the IPCC AR5 Chapter 10, although only in the online supplement (Figure 10.SM.1) and only in a figure the formatting of which made the point difficult to see. Figure 10.SM.1 is not referenced in the main IPCC report nor in any summary so readers would not have been aware of it. Although not apparent at first glance, it shows that the 1979-2010 warming in the lower troposphere is so small as to be consistent with no GHG forcing at all, and is inconsistent with the model runs that do have GHG forcing. In Figure 5.6 we adapt IPCC AR5 Figure 10.SM.1 to draw out the critical point.

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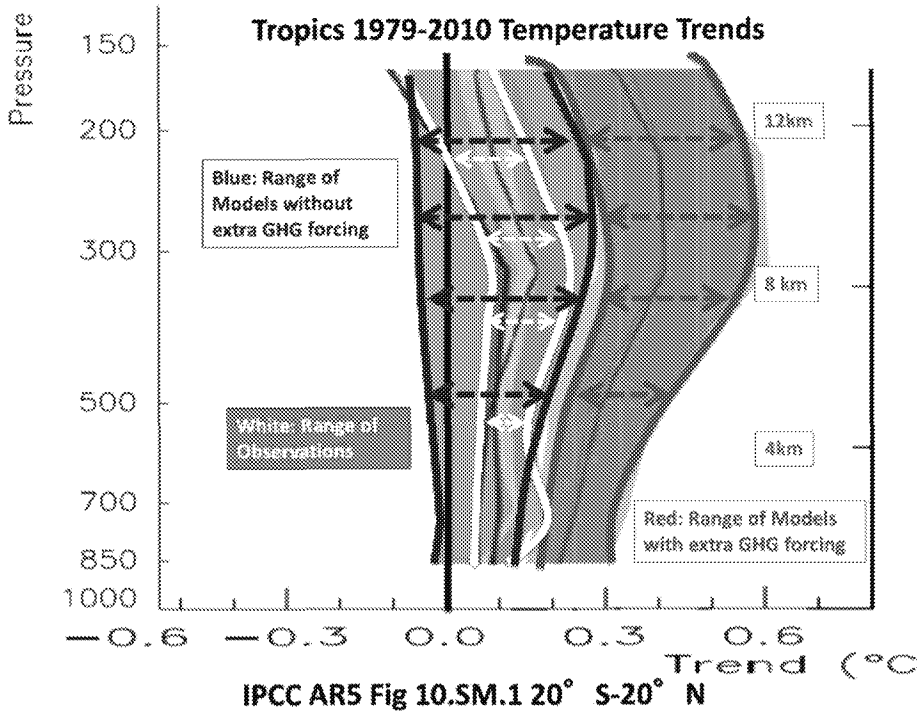

Figure 5.6: Vertical warming pattern for tropics (20S to 20N). Source: Annotated version of IPCC AR5 Figure 10.SM.1

LEFT JUSTIFY CAPTION

Figure 5.6 compares temperature trends by altitude between models and observations between 20S and 20N (the tropics). In this region where the models say the warming should be strongest, the observations (shown here in white) lie within the "No CO2" band and entirely outside the "with CO2" red envelope. This means that in the entire tropical atmospheric column from the surface to the stratosphere, *observed warming trends are so small they are consistent with the output of models that have no CO_2 warming effect in them, and they are inconsistent with the entire envelope of warming trends generated by models forced with increased CO_2.* NO ITALICS

A similar comparison is shown in Christy and McNider (2017), an updated version of which (covering 1979-2024) is reproduced as Figure 5.7. Modeled temperature trends exceed observations from the surface through the top of the troposphere, with observed trends below the entire model range at most pressure levels. Also shown in Figure 5.7 is the tropical tropospheric temperature (TTT) average from three satellite data products (NOAA, UAH and RSS) compared to the same layer average from climate models for 1979-2024. Again the observed trends lie below the entire model range.

The wide range of choices made by modelers to characterize the physical processes in the models (see Box: Climate Modeling in Section 5.1 above) is seen by the large spread of trends in the middle troposphere where values range over ±40% from the median value (Fig. 5.7). This illustrates the uncertainties characteristic of the varying attempts to model (parameterize) a complex system involving turbulence, moist thermodynamics and energy fluxes over the full range of time and space scales.

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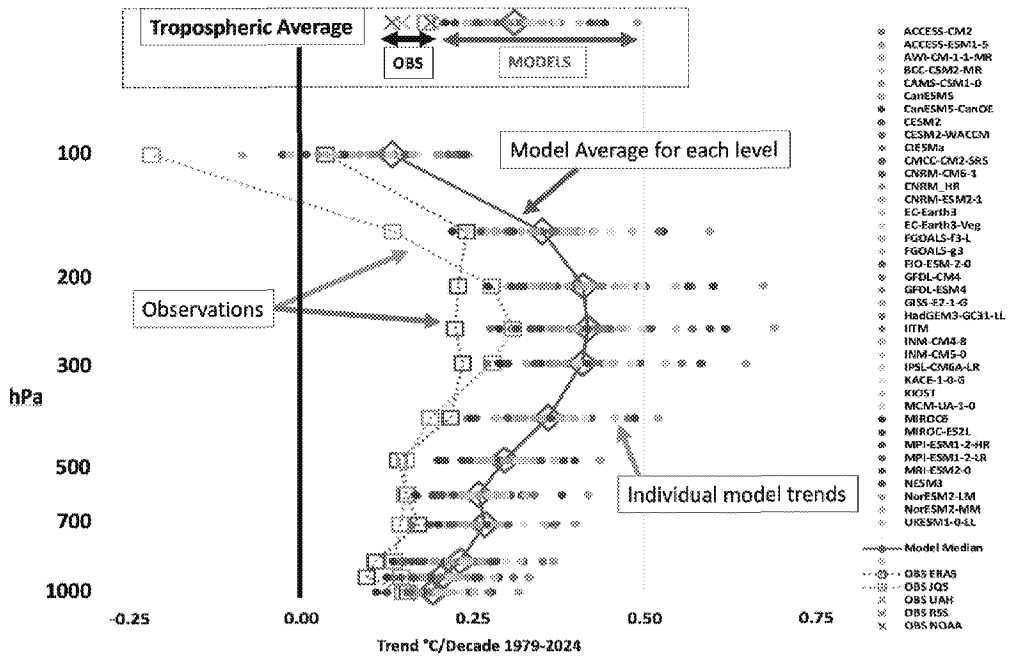

Figure 5.7: Modeled versus observed warming, tropical troposphere. Source: updated from Christy and McNider (2017) including data through 2024. Red line: model average. Green and blue lines: observational series (reanalysis).

LEFT JUSTIFY

This discrepancy has been the source of much controversy, with some arguing that even if there is very little observed warming aloft in the tropics, a "hotspot" still exists in the sense that the warming aloft is greater than at the surface. But there is good evidence that models also exaggerate the amplification rate. Klotzbach et al. (2009), showed that models project greater amplification with altitude than is observed. This result was subsequently confirmed by detailed time series analysis (Vogelsang and Nawaz 2016) who found that the model-observational difference is statistically significant.

This is a case in which models are not merely uncertain but share a common bias compared to observations. This suggests the representation of certain fundamental physical processes in climate model feedbacks have large discrepancies not only among models but against the real climate.

The IPCC AR6 did not assess this issue.

TOO MUCH SPACE

5.5 Stratospheric cooling

An important element of the expected general "fingerprint" of anthropogenic climate change is simultaneous warming of the troposphere and cooling of the stratosphere. The IPCC AR6 acknowledged that cooling had been observed but only up to the year 2000. Thereafter the stratosphere has exhibited some warming, contrary to model projections.

The AR6 WG1 Ch 2 pp. 327-9 states (emphasis added):

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> "Temperatures averaged through the full lower stratosphere (roughly 10–25 km) have decreased over 1980–2019 in all data products, with **the bulk of the decrease prior to 2000**. The decrease holds even if the influence of the El Chichon (1982) and Pinatubo (1991) volcanic eruptions on the trend, found by Steiner et al. (2020a) to have increased the 1979–2018 cooling trend by 0.06°C per decade, is removed. **Most datasets show no significant or only marginally significant trends over 2000–2019, and the results of Philipona et al. (2018) show weak increases over 2000–2015** in the very lowermost stratosphere sampled by radiosondes….
>
> It is virtually certain that the lower stratosphere has cooled since the mid-20th century. However, most datasets show that **lower stratospheric temperatures have stabilized since the mid-1990s with no significant change over the last 20 years**. It is likely that middle and upper stratospheric temperatures have decreased since 1980, but there is low confidence in the magnitude.

USE OF QUOTATION MARKS IS INCONSISTENT

The cited source, Philipona et al. (2018), in an article entitled "Radiosondes Show That After Decades of Cooling, the Lower Stratosphere Is Now Warming", stated:

TOO MUCH SPACE

> "In response to continued greenhouse gas increases and stratospheric ozone depletion, climate **models project continued tropospheric warming and stratospheric cooling** over the coming decades. Global average satellite observations of **lower stratospheric temperatures exhibit no significant trends since the turn of the century**. In contrast, an analysis of vertically resolved radiosonde measurements from 60 stations shows an increase of lower stratospheric temperature since the turn of the century at altitudes between 15 and 30 km and over most continents. Trend estimates are somewhat sensitive to homogeneity assessment choices, but all investigated **radiosonde data sets suggest a change from late twentieth century cooling to early 21st century warming in the lower stratosphere**."

TOO MUCH SPACE GET RID OF BOLD IN THE QUOTE

A combination of tropospheric warming and stratospheric cooling is a commonly-cited "fingerprint" of anthropogenic warming. Stratospheric warming since 2000 coincides with continued surface and tropospheric warming, a pattern that is not found in climate model simulations and is not apparently consistent with the anthropogenic fingerprint.

TOO MUCH SPACE

5.6 Snow cover mismatch

Data compiled by the Rutgers University Snow Lab (undated) show that Northern Hemisphere winter snow cover is not decreasing (Figure 5.8). If anything, it shows an increasing trend.

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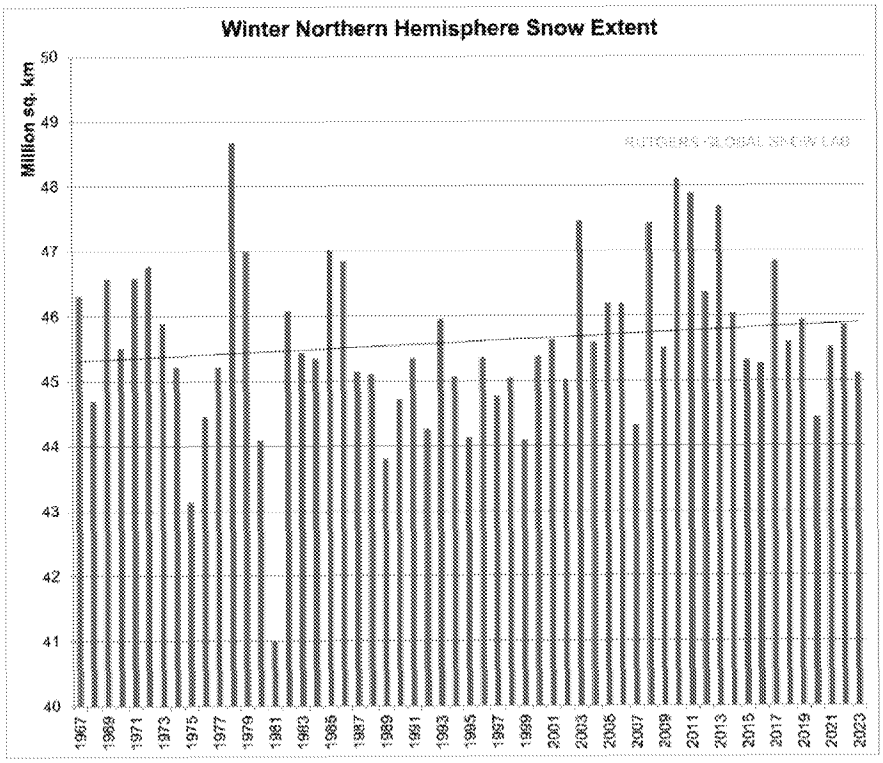

Figure 5.8: Northern Hemisphere Winter Snow extent.

Source: [HYPERLINK "https://climate.rutgers.edu/snowcover/chart_seasonal.php?ui_set=nhland&ui_season=1"] (February 24, 2024)

Yet models project declining Northern Hemisphere snow cover due to climate warming, as described by Connolly et al. (2019).

TOO MUCH SPACE

> The climate models were found to poorly explain the observed trends [in Northern Hemisphere snow cover]. While the models suggest snow cover should have steadily decreased for all four seasons, only spring and summer exhibited a long-term decrease, and the pattern of the observed decreases for these seasons was quite different from the modelled predictions. Moreover, the observed trends for autumn and winter suggest a long-term increase, although these trends were not statistically significant.
>
> ~~Connolly et al. (2019).~~

TOO MUCH SPACE

AR6 largely confines its discussion of changing Northern Hemisphere snow cover extent (SCE) to the Spring season for which models and observations agree on a downward trend. Regarding Winter changes it remarks as follows (AR6 WGI Ch. 2 p. 344):

TOO MUCH SPACE

> Assessment of SCE trends in the NH since 1978 indicates that for the October to February period there is substantial uncertainty in trends with the sign dependent on the observational product.

Analysis using the NOAA Climate Data Record shows an increase in October to February SCE (Hernández-Henríquez et al., 2015; Kunkel et al., 2016) while analyses based on satellite borne optical sensors (Hori et al., 2017) or multi-observation products (Mudryk et al., 2020) show a negative trend for all seasons.

TOO MUCH SPACE

AR6 WGI Chapter 9 (p. 1284) points out that the NOAA Climate Data Record showing increased fall and winter SCE is inconsistent with land-based observations and model-based data sets. It notes that using optical satellite imagery to infer SCE in winter is challenging due to cloud cover and decreased solar illumination in winter months.

Focusing on the Pacific Coast states (CA, OR and WA) cold season mountain snowfall that melts in spring and summer provides a substantial portion of warm season water resources. A comprehensive reconstruction of snowfall for the main source regions (Cascades and Sierra Nevada Mountains) indicates no significant trends in annual totals since the late 19th century (Christy 2022).

TOO MUCH SPACE

5.7 Hemispheric symmetry of the planetary albedo

The planetary albedo is the fraction of solar radiation reflected by the Earth back to space, and is an important component of the radiative balance and hence whether the planet will warm or cool over time. An intriguing property of the Earth's albedo is that, on average, the Northern Hemisphere (NH) and Southern Hemisphere (SH) have had very nearly the same albedo at least throughout the fifty-year satellite record (Stephens et al., 2015). This symmetry is surprising, because the SH has much more ocean than land. Since ocean is less reflective than land, the NH should have higher albedo. Clouds (which are highly reflective) are more common in the NH, and so compensate the surface albedo imbalances of the two hemispheres. Datseris and Stephens (2021) show that this cloud compensation comes from the extra-tropical storm tracks of the SH, which are cloudier than those of the NH. While the mechanism for this hemispheric symmetry is unclear, it likely operates on large temporal and spatial scales.

The hemispheric symmetry of the albedo is a simple gross metric for climate models. Rugenstein and Hakuba (2023) defined that metric as the difference between the NH and SH annual mean albedos, expressed as Wm^{-2} of the reflected sunlight, and compiled it for the CMIP6 climate models, as shown in Figure 5.9. Most of the CMIP6 models do not reproduce the small observed asymmetry (about 0.1 Wm-2) and even disagree as to which hemisphere is more reflective. Moreover, the magnitude of the asymmetry ranges up to 5 Wm^{-2} in some models, twice as large as the current anthropogenic forcing (about 2.5 Wm^{-2}).

The significance of unphysical albedo asymmetries in the climate models is not yet fully known. However, other model studies suggest that interhemispheric changes in albedo can alter poleward heat fluxes, meridional temperature gradients, storminess, and differences in hemispheric ocean heat storage. The discrepancy between models and observations further raises issues regarding cloud feedback processes and so more generally diminishes confidence in model projections of the future climate.

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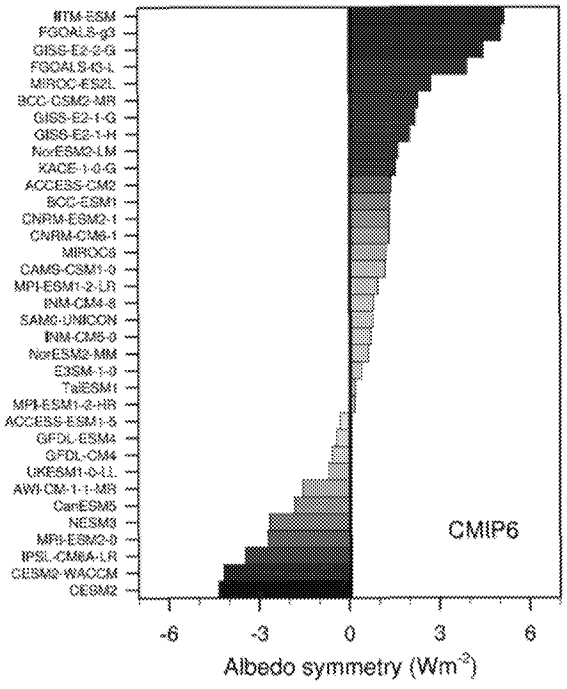

Figure 5.9. Differences in 20-year average reflectivity (albedo) between the Northern and Southern Hemispheres for CMIP6 models used in the most recent IPCC assessment (colored bars). The very small observed difference is indicated by the vertical black line. From Rugenstein and Hakuba (2023). DON"T INDENT FIGURE CAPTION

TOO MUCH SPACE

5.8 US Corn Belt

One of the largest discrepancies between models and observations is in the U.S. Corn Belt, a region of particular importance to global food production. Figure 5.10 shows the warming trends for summertime (June, July, August) for the 12-state Corn Belt (IN, IA, NE, ND, SD, MO, MN, WI, MI, OH, KS, NE) during 1973-2022. All 36 climate models (red) warm far too rapidly compared to observations (blue).

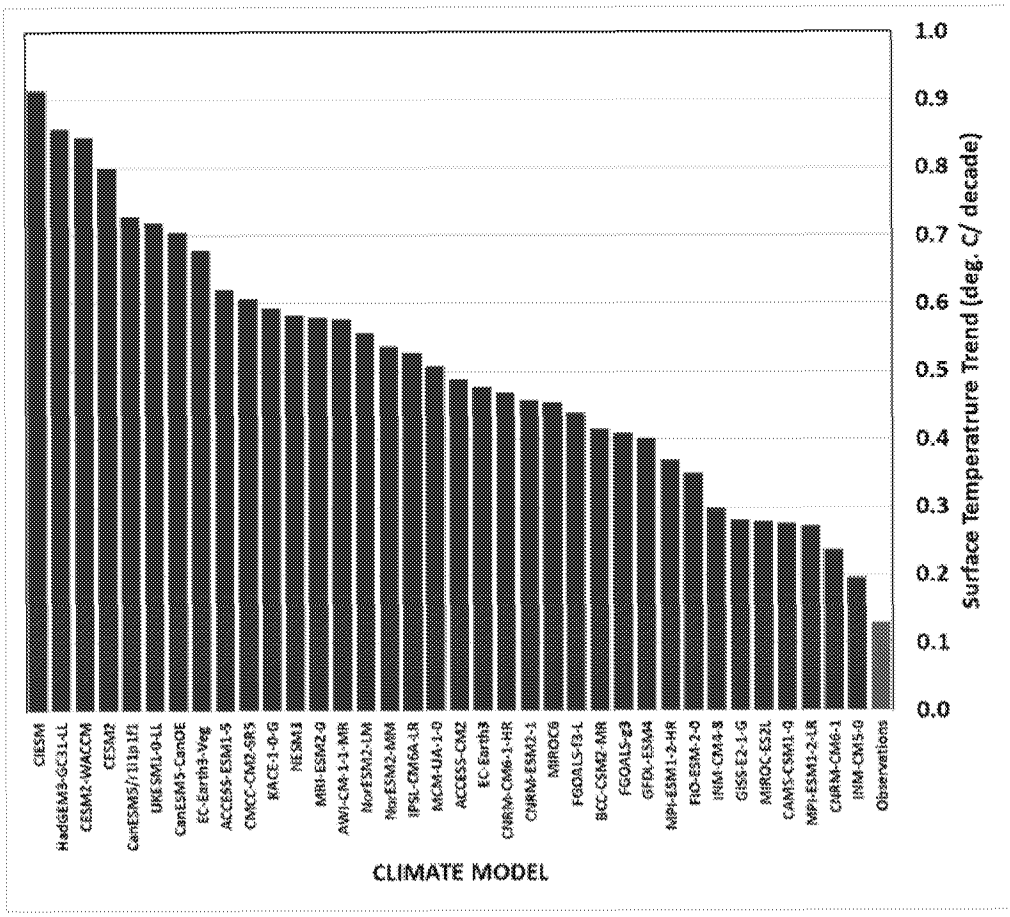

Figure 5.10: Modeled versus observed warming trends in the US corn belt.

As discussed in Chapter 10, the anticipated negative effects of increasing temperatures on U.S. corn yields have not materialized, in contrast to widely publicized studies proclaiming that theoretical future impacts are already being experienced (e.g. Seager et al., 2017).

The IPCC acknowledges limitations in the accuracy of regional climate model outputs. This example shows that users need to assess model projections carefully on a case-by-case basis since local biases may be sufficiently large that the models are simply not fit for purpose. As has recently been noted by two leaders of the modeling community (emphasis added)

TOO MUCH SPACE

> … for many key applications that require regional climate model output or for assessing large-scale changes from smallscale processes, we believe that **the current generation of models is not fit for purpose**. (Palmer and Stevens 2019)

TOO MUCH SPACE

To summarize:

- Climate models show warming biases in many aspects of their reproduction of the past few decades.
- They produce too much warming at the surface (except in the models with lowest ECS), too much warming in the lower-and mid-troposphere and too much amplification of warming aloft

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- They also produce too much stratospheric cooling, too much snow loss, and too much warming in the corn belt.
- The hemispheric albedo difference in individual climate models ranges widely in sign and magnitude compared to observations. The range in Watts/m2 is three times larger than the direct anthropogenic forcing of CO_2.
- The IPCC has acknowledged some of these issues but not others.

References

Christy, J. R. (2022). Time series construction of Oregon and Washington snowfall since 1890 and an update of California snowfall through 2020. Journal of Hydrometeorology. [HYPERLINK "https://doi.org/10.1175/JHM-D-21-0178.1"]

Datseris, G., & Stevens, B. (2021). Earth's albedo and its symmetry. AGU Advances, 2, e2021AV000440. [HYPERLINK "https://doi.org/10.1029/2021AV000440"]

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Rugenstein, M., & Hakuba, M. (2023). Connecting hemispheric asymmetries of planetary albedo and surface temperature. Geophysical Research Letters, 50, e2022GL101802. [HYPERLINK "https://doi.org/10.1029/2022GL101802"]

Stephens, G. L., O'Brien, D., Webster, P. J., et al. (2015). The albedo of Earth. Reviews of Geophysics. [HYPERLINK "https://doi.org/10.1002/2014RG000449"]

6 EXTREME WEATHER

Chapter Summary

Most types of extreme weather exhibit no statistically significant long-term trends over the available historical record. While there has been an increase in hot days in the US since the 1950s, a point emphasized by the IPCC AR6, numbers are still low relative to the 1920s and 1930s. Extreme convective storms, hurricanes, tornadoes, floods and droughts exhibit considerable natural variability but long-term increases are not detected. Some increases in extreme precipitation events can be detected in some regions over short intervals but over long periods and at the regional scale the trends do not persist. Wildfires are not more common in the US than they were in the 1980s. Burned area increased from the 1960s to the early 2000's however it is low compared to the estimated natural baseline level. US wildfire activity is strongly affected by forest management practices.

NO ITALICS

6.1 Introduction

High impact weather extremes, usually related to temperature, precipitation and/or high wind, can disrupt infrastructure and therefore endanger human health and wellbeing. The issue is not whether extremes occur. Rather, it is whether there are long-term (decadal scale) changes in the frequency or character of extremes ("detection"), as well as the extent to which such changes and the attendant changes in hazards are caused by anthropogenic emissions of greenhouse gases ("attribution"; e.g., IPCC AR6 Seneviratne et al. 2021).

It is naïve to assume that any recent extreme event is caused by human influences on the climate. Climate is about the statistical properties of weather over decades, not single events. Further, there are only about 130 years of reliable observational records that can be analyzed statistically. That brief interval does not begin to contain all the extreme events that the climate system can create on its own. Over geologic time the climate system has generated an (essentially) infinite variety of weather patterns and extremes that humans have never observed and thus are absent from the databases used to determine extreme statistics [see *Perils of short data records* below]. For that reason, attributing an extreme event unprecedented in the record requires assumptions about the magnitude of natural variations.

This chapter is concerned with detection of trends in extreme weather, while Chapter 8 considers causal attribution, with Section 8.4 specifically addressing extreme weather. If no trend is detected, then clearly there is no basis for attribution. But even where a trend is observed, attribution to human-caused warming should not be assumed to follow.

This is especially true for precipitation events. The hydrological literature has long noted the phenomenon of *Long-Term Persistence* (LTP) in rainfall data (Hurst 1951, Cohn and Lins 2005, Markonis and Koutsoyiannis 2015). LTP has a formal mathematical definition, but it can be thought of as the presence of natural variations with strong autocorrelations that result in cycle-like behavior on long time scales. The presence of LTP requires long records to accurately estimate variability. Analysis of records that are short relative to the LTP scale will tend to underestimate variability, therefore overstating the significance of apparent trends and underestimating the likelihood of extreme events (Cohn and Lins 2005).

A good example of LTP is the eight-century long record of the annual minimum height of the Nile River observed at Roda Island in Cairo shown in Figure 6.1.1. Since human influences on the global climate were negligible before the 20th century, the century-scale variability of the thirty-year average is entirely natural; Egyptians of the seventh and eight centuries would have been incorrect to assume that the worsening drought during that time was the "new normal."

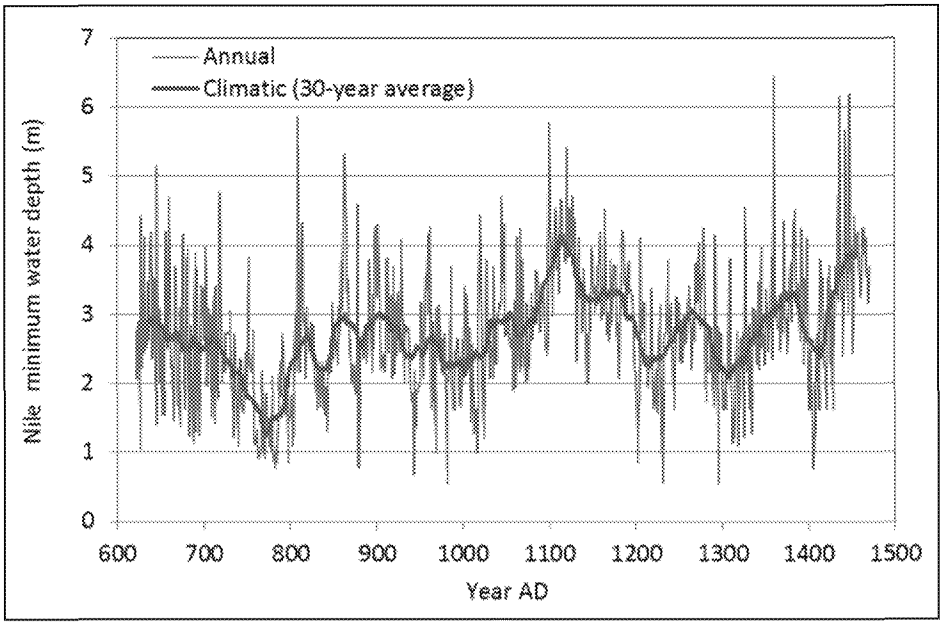

Figure 6.1.1: The annual minimum depth of the Nile River near Cairo over more than 650 years from 622 to 1284 A.D. The data, measured in meters, shows a characteristic pattern of year-to-year fluctuations around longer-term trends. Data from ([HYPERLINK "https://www.itia.ntua.gr/en/docinfo/1351/"])

With these caveats in mind, we examine the evidence for changes in selected weather and climate extremes. An emergent theme is the wide gap between public perceptions and scientific evidence. It has become routine in media coverage, government and private sector discussions, and even in some academic literature to make generalized assertions that extreme weather of all types is getting worse due to GHGs and "climate change." Yet expert assessments typically have not drawn such sweeping conclusions and instead have emphasized the difficulty both of identifying specific trends and establishing a causal connection with anthropogenic forcing.

In the sections to follow we provide excerpts from various IPCC and NCA assessment reports denoting the sources as follows:

TOO MUCH SPACE

SREX: The IPCC Special Report on Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation (2012)

AR6: The IPCC Sixth Assessment Report Working Group 1 (2021).

NCA4: The US Climate Science Special Report of the Fourth National Climate Assessment (2017) Volume I.

NCA5: The US Climate Science Special Report of the Fifth National Climate Assessment (2023) Volume I.

Note that in the excerpts. *italics* are in the original whereas **boldface** emphasis has been added.

Additionally we present updated data series from standard government sources to provide up-to-date information through 2024 wherever possible.

TOO MUCH SPACE

6.2 Hurricanes and tropical cyclones

The AR6 provides the following assessment of tropical cyclones (TCs; used here as a synonym for hurricanes):

> TOO MUCH SPACE
>
> AR6: There is *low confidence* in most reported long-term (multidecadal to centennial) trends in TC frequency or intensity-based metrics due to changes in the technology used to collect the best-track data. (IPCC, 2021)
>
> TOO MUCH SPACE
>
> AR6: It is *likely* that the global proportion of major (Category 3–5) tropical cyclone occurrence has increased over the last four decades . . . There is *low confidence* in long-term (multi-decadal to centennial) trends in the frequency of all-category tropical cyclones. (IPCC, 202)3
>
> TOO MUCH SPACE
>
> AR6: A subset of the best-track data corresponding to hurricanes that have directly impacted the United States since 1900 is considered to be reliable, and shows **no trend in the frequency of U.S. landfall events**. (IPCC, 2021)

Too much space

Since 1980, when satellite observations fully covered the global oceans, we have confidence in the numbers of total global hurricanes and major hurricanes (Category 3 and higher). Figure 6.2.1 shows that on average, each year there are about 50 hurricanes, with about 25 reaching major hurricane status (Maue, 2025). There is substantial year-to-year and decadal variability a weak decreasing trend in the number of hurricanes and a slight but insignificant increasing trend in the number of major hurricanes These two trends combine to create an increasing trend in proportion of major hurricanes.

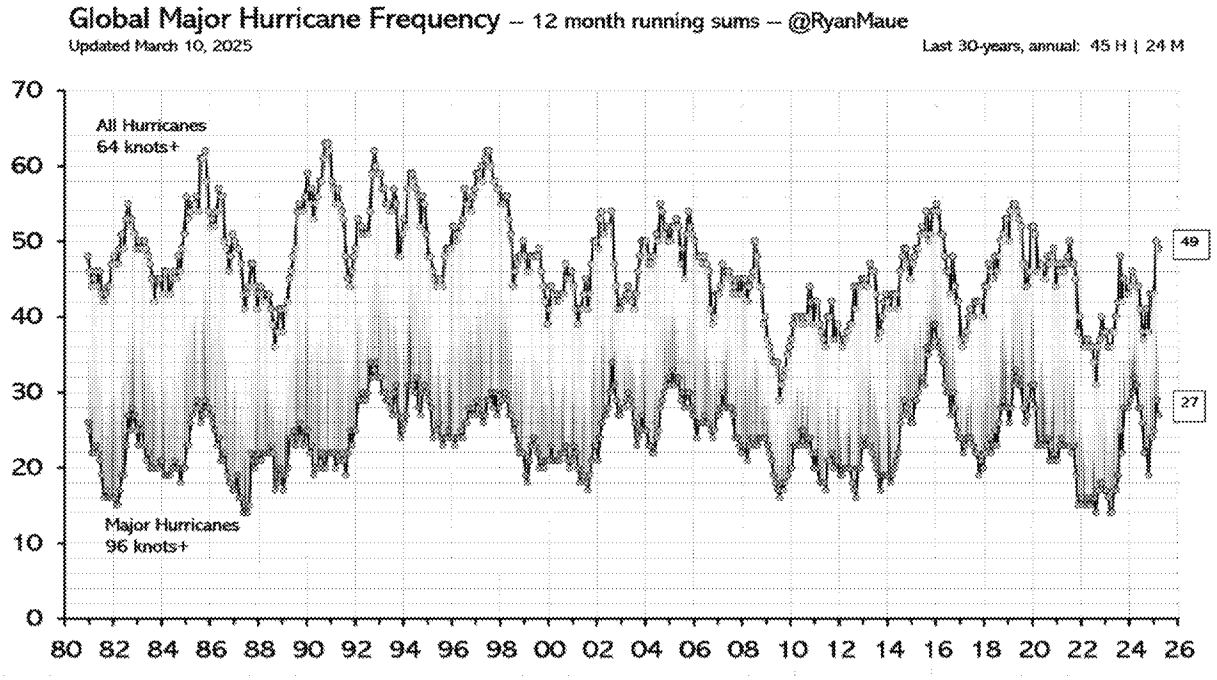

Figure 6.2.1: Global frequency of hurricanes and major hurricanes since 1980.

Global hurricane statistics are dominated by the Northwest Pacific Ocean, which accounts for ~35 percent of total global hurricanes, whereas the Atlantic accounts for ~15 percent of global hurricanes (CSU, 2025). Data in the Atlantic basin extends further back than in the other ocean basins, and is most relevant to U.S. policy makers.

Figure 6.2.2 shows the frequency of Atlantic hurricanes and major hurricanes (Category 3 and higher) back to 1920. Data prior to 1965 (the onset of satellite observations in the Atlantic, shaded in blue) shows some undercounting, with data prior to 1920 showing substantial undercounting (Vecchi and Knutson, 2011). All measures of Atlantic hurricane activity show a significant increase since 1970. However, the period from 1971-1994 was a period of exceptionally low activity, with high values of hurricane activity (comparable to the past two decades, even with undercounting) also observed during the 1950's and 1960's, and even in the 1930's.

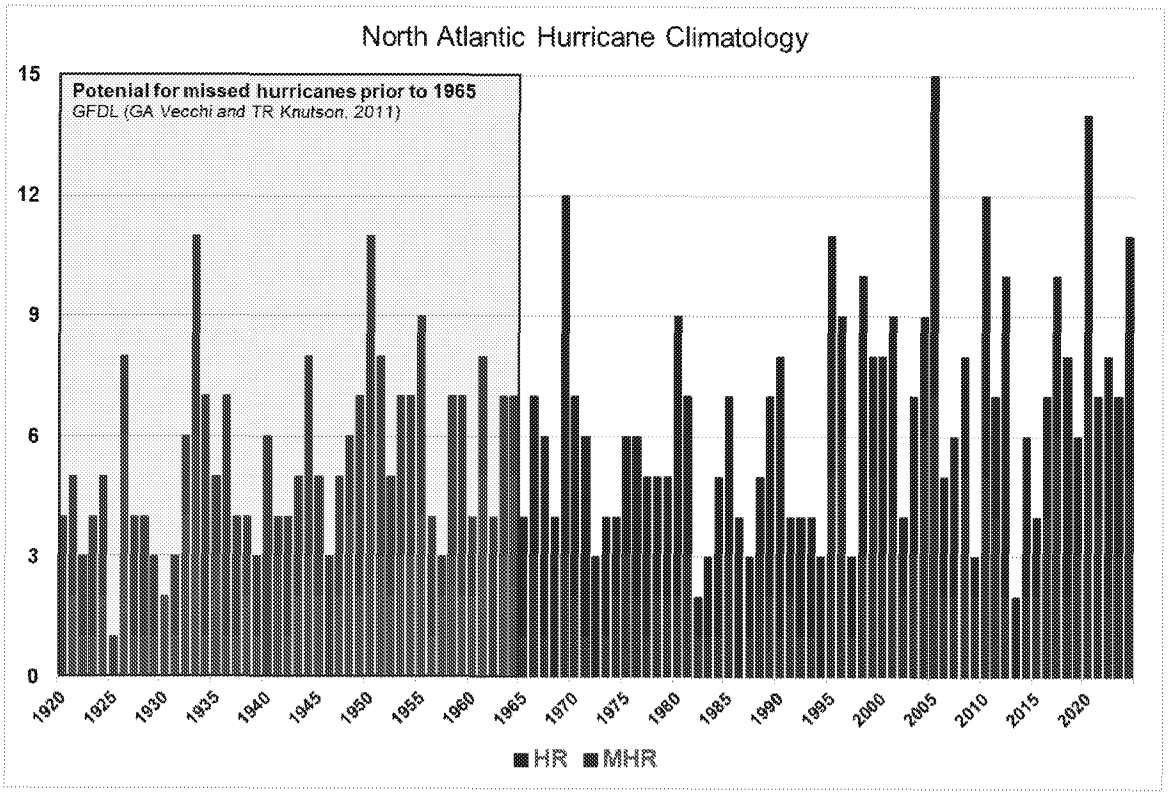

Figure 6.2.2: Atlantic frequency of hurricanes (HR) and major hurricanes (MHR) since 1920. Source (NHC, 2024)

Figure 6.2.2 shows that Atlantic hurricanes vary strongly on decadal and multidecadal time scales. These variations are associated primarily with the Atlantic Multidecadal Oscillation (AMO), which is manifest in basin-wide sea surface temperature and sea level pressure fluctuations connected to large scale ocean circulation patterns. The AMO was in its warm phase during 1926-1970 and 1995-present, but in its cool phase during 1971-1994. The AMO has its greatest impact on the number of major hurricanes (Category 3+), identified by Goldenberg et al. (2001) to be associated with above normal SSTs and decreased vertical shear in the AMO warm phase (see also Bell and Chelliah, 2006; Klotzbach et al., 2018).

Klotzbach et al. (2018) conducted a comprehensive evaluation of the landfalling hurricane data for the Continental U.S. since 1900. Figure 6.3.3 updates their analysis through 2024. While the largest numbers of hurricanes are from 2004, 2005 and 2020, there is no statistically significant trend since 1920. Figure 6.2.3 also shows the time series for major hurricane landfalls (Category 3-5). The largest year in the record is 2005, with 4 major hurricane landfalls. However, there were no major hurricanes striking the U.S from 2006 through 2016, the longest such period since 1920.

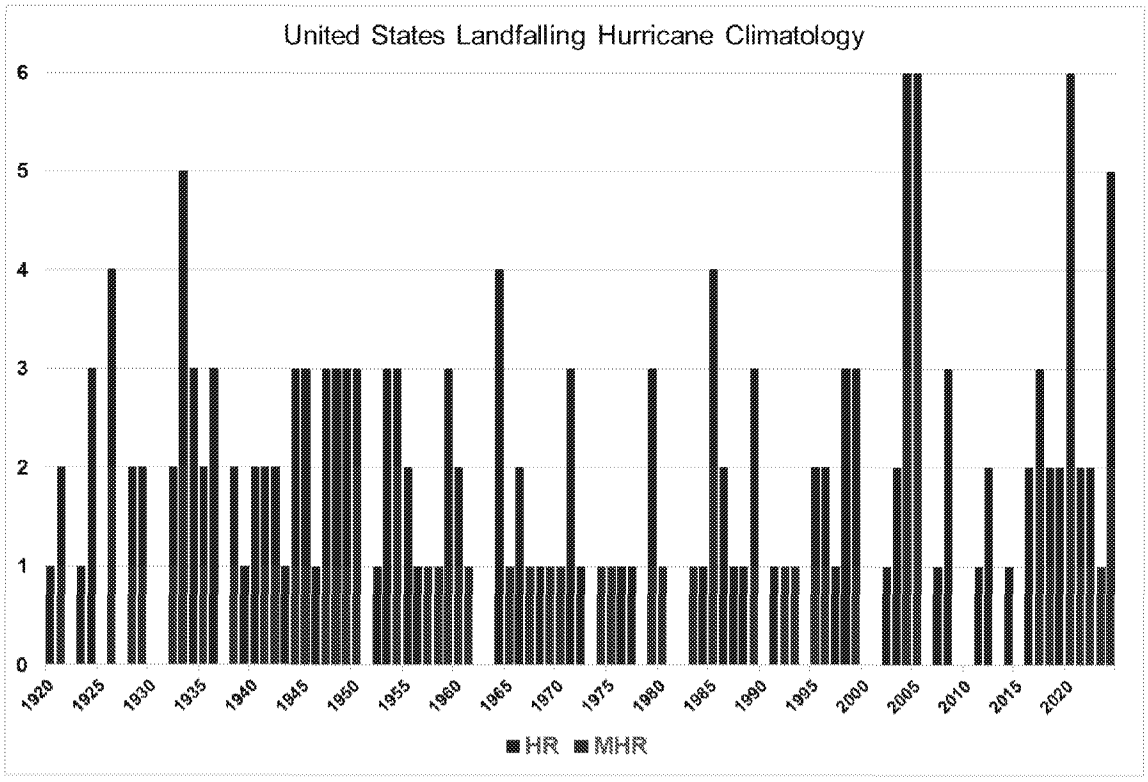

Figure 6.2.3: US landfalling frequency of hurricanes (HR) and major hurricanes (MHR) since 1920. Source (NOAA HRD(a), 2024)

Substantial interannual to multidecadal variability in U.S. landfall activity is seen Figure 6.2.3. Klotzbach et al. (2018) examined how the landfall counts vary with ENSO (El Niño versus La Niña) and the warm versus cold phases of the Atlantic Multidecadal Oscillation (AMO).

Villarini et al. (2012) provide an analysis of U.S. hurricane landfalls back to 1878. While it is possible that some landfalls were missed in the late 19th century owing to sparsely populated regions on the Gulf Coast, it is remarkable that the highest year in the entire record, is 1886, with 7 landfalls,

Table 6.2.1 shows the 10 strongest hurricanes (plus ties) to make U.S. landfall. Of the hurricanes that have made landfall with sustained winds greater than 150 mph, only one has occurred in the 21st century.

In summary, analyses of both global and regional variability and trends of hurricane activity provide the basis for detecting changes and understanding their causes. The relatively short historical record of hurricane activity, and the even shorter record from the satellite era, is not sufficient to assess whether recent hurricane activity is unusual relative to the background natural variability. Atlantic hurricane processes are influenced substantially by the natural modes of ocean circulation variability in the Atlantic, notably the Atlantic Multidecadal Oscillation. While it has long been hypothesized that a rising global sea surface temperature would cause an increase in hurricane intensity, identification of any significant trend in the hurricane data is hampered by a short data record and substantial natural variability.

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Table 6.2.1 Strongest hurricanes to make landfall along the US coast. Source (NOAA HRD(b), 2024)

Rank	Year	Landfall Wind (MPH)	Name
1	1935	185	"Labor Day"
2	1969	175	Camille
3	1992	165	Andrew
4	2018	160	Michael
5	1856	150	"Last Island"
5	1886	150	"Indianola"
5	1919	150	---------
5	1932	150	"Freeport"
5	2004	150	Charley
5	2020	150	Laura
5	2021	150	Ida
5	2022	150	Ian

REDUCE THE vertical space in the table. Reformat column heading Landfall wind (MPH)

6.3 Temperature extremes

MARGINS ARE OFF, MOVE EVERYTHING LEFT ALSO TOO MUCH SPACE BETWEEN QUOTES

The AR6 assessment focused on the period after 1950 and reported increasing trends in heatwave frequency and intensity. However, the NCA4 noted that heatwave activity in the US reached a peak in the 1930s.

AR6: "It is *virtually certain* that hot extremes (including heatwaves) have become **more frequent** and **more intense** across most land regions since the 1950s, while cold extremes (including cold waves) have become less frequent and less severe" (SPM, A3.1)

AR6: "In North America, there is very robust evidence for a *very likely* increase in the intensity and frequency of hot extremes and decrease in the intensity and frequency of cold extremes for the whole continent, though there are substantial spatial and seasonal variations in the trends. Minimum temperatures display warming consistently across the continent, while there are more contrasting trends in the annual maximum daily temperatures in parts of the USA." (Chapter 11, p 1550)

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NCA4: "Changes in warm extremes are more nuanced than changes in cold extremes. For instance, the warmest daily temperature of the year **increased** in some parts of the West over the past century, but there were **decreases** in almost all locations east of the Rocky Mountains. In fact, all eastern regions experienced a net **decrease**, most notably the Midwest (about 2.2°F [1.2°C]) and the Southeast (roughly 1.5°F [0.8°C])." (pp. 190-191)

NCA4: "Since the mid-1960s, there has been only a very slight increase in the warmest daily temperature of the year (amidst large interannual variability). Heat waves (6-day periods with a maximum temperature above the 90th percentile for 1961–1990) increased in frequency until the mid-1930s, became considerably less common through the mid-1960s, and increased in frequency again thereafter. As with warm daily temperatures, **heat wave magnitude reached a maximum in the 1930s." (pp. 190-191)**

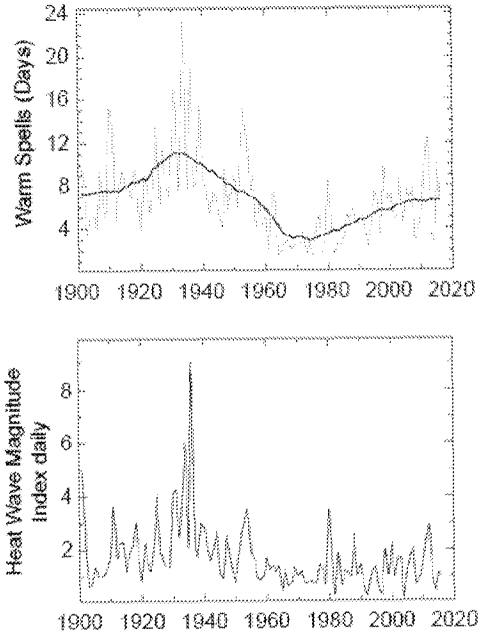

Figure 6.3.1: US Heat waves since 1900. Source: NCA4 Figure 6.4

6.3.1 Temperatures in the US are becoming less extreme

Daily maximum temperatures in the warm season (Tmax, May-Sep) and daily minimum temperatures in the cold season (Tmin, Dec-Mar) are available beginning in Dec 1898 (126 years). The dataset consists of 1,211 CONUS stations designated as United States Historical Climate Network or USHCN stations (see Figure 6.4.2; Quinlan et al. 1987, Karl et al. 1990). These stations were selected by NOAA as having the fewest problematic issues with gaps, station moves and instrument changes. Where gaps still exist, nearby stations (bias-corrected) were merged so that the median volume of data available for a station is 98%. Although there are certainly errors in the dataset, with over 1,000 stations we can have relatively high confidence in any underlying signal that might be discovered.

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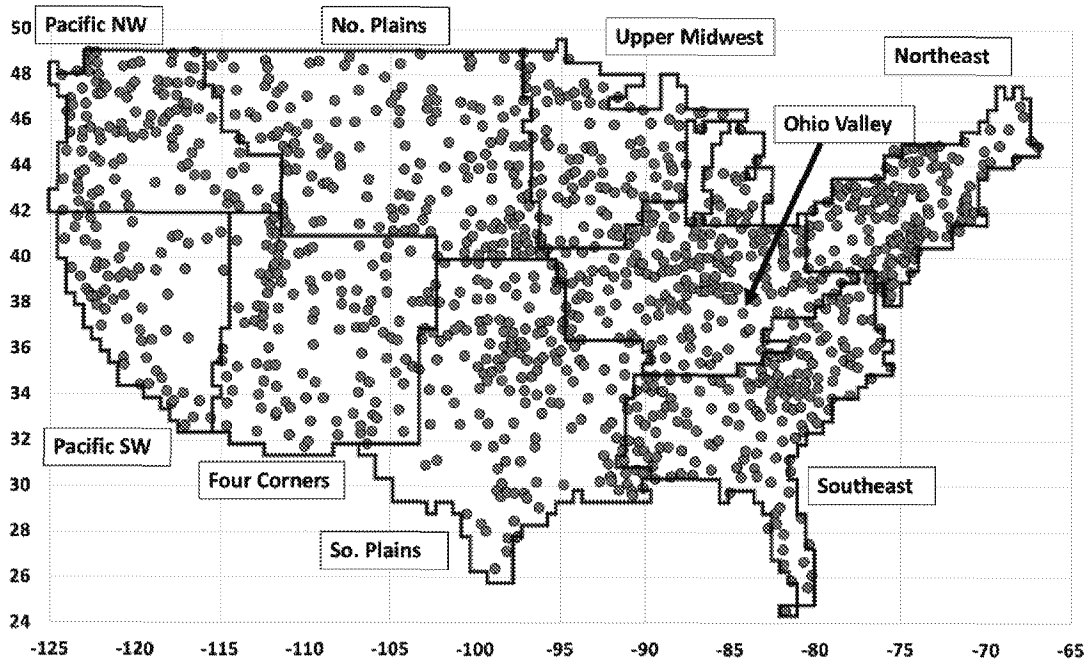

Figure 6.3.2 Locations of USHCN temperature stations. Source: USHCN.

We begin with the question of whether the occurrence of daily record high or low temperatures has changed since Dec 1898. Each warm-season has 153 days (1 May to 30 Sep) and each cold-season has 122 days (1 Dec to 31 Mar). For each station and day, we calculated the year in which the record highest (lowest) temperature occurred. With 126 years of observations, if there were no temperature trends over time,-the expected number of records for Tmax would be 1.21 (=153/126) per station per year and for Tmin 0.96 (=122/126) per station per year.

Figure 6.3.3 shows the observed distribution in time of the occurrence of these extreme events. There is a common feature in many metrics of warm-season extremes in the CONUS - the exceptional heat of the 1920s and especially the 1930s, peaking in 1936. On a per-station average, 60% of the Tmax records and 59% of the Tmin records occurred in the first half of the period (1899-1961).

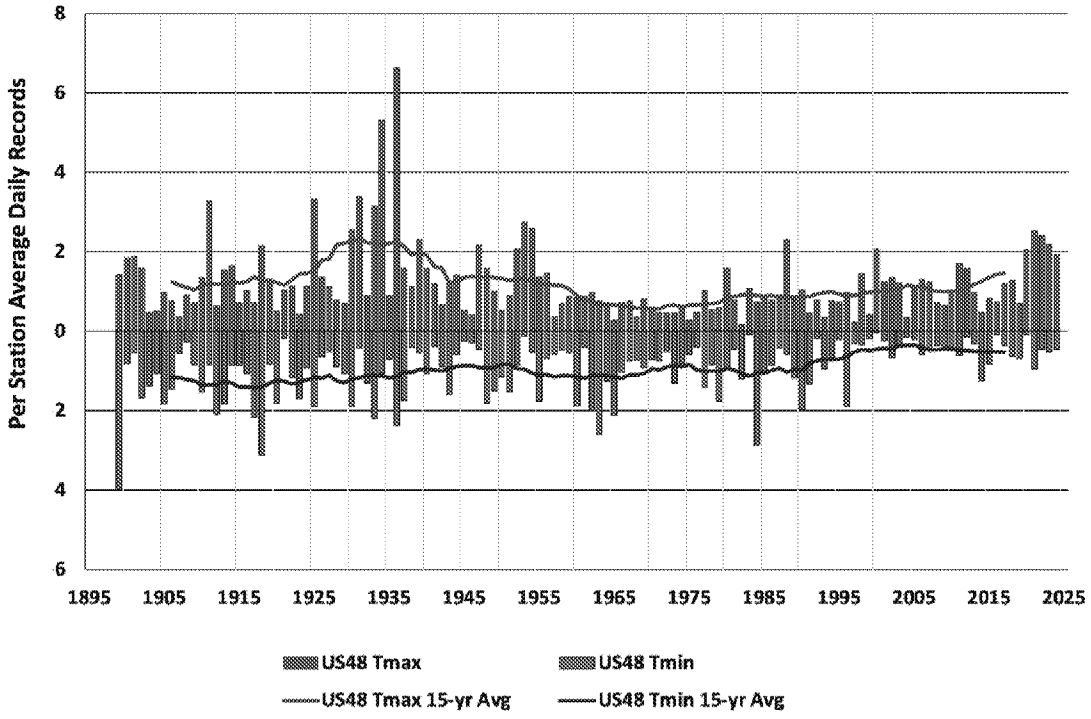

Figure 6.3.3 Number of daily record High (red) and Low (blue) temperatures for warm and cold seasons in the CONUS. The lines represent the 15-year running, centered average. Source: 1,211 USHCN stations supplemented as needed to achieve a minimum of 92% of observations in the 126-year period since Dec 1898. US48: contiguous US states. Tmax: maximum temperature. Tmin: minimum temperature.

On the cold side, the Valentine's Day Arctic outbreak in Feb 1899 stands as the most extensive cold extreme experienced by the CONUS, with 1917 in 2nd place. The frequency of cold records has declined, especially over the last quarter of the period in which only 13% of the extreme cold events were measured. In contrast, 25% of the extreme Tmax records were achieved in the last quarter, in accordance with statistical expectations. These general features have been noted in past assessments (see above, IPCC AR6, NCA4). Combining the two records the overall reduction in numbers of both cold and hot extremes over the past century indicates a climate less prone to extremes.

This pattern is also shown in Figure 6.3.4. For each station and for each year the hottest warm season and coldest cold-season temperatures were calculated. Then the differences between these were computed by station and geographically-averaged over all stations, thus yielding an annual measure of the expected range of local extreme temperatures for each year. Figure 6.3.4 shows the 15-year trailing average of this measure, which has clearly declined over the past century.

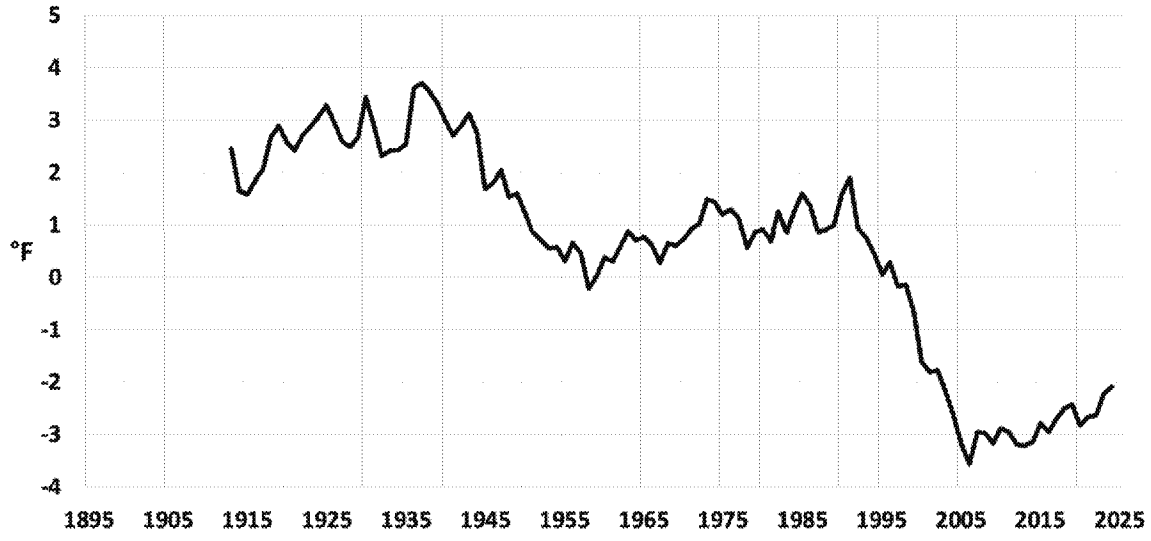

Figure 6.4.4. 15-year trailing average of the difference each year of each station's hottest warm-season Tmax and coldest cold-season Tmin relative to the long-term average. Source: USHCN, author calculations.

The average difference for each station between the hottest summer Tmax and coldest winter Tmin has declined by about 5°F in the past 126 years. The decline is due mostly to warmer winter Tmin, but a decline in summer Tmax is also a factor. The rise in Tmin has been strongly related to the growing presence of manufactured surfaces around the weather stations over the last 100+ years (the so-called urban heat island effect; Spencer et al. 2025).

In summary, while temperature extremes are regularly experienced in the U.S. and attract a great deal of media attention, long term records show the US climate has become less extreme over time (milder) when measured by the range between warm season maxima and cold season minima.

6.3.2 Exceedances of a heat threshold

Under the heading of "The Risk of Temperature Extremes is Changing", the most recent US National Climate Assessment report (NCA5) notes the increase in a threshold metric of number of days at or above 95°F, stating,

TOO MUCH SPACE

> "The western US has been particularly affected by extreme heat since the 1980s …, experiencing a larger increase in days over 95°F, as would be expected given the greater warming in that region relative to the eastern US. Several major heatwaves have affected the US since 2018, including a record-shattering event in the Pacific Northwest in 2021."

Are the occurrences of 95°F days changing? In a climate as varied as that of CONUS, threshold statistics can be misleading. A region with many stations that have near 95°F Tmax average temperatures in the summer might see large swings in the metric when only small changes in average temperature occur. Elsewhere with stations that either rarely or virtually always achieve 95°F Tmax temperatures, a small change will not have much impact on the results. In the past 126 years, the average CONUS station

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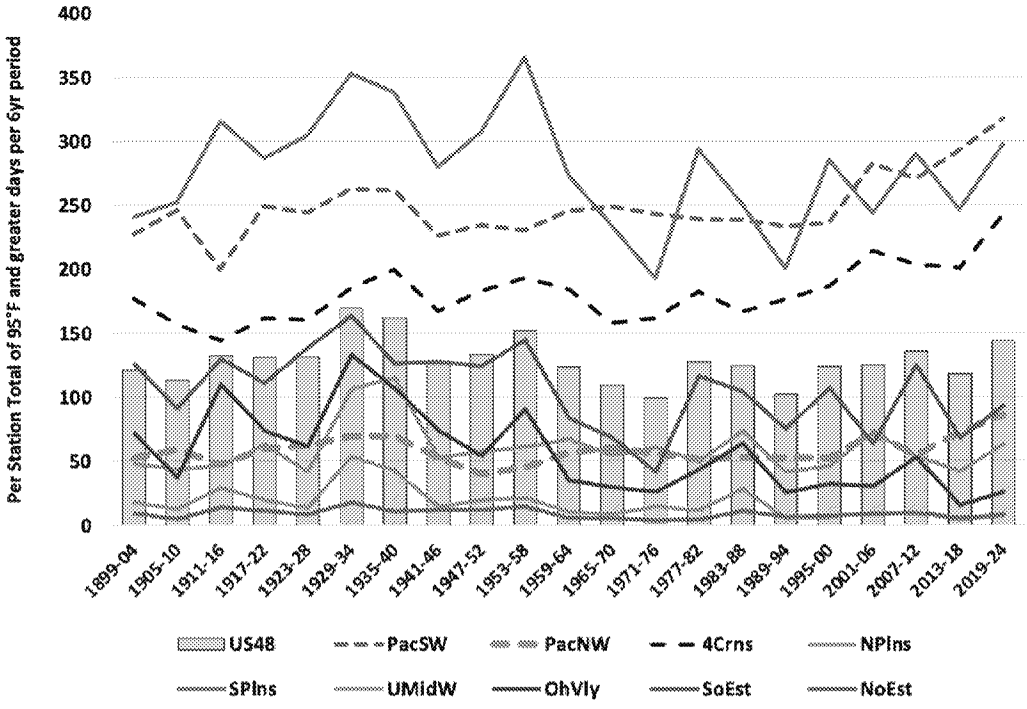
experienced 129 days exceeding 95°C per 6-yr period, but the regional values range from 278 in the Southern Plains to 9 in the Northeast. Thus, such threshold analyses must be interpreted with caution.



TOO MUCH SPACE

Figure 6.3.5. Total number of days ≥ 95°F in 6-yr periods, US 48 (bars) and regions (lines). Interval is 6-years as this is divisible into 126. Source: USHCN, author calculations. US48: contiguous US states. See Figure 6.3.2 for region names.

Figure 6.3.5 shows that only three of the nine regions, all in the West, have experienced upward trends in the number of 95°F or hotter days (dashed lines). The CONUS as a whole has not, and the other six regions have experienced declines.

The Pacific NW heatwave of 2021 referenced in the NCA5 quote will be examined more closely in Section 8.5. The evidence indicates that it was a single, unprecedented event in the record, not part of a pattern of increasing extreme heat. For example, the 5-day average tropospheric grid-point temperature anomaly over the Pacific NW during that event was +10.8 C, the most extreme Northern Hemisphere grid point summer anomaly in the 46 years from over 4 million grid values. In contrast, the global temperature anomaly during that time was virtually zero (+0.03 C, Mass et al. 2024).

The NCA5 heading "The Risk of Temperature Extremes is Changing" suggests pervasive positive trends are now being observed in threshold temperatures, but that is not evident in the figures above.

6.3.3 Heatwaves

Heatwaves (consecutive days that exceed an extreme threshold) have a greater societal impact than a single daily record temperature. We measure "Heatwave Days" here as the count of all days in May-Sep each year that exceed the 90th percentile for that day and that lie within a period of at least six consecutive days. This is equivalent to the method used in NCA4, except that the reference period here is the entire record 1899-2024 while NCA4 truncated the reference period to 1961-1990, which was a cool period. That truncation boosts positive results (days exceeding the 90th percentile) in periods warmer than the reference period, especially starting in 1960 and moving to the present (see *Urban Influences* below).

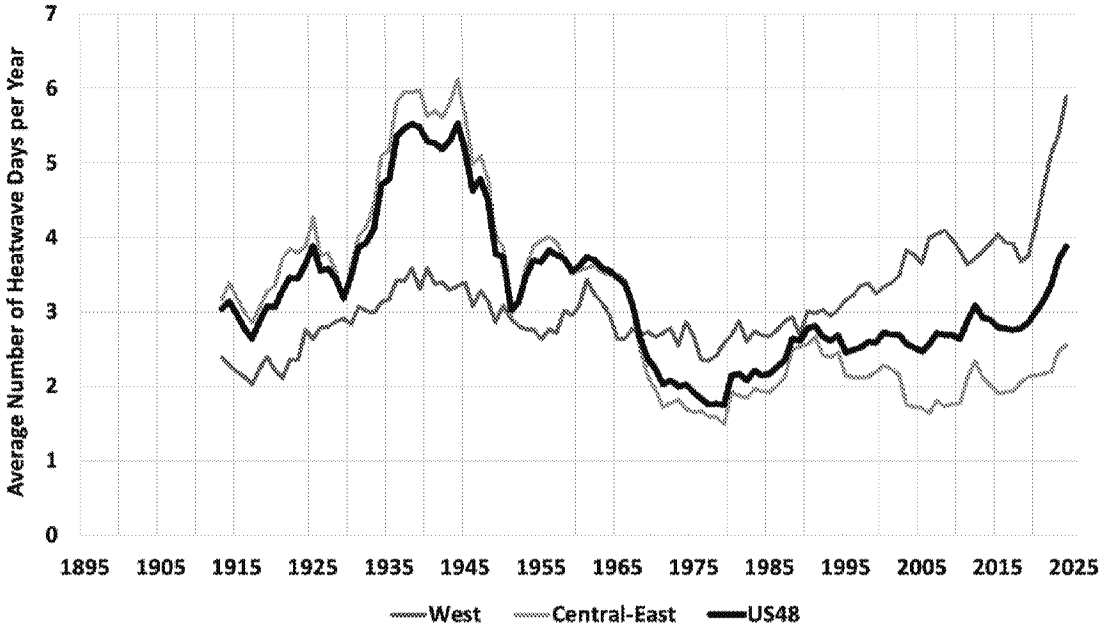


Figure 6.3.6 15-year trailing average of number of heatwave days per year per station in the CONUS (black line) and four regions: West (red), Central-east (green).

Figure 6.3.6 indicates that there are regional variations in heatwave activity. The excessive heat of the first half of the 20th century occurred primarily in the eastern two-thirds of the nation, while the West has seen a recent increase of heatwave days (NCA5). This indicates that the background warm season circulation favored heatwaves in the eastern portions of the country in the first half of the 20th century, but in the 21st century the patterns have favored heatwaves in the West. For CONUS as a whole, heatwaves are no more common today than they were a century ago, consistent with the upper panel of our Figure 6.4.1 taken from NCA4.

This metric varies significantly with region. The four northern regions (Pacific NW, Northern Plains, Upper Midwest, and Northeast) on average experience 15 to 27 heatwave days per 15-year period. In contrast, the five southern regions (Pacific Southwest, 4-Corners, Southern Plains, Ohio Valley and Southeast) see 37 to 54 such days, essentially twice as many. This suggests the summer circulation pattern is more prone to stationary events in the southern regions while transient systems in the northern regions are more common and thus cut short these potentially longer events.

TOO MUCH SPACE

The analysis of heatwaves is an example of why it is important to consider complete datasets and appropriate metrics. The NCA5 directs readers to the website [HYPERLINK

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"https://www.globalchange.gov/indicators/heat-waves"] (USGCRP 2023) to view a figure showing the number of urban heatwaves by decade from the 1960s, which we reproduce as Figure 6.3.7.

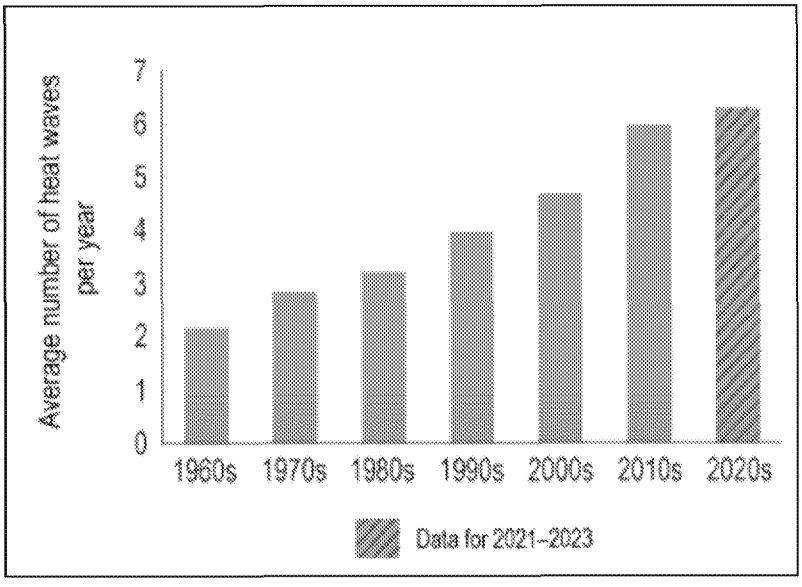

Figure 6.3.7: Average number of urban heatwaves per year for 50 large U.S. metropolitan areas. From [HYPERLINK "https://www.globalchange.gov/indicators/heat-waves"]

The figure shows a monotonic increase in each decade from 2 occurrences per year in the 1960s to 6 in the 2020s. The definition of a heatwave used is an unusual but practical measure of human discomfort - a period of at least 2 consecutive days when the *minimum* apparent temperature (combination of temperature and humidity) exceeds the 85th percentile. Note too, the dataset is limited to the 50 largest U.S. cities.

Given the unusual heatwave definition and urban focus, these increasing values since 1960 presented in USGCRP (2023) are not informative about long term trends or the influence of GHG emissions for at least two reasons. First, as shown in Figures 6.3.1 and 6.3.6, the 1960s was the coldest decade and 1970s the second coldest decade since the 1910's, so this starting date preconditions the time series to show increases. Second, post-1960 urbanization in these cities is a major factor in the rise of Tmin relative to co-located Tmax and relative to Tmin at nearby rural stations. This does not dismiss the real rise in nighttime temperatures in major U. S. cities and the societal impacts associated with these changes. However, we note for a variety of reasons, that summer Tmax (especially in rural areas) is a better metric for detecting changes in heatwaves influenced by changes in the background climate due, for example, to increasing GHGs (Christy et al. 2009). For the CONUS as a whole, the evidence in this section suggests GHG emissions have had little-to-no effect on heatwaves.

TOO MUCH SPACE

6.4 Extreme precipitation

The IPCC AR6 assessed that an increase in heavy precipitation has been observed in data starting in the 1950s.

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AR6: "The frequency and intensity of **heavy precipitation events have increased** since the 1950s over most land area for which observational data are sufficient for trend analysis (high confidence)." (SPM A3.2)

TOO MUCH SPACE

AR6: "In North America, there is robust evidence that the **magnitude and intensity of extreme precipitation has *very likely* increased** since the 1950s. Both [one-day maxima] and [5-day maxima] have significantly increased in North America during 1950-2018 "(Chapter 11, p. 1560)

TOO MUCH SPACE

The US National Climate Assessments (NCA4, NCA5) have highlighted an increase in the occurrence of the heaviest precipitation events (defined in different ways) primarily in the eastern half of the CONUS, especially the Northeast, when starting the analysis in either 1901 or 1958. Interestingly, the regional variations indicate that the largest increases in extreme precipitation events are in the Northeast and the smallest in the West, a pattern counter to the changes in temperature extremes.

McKitrick and Christy (2019) examined long-term and consistent station observations of extreme daily precipitation to test some of these NCA claims for the Southeast and West Coast using a trend model with a non-parametric variance estimator robust to the complex autocorrelation properties of precipitation data. When the time series were extended back in time (as far as 1872 in some cases) or when starting later (1978), there were no significant trends for either region.

These findings have been updated for this report with similarly constructed observations from 29 stations on the CONUS Pacific Coast (1893ff from San Diego CA to Blaine WA) and 24 stations in the humid Southeast (1872ff from Austin TX to Washington DC), also adding 27 stations in the Northeast (1888ff from Buffalo NY to Eastport ME). The locations are shown in Figure 6.4.2. The stations were selected on the basis of availability of long-term high-quality records. The regions are each associated with important features of extreme precipitation behavior. The Pacific coast is associated with landfalling atmospheric rivers. The NCA report has indicated that the Northeast has experienced the greatest increase in extreme events. The Southeast is also a place noted in the NCAs for increased extreme events.

Figure 6.4.2: Locations of precipitation monitoring stations used in this report. Orange: Pacific coast. Blue: Northeast. Green: Southeast.

The results of applying the analysis of McKitrick and Christy (2019) were as follows for each region, then followed by further explanation.

TOO MUCH SPACE

Pacific Coast heavy rainfall events

- Average precipitation trend is statistically significant (downwards) in Astoria OR; insignificant elsewhere.
- The trend in rainfall variance is positive and significant in Big Sur CA; insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Aberdeen WA and Big Sur CA and negative and significant in Newport OR (insignificant elsewhere).
- Averaged over all stations in the region, none of these three trend parameters is statistically significant.

The Pacific Coast receives considerable precipitation from Atmospheric River (AR) events which often last more than a day or two (e.g., Gershunov et al. 2017, Pan et al. 2024). The worst series of such events in recent history was the so-called ARkStorm that occurred between December 1861 and January 1862; it dumped nearly 10 feet of rain in parts of California and submerged the entire Central Valley for weeks under as much as 15 feet of water (Brewer 1930, Null and Hulbert 2007). Additionally, Paleoclimate research has found six megastorms more severe than 1861–1862 in California during the last 1800 years, occurring at intervals of 300 years or so (Porter et al. 2011).

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BOX: Perils of short data records

San Francisco provides a good case study of the limitation of using short historical samples to characterize natural variability of extreme events. Suppose we use a 130-year sample of daily San Francisco precipitation from 1895 to 2024 and we look for 3-day, 5-day, 14-day and 30-day rainfall records. The results are as shown in Table 7.2.

Event	Record (inches)	Year
3-day	6.94	2023
5-day	8.55	2023
14-day	12.62	2023
30-day	18.93	1998

Table 6.2: Extreme rainfall records, San Francisco, 1895-2024.

The records all cluster in the more recent years. 2023 appears to be an exceptional year and since it is near the end of the sample, it might suggest that the climate has shifted into a more hazardous state, perhaps as a result of human influences. [Note: "2023" indicates the event occurred in the water-year of Aug 2022 to Jul 2023.]

But the picture is very different if we use a sample that begins 45 years earlier, in 1850. Table 6.3 shows that the record-setting events all happened in the 1860s. Furthermore 2023 is now not even in 2nd place but falls to 3rd or 4th place. Also, comparing the records of the

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two charts the extreme precipitation events in 1862 and 1867 involved considerably more rainfall than the 1998 and 2023 events, with 14- and 30-day totals about 50 percent higher.

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Event	Record (inches)	Year	Rank of 130-yr extreme
3-day	8.85	1867	3
5-day	9.80	1867	3
14-day	19.05	1862	4
30-day	28.25	1862	2

Table 6.3: Extreme rainfall records, San Francisco, 1850-2024.

The range of natural variability is made even more remarkable when paleoclimate evidence is examined. Porter et al. (2011) discovered that in the past 1,800 years at least six megastorms were more intense than the devastating 1861-62 ArkStorm that struck the region. Evidently such extreme events, "unprecedented" in our 1895-2024 sample, have impacted the region about every 300 years, though not since 1895.

TOO MUCH SPACE

This example illustrates the limitations of using relatively short climate periods (~130 years) to assess the character and range of natural variability in general and of extreme events in particular. Accurate representation of the full range of natural variability is necessary for any attribution analyses (see 6.4). Infrastructure planners, emergency management institutions and attribution scientists would understand the significant mischaracterization of the magnitude of a future extreme if based only on the last 130 years. In this case, a single time-sample of 130 years provides an underestimation of the extreme value by up to 50% determined when adding only 45 more years of observations. Compared to millennial-scale paleoclimate evidence, an even greater underestimation would occur. An important lesson is that the climate can deliver great surprises on its own, even without human influences.

We examine occurrences of 5-day deluges as follows. Taking the Pacific coast as an example, a 130-year span contains 26 5-year intervals. At each location we computed the 5-day precipitation totals throughout the year and selected the 26 highest values across the sample. A single year may have more than one of the 26 heaviest. Each of those can be thought of as 1-in-5yr events. If there are no trends in precipitation, then the total number of these events across all stations should be evenly spread out over the years. In Fig. 6.5.3 we show the distribution in time of these events for the Pacific coast. The deluges associated with the massive 1997-98 El Niño event are readily apparent. While erratic, as is typical of such precipitation metrics, there is no indication of a tendency to become more frequent over time.

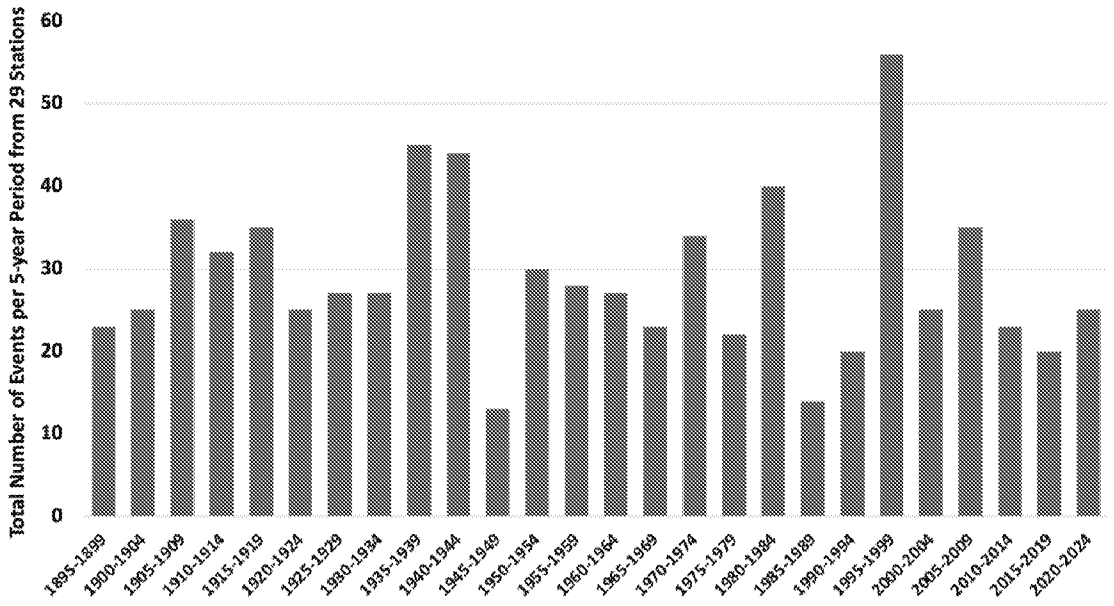

Figure 6.5.3. The time distribution by 5-year periods of the 26 heaviest (1-in-5 yr) occurrences for 29 stations on the Pacific coast.

Southeast heavy rainfall events

- The trend in average precipitation is positive and statistically significant in Mobile AL and Quitman GA, and insignificant elsewhere.
- The trend in rainfall variance is positive and significant in Mobile AL, insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Vicksburg MS and Norfolk VA, and insignificant elsewhere.
- Averaged over all stations in the region none of these three trend parameters is statistically significant

Figure 6.5.4 shows the same calculation for the last 150 years in the Southeast humid zone. The temporal pattern of 5-day totals of the 1-in-5yr heavy events is generally unremarkable, though a cluster of higher values appears in 1995 to 2019. The increase in those years is due largely to the 4 northeastern-most stations of Wilmington NC, Weldon NC, Washington DC, and Norfolk VA. This confirms the pattern indicated in NCA4 and NCA5 -- an increasing frequency of heavy events due to a temporal clustering of tropical storms from eastern NC to Maine discussed below. Otherwise, the remaining 20 stations show an unremarkable temporal distribution of heavy events.

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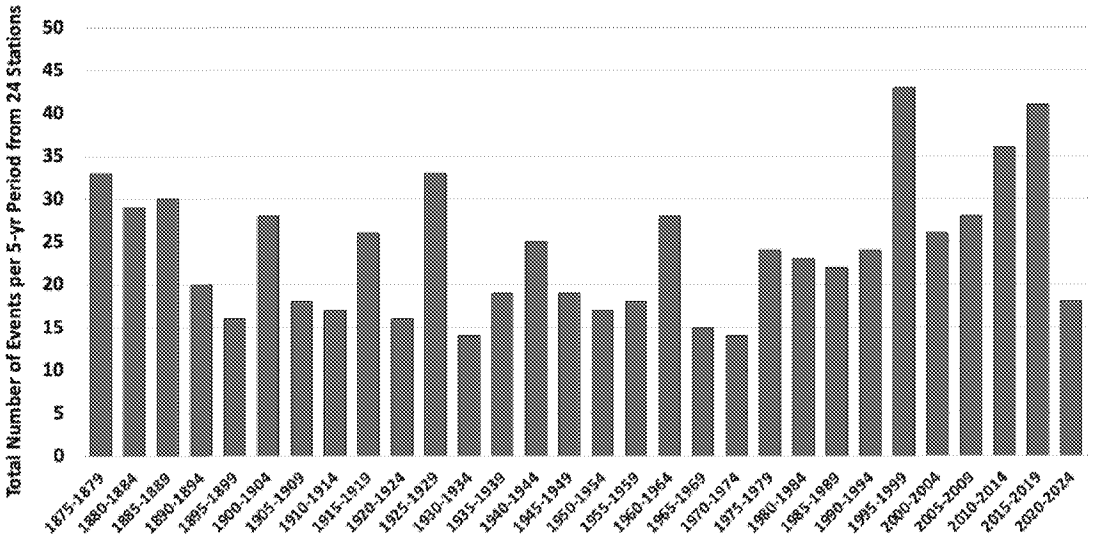

Figure 6.5.4. As in Fig. 6.5.3 but for the heaviest 30 (1-in-5yr) events for 24 stations in the humid Southeast from Austin TX to Washington DC in 5-year bins for 1875-2024.

Northeast heavy rainfall events

- The trend in average precipitation is positive and statistically significant in 12 of 27 locations and also in the regional average.
- The trend in rainfall variance is positive and significant in Portland ME, Albany NY, Buffalo NY and Eastport ME, but insignificant elsewhere.
- The trend in daily maximum precipitation is positive and significant in Portland ME, Gardiner ME and Eastport ME, but insignificant elsewhere.
- When averaged over all stations in the region, there is no statistically significant trend in either the precipitation variance or maximum

Fig. 6.5.5 shows the same calculation for the last 135 years in 21 stations in the Northeast (including Montreal Canada). We use 3-day totals here as this produced the largest temporal variations in time. In this region, 72% of events occur during June to October and are dominated by incursions of hurricanes, tropical storms, or tropical storms that transition to extratropical systems. According to NCA4 and NCA5 this region experienced the largest increases in extreme events, so it merits a closer examination.

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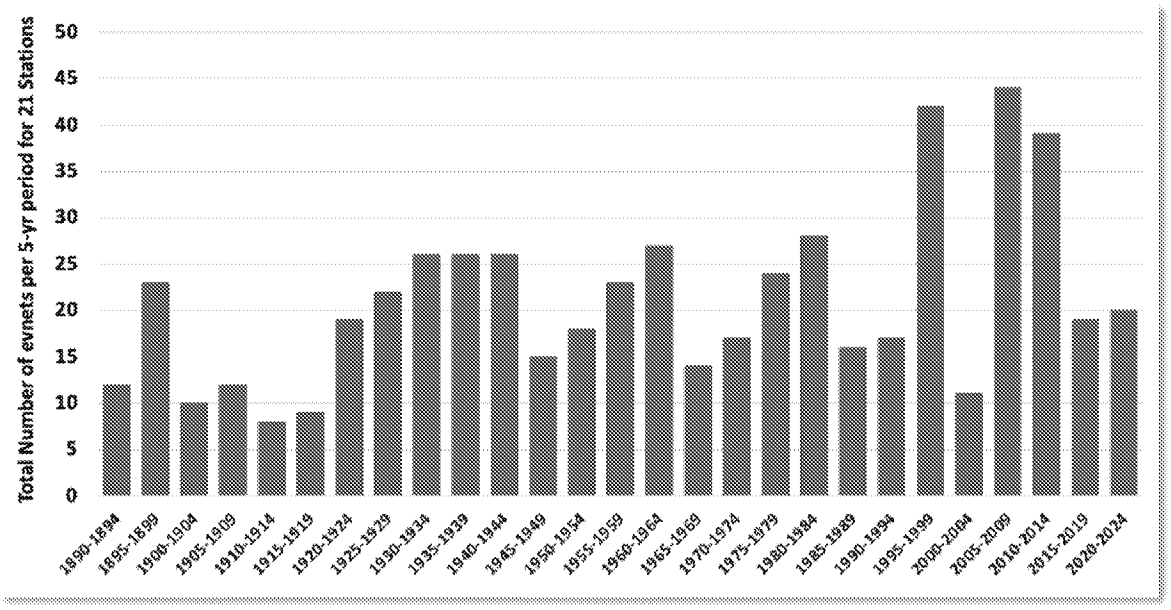

Figure 6.5.5. As in Fig. 6.5.3 but for the heaviest 27 (1-in-5yr) 3-day precipitation events for 27 Northeast stations from NY to ME, including Montreal.

There is a noticeable clustering of extreme events from 1995 to 2014. Howarth et al. (2019) examined a similar region as in Fig. 6.5.5 that includes PA and NJ and reported significant differences between two 18-year periods, 1979-96 and 1997-2014, of various precipitation extremes, including a 317% increase in 24-hr events exceeding 6 inches, while we find a 51% increase over the same years. However, Figure 6.5.5 shows that frequency drops sharply after 2014, returning to the long-term average in the subsequent 5 year intervals, again illustrating the perils of drawing conclusions from short-term trends in highly variable metrics.

Also the high percentage increase in the Howarth et al. sample is associated with small numbers at relatively few locations: there were only 6 in the first period and 25 in the second across 58 stations. Most of the stations did not record such an event. If there is a region-wide increase in heavy events, it should be seen in the average across all stations. Figure 6.5.6 shows the average precipitation in the 1-in-5 yr events across the NE. The trend is only +0.02"/decade. The highest amount, 1935-39, includes the Great New England Hurricane of 1938, one of the rare major (Category 3 or higher) hurricanes to strike the region. The results in Figs. 6.5.5 and 6.5.6 thus suggest that though there was a surge in the number of events at a few locations during the 1995 to 2014 interval, there was no regional pattern and the change did not persist beyond 2014.

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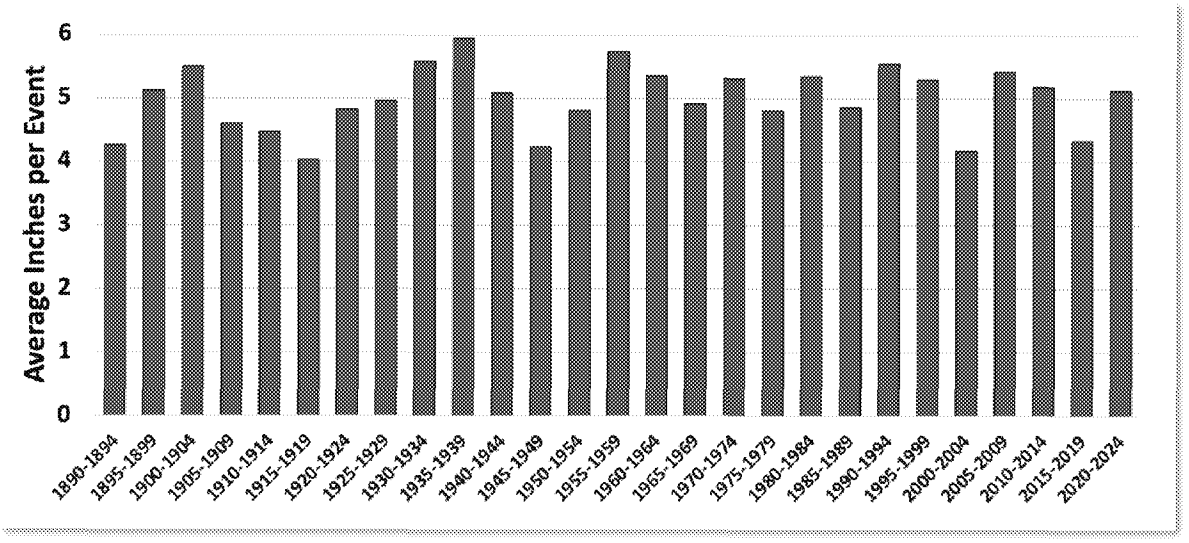

Figure 6.5.6. Average amount of precipitation falling in the 1-in-5yr events for the NE stations.

Jong et al. (2024) document the increase of tropical influences on precipitation events in the Northeast since 1959 and concluded, "The autumn extreme precipitation trend over the Northeast US is primarily attributed to tropical cyclone-related events since the 1990s." The question then becomes: was the temporal clustering of tropical systems in 1997-2014 which affected the Northeast a response to increasing GHGs? Jong et al. examined CMIP-6 model output which suggests that there will be fewer such systems in the 21st century but that the intensity of the rainfall events might increase. This conjecture is not seen in Fig. 6.5.6 where the amount-per-event has remained steady over the 135-year period.

There is some evidence to indicate the heaviest rainfall events may be redistributed due to the impact urban infrastructure has on the local weather (e.g., Pielke Sr. et al. 2011, Zhang et al. 2018, Yang et al. 2024). Yang et al. state, "Cities that experience compact development tend to witness larger increases in extreme rainfall frequency over downtown than their rural surroundings, while the anomalies in extreme rainfall frequency diminish for cities with dispersed development." While this is an important insight to consider, the effect on the specific stations used in this analysis is unknown, or at least not detectable in Fig. 6.5.5.

In summary, some regions show short-interval increases in extreme precipitation events, consistent with natural variability. But analysis of long term, nationwide historical records taking into account the autocorrelation properties of precipitation data does not support the claim that extreme short-duration rainfall events are becoming more frequent or intense.

6.5 Tornadoes

AR6 assesses tornado trends in the US as follows:

TOO MUCH SPACE

> [O]bservational trends in tornadoes, hail, and lightning associated with severe convective storms are not robustly detected due to insufficient coverage of the long-term observations. There is *medium confidence* that the mean annual number of tornadoes in the USA has remained relatively constant. (Chapter 11, section 11.7.3, p. 1594)

TOO MUCH SPACE

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The monitoring of weak tornadoes has changed over time. The growth of rural populations and the increasing ability to take video with hand-held devices has led to more frequent reports of weak tornadoes that produce minimal damage. In contrast, strong to violent tornadoes have been observed more consistently over time. Since statistics began in 1950, there has been a substantial decrease (by about 50%) in the number of strong to violent tornadoes as shown in Fig. 6.6.1a. Note that tornado strength is measured by the damage it produces, not by the visual appearance of the funnel. Limited real-time observational capabilities in earlier decades did not prevent identification because strong to violent tornadoes leave much more damage which will be assessed later even if the tornado itself was not observed.

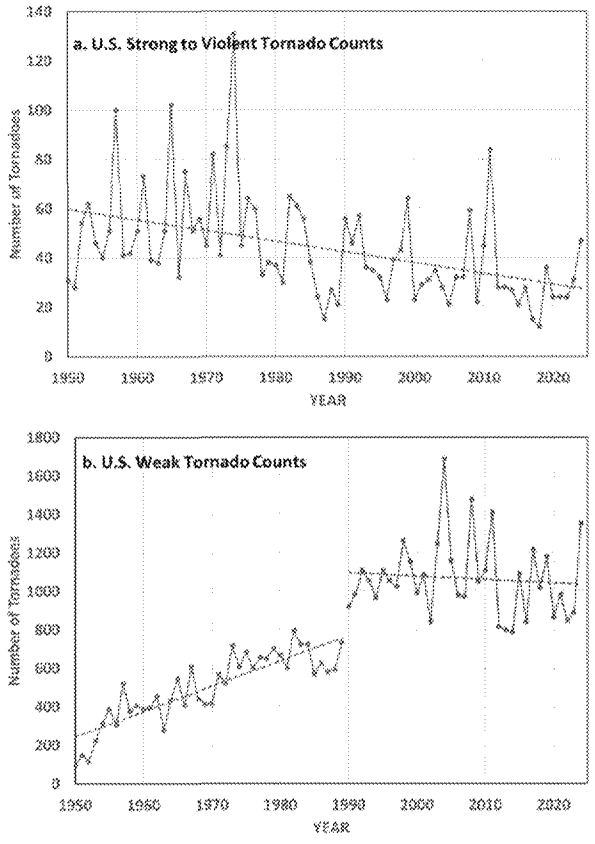

Figure 6.6.1. Annual U.S. tornado counts for (a) strong to violent tornadoes (EF3 to EF5), and (b) weak tornadoes (EF0 to EF2). Based upon NOAA Storms Prediction Center data, available at https://www.spc.noaa.gov/wcm/data/1950-2024_actual_tornadoes.csv

To summarize, there is a noticeable downward trend in the number of severe tornadoes in the U.S. since 1950. After 1990 the number of weak tornadoes in the U.S. has remained roughly constant; data before that are incomplete due to limited monitoring.

TOO MUCH SPACE

6.6 Flooding

Changes in floods were assessed as follows:

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AR6: "The SREX **assessed *low confidence* for observed changes in the magnitude or frequency of floods** at the global scale. This assessment was confirmed by the AR5 report. The SR15 found increases in flood frequency and extreme streamflow in some regions, but decreases in other regions. . . [H]ydrological literature on observed flood changes is heterogeneous, focusing at regional and sub-regional basin scales, making it difficult to synthesise at the global and sometimes regional scales." (Chapter 11.5)

TOO MUCH SPACE

AR6: "[T]he seasonality of floods has changed in cold regions where snowmelt dominates the flow regime in response to warming (*high confidence*). Confidence about peak flow trends over past decades on the global scale is ***low***." (Chapter 11.5)

TOO MUCH SPACE

NCA4: "Trends in extreme high values of streamflow are **mixed** across the United States. Analysis of 200 U.S. stream gauges indicates areas of both increasing and decreasing flooding magnitude **but does not provide robust evidence** that these trends are attributable to human influences" (pp. 240-241)

TOO MUCH SPACE

The absence of detectable US-wide trends in flooding is consistent with the findings in Section 6.5 of absence of coherent changes in extreme precipitation.

TOO MUCH SPACE

6.7 Droughts

Assessments of drought trends were as follows.

TOO MUCH SPACE

AR6: "**Few** AR6 regions show observed increases in meteorological drought" (Section 11.9, p. 1575),

TOO MUCH SPACE

AR6: **"Increasing trends in agricultural and ecological droughts have been observed on all continents** (*medium confidence*), but decreases only in one AR6 region (*medium confidence*). Increasing trends in hydrological droughts have been observed in a few AR6 regions." (Chapter 11 Summary)

TOO MUCH SPACE

NCA4: **"**As a consequence of this increased precipitation, **drought statistics over the entire CONUS have declined**." (p. 233)

TOO MUCH SPACE

NCA4: "Recent droughts and associated heat waves have reached record intensity in some regions of the United States; however, by geographical scale and duration, the Dust Bowl era

of the **1930s remains the benchmark** drought and extreme heat event in the historical record (very high confidence)." (p.231)

SREX: "From a paleoclimate perspective, **recent droughts are not unprecedented**, with severe 'megadroughts' reported in the paleoclimatic record for Europe, North America, and Australia." (p. 170)

ETC

As shown in Figure 6.8.1, US long term data shows an insignificantly declining trend toward extreme dryness (-0.001% per year)

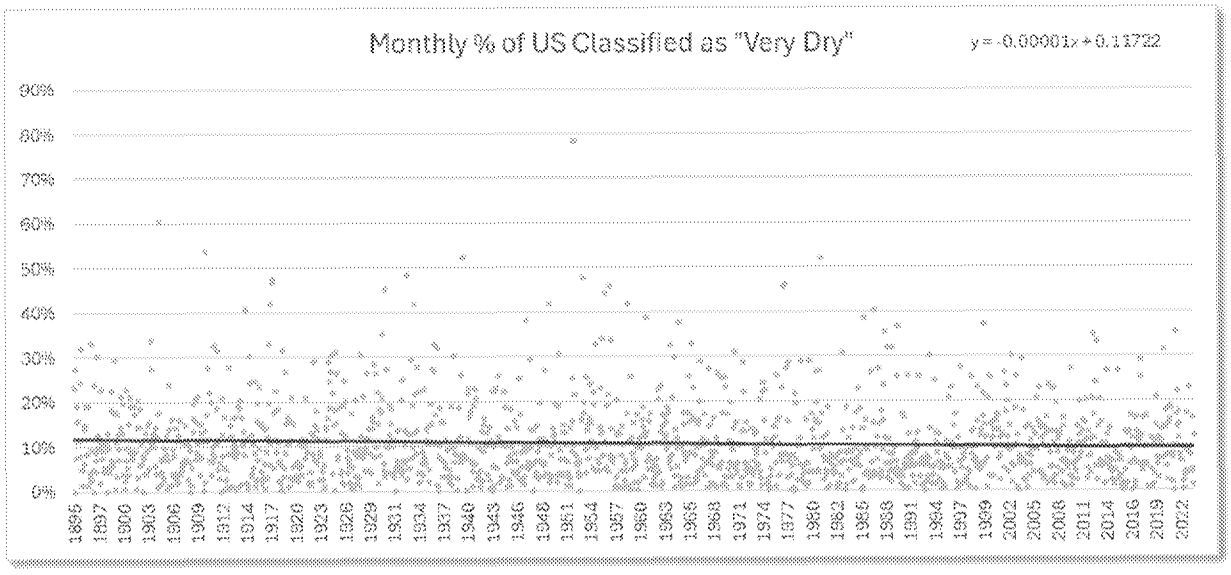

Figure 6.8.1: US dryness (%) 1895—2023. Source: NOAA [HYPERLINK "https://www.ncei.noaa.gov/access/monitoring/uspa/wet-dry/0"]

Kogan et al. (2020) examines a 38-year high-resolution satellite-based drought measure and concludes that global drought has not intensified and is not connected to climate change: "it is possible to state firmly that global and main grain countries' drought area and intensity trends have not been following global climate warming since 1980's."

In summary there is no evidence of increasing drought frequency or intensity in the U.S. or globally over recent decades.

TOO MUCH SPACE

6.8 Wildfires

The IPCC has not provided an assessment of wildfires. As shown in Figure 6.9.1, global wildfire activity as measured by European Space Agency shows a downward trend in the 21st century.

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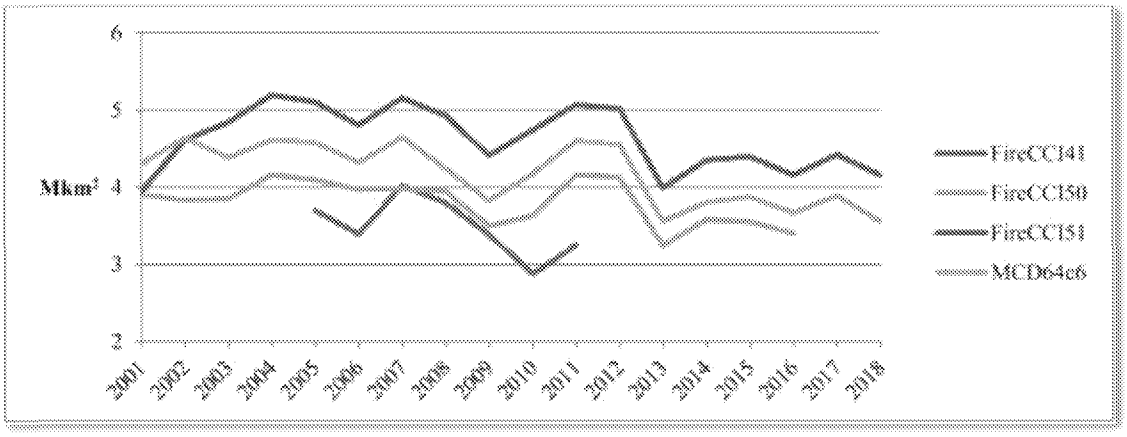

Figure 6.9.1: Global wildfire area 2001-2018. Source: From Lizundia-Loiola et al. (2021). The different coloured lines represent data products derived from different satellites and algorithms

Global data show that wildfire coverage is constant or declining on every continent (Samborska and Ritchie, 2024).

Active fire suppression since 1900 makes it difficult to establish a natural baseline for wildfire activity in the U.S. Paleoclimatic evidence indicates that past activity was much higher than today. Marlon et al. (2012) used sedimentary charcoal layers to reconstruct fire history of western US for the past 1400 years and also fit a model to predict fire activity as a function of climatic conditions. Their results are summarized in Figure 6.9.3 below (from Figure 2 in their paper). There has been a growing wildfire deficit over the 20th century. In other words, however much fire was observed in the 20th century, it was less than what would have been observed in previous centuries based on the climatic conditions. Parks et al. (2025) likewise find that despite the recent increase in wildfire burn area in North America, a significant wildfire deficit remains relative to historical wildfire regimes.

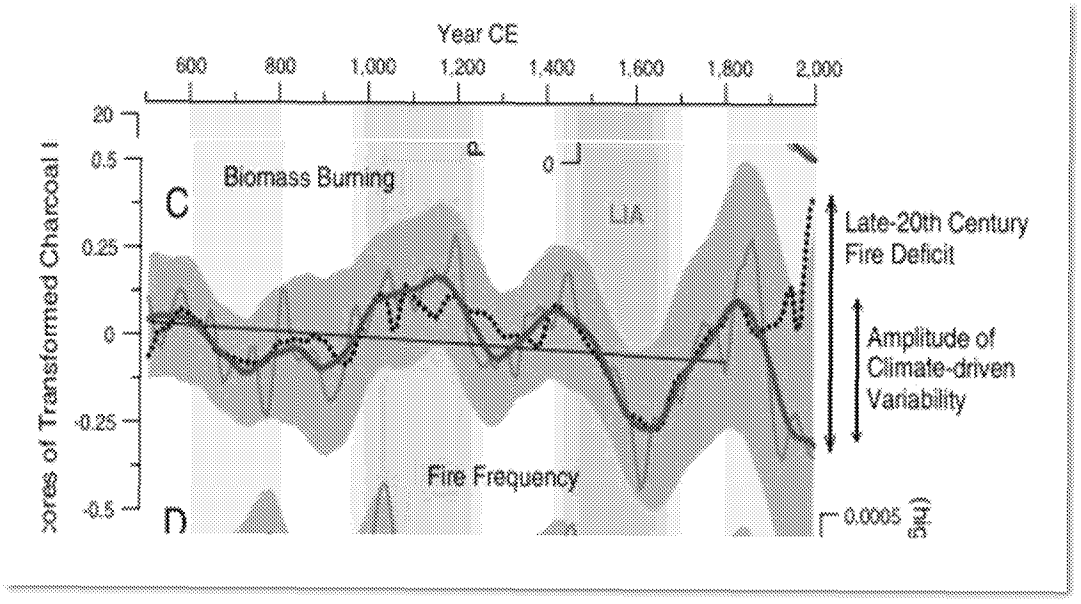

Figure 6.9.2 Fire frequency and fire deficit in the US. The red line shows the smoothed charcoal record and the black dotted line shows the predicted charcoal record based climatic condidions. model. Source: Copied from Marlon et al. (2012)

US data from the National Interagency Fire Centre (NIFC) from 1926 to 2023 are shown in Figure 6.9.2.

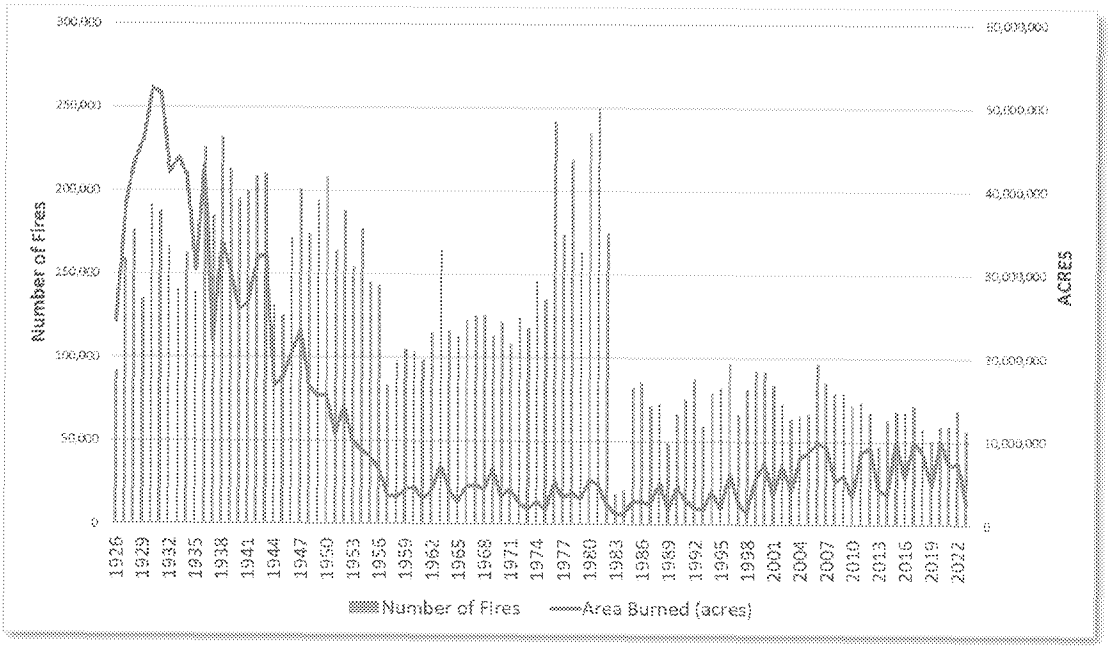

Figure 6.9.2: US wildfires 1926 to 2023. Source: Post-2018: National InterAgency Fire Center data

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Pre-2017 webarchive.org.

The NIFC has removed the pre-1960 data from its current website on the grounds that measurement methods changed after 1960 making the comparison unreliable. Nonetheless just focusing on the post-1985 interval the number of fires is not increasing. The area burned did increase but only until about 2007.

Forest fires have always been a part of nature, and they can certainly create conditions that are inhospitable in the short term for all life including humans. Science has confirmed the overall benefit and necessity of the occurrence of forest fires. While recent high-profile fires and seasons serve as a reminder of the potential destructive impact, the highest profile forest fire remains the 1910 Big Blowup fire which destroyed over three million acres including the elimination of entire towns like Taft, MT (Apple, 2020). The 1910 fire reshaped the U.S. Forest Service (National Forest Foundation 2022) leading to a focus on fire suppression with a primary goal to defeat all forest fires (Forest History Society, 2022). This led to the 10 am rule in 1935 requiring that all fires spotted on any day had to be controlled by 10 am the following day (National Forest Foundation, 2022).

While defeating all fires seemed a noble goal, questions began to arise as to whether this behavior "followed the science" (US Forest Service, 2022). Over time the U.S. Forest Service has begun to rethink its goals, recognizing that new approaches such as prescribed burns, fuel elimination, and controlled wildfires are more appropriate (Sommer, 2016). Recent research is validating this approach and recognizing that more frequent smaller fires likely result in the healthier forests, water ecosystems and biodiversity (Stephens et al., 2021).

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7 CHANGES IN SEA LEVEL

Chapter Summary

Since 1900, global average sea level has risen by about 8 inches. Sea level change along U.S. coasts is highly variable, associated with local variations in processes that contribute to sinking and also ocean circulation patterns. The highest levels of sea level rise along U.S. coasts are Galveston, New Orleans, and the Chesapeake Bay regions - each of these locations is associated with substantial local land sinking (subsidence) unrelated to climate change.

Extreme projections of global sea level rise are associated with an implausible extreme emissions scenario and inclusion of poorly understood processes associated with hypothesized ice sheet instabilities. In evaluating the IPCC AR6 projections to 2050 (with reference to the baseline period 1995-2014), almost half of the sea level rise has already occurred by 2025 and at a lower rate than predicted. US tide gauge measurements shown herein reveal no obvious acceleration beyond the historical average rate of sea level rise.

ITALICS

7.1 Global sea level rise

Global sea level rise is arguably the most important climate impact driver that is unambiguously associated with increasing temperatures. At the global level, warming raises sea level through thermal expansion of the volume of sea water and through melting of glaciers and ice sheets. Variations in land water storage are another important factor. At the regional scale, sea level change is influenced by large-scale ocean circulation patterns, and geologic processes and deformation from the redistribution of ice and water. Locally, vertical land motion from geologic processes, ground water withdrawal, and fossil fuel extraction are also important.

The IPCC AR6 estimates that global mean sea level increased by 7.9 (5.9–9.8) inches between 1901 and 2018, with the rate of sea level rise accelerating in recent decades. At the ocean basin scale, sea levels have risen fastest in the Western Pacific and slowest in the Eastern Pacific over the period 1993–2018 (Fox-Kemper et al., 2021). The rate of global sea level rise is estimated to be 0.12 inches/year (for reference, 0.12 in is the height of two stacked pennies) (NASA, 2020).

The observing systems for global sea level rise have advanced significantly in the satellite era, particularly with the advent of satellite altimeters since 1993. Local tide gauges have provided useful data for the past century, and even longer for a few locations. Following the end of the Little Ice Age in the mid-nineteenth century, tide gauges show that the global mean sea level began rising during the period 1820–1860, well before most anthropogenic greenhouse gas emissions.

Too much space

7.2 U.S. sea level rise

Observed and predicted rates of mean global sea level rise might have little scientific relevance for specific locations, owing to local processes (NOAA, 2025). Figure 7.1 shows that in Canada and Alaska (and also northern Washington), sea level is actually decreasing, owing to uplift from glacial rebound. Most of the Pacific coast tide gauges show rises between 0.12 inches/year, while largest U.S. rates are on the Gulf coast (Louisiana and Texas) and in the mid-Atlantic states (Chesapeake Bay region).

Measurements of relative sea level rise from tide gauges conflate the climatologically relevant increase in the volume of seawater with local vertical land motion. That latter, which varies from place to place, is

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best measured using a Global Positioning System (GPS) station located near the tide gauge. It is driven by a range of processes that can be comparable to the climate signal and can locally exacerbate (subsidence/sinking) or mitigate (uplift) the risk of sea level rise (Wöppelmann and Marcos, 2016). Human activities triggering subsidence are often significant. They include soil drainage (e.g. for urban development purposes) and subsurface extraction of groundwater, oil, or gas.

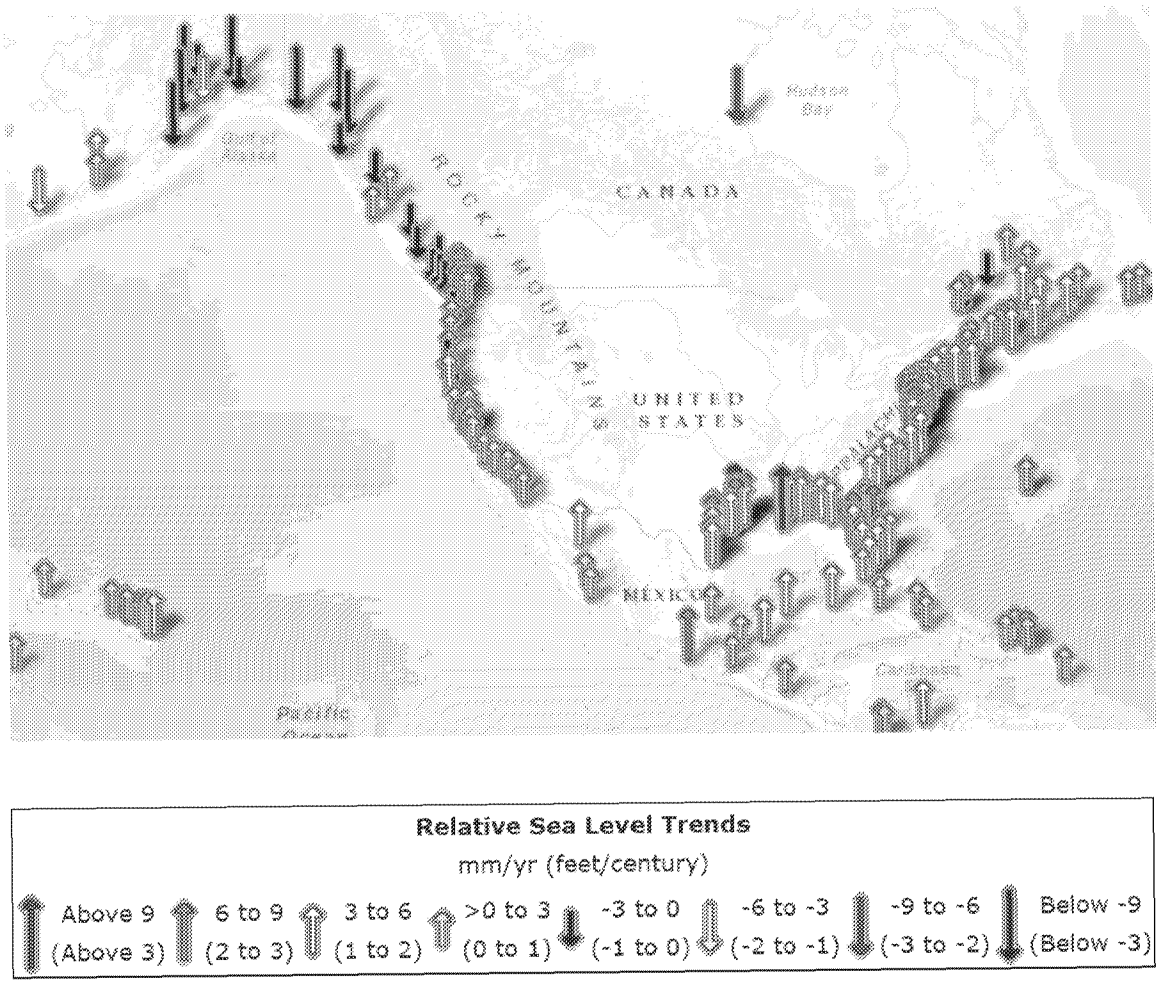

Figure 7.1. Map of rates of relative sea level rise along the U.S. coast (NOAA, https://tidesandcurrents.noaa.gov/sltrends/). For reference, 3 mm = 0.12 in.

Table 7.1 shows absolute sea-level rise (ASLR) for selected locations, determined from the sum of uncorrected sea-level rise as estimated from tide gauge time series (RSLR) (NOAA, 2025) and the vertical land motion (VLM) measurements (NAS, 2012; Letetrel et al., 2015; Karegar et al., 2016). The absolute sea level rise for each of these locations is significantly smaller than the measured relative sea level rise owing to local subsidence. More than half of the measured relative sea level rise is attributed to land sinking for these locations: San Francisco, Galveston, Grand Isle. For reference, the global average rate of absolute sea level rise is estimated to be 0.12 inches/year.

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REFORMAT TABLE

Location	RSLR		VLM	ASLR
San Francisco, CA	+0.08		-0.06	+0.02
Galveston, TX	+0.26		-0.19	+0.07
Grand Isle, LA		+0.36	-0.28	+0.08
St Petersburg, FL	+0.12		-0.02	+0.10
New York City, NY	+0.11		-0.05	+0.06

Table 7.1 Absolute sea level rise (inches/year) (Relative Sea Level Rise (RSLR) plus Vertical Land Motion (VLM))

San Francisco Bay

Over the past 100 years, relative sea level in the San Francisco Bay area has risen by 7.8 inches, at an average rate of 0.08 inches/year (Figure 7.2). As shown in Table 7.1, San Francisco's vertical land motion is -0.06 inches/year (sinking), producing a recent absolute rate of +0.02 inches/year. Portions of Treasure Island, San Francisco International Airport, and Foster City are sinking as fast as 0.4 inches/year (Shirzaei and Bürgmann, 2018). Problems in the San Francisco Bay area, including threats to the airport, have been caused primarily by soil compaction in landfill zones that were formerly wetlands, not by the slow creep of global sea level rise.

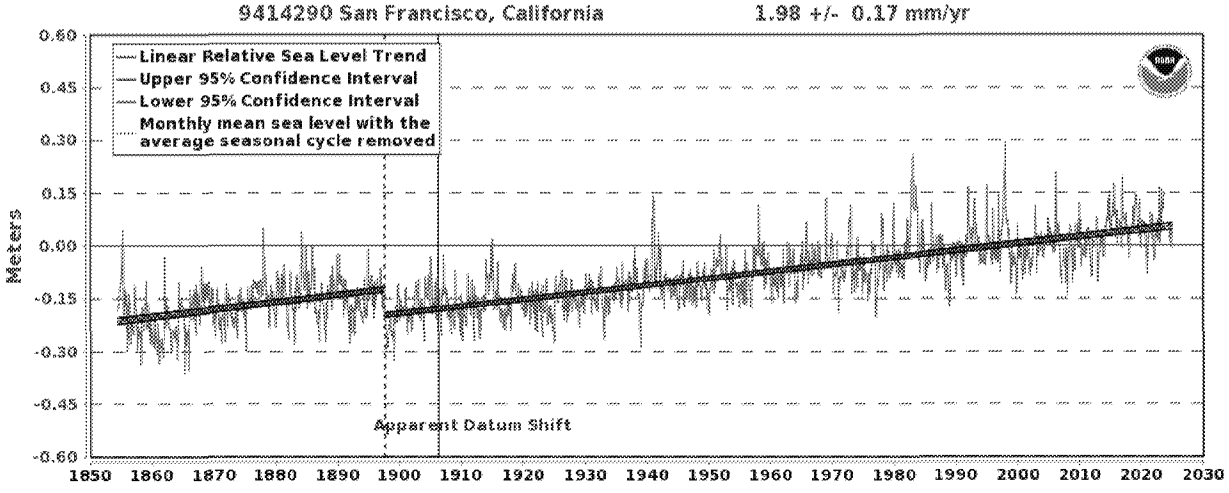

Figure 7.2. Tide gauge measurements at San Francisco, California, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=9414290 (downloaded 4/22/25).

Galveston - Houston

Long-term tide measurements at Galveston Pier 21 show sea level rise of 2.18 feet in the past century, or a rate of 0.26 inches/year (Figure 7.3). Vertical land motion (subsidence) at Galveston is estimated at -0.19 inches/year, yielding an absolute rate of rise of +0.07 inches/year (Table 7.1). The U.S. Geologic Survey found that most of the land-surface subsidence in the Houston-Galveston region is a direct result of groundwater withdrawals (Kasmarek and Ramage), which caused compaction of the aquifer sediments, mostly in the fine-grained silt and clay layers. By 1979, as much as 10 feet of subsidence had occurred in Houston.

Hurricanes have been the major concern in the region. The 1900 hurricane that struck Galveston and killed between 6,000 and 12,000 people is still considered the deadliest U.S. natural disaster (Weems, 2016). Hurricane Ike (2008) decimated large portions of the Bolivar Peninsula, literally removing significant portions of the land mass (UCS, 2017). While only 17 people were killed in Texas, property damage exceeded $50 billion (White, 2017). In 2017, Hurricane Harvey struck the region, bringing catastrophic rainfall-triggered flooding that inflicted over $125 billion in damages (NOAA, 2025).

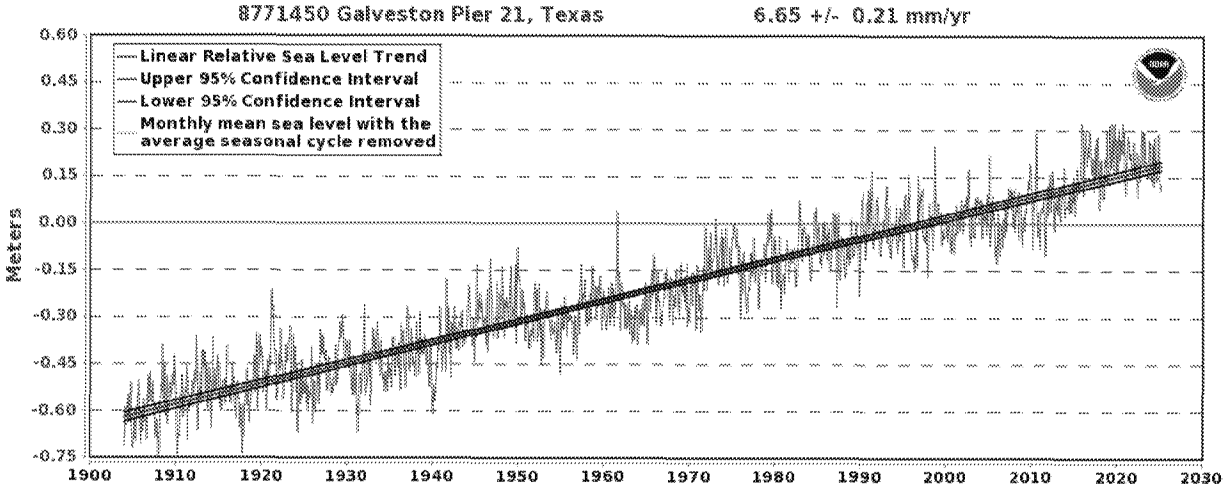

Figure 7.3. Tide gauge measurements Galveston Pier, TX, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8771450 (downloaded 4/22/2025).

TOO MUCH SPACE

New Orleans and the Mississippi delta

Long-term tide gauge measurements at Grand Isle, Louisiana, show that sea level has risen by slightly more than 3 feet over the last 100 years at an average rate of 0.36 inches/year (Figure 7.4). Vertical land motion (subsidence) is estimated -0.28 inches/year. Table 7.1 gives the absolute sea level rise as +0.08 inches/year.

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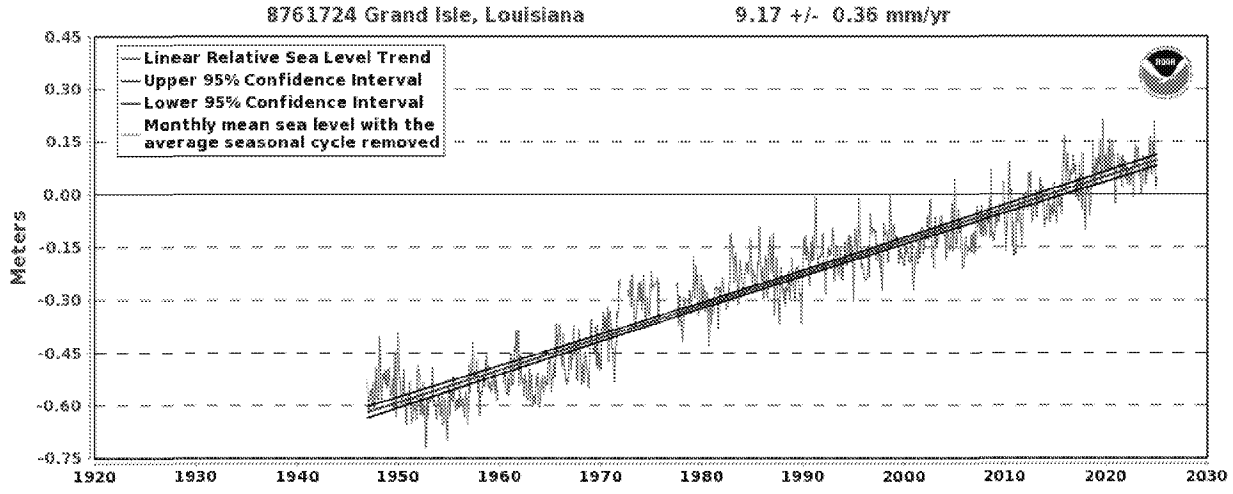

Figure 7.4. Tide gauge measurements at Grand Isle, LA, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8761724 (downloaded 4/22/25).

TOO MUCH SPACE

The issues of sea level rise and land loss in the Mississippi Delta region are complex, with geological subsidence and the decline in sediment transported by the Mississippi river being the dominant drivers. The construction of dams in the basin since the 1950s has decreased the Mississippi's suspended sediment load by ~50% (Maloney 2018). A new subsidence map of coastal Louisiana finds the coastal region to be sinking at about one third of an inch per year (Neinhuis et al. 2017), associated with groundwater and resource withdrawal. As the city's elevation averages one to two feet *below* sea level, sea level rise from anthropogenic warming is hardly the dominant driver of New Orleans' problems.

New York City

New York City is particularly vulnerable to the effects of sea level rise because it is built primarily on islands and has 520 miles of coastline. Measurements by a tide gauge at the southern tip of Manhattan (The Battery) show that relative sea level has risen over 11 inches over the past century, at an average rate of 0.11 inches/year (Figure 7.5). But vertical land motion in the New York City area is -0.05 inches/year (roughly 5 inches per century), so that the absolute rate of sea level rise at The Battery is 0.06 inches/year, or about 55% of the measured relative sea level rise.

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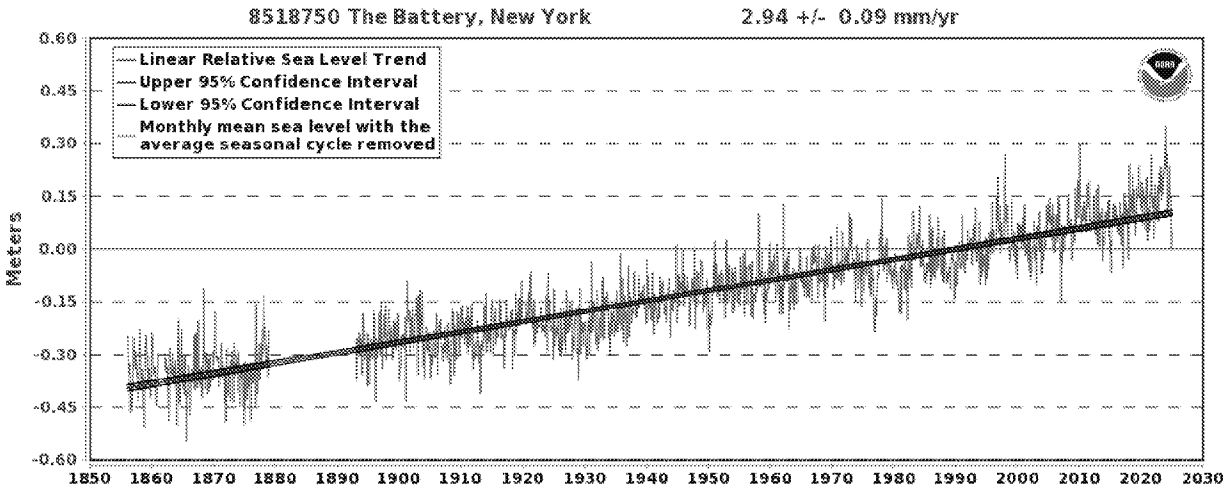

Figure 7.5. Tide gauge measurements at The Battery, New York, obtained from NOAA - https://tidesandcurrents.noaa.gov/sltrends/sltrends_station.shtml?id=8518750 (downloaded 4/22/25).

TOO MUCH SPACE

Following Superstorm Sandy, New York developed a comprehensive plan: [HYPERLINK "http://s-media.nyc.gov/agencies/sirr/SIRR_singles_Hi_res.pdf"] (CNY,2013). A broad range of coastal protection measures are outlined that match the risks facing the various zones: increase coastal edge elevations, minimize upland wave zones, infrastructure to protect against storm surge, improve coastal design and governance, restore estuaries wetlands, coastal nourishment, site elevation, and drainage systems.

TOO MUCH SPACE

7.3 Projected sea level rise

The concern over sea level rise is not about the roughly 8 inches of global rise since 1900. Rather, it is about projections of *accelerated* rise based upon simulations of a warming climate through the 21st Century.

The IPCC AR6 finds *high agreement* across published global mean sea level projections for 2050 with little sensitivity to emissions scenarios. Considering only projections incorporating ice-sheet processes in whose quantification there is at least *medium confidence*, the global sea level projections for 2050, across all emissions scenarios, fall between 3.94 and 15.75 inches (5th–95th percentile *very likely* range) relative to the 1995–2014 baseline period (Fox-Kemper et al., 2021).

Conversely, AR6 states there is *low agreement* across published global mean sea level projections for 2100, particularly for higher emissions scenarios. Considering only projections representing ice sheet processes in whose quantification there is at least *medium confidence*, the AR6 global mean sea level projections for 2100 lie between 7.87 and 39.37 inches (5th–95th percentile *very likely* range) under the medium emissions scenario SSP2–4.5 (Fox-Kemper et al., 2021). There is deep uncertainty surrounding projections of sea level rise to 2100 owing to uncertainties in ice sheet instatilibites, particularly for the higher emissions scenarios.

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In evaluating the IPCC AR6 projections to 2050 (with reference to the baseline period 1995-2014), almost half of the sea level rise has already occurred by 2025. From the tide gauge measurements in Figures 7.2-7.5, there is no obvious acceleration in sea level rise beyond the historical average rate of sea level rise.

In February 2022, NOAA issued its projections of sea level rise for various sites along the US coast (Sweet et al, 2022). They claim that by 2050, the sea will have risen one foot at The Battery in Manhattan. A one foot rise in thirty years would be more than twice the current rate and about three times the average rate over the past century. In that historical context, NOAA's projection is remarkable—as shown in Figure 7.6, it would require a dramatic acceleration beyond anything observed in the ~~early 20th century~~ historical record. But even more noteworthy is that they say this rise is "locked in"—it will happen no matter what future emissions are. We should know in a decade or so whether that prediction has legs.

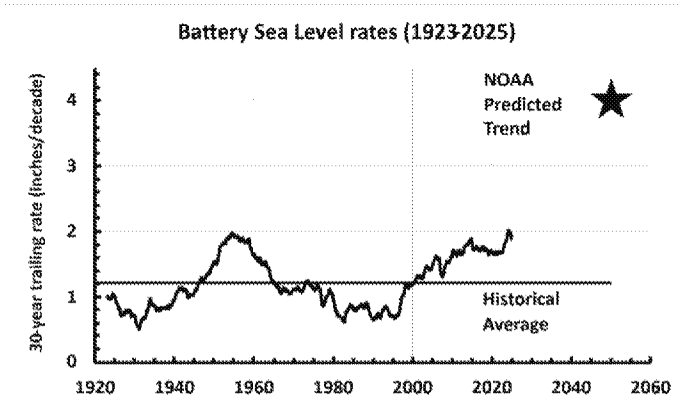

Figure 7.6 Rate of sea level rise at the Battery in Manhattan. Shown is the historical thirty-year trailing trend, together with the allegedly "locked in" NOAA predicted trend for 2050. Historical data: NOAA Tides and Current.

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8 UNCERTAINTIES IN CLIMATE CHANGE ATTRIBUTION

Chapter summary

"Attribution" refers to determining cause regarding some aspect of climate change, specifically with reference to anthropogenic activity. There is an ongoing scientific debate around attribution methods, particularly regarding extreme weather events. Attribution is made difficult by high natural variability, the relatively small expected anthropogenic signal, lack of high-quality data, and reliance on deficient climate models. The IPCC has long cautioned that methods to establish causality in climate science are inherently uncertain and ultimately depend on expert judgement.

Substantive criticism of the main IPCC assessments of the role of CO_2 in recent warming focus on inadequate assessment of natural climate variability, uncertainties in measurement of solar variability and aerosol forcing, and problems in the statistical methods used for attribution.

The IPCC does not in general make attribution claims for most climate impact drivers related to extreme events. Statements related to statistics of global extremes (e.g. event probability or return times, magnitude and frequency) are not generally considered accurate owing to data limitations and are made with low confidence. Attribution of individual extreme weather events is challenging due to their rarity. Conflicting claims about the causes of the 2021 Western North America Heatwave illustrate the perils of hasty attribution claims about individual extreme events.

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8.1 Introduction

The Intergovernmental Panel on Climate Change (IPCC) distinguishes between detection of climate change and attribution of its cause. As defined by the IPCC AR6 WGI Glossary (IPCC, 2025):

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> *Detection*:"Detection of change is defined as the process of demonstrating that climate or a system affected by climate has changed in some defined statistical sense, without providing a reason for that change. An identified change is detected in observations if its likelihood of occurrence by chance due to internal variability alone is determined to be small, for example, <10%."
>
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>
> *Attribution:* "Attribution is defined as the process of evaluating the relative contributions of multiple causal factors to a change or event with a formal assessment of confidence."

PARA Both steps rely on statistical analysis. Detection focuses on whether changes are significant enough to stand out from random variation regardless of cause. Attribution involves comparison of observed events to model-generated counterfactuals. Since experimentation on the climate is not possible, attribution requires statistical inference to assess how much human activities, such as GHG emissions, versus natural factors, like volcanic eruptions, contribute to observed changes. Attribution methods assume all external and internal drivers of the system are known and represented.

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There are ongoing scientific debates around attribution methods, especially those for attributing extreme weather events to climate change. The IPCC has long cautioned that methods to establish causality in climate science are inherently uncertain and ultimately depend on expert judgement. AR4 Working Group I (Hegerl et al., 2007) explained it as follows:

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> 'Attribution' of causes of climate change is the process of establishing the most likely causes for the detected change with some defined level of confidence... unequivocal attribution would require controlled experimentation with the climate system. Since that is not possible, in practice attribution of anthropogenic climate change is understood to mean demonstration that a detected change is 'consistent with the estimated responses to the given combination of anthropogenic and natural forcing' and 'not consistent with alternative, physically plausible explanations of recent climate change that exclude important elements of the given combination of forcings' (IPCC, 2001)… The approaches used in detection and attribution research described above cannot fully account for all uncertainties, and thus ultimately expert judgement is required to give a calibrated assessment of whether a specific cause is responsible for a given climate change.

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AR5 Working Group II (Cramer et al., 2014) makes the following statement:

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> "Two broad challenges to the detection and attribution of climate change impacts relate to observations and process understanding. On the observational side, high-quality, long-term data relating to natural and human systems and the multiple factors affecting them are rare. In addition, the detection and attribution of climate change impacts requires an understanding of the processes by which climate change, in conjunction with other factors, may affect the system in question."

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Because of the complexity of the causal chains in the climate system, investigation of these relationships is exceptionally challenging.

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8.2 Attribution methods

The IPCC employs several attribution methods to assess the causes of observed climate changes, distinguishing between natural and human-induced factors. Below is a concise description of the key methods that have been used by the IPCC.

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Optimal Fingerprinting uses linear regression to explain variations in observed climate data as a weighted sum of climate model simulations run with and without anthropogenic forcings. The data used in the regression model are weighted to try to minimize the influence of random noise and the estimation method is chosen to take account of climate model error.

Time Series Analysis exploits statistical differences between anthropogenic forcing and natural variability to see which dominates observed temperatures, and also uses variations in the timing of changes to determine if causal dependence across data series can be inferred.

Process-Based Attribution focuses on understanding the physical mechanisms behind observed changes. This approach combines observations, climate models, and theoretical understanding to attribute changes in specific processes to forcings. This approach is often used for regional climate phenomena, such as monsoon changes or polar amplification

Extreme Event Attribution assesses in the role of human influence in the likelihood of occurrence or intensity of extreme weather events (e.g. heat waves, droughts). Methods include:

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- Probabilistic Event Attribution uses large ensembles of climate model simulations to compare observed events to model-generated counterfactuals
- Storyline Approach examines the physical processes driving an event and evaluates how anthropogenic forcings might have modified those processes

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8.3 Attribution of global warming

Attribution statements for global warming in the three most recent IPCC Assessment reports are:

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> AR4: "Most of the observed increase in global average temperatures since the mid-20th century is *very likely* due to the observed increase in anthropogenic greenhouse gas concentrations." (IPCC 2007)
>
> AR5: "It is *extremely likely* that more than half of the observed increase in global average surface temperature from 1951 to 2010 was caused by the anthropogenic increase in greenhouse gas concentrations and other anthropogenic forcings together. The best estimate of the human- induced contribution to warming is similar to the observed warming over this period." (IPCC 2013)
>
> AR6: "The *likely* range of total human-caused global surface temperature increase from 1850–1900 to 2010–2019 is 0.8°C to 1.3°C, with a best estimate of 1.07°C. It is *likely* that well-mixed GHGs contributed a warming of 1.0°C to 2.0°C, other human drivers (principally aerosols) contributed a cooling of 0.0°C to 0.8°C, natural drivers changed global surface temperature by –0.1°C to +0.1°C, and internal variability changed it by –0.2°C to +0.2°C. It is *very likely* that well-mixed GHGs were the main driver of tropospheric warming since 1979." (IPCC 2021)

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The AR4 and AR5 attribution statements reference the warming since the mid-20th century, the period when greenhouse gas emissions began rapidly increasing. The words "most" and "more than half" are deliberately imprecise, potentially ranging from 51 to 99% of the warming – presumably this imprecision is to account for structural uncertainties such as natural internal variability. The confidence level increases from *very likely* to *extremely likely* from AR4 to AR5. The structure of the attribution statement in the AR6 is fundamentally different, referencing the warming to the period 1850-1900. The AR6 attribution statement is more precise numerically, but with a lower level of confidence at "likely" – the AR6 attributes essentially all the warming to increases in greenhouse gases. The most confident statement from the AR6 relates to the tropospheric warming since 1979, using the words "main driver" and "*very likely*."

There are three areas of substantive criticism of the IPCC's assessment of the causes of the recent warming: inadequate assessment of natural climate variability, inappropriate statistical methods, and substantial discrepancies between models and observations. The last is discussed in Chapter 6, while this chapter discusses the first two factors. All of these criticisms are relevant to the IPCC's policy-informing attribution of the recent warming, which also underpins extreme event attribution

8.3.1 Natural climate variability

IPCC AR6 states that natural external drivers since 1850-1900 have changed global surface temperature by –0.1°C to +0.1°C, and internal variability has changed it by –0.2°C to +0.2°C – on average having essentially no net impact on the warming since 1850-1900. As discussed below, this minimal contribution

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of natural variability has been disputed by several publications that question the magnitudes of solar variability and internal variability from large-scale ocean circulation.

Solar variability

IPCC AR5 concluded that the best estimate of radiative forcing due to Total Solar Irradiance (TSI) changes over the period 1750–2011 was very small (0.05 W/m^2, Myrhe et al., 2014). IPCC AR6 acknowledges a much larger range of estimates of changes in TSI over the last several centuries, stating that the TSI between the Maunder Minimum (1645–1715) and the second half of the twentieth century increased by 0.7–2.7 W/m^2, a range that includes both low and high variability TSI data sets (Gulev, 2021). However, the recommended forcing dataset for the CMIP6 climate model simulations used in AR6 for attribution studies averages two data sets with low solar variability (Matthes, 2017).

While AR6 shows a substantially greater solar impact than does AR5, the overall impact on the climate was still assessed to be small compared to anthropogenic forcing of climate change. However, the impact of solar variations on the climate is uncertain and subject to substantial debate - something that is not evident from the IPCC assessment reports (Lockwood, 2012; Connolly et al., 2021).

The change of TSI over time remains a challenging problem.. Since 1978, there have been direct measurements of TSI from satellites. However, the data exhibits non-negligible inconsistencies, and interpreting any multi-decadal trends in TSI requires comparisons of observations from overlapping satellites. There are several rival composite TSI datasets, disagreeing as to whether TSI increased or decreased during the period 1986–96 (the ACRIM gap; see Chapter 4). Further, the satellite record of TSI is used to calibrate proxy models, whereby past solar variations are inferred from sunspots and cosmogenic isotope measurements (Velasco Herrera et al., 2015).

There is substantial evidence for high solar activity in the second half of the 20th century (starting in 1959) and extending into the 1990's, before a decline in the early 21st century; this period is often termed the "Modern Maximum." (Chatzistergos et al., 2023; Solanki et al., 2004; Usoskin et al., 2007). However, some scientists have concluded that it is not possible to be confident of any multi-decadal trend in TSI (Schmutz, 2021).

This uncertainty causes some reconstructions of TSI from 1750 to have low variability (implying a very low impact of solar variations on global mean surface temperature) whereas datasets with high TSI variability can explain more than 70% percent of the temperature variability since preindustrial times (Scafetta, 2013; Stefani, 2021). The choice of TSI satellite record used in an analysis can therefore substantially influence how much climate change is attributed to human versus natural forcings.

There is growing evidence that other aspects of solar variability, which are referred to as solar indirect effects, either amplify TSI forcing or are independent of TSI forcing. Scafetta et al. (2023) suggests that ~80% of solar influence on climate may stem from non-TSI mechanisms. There are numerous candidate processes, including solar ultraviolet changes; energetic particle precipitation; atmospheric-electric-field effect on cloud cover; cloud changes produced by solar-modulated galactic cosmic rays; large relative changes in the magnetic field; and the strength of the solar wind. Such solar indirect effects are not included in climate models, although indirect methods of estimating their impacts suggest they are significant. However, claims of non-TSI mechanisms influencing climate are uncertain and debated.

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Natural variability of large-scale ocean circulations

Variations in global mean surface temperature are linked to recurrent large-scale variations in ocean circulation patterns, including the Atlantic Multidecadal Oscillation (AMO), the Pacific Decadal Oscillation (PDO) and the El Nino-Southern Oscillation (ENSO). These circulations influence ocean heat

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uptake and heat distribution, and also influence atmospheric circulation patterns and cloud distributions. There is some debate as to whether these variations are strictly internal to the climate system, or whether this variability can have a solar/astronomical origin or can be influenced by large volcanic eruptions.

While climate models simulate the large-scale ocean circulations and internal climate variability, most models show have too little amplitude compared to observations at multi-decadal frequencies and phasing out-of-sync with the observed climate (Kravtsov et al. 2024). Averaging multiple simulations effectively averages out the internal variations, leaving only the forced climate variability (e.g., CO_2 forcing). With most of the modern warming beginning in the late 1970's, the recent 50-year warming is on the same time scale as the multi-decadal oscillations of the AMO and PDO.

Here are summary statements from the IPCC AR5 and AR6 reports:

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> "Decadal variability in the Pacific, associated with the PDO or IPO [Interdecadal Pacific Oscillation], contributes significantly to regional and global temperature trends, but the relative contributions of internal variability and external forcing are difficult to disentangle in CMIP5 simulations." (IPCC, 2013)

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> "Since AR5, there has been increased understanding of the role of internal variability, such as ENSO, PDO, and AMO, in modulating regional climate trends. However, limitations in simulating the exact timing and amplitude of these modes in CMIP6 models contribute to uncertainties in attributing observed changes to anthropogenic forcing (*high confidence*)." (IPCC, 2021)

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> The amplitude of the peak-to-trough impact of the multi-decadal oscillations on global temperatures has been assessed by the IPCC AR6: "The likely range of change due to internal variability is -0.2°C to +0.2°C (IPCC, 2021)." This implies a trough-to-peak change of 0.4°C. Over many centuries, any global temperature changes from the troughs and peaks will cancel out, with little to no net impact.

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However, with a nominal timescale of 60-80 years for oscillations such as the AMO and PDO, the timing of the peaks and troughs can be confused with the secular trend. This becomes very relevant for attributing the warming for the past 50 years, when most of the recent warming has occurred. Even if climate models have the correct amplitude of the multidecadal oscillations, the timing of the peaks and troughs is not adequately simulated, with each model and individual ensemble simulating a different phasing. Once the simulations of the ensemble members and different models are averaged, the natural internal variability contribution is averaged out, effectively cancelling the role of natural internal variability in the attribution process.

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The time series of global surface temperature anomalies since 1850 (Figure 8.1) shows irregular variations of significant amplitude against the background of an overall warming trend and, after 1976, a pronounced difference in trends between the Northern Hemisphere and the Southern Hemisphere. The period between 1905-1945 shows a strong trend of warming. The following thirty years from 1945-1976 showed slightly declining temperatures. The most recent warming period started in 1977.

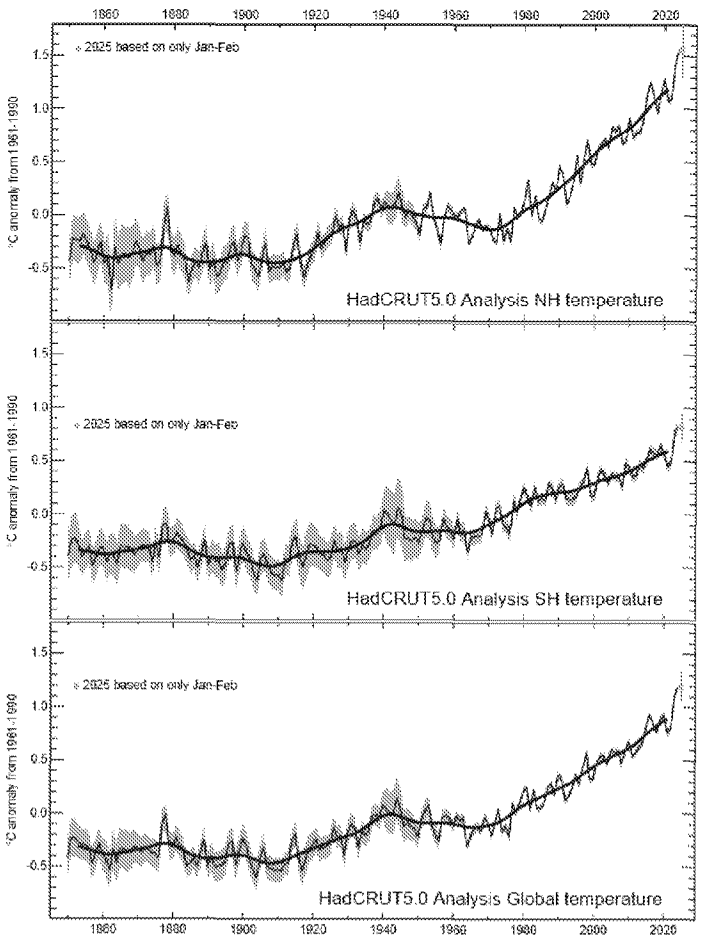

Figure 8.1 Global average surface temperature anomalies 1850—2025. Top: Northern hemisphere. Middle: Southern hemisphere. Bottom: Global average. Source: UK Hadley Centre [HYPERLINK "https://crudata.uea.ac.uk/cru/data/temperature/HadCRUT5.0Analysis.pdf"]

The causes of the early 20th century warming are discussed by Hegerl et al. (2017; 2019). The atmospheric CO_2 concentration increased from 298 ppm in 1905 to 310 ppm in 1941; hence this implies that CO_2 had little impact on the warming. Volcanic activity during this period was very low, and solar forcing is uncertain. Hegerl et al (2017) somehow inferred that 40-54% of this warming could be attributed to external forcing, with the rest associated with internal variability. Bronniman et al (2024) focused on the causes of the cooling in the first decade of the 20th century in the Southern Hemisphere. They found that the cooling was related to a La-Niña-like pattern in the Pacific, a cold tropical and subtropical South Atlantic, a cold extratropical South Pacific, and cool southern midlatitude land areas. The Southern Annular Mode was positive, with a strengthened Amundsen–Bellingshausen seas low, although the spread of the data products is considerable.

The warming in the 1930's and subsequent cooling during mid century was particularly pronounced in the Arctic. Bokuchava and Semenov (2021) find that most likely these variations were caused by a combined effect of long-term natural climate variations in the North Atlantic and North Pacific with a contribution of the natural radiative forcing related to the reduced volcanic activity and variations of solar activity as well as growing greenhouse gases concentrations. Tokinaga et al (2017) showed that the combined effect of internally generated Pacific and Atlantic interdecadal variability intensified Arctic

warming in the early 20th century. The synchronized Pacific-Atlantic warming drastically alters planetary-scale circulations over the Northern Hemisphere; these same circulation patterns have a global influence.

The cooling period between 1945 and 1976 has been referred to as the "grand hiatus." Numerous causes have been hypothesized: natural internal variability from fluctuations associated with the Pacific Decadal Oscillation (PDO) and Atlantic Multidecadal Oscillation; cooling from increased emissions of aerosols from industrial activities; increased heat uptake in the Atlantic, Southern and Equatorial Pacific Oceans. Thompson et al. (2010) find that that the hemispheric differences in temperature trends in the middle of the twentieth century stem largely from a rapid drop in Northern Hemisphere sea surface temperatures of about 0.3 °C between about 1968 and 1972.

The Great Pacific Climate Shift of 1976-1977 was a notable climatic event characterized by an abrupt change in the North Pacific Ocean's atmosphere-ocean system that interacted with global climate patterns. This shift is closely associated with the Pacific Decadal Oscillation (PDO) that oscillates between warm and cool phases over decades. The 1976 shift marked a transition from a predominantly negative (cool) PDO phase (1947–1976) to a positive (warm) phase (1977 through 2000), with significant implications for global and regional climate patterns including the frequencies of El Nino and La Nina events. The Great Pacific Climate Shift coincided with the beginning of a period of accelerated global warming When the Great Pacific Climate Shift is accounted for in climate attribution analyses since 1950, 40 percent or more of the warming in the second half of the 20th century is attributed to natural internal variability (McLean et al., 2009; Tung and Zhou, 2013; Chylek et al., 2016; Scafetta, 2021).

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8.3.2 Optimal fingerprinting

Optimal fingerprinting is a statistical technique introduced by Allen and Tett (1999) that compares observed climate data to climate model simulations to identify patterns (or "fingerprints") associated with human or natural forcing. It involves taking a vector of observed climate changes, such as warming rates in locations around the world, and decomposing them into a weighted sum of "signals", which are analogues to the observations generated by climate models with different types of forcings. The weights are chosen using a regression method called Total Least Squares. The analysis commonly uses just two signals, one generated by models using only anthropogenic forcings and one using only natural forcings. If the estimated weighting coefficient attached to a signal is significantly different from zero, then that signal is said to be "detected". If it is close to 1.0, then the model generating the signal is said to be consistent with observations. If it is less than 1.0, then the model signal for that forcing is too strong and needs to be scaled down, and vice-versa. Both the observed data and the signals are weighted using a model-generated estimate of patterns of randomness in the climate system so as, in principle, to put maximum weight on regions where natural variability is minimized.

Optimal fingerprinting is the primary tool for attribution in the research literature. While it has been widely used and prominently featured by the IPCC since 2001 there is very little literature examining the statistical properties of the results it generates. One of its inherent weaknesses is that results depend on assumptions about the accuracy of climate models, especially regarding their representation of natural variability (IPCC AR5 Ch 10.2.3).

A series of papers by McKitrick (McKitrick 2021, 2022, 2023, 2025) has challenged the optimal fingerprinting method, arguing that it is inherently unreliable and that practices adopted from econometrics can provide more valid results. Statistical theory requires that for regression models to yield unbiased coefficients, a set of assumptions called the Gauss-Markov conditions must hold. McKitrick (2021) argued that the Allen and Tett (1999) methodology violates the Gauss-Markov conditions, leading to potentially biased and inconsistent fingerprinting coefficients, undermining the reliability of the method. Chen et al (2023) confirmed this analysis and argued that the method could yield unbiased results but only under very stringent assumptions. McKitrick (2022) and (2023) raised a further concern that climate scientists—virtually alone among

scientific disciplines—have used an estimation method called Total Least Squares (TLS) to estimate anthropogenic greenhouse gas signal coefficients, despite its tendency to be unreliable unless some strong assumptions hold that in practice are unlikely to be true. Under conditions that easily arise in optimal fingerprinting, TLS yields estimates with large positive biases. Thus, any study that used TLS for optimal fingerprinting without verifying its applicability in the specific data context has likely overstated the result.

McKitrick (2025) presented an empirical example comparing the results of conventional optimal fingerprinting against methods drawn from mainstream econometrics that are known to be valid for the specific application of signal detection. While the IPCC optimal fingerprinting method yields an anthropogenic signal coefficient close to 1.0 on a global temperature data set spanning 1900 to 2010, consistent estimation yields a coefficient around 0.4, which rises to about 0.65 on data spanning 1980 to 2010, implying the model response to greenhouse gases needs to be scaled down by about half to optimally match observations. The natural forcing signal coefficient, by contrast, is between 2.0 and 4.0, implying the climate model signals of natural forcing need to be scaled up two-to four-fold to match observed climate change. The fingerprinting coefficients estimated in McKitrick (2025), when used to scale the average sensitivity of the climate models used to generate the forcing signals in his data set, imply a Transient Climate Sensitivity of 1.4°C, which is consistent with the estimate by Lewis (2023) using a different estimation method and multiple independent data sets.

While these analyses do not falsify all results stemming from the IPCC optimal fingerprinting method, they do challenge the basis on which they were believed to be correct.

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8.3.3 Time series methods

The IPCC (AR5 WGI 10.2.2) drew attention to alternative approaches of assessing causality that emerged from the time series econometrics literature. These have the advantage of not depending on assumptions about the accuracy of climate models, but the disadvantage that they depend on difficult-to-test assumptions about the data generating process underlying climate and forcing data. The methods, now referred to as climate econometrics, use the tools of unit root testing, Granger causality and cointegration analysis, all of which are familiar in economics and finance and which are slowly being adopted in climate science. Time series analysis methods hold out the possibility of determining whether anthropogenic or natural forcings are the primary drivers of climate change without requiring the use of climate models, although key questions remain unsettled (e.g. Dergiades et al., 2016, Balcombe et al., 2019, Dagsvik et al., 2020, Razzak, 2022, Dagsvik and Moen, 2023).

"Granger causality" modeling is a tool for determining directions of influence in data series that move together. It is a statistical, not a physical, concept. If knowing the current value of one variable significantly improves the forecast accuracy of another variable we can infer a causal connection exists; this is called Granger causality. The modeling tools, called vector autoregression, measure direct impulse-response patterns and feedbacks. An application to the well-known Vostok ice core data revealed an error in Al Gore's documentary *An Inconvenient Truth*. Gore showed the data and drew attention to the coherence of temperature and CO_2 changes over a 440,000 year span, which he asserted was due to CO_2 driving temperature changes. But temperature changes can also affect atmospheric CO_2 levels. Davidson et al. (2015) examined the series and found that temperature Granger causes CO_2 but not the reverse. In other words on the time scales represented in the Vostok data the coherence in the series is primarily due to the influence of temperature on CO_2 levels not the feedback of CO_2 levels on temperature.

In summary, the primary statistical methods for attributing causation in climate data are optimal fingerprinting and time series analysis. Applications of the Allen and Tett (1999) optimal fingerprinting method dominate the attribution literature and have underpinned past IPCC conclusions, but results depend on the accuracy of climate models and the method has recently been criticized as inherently biased. Time

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series methods do not depend on climate models but require assumptions of their own and have generated results that have thus far not converged on a consensus.

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8.4 Declining planetary albedo and recent record warmth

A sharp recent increase in global average temperatures has raised the question of short-term drivers of climate. One such candidate is the fraction of absorbed solar radiation which has also changed abruptly in recent years. The question to be answered is whether the change is an internal feedback to warming caused by greenhouse gases or whether something else changed the fraction of absorbed radiation which then caused the recent warming.

The albedo is the fraction of incoming solar radiation that is reflected back into space rather than being absorbed by the planet. Highly reflective surfaces like cloud tops and snow and ice are most important in this regard. The Earth's albedo is approximately 30%, meaning almost a third of the sunlight that reaches Earth is directly reflected back to space. A lower albedo implies more solar energy is absorbed by the planet to be then re-radiated as heat. Hence, other things being equal, a decline in albedo is associated with a warming of the Earth.

Arguably the most striking change in the Earth's climate system during the 21st century is a significant reduction in planetary albedo since 2015, which has coincided with at least two years of record global warmth. Figure 8.1 shows the planetary albedo variations since 2000, when there are good satellite observations. The 0.5% reduction in planetary albedo since 2015 corresponds to an increase of 1.7 W/m^2 in solar energy averaged over the planet (Hansen and Karecha, 2025). For comparison, the IPCC estimate of forcing from the increase in atmospheric CO_2 compared to preindustrial times is approximately 2.2 W/m^2 (Figure 3.1.2).

Looking back prior to 2000 with less adequate data, Gossling et al. (2025) assessed that planetary albedo was relatively low around the 1940's and 50's, before rising industrial aerosol precursor emissions increased albedo until the 1980's. The strongest planetary albedo excursions were high-albedo episodes caused by volcanic eruptions, such as after the Mount Pinatubo eruption in 1991. Although uncertain, the 2023 planetary albedo minimum may have been the lowest since at least 1940.

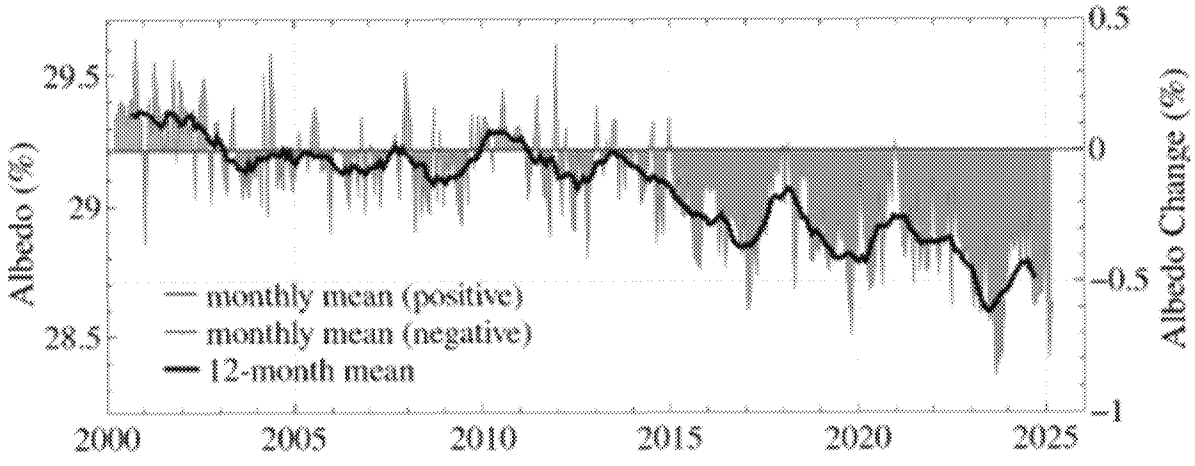

Figure 8.1. Earth's albedo (reflectivity, in percent), with seasonality removed. From Hansen and Karecha (2025)

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Changes in surface characteristics can't explain this decrease in planetary albedo since 2015:

- Arctic sea ice extent has declined by about 5% since 1980 (https://nsidc.org/data/seaice_index/images/s_plot_hires.png), although following 2007 there has been a pause in the Arctic sea ice decline (England et al., 2025)
- Regarding Antarctic sea ice, the IPCC AR6 concludes that "There has been no significant trend in Antarctic sea ice area from 1979 to 2020 due to regionally opposing trends and large internal variability." (Summary for Policymakers, A.1.5)
- Northern hemispheric annual snow cover has been slowly declining since 1967, with barely significant trends. The data show the Northern Hemisphere has snowier winters, accompanied by more rapid melt in spring and summer. [HYPERLINK "http://climate.rutgers.edu/snowcover/"]
- Global greening (Chapter 2) is contributing to the decrease in planetary albedo, as forests have a lower albedo than open lands or snow. However, there is some evidence that forests increase cloud cover (high reflectivity), which counteracts the direct albedo increase associated with increasing forested area.

Changes in surface reflectivity are contributing to a small, slow decline in planetary albedo. However, these changes cannot explain the sharp decline in planetary albedo beginning in 2015. Further, any changes in surface albedo are effectively damped by about a factor 3 on average, primarily due to cloud masking (Loeb et al. 2019). Surface albedo changes have thus contributed only weakly to the recent planetary albedo decline, particularly when averaged annually and globally.

This leaves the most likely explanation for the sharp albedo decline as changes in atmospheric aerosols and/or clouds.

Aerosols are small particles in the atmosphere that reflect sunlight and can interact with cloud processes to make the clouds more reflective. Aerosols have both natural origins (e.g dust from soils, wildfires) as well as human origins from combustion (including fossil fuels). Regions that are greening and/or have an increase in rainfall may be producing less dust. For anthropogenic aerosols, new ship fuel regulations aimed at reducing sulfur emissions were implemented in three phases, in 2010, 2015 and 2020. Sulfate particles reflect solar radiation. Cleaner air means less solar radiation is reflected, which contributes to surface warming. Indirect effects of sulfate aerosols include increasing the reflectivity of low-level clouds in the subtropics. There is controversy surrounding the importance of this change in sulfate emissions to the Earth's radiation balance (Hodnebrog et al., 2024; Yuan et al., 2024), but the restriction of this effect to major shipping routes suggests a small global impact (Schmidt, 2024).

~~This leaves~~ Changes in clouds as the primary candidate to explain the decline in global albedo since 2015. Two recent papers (Loeb et al., 2024; Goessling et al 2024) have addressed recent variations in cloud properties. By considering satellite and reanalysis data, Loeb et al. found that decreases in low and mid-level clouds since 2015 are the primary reason for decreasing planetary albedo in the Northern hemisphere, whereas in the Southern hemisphere the decrease in planetary albedo is primarily due to decreases in mid-level clouds across all latitude zones. Goessling et al. (2024) found that cloud anomalies were mainly due to reduced low-level clouds. Regions with coherent low-level cloud reductions over the past decade include the warm pool region around the Maritime Continent and the northern extratropical western Pacific, as well as large parts of the Atlantic and adjacent land regions. The reduction of global cloud cover identified in these analyses since 2015 is 1-2 percent.

The question then becomes what caused the change in cloud cover. Two causes have been posited for the declining cloud cover over the past decade:

- Natural climate variability

- Changes in low cloud cover associated with warming sea surface temperatures, implying an emerging positive feedback to climate change (Hansen and Karecha, 2025)

The existence of a new positive low cloud feedback that began emerging in 2015 is not easy to justify. However, there are numerous natural climate signals during this period that are associated with atmospheric circulation changes that can influence the distribution of clouds:

- The 2014-2016 was the strongest El Nino event on record
- A cold anomaly beginning in 2015 in the subpolar gyre of the North Atlantic reflects a shift in the ocean circulation pattern associated with decadal variability in the Atlantic (Frajka-Williams et al., 2017; Arthun et al. 2021).
- The Pacific Decadal Oscillation (PDO) positive index peaked in 2016, then declined and has been in negative territory since late 2019
- Eruption of the submarine Hunga-Tonga volcano in 2022

Interannual cloud anomalies associated with the El Nino Southern Oscillation (ENSO) have a significant global signal and strong regional signals especially over the tropical Indian and Pacific Oceans.

The Hunga Tonga eruption is remarkable in its coincidence with the exceptionally low value of planetary albedo in 2023. Goessling et al. (2024) found that the cloud perturbations in 2023 showed a different pattern from the overall pattern since 2015, with reduced cloud cover being most pronounced in the northern hemisphere and tropics. Regional reductions in cloud cover were most pronounced over the eastern Indian Ocean, over South America and extending over the eastern Pacific, as well as over northern North America, in the Southern Ocean around 60S, in the subtropical and eastern North Atlantic, and in parts of the North Pacific.

The Hunga Tonga eruption was unusual in that it injected large amounts of water vapor into the stratosphere, in addition to sulfate particles. Early publications focused on the impacts to stratospheric circulations and the direct radiative impact. In 2025, papers are emerging that examine the indirect effects of Hunga Tonga on surface climate using ensemble simulations from earth system models (Bednarz et al, 2025; Kuchar et al., 2025). These papers found statistically significant impacts on regional climates that were driven by circulation changes from couplings between the stratosphere and troposphere. These papers indicate the complex interactions between volcanic activity and climate dynamics. More research is needed to untangle any impacts of Hunga Tonga eruption on planetary albedo.

In summary, the decline in planetary albedo and the concurrent decline in cloudiness have emphasized the importance of clouds and their variations to global climate variability and change. A change of 1 to 2 percent in global cloud cover has a greater radiative impact on the climate than the direct radiative effect of doubling CO_2. While it is difficult to untangle causes of the trend, the competing explanations for the cause of the declining cloud cover have substantial implications for assessing the Equilibrium Climate Sensitivity and also the attribution of the recent warming. An additional 10 years of data should help clarify whether this is a strong positive cloud feedback associated with warming, or a temporary fluctuation driven by natural variability

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8.5 Attribution of climate impact drivers

The IPCC (Ranasinghe et al. 2021) defines "climate impact drivers" or CIDs as "physical climate system conditions (e.g., means, events, extremes) that affect an element of society or ecosystems." Hence CIDs are those features of the weather and climate system of primary interest in assessing the impacts of

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climate change since they potentially affect humans and the natural world. For instance, under the heading "Heat and Cold" CIDs are identified as mean air temperature, extreme heat, cold spells and frost. The IPCC also points out that CIDs are not necessarily harm-related: depending on the system in question they can be detrimental, neutral, beneficial or a combination.

The first column of Table 12.12 in Ranasinghe et al. (2021, p. 1856), reproduced here as Table 8.1, summarizes the AR6 assessment of whether a signal of attributable anthropogenic influence has emerged across all major CIDs. One of the themes of this chapter is that attribution methods used by the IPCC tend to overstate the anthropogenic influence and understate the role of natural variability. Nonetheless a striking feature of that summary table is how few CIDs exhibit an anthropogenic signal sufficient to distinguish them from natural variability.

Out of the 33 weather impact categories an anthropogenic signal is asserted with *high confidence* in only five, and with *medium confidence* in a further four. (Note that one of the CIDs is an increase in CO_2 levels, and since it is a tautology to attribute this to increased CO_2 levels this CID can be ignored.) For the rest the IPCC does not claim to have detected anthropogenic drivers. Of the five *high confidence assertions*, two are for changes in average temperatures (air and ocean) hence are not measures of extreme weather. Also two of the four *medium confidence* assertions are related to ocean chemistry and thus are likewise not related to extreme weather. The IPCC does not assert a human influence on many non-temperature weather features such as wind, precipitation, flooding, drought etc.

Columns 2 and 3 of IPCC Table 12.12 report on whether anthropogenic signals are expected to emerge this century under RCP8.5, the most extreme forcing scenario. We have omitted these columns for several reasons. First, because they refer to whether climate models project detectable signals in the observations, which is a very different question than our concern here, whether a signal has been detected in historical data. Second, as we discuss in Chapter 4, the RCP8.5 scenario is a misleading and implausible high-end storyline, it is not a "base case" or business-as-usual projection. Third, the ensemble of detailed models is acknowledged (Palmer and Stevens 2019) to be "not fit for purpose" in describing regional changes and "unable to represent future conditions at the degree of spatial, temporal, and probabilistic precision with which projections are often provided." (Nissan et al. 2019)). Even with these caveats, we note that the omitted columns in AR6 Table 12.12 indicate most weather impacts are not expected to exhibit an anthropogenic signal this century.

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Climatic Impact-driver Type	Climatic Impact-driver Category	Already Emerged in Historical Period
Heat and Cold	Mean air temperature	1
	Extreme heat	2
	Cold spell	4
	Frost	
Wet and Dry	Mean precipitation	
	River flood	
	Heavy precipitation and pluvial flood	
	Landslide	
	Aridity	
	Hydrological drought	
	Agricultural and ecological drought	
	Fire weather	
Wind	Mean wind speed	
	Severe wind storm	
	Tropical cyclone	
	Sand and dust storm	
Snow and Ice	Snow, glacier and ice sheet	
	Permafrost	
	Lake, river and sea ice	11
	Heavy snowfall and ice storm	
	Hail	
	Snow avalanche	
Coastal	Relative sea level	
	Coastal flood	
	Coastal erosion	
Open Ocean	Mean ocean temperature	
	Marine heatwave	
	Ocean acidity	
	Ocean salinity	13
	Dissolved oxygen	14
Other	Air pollution weather	
	Atmospheric CO_2 at surface	
	Radiation at surface	

High confidence of decrease | Medium confidence of decrease | Low confidence in direction of change | Medium confidence of increase | High confidence of increase

Table 8.1: Reproduction of column 1 of Table 12.12, IPCC AR6 Working Group I report. Emergence of anthropogenic signal in historical period for CIDs shown. White: no signal detected. Blue and orange: change detected (decrease or increase) and confidence level as indicated in color legend.

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As discussed in Chapter 7, natural variability dominates patterns of extreme weather systems and simplistic assertions of trend detection are frequently undermined by regional heterogeneity and trend reversals over time. Table 8.1 makes the related point that, again contrary to popular belief, attribution of changes in most extreme weather types to human influence is not currently possible. Taking wind as an example, the IPCC claims that an anthropogenic signal has not emerged in average wind speeds, severe windstorms, tropical cyclones or sand and dust storms, nor is one expected to emerge this century even under an extreme emissions scenario. The same applies to drought and fire weather.

Nissan [HYPERLINK "https://wires.onlinelibrary.wiley.com/doi/10.1002/wcc.579"]

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8.6 Extreme event attribution (EEA)

Examining the IPCC's treatment of extreme weather and climate event attribution more broadly, the AR6 provides an ambiguous assessment of the role of anthropogenic warming that differs between chapters. Chapter 11 of WG1 states (Seneviratne et al., 2021):

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> "Evidence of observed changes in extremes and their attribution to human influence (including greenhouse gas and aerosol emissions and land-use changes) has strengthened since AR5, in particular for extreme precipitation, droughts, tropical cyclones and compound extremes (including dry/hot events and fire weather). Some recent hot extreme events would have been extremely unlikely to occur without human influence on the climate system."

By contrast, as noted above, Chapter 12 of WG1 (Table12.12) paints a different picture – presumably, the expert judgment of different groups of authors for the two chapters came to different conclusions (Ranasinghe, 2021):

- High confidence in an increase in extreme heat events in tropical regions where observations allow trend estimation and in most regions in the mid-latitudes, medium confidence elsewhere
- Medium confidence in a decrease in extreme cold events in Australia, Africa and most of northern South America where observations allow trend estimation
- No evidence of emergence in the historical period of a change in river floods, heavy precipitation, drought, fire weather, severe wind storms, and tropical cyclones

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While the overall issue of detecting changes in extreme weather events and their attribution remains ambiguous, most of the activity in this area relates to the attribution of particular extreme weather events. The most prominent effort is World Weather Attribution (WWA; worldweatherattribution.org), an international research initiative for extreme event attribution that purports to analyze how climate change influences the likelihood and intensity of extreme weather events. Their approach is to use large ensembles of regional climate models to compare the event in today's climate with a counterfactual pre-industrial climate without human influences.

WWA has a prominent public presence in linking extreme weather to climate change, with its press releases attracting considerable attention in public and policy discussions. However, the WWA's extensive promotion of non-peer-reviewed findings, its funding ties, its open admission to shaping analyses to serve litigation, and its methodological challenges have sparked controversies, with critics questioning the robustness and impartiality of their conclusions (Pielke Jr. 2024). Despite these issues, WWA's work continues to influence climate science and media narratives. Technical criticisms of the approach include a

lack of a formal detection process; an implicit assumption that 100% of the post-industrial warming is caused by greenhouse gases; and a failure to adequately account for internal climate variability.

Because EEA is relatively new many basic methodological issues have yet to be settled in the expert literature. An important challenge is the lack of data. Extreme events are by definition rare. Many analyses of extreme event types (including the US National Assessment Reports) only evaluate data since 1950 or 1970. However, as emphasized by Chapter 7, many of the worst extreme weather and climate events in U.S. history occurred prior to the first half of the 20th century including in the early 19th century. And if paleoclimate reconstructions are considered, it becomes very difficult for an event to pass the detection thresholds of exceeding what is expected from natural variability, particularly if a reasonably sized geographic region is considered.

Another challenge is defining the event under study. There is a longstanding literature in statistics and econometrics on the challenge of analyzing data with outliers. The issue arises because a data series establishes a probability distribution defining the expected range of observations. If an outlier is observed it might indicate that the underlying process giving rise to the data distribution has changed (which in the weather context would mean that a climate change has been detected) or that the underlying process has multiple regimes each with a different probability distribution, in which case observing an outlier simply means we were temporarily in a different regime, but the system itself was unchanged. If a time series contains only a single outlier event at the end of the series it is not possible to determine which model is the correct one (Chen and Liu 1993). For instance, there might be an "ordinary" weather regime that yields a distribution of summer daytime highs in a particular coastal region, and a second "heatwave" regime that kicks in when an inland blocking event occurs, which yields a temperature distribution centered 15°C higher than the first one. A day with temperatures 13°C above normal would either be an extreme heat anomaly under the first regime or a somewhat cool event under the second, and we have no way in this case of knowing on statistical grounds which view is correct.

Visser and Petersen (2012) and Sardeshmukh et al. (2015) both point out that different distributions may fit observed data equally well but yield very different implications about the likelihood of a specific weather event. Visser and Petersen argue that, in view of the deep uncertainties of extreme weather analysis drawing a connection between individual events and global climate change should be avoided. Furthermore, the existence of an outlier at the end of a data series poses the problem that estimates of the event probabilities will be biased whether the outlier is included or excluded (Barlow et al., 2020). Methods to eliminate the bias have not yet been established, leading some experts (e.g. Miralles and Davison 2023) to argue that in settings in which a data series contains a single extreme event at the end, estimation of a return period for the extreme event will be so biased and uncertain it should be avoided altogether.

In spite of these concerns, the U.S. is presently considering something like the WWA, which is being investigated by a NASEM Panel on Attribution of Extreme Weather and Climate Events and their impacts (NASEM, 2025).

We provide a case study of a recent high impact extreme event in the U.S. to illustrate the challenges and ambiguities in attributing the frequency and intensity of extreme weather events to human-caused warming.

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8.6.1 Case study – 2021 Western North America heat wave

The 2021 Western North America heat wave was an extreme event that affected much of Western North America in late June 2021. In particular, surface temperature records were set in Portland, OR (116°F; previous record 107°F) and Seattle, WA (108 °F; previous record 103°F) (Mass et al., 2024).

The WWA team generated international headlines with their analysis, which provided the following attribution statements (WWA, 2021; Philip et al., 2022):

- Based on observations and modeling, the occurrence of this heatwave was virtually impossible without human-caused climate change.
- The event is estimated to be about a 1 in 1000-year event in today's climate.
- The event would have been at least 150 times rarer without human-induced climate change.
- This heatwave was about 2°C hotter than it would have been if it had occurred at the beginning of the industrial revolution (when global mean temperatures were 1.2°C cooler than today).

But an important counter to the first claim is that other researchers concluded from historical weather data that while a heat wave of the magnitude observed was indeed virtually impossible without anthropogenic climate change, it was also virtually impossible *with* climate change. Bercos-Hickey (2022) noted "these temperatures were virtually impossible under any previously experienced meteorological conditions, with or without global warming." McKinnon and Simpson (2022) stated "the most likely explanation remains that the weather event itself was 'bad luck.'" The 2023 Oregon Climate Assessment (Fleischman, 2023) concluded that the heat dome would have formed even without climate change and "There is no evidence that the highly unusual combination of weather features that drove the heat dome were made more likely by climate change, and climate models do not project an increase in the frequency of high-pressure ridges over the Pacific Northwest" (Fleischman, 2023, p. 49).

Mass et al. (2024) summarizes the proximate sequence of compound events leading to the heat wave. There was a record-breaking mid-tropospheric ridge over the Pacific Northwest, forced by a tropical disturbance in the western Pacific. This produced record-breaking mid-tropospheric temperatures, strong subsidence in the lower atmosphere, low-level easterly flow that produced downslope warming on regional terrain and the removal of cooler marine air, and an approaching low-level trough that enhanced downslope flow. The event occurred at a time of maximum solar insolation, and drier-than-normal soil moisture. Using a storyline approach, Mass et al. assessed that there is no trend in drought and dry soils in the Pacific Northwest; there is no evidence of global warming producing stronger ridges of high pressure, and no observed trend in heat waves or record temperatures in the region. They concluded that although anthropogenic warming may have contributed as much as 2°F to the magnitude of the event, there is little evidence of further amplification of the event from increasing greenhouse gases.

Bercos-Hickey et al. (2022) conducted a statistical analysis of a model-based attribution study of this heat wave. Because the event is a far outlier and far above the bounds of Generalized Extreme Value distributions fitted from historical data, they concluded that estimates of return times, quantitative changes in event magnitude and frequency, and probability of the extreme temperatures such as provided by the WWA are not accurate and should be interpreted as having low confidence. They found that hindcast attribution methods using an ensemble of regional climate models, combined with Pearl (2009) causal inferences, can provide limited and conditional information about the magnitude of the human influence on this heatwave - they provided a more highly constrained estimate that human activities caused a ~1.4°C–1.8°F increase in the daily maximum temperatures.

Zeder et al. (2023) also concluded that the methods employed by Philip et al. (2022, the WWA analysis) tend to overstate the rarity of extreme heat waves, leading to a biased perception of the effect of climate change on the heatwave event: "The tendency to overestimate the return period of observed extreme heatwave events may fuel the impression that seemingly impossible heatwave extremes are currently clustering at an unprecedented rate."

The 2021 Western North America heatwave was a rare and unprecedented compound weather event that broke century-old temperature records by as much as 9°F. While the WWA team received worldwide publicity for their rapid attribution claims blaming anthropogenic climate change, subsequent peer-reviewed analyses showed that the event was caused by rare meteorological conditions that were not made more probable by global warming.

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PART III: IMPACTS ON ECOSYSTEMS AND SOCIETY

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9 CLIMATE CHANGE AND US AGRICULTURE

Chapter summary

There is abundant evidence going back decades that rising CO_2 levels benefit plants, including agricultural crops, and that CO_2-induced warming will be a net benefit to US agriculture. The warming since 1981, in combination with adaptive behavior by farmers, has been beneficial for maize. The increased ambient CO_2 has also boosted productivity of all major US crop types. Various attempts to argue that CO_2-induced warming will harm agriculture do not hold up under scrutiny.

NO ITALICS

9.1 Econometric analyses

Econometric analyses of the effects of climate change on agriculture have sought to integrate information about long term crop yield changes in response to temperature and precipitation changes under assumptions about adaptive behavior by farmers. One method focuses on variations in agricultural land values. The rationale is that if climate change is a long-term net benefit for agriculture it should be capitalized into higher market values for agricultural land, and vice versa. While individual crops might benefit from or be harmed by climate change, once the adaptative responses of farmers are considered the value of farmland represents an index of whether the changes are expected to be beneficial or not. Mendelsohn et al. (1994) examined the relationship between historical climatic variations on agricultural land values and concluded global warming would be slightly beneficial to American agriculture.

The Mendelsohn et al. method, called Ricardian analysis after David Ricardo, the 19th century British economist who pioneered the study of land values, attracted subsequent criticism from authors who argued it failed to account for differences in land values attributable to fixed locational characteristics like soil quality, and non-climatic changes including nearby urbanization. Deschênes and Greenstone (2007) looked at agricultural profits instead of land values and reached conclusions similar to Mendelsohn et al. (1994), namely that past climatic variations had relatively little effect on farm profitability and that warming would likely yield small overall benefits for the US agricultural sector. However, a subsequent exchange with critics led them (Deschênes and Greenstone 2012) to revise their conclusions and project potentially large losses in US agriculture due to climate warming.

Burke and Emerick (2016) looked at temperature variations over 1980 to 2000 and argued that farmers were not as able to adapt to temperature changes as the Ricardian method assumes and that climate change would have large negative impacts on corn and soy yields. Schlenker and Roberts (2009) similarly argued that yield gains to past warming would not carry over to the future and corn and soy yields would sharply decrease this century due to climate change.

Two recent studies have argued that pessimistic findings such as these are not robust. Ortiz-Bobea (2019) argued that land values aggregate farm and non-farm influences and the latter need to be filtered out. He developed a data set using cash rents for agricultural activity as a measure of land value specifically for farming activity. Whereas the land value model implied future losses under climate warming, the same model estimated using cash rents did not, leading the author to conclude the pessimistic results were due to using an inaccurate measure of the returns to farming activity. Bareille and Chakir (2023) assembled a large data base on farm sale prices in France for properties that sold twice between 1996 and 2019. They could replicate pessimistic results showing negative effects of warming on agricultural land values using conventional econometric modeling. But by taking advantage of the repeat sales data, which provides information on site-specific changes in land prices, they found the results reversed and implied that climate change will be very beneficial for French agriculture. The authors concluded that, taking adaptation into account, a warming climate would yield positive benefits for French agriculture that were between two and

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20 times larger than had previously been estimated. On average, with full adaptation, they concluded that climate changes under the medium RCP4.5 scenario could double the value of French farmland by 2100.

A major deficiency of all these studies, however, is that they omit the role of CO_2 fertilization. Climate change as it relates to this report is caused by GHG emissions, chiefly CO_2. The econometric analyses referenced above focus only on temperature and precipitation changes and do not take account of the beneficial growth effect of the additional CO_2 that drives them. As explained in Chapter 2 CO_2 is a major driver of plant growth, so this omission biases the analysis towards underestimation of the benefits of climate change to agriculture.

TOO MUCH SPACE

9.2 Field studies of CO_2 enrichment

One of the ways the effect of CO_2 on crop growth has been studied is through "free air enrichment experiment" or FACE plots, in which small sources of CO_2 are placed in fields surrounding plants and the growth response to elevated CO_2 under varying weather conditions are recorded. Ainsworth et al. (2020) summarizes results from about 250 such studies. They found that elevation of CO_2 by 200 ppm caused an average 18% increase in crop yield in C3 plants. C4 plants exhibited benefits mainly under drought conditions.

In addition to FACE plot experiments there have been thousands of laboratory experiments on the effects of CO_2 on all kinds of plant growth. Here we review some of the results on key US agricultural crops.

TOO MUCH SPACE

Soybean

Studies on the impact of elevated CO_2 on Soybean (*Glycine max* (L.) Merr.) plants in the water-deficient region of Huang-Huai-Hai Plain, China, showed that elevated CO_2 concentrations improved photosynthesis rate, water use efficiency, and growth Li (2013) under both normal conditions and drought conditions. The CO2Science.org website reports on 108 published experiments between 1985 and 2019 exposing soybean to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +50.9 percent. There were also ten studies reporting on +600 ppm CO_2 enrichment, which increased photosynthesis by an average of 90.3%.

TOO MUCH SPACE

Maize (corn)

The CO2Science.org website reports on 28 published experiments between 1983 and 2018 exposing corn (*Zea mays* L.) to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +23.7 percent. Corn also benefits from increased drought tolerance under elevated CO_2. An experimental study (Allen Jr., 2011) exposed plants to water stress conditions in sunlit controlled-environment chambers at 360 ppm (ambient) and 720 ppm (elevated) CO_2. The drought stress caused a 41% loss of growth under ambient CO_2 but only a 13% loss under elevated CO_2.

TOO MUCH SPACE

Wheat

The CO2Science.org website reports on 92 published experiments between 1983 and 2020 exposing common wheat (*Triticum aestivum* L.) to enriched CO_2 levels. Converted to a +300ppm common scale the average growth benefit was +67.6 percent. Blandino (2020) measured both the yield and nutritional quality of an "improver" hybrid wheat variety and its parents under elevated CO2 levels (+166 ppm). They reported

a grain yield increase of +16% but a 7% decrease in grain protein levels. But they found that the food quality of different wheat varietals responded differently to elevated CO_2 levels, so that with proper varietal selection, growers could select wheat types that best take advantage of the elevated CO_2.

TOO MUCH SPACE

Further evidence

A 2021 report from the US National Bureau of Economic Research (Taylor and Schlenker 2021) used satellite-measured observations of outdoor CO_2 levels across the United States, matched to county-level agricultural output data and other economic variables. After controlling for the effects of weather, pollution and technology the authors concluded that CO_2 emissions had boosted U.S. crop production since 1940 by 50 to 80 percent, attributing much larger gains than had previously been estimated using FACE experiments. They found that every ppm of increase in CO_2 concentration boosts corn yields by 0.5 percent, soybeans by 0.6 percent, and wheat by 0.8 percent.

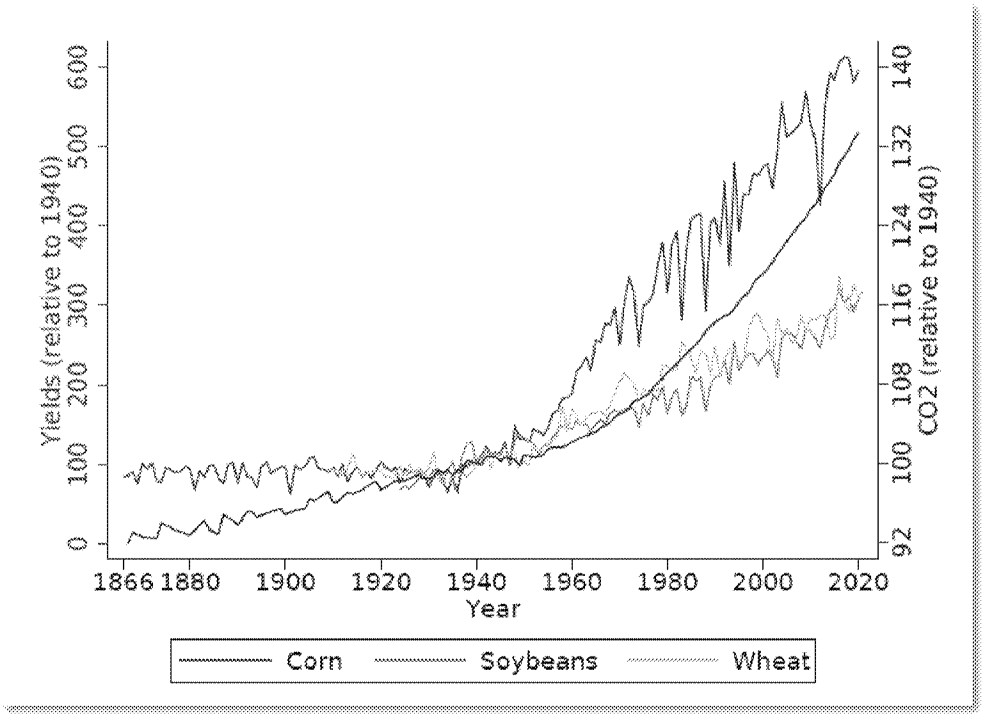

Figure 9.1: US average CO_2 levels and yields of corn, soy and wheat all normalized so 1940=100. Source: Taylor and Schlenker (2021)

TOO MUCH SPACE

Beyond growth benefits, extra CO_2 boosts plant resilience to dryness. Derying et al. (2016) surveyed evidence on crop water productivity (CWP, the yield per unit of water used), drawing attention to the potential for CO_2 both to enhance photosynthesis and reduce leaf-level transpiration (water loss during leaf respiration). They surveyed all available FACE data on crop yield changes for Maize (corn), Wheat, Rice and Soybean, and combined it with crop model data simulating yield responses as of 2080 under the extreme RCP8.5 scenario in four growing regions (Tropics, Arid, Temperate and Cold) each of which were split into rainfed and irrigated sub-regions. In every region they reported that models without CO_2 fertilization predicted CWP losses but CO_2 fertilization more than offset them; once fertilization was taken into account,

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all regions showed a net CWP gain. Deryng et al also reported that negative yield impacts on wheat and soybean were fully offset by CWP gains, and mitigated by up to 90% for rice and 60% for maize.

Similar to this, Cheng et al. (2017) noted that increased global plant growth from 1982 to 2011 due to rising CO_2 uptake was accompanied by such large gains in CWP that despite the extra biomass global water use by plants had not increased.

Deryng et al. (2016) assumed that climate change would "exacerbate water scarcity". It is noteworthy that while models predict drylands will expand under climate warming, observations show the opposite: greening is happening even in arid areas. Zhang et al. (2024) report that due to increased CO_2 levels "increasing aridity in drylands won't lead to a general loss of vegetation productivity"; increased desertification will happen in at most 4% of currently arid areas.

TOO MUCH SPACE

9.3 Crop modeling meta-analyses

Notwithstanding the abundant evidence for the direct benefits of CO_2 and of CO_2-induced warming on crop growth, in 2023 the US Environmental Protection Agency (EPA 2023) boosted its estimate of the Social Cost of Carbon (SCC) about five-fold based in large part on a very pessimistic 2017 estimate of global agricultural damages from climate warming (Moore et al., 2017). One of the two damage models used by the EPA attributed nearly half of the 2030 SCC to projected global agricultural damages based on the Moore et al. (2017) analysis. This study was a meta-analysis of crop model studies simulating yield changes for agricultural crops under various climate warming scenarios. Moore et al. projected declining global crop yields for all crop types in all regions due to warming.

McKitrick (2025) re-examined the Moore et al. database and found that, while it claimed to cover 1,722 studies, only half the entries (N=862) had complete records, so that the sample available for regression analysis was much smaller than both studies indicated. McKitrick noted that the variable most commonly missing was the change in ambient CO_2 and found that in many cases these could be recovered from the underlying studies or the original climate scenario tables. He thereby increased the usable sample size by 40 percent. The crop yield projections incorporating the newly available data changed considerably. As shown in Figure 10.2, whereas the partial data set implied warming would decrease yield (blue lines), the complete data set implied constant or increase global yields, even out to 5°C warming (green lines).

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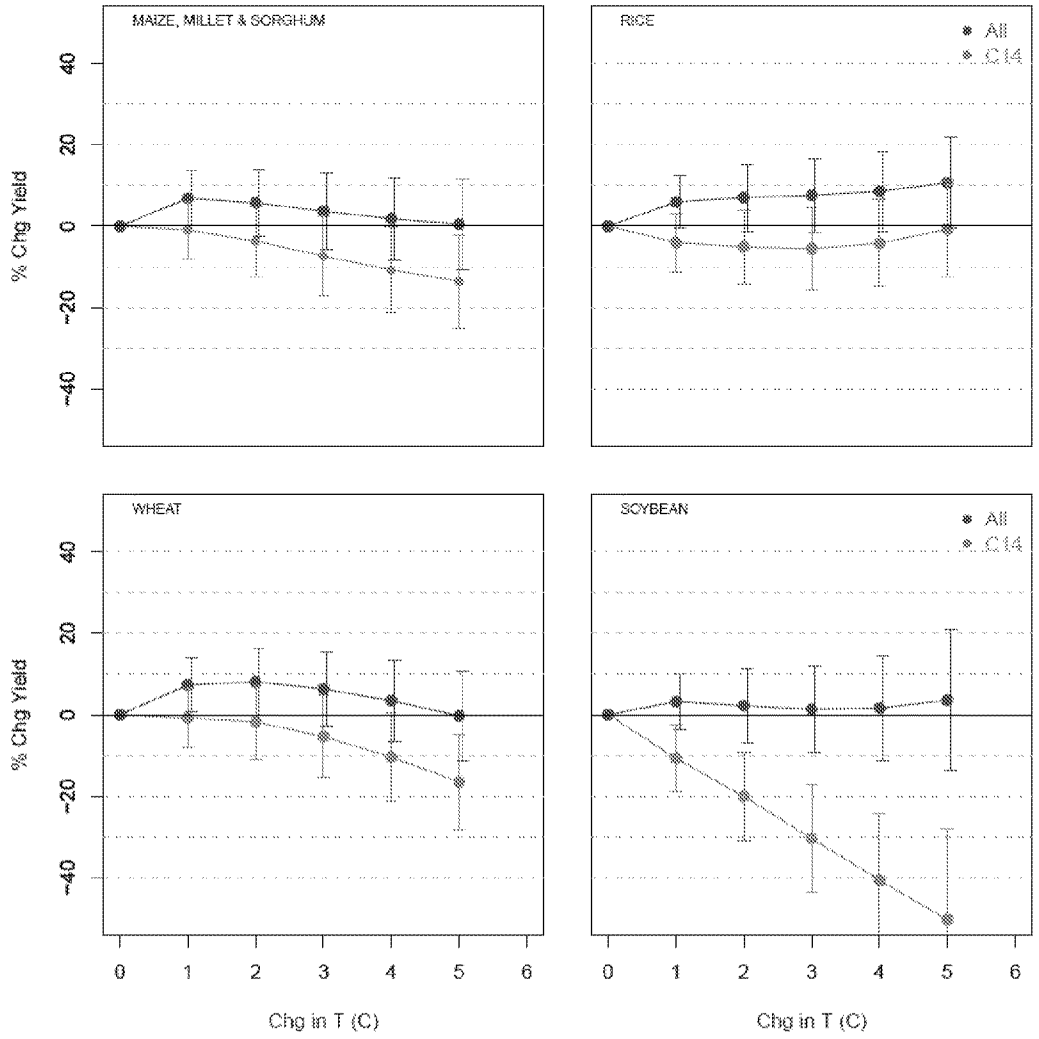

Figure 9.2 Crop yields under CO_2-induced climate warming. Blue: as published in Moore et al. (2017). Green: after including omitted data. Source: McKitrick (2025).

TOO MUCH SPACE

In summary, there is abundant evidence going back decades that rising CO_2 levels benefit plants including agricultural crops, and that CO_2-induced warming will be a net benefit to US agriculture.

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10 Managing Risks of Extreme Weather

Chapter summary

Trends in losses from extreme weather and climate events are dominated by population increases and economic growth. Technological advances such as improved weather forecasting and early warning systems have substantially reduced losses from extreme weather events. Better building codes, flood defenses, and disaster response mechanisms have lowered economic losses relative to GDP. The US economy's expansion has diluted the relative impact of disaster costs, as seen in the comparison of historical and modern GDP percentages. Heat-related mortality risk has dropped substantially due to adaptive measures including the adoption of air conditioning, which relies on the availability of affordable energy. U.S. mortality risks even under extreme warming scenarios are not projected to increase as long as people are able to undertake adaptive responses.

ITALICS

10.1 Socioeconomic context

Risks from human-caused climate change are affected by natural weather and climate variability, and are dominated by the exposure of wealth in coastal and other disaster-prone regions and vulnerabilities of poorer populations. The evolution of climate risk in the U.S. has been dominated by societal factors, rather than by changes to the actual weather and climate hazards. Deaths from weather disasters have decreased substantially since 1900, even as US population grew from 76 million in 1900 to over 331 million in 2020 (Goklany 2011). For example, the Galveston hurricane killed over 8,000 people in 1900 (0.01% of the US population), whereas the worst recent disaster, Hurricane Katrina in 2005, killed 1800 people (or 0.0006% of the US population) (NOAA National Hurricane Center 2025; US Census Bureau 2025).

Technological advances have substantially reduced losses from extreme weather events. Early warning systems, satellite monitoring, and improved weather forecasting have reduced deaths, although exact numbers are hard to quantify (Deryugina and Hsiang 2023). US weather forecasting has been estimated to reduce losses from weather events with an annualized benefit of $31.5B, protecting lives, property, and supporting agriculture and transportation (NRC 2010). Improved hurricane forecasts have reduced pre- and post-landfall spending, with annual per hurricane cost reductions estimated at $5B (NBER 2024).

Infrastructure improvements have contributed to substantial reductions in losses from extreme weather events. Building codes, such as those implemented in Florida after Hurricane Andrew (1992) have reduced losses by ensuring structures can withstand high winds and floods. Homes built after 2002 showed minimal damage during Hurricane Michael (2018), unlike older homes (FEMA 2020). Sea walls, like the Galveston Seawall, protect against wave action and storm surge. The New Orleans Hurricane and Storm Damage Risk Reduction System successfully mitigated storm surge during Hurricane Isaac (2012) (Battelle Memorial Institute 2013). Inland dams in the US help control flooding by storing excess water during heavy rains. It is estimated that the Tennessee Valley Authority (TVA) dams prevent about $309M in annual flood damage in the TVA region and along the Ohio and Mississippi Rivers (TVA, 2025). During Hurricane Helene (2024), TVA's strategies prevented approximately $406M in potential damages (APPA 2024).

TOO MUCH SPACE

10.2 Data challenges

Since 1980, NOAA has provided a count of annual U.S. weather-related disasters that it estimates to have exceeded $1Billion (inflation adjusted), showing a substantial increase starting in 2008. NOAA and

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other government officials have cited the upward trend in the Billion Dollar Disaster series as evidence that climate change is making extreme weather worse (Pielke Jr., 2024). But over time, population and wealth have increased dramatically in the US, so when an extreme weather or climate event occurs, there is more damage even if there is no underlying trend in the frequency or intensity of extreme weather. Pielke Jr. (2024) demonstrates that losses per weather disaster as a proportion of GDP have decreased by about 80% since 1980,as shown in the figure below. Pielke Jr. (2024) also argued that in addition to relying on opaque data sources and unreported adjustments, NOAA failed to normalize its Billion Dollar Disaster data series properly for changes in population exposure and wealth. In May 2025 NOAA announced it has withdrawn the Billion Dollar Disaster product from publication (Pielke Jr., 2025).

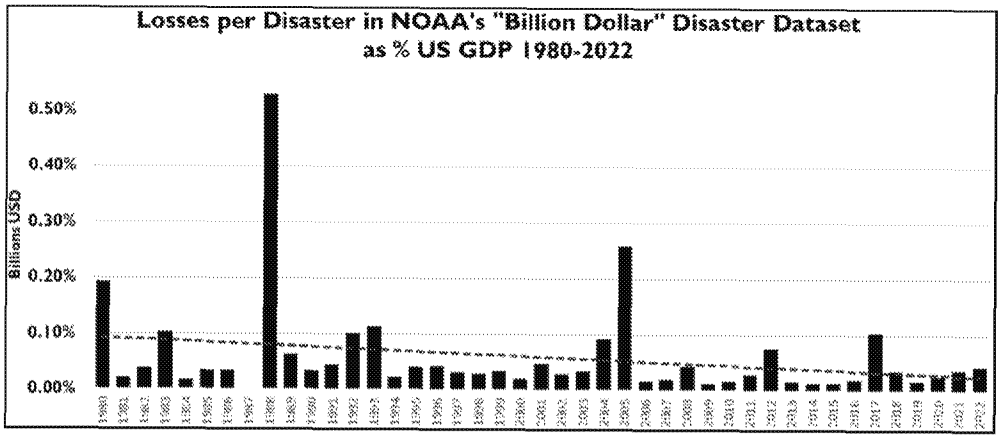

Figure 10.1: Losses per disaster in NOAA's billion dollar disaster dataset (the version downloaded in July 2023), 1980 to 2022. Source: (Pielke, Jr. 2024)

In summary, trends in losses from extreme weather and climate events are dominated by population increases and economic growth. Technological advances such as improved weather forecasting and early warning systems have substantially reduced losses from extreme weather events. Better building codes, flood defenses, and disaster response mechanisms have lowered economic losses relative to GDP. And finally, the US economy's expansion has diluted the relative impact of disaster costs, as seen in the comparison of historical and modern GDP percentages.

TOO MUCH SPACE

10.3 Mortality from temperature extremes

10.3.1 Heat and cold risks

Changes in temperature extremes are among the most certain impacts expected in a warming world. It stands to reason that extreme heat events would likely become more frequent while extreme cold events would become less frequent. This pattern is evident in the historical period though not in the continental US (Chapter 6) and is expected to continue with further warming.

Mortality during heat extremes is typically caused by heat stroke and heat exhaustion, while mortality during cold extremes is typically caused by hypothermia and heart strain. Global mortality is substantially greater for cold conditions than for hot conditions (Zhao et al 2021, Ritchie 2024). Unlike with heat-related

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mortality. Cold-weather risks set in even at moderately cold conditions (Gasparini et al. 2015, Lee and Dessler 2023). A 13-country study of 74 million deaths from 1985 to 2012 estimated that, on average, 7.7% of deaths were attributable to sub-optimal temperatures, of which 7.4% were attributable to cold and only 0.4% were attributable to heat (Gasparini et al. 2015). In other words cold weather killed 18.5 times as many people as did hot weather.

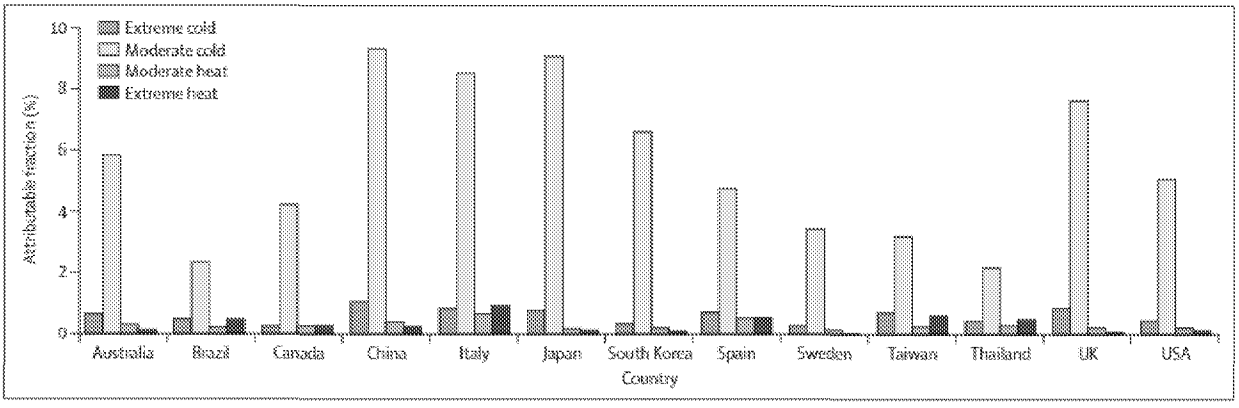

Figure 10.3.1. Mortality attributable to extreme and moderate cold and heat by country. Source: reproduced from Gasparini et al. (2015).

Figure 10.3.1 shows the distribution of results from Gasparini et al. (2015) by country. For the United States the fraction of deaths attributable to temperature was 5.9%, of which 5.5% was due to cold, thus cold weather killed 14 times as many people as hot weather.

The US EPA reports that, after adjusting for seasonality, the cold-related death rate in the U.S. ([HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-cold-related-deaths" \t "_blank"]) is about twice as large as the heat-related rate (see [HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-cold-related-deaths"] and ([HYPERLINK "https://www.epa.gov/climate-indicators/climate-change-indicators-heat-related-deaths" \t "_blank"]).

There is strong evidence that people adapt to weather risks. Lee and Dessler (2023) reported that 86% of temperature-related deaths across 40 cities in the U.S. were due to cold-related mortality, and that due to adaptation the relative risk of death declined in hot and cold cities alike as seasonal temperatures increased. Allen and Sheridan (2018) found that short, early-season cold events were 2 to 5 times deadlier than hot events, but the mortality risk of both cold and hot extremes drops to nearly zero if the events occur late in the season.

Davis et al. (2003) examined heat-related mortality in 28 U.S. cities from the 1960s to the end of the 1990s and found that heat-related mortality declined by three-quarters over the sample period. Bobb et al. (2014) examined mortality data for 106 million people in over 100 cities U.S. cities and found a 60% decline in average heat-related mortality over the period 1987—2005, from 51 per thousand deaths to 19. They furthermore found that the greatest drop was among seniors over the age of 75. In a study of 42 million deaths in 211 U.S. cities from 1962 to 2006. Nordio et al. (2015) found a more than 90 percent decline in the risk of mortality from excess heat.

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In the context of large declines in heat-related mortality, by reducing mortality from cold events rising temperatures are associated with a net saving of lives.

The IPCC AR6 Working Group 2 Chapter 16.2.3.5 (O'Neill et al. 2022) acknowledges that heat-related mortality risk is declining over time:

TOO MUCH SPACE

> "Heat-attributable mortality fractions have declined over time in most countries owing to general improvements in health care systems, increasing prevalence of residential air conditioning, and behavioral changes. These factors, which determine the susceptibility of the population to heat, have predominated over the influence of temperature change."

TOO MUCH SPACE

It is both disappointing and telling to see how the IPCC misrepresents the situation in its AR6 Synthesis report. Section A.2.5 of that document states: "In all regions increases in extreme heat events have resulted in human mortality and morbidity (*very high confidence*)." But it is silent on the larger decline of deaths during extreme cold events.

The observed decline in US heat-related mortality has been specifically attributed to adaptation. Wang et al. (2018) exploited the spatial variability of heatwave-related mortality across 209 U.S. cities from 1962 to 2006. While simple correlation appeared to imply an increase in mortality risk during heatwaves, accounting for adaptation to heatwave intensity caused the effect to fall to near zero and become statistically insignificant. They used the results of their epidemiological model to project heat-related mortality out to 2050 under four RCP warming scenarios (including RCP8.5) with and without adaptive behavior. Assuming people continue to adapt to the heatwave risks in the regions in which they live Wang et al. project not only no increase in heat-related mortality but an overall decrease for the US as a whole. They conclude that

TOO MUCH SPACE

> "Ignoring adaptation would result in a substantial overestimate of future mortality related to heat waves… Accounting for adaptation, the overall heat-related mortality by 2050 would not change substantially over time compared to 2006."

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10.3.2 Mortality risks and energy costs

A 2016 study of US long term mortality risks associated with temperature variations (Barreca et al. 2016) showed that increases in mortality across the US are associated both with cold weather and hot weather. But over time, the introduction of electricity and the adoption of central heating and air conditioning (AC) dramatically reduced both risks, especially those associated with hot weather. Prior to 1960, a day above 90°F (32°C) added 2.2 percent to the average mortality risk rate, but after 1960 the same weather added only 0.3 percent to mortality risk, an 85 percent reduction. Prior to 1960 temperatures below 39°F (4°C) added about 1 percent to mortality risk but after 1960 the same weather only added about half that amount. Adaptation through conventional household improvements dramatically reduced public vulnerability to weather extremes. The entire reduction in hot weather mortality was attributable to widespread adoption of indoor AC, which depended on the availability of reliable and affordable electricity.

The corollary of this finding is that the use of heating and cooling systems depends on energy being affordable. Doremus et al. (2022) showed that wealthy and poor households in the US adjust their energy expenditures at similar rates in response to moderate temperature swings, but not in response to extreme temperature swings. When temperatures swing to very cold levels (< 5°C) energy spending in high-income

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households rises by 1.2 percent but in low-income households by only 0.5 percent. On very hot days (>30°C) electricity spending in high-income households rises by 0.5 percent but does not change at all in low-income households. The latter result is observed even in subsamples where all households have AC. The implication is that even with widespread adoption of home heating and cooling systems, inability to afford energy leaves low-income households exposed to weather extremes.

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11 CLIMATE CHANGE, THE ECONOMY AND THE SOCIAL COST OF CARBON

Chapter summary

Economists have long considered climate a relatively unimportant factor in economic growth, a view echoed by the IPCC itself in the AR5. Mainstream climate economics has recognized that CO2-induced warming may have some negative economic effects but they are too small to justify aggressive abatement policy, and trying to "stop" or cap global warming even at levels well above the Paris target would be worse than doing nothing. An influential study in 2012 suggested that global warming would harm growth in poor countries but the finding has subsequently been found not to be robust. Studies that take full account of modeling uncertainties either find no evidence of a negative effect on global growth from CO2 emissions or find poor countries as likely to benefit from it as rich countries.

Social Cost of Carbon (SCC) estimates are highly uncertain due to unknowns in future economic growth, socioeconomic pathways, discount rates, climate damages, and system responses. The SCC is not intrinsically informative as to the economic or societal impacts of climate change. It provides an index connecting large networks of assumptions about the climate and the economy to a dollar value. Some assumptions yield a high SCC and others yield a low or negative SCC (i.e. a social benefit of emissions). The evidence for or against the underlying assumptions needs to be established independently; the resulting SCC adds no additional information about the validity of those assumptions. Consideration of potential tipping points at present does not justify major revisions to SCC estimates.

11.1 Climate change and economic growth

11.1.1 Overview: climate is a relatively minor issue for a modern economy

It has long been noted that economies tend to do poorly in very cold and very hot regions, with the optimum somewhere in between (Nordhaus, 2006). Temperature-sensitive economic activity migrates, whenever possible, to where it is best suited, and society always adapts to the local climate. Based in part on these observations, in 1992 Thomas Schelling, then President of the American Economic Association, argued that any effects of climate change on US economic activity would be small relative to the many other changes that would happen.

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> … Manufacturing rarely depends on climate, and where temperature and humidity used to make a difference, air conditioning has intervened. When Toyota chooses among Ohio, Alabama, and Southern California for locating an automobile assembly, geographical considerations are important, but not because of climate... Finance is little affected by climate; similarly for health care, or education, or broadcasting. Transportation can be affected, but improvements in all-weather landing and take-off in the last 30 years are greater than any differences that climate makes. If the average effect is a warming, iced waterways and snow removal may decline in importance. Construction is affected, mainly by cold, and if the average effect is in the direction of warming, construction may benefit slightly.
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> It is really agriculture that is affected. But even if agricultural productivity declined by a third over the next half century, the per capita GNP we might have achieved by 2050 we would achieve only in 2051. …
>
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>
> I conclude that in the United States, and probably Japan, Western Europe, and other developed countries, the impact on economic output will be negligible and unlikely to be noticed.
>
> ~~(Schelling 1992, emphasis added)~~

Thirty years later virtually the identical point was made by the IPCC itself in the Fifth Assessment Report:

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> **For most economic sectors, the impact of climate change will be small relative to the impacts of other drivers.** Changes in population, age, income, technology, relative prices, lifestyle, regulation, governance, and many other aspects of socioeconomic development will have an impact on the supply and demand of economic goods and services that is large relative to the impact of climate change.
>
> (Arent et al. 2014, emphasis added)

That statement is validated by experience. Since 1900, the average global surface temperature anomaly warmed 1.3°C, about as much as the IPCC predicts will occur in the next century under a moderate emissions scenario. But even as the globe warmed and the population quintupled, humanity prospered as never before. For example, global average lifespan went from thirty-two years to seventy-two years, economic activity per capita grew by a factor of seven, and the death rate from extreme weather events plummeted by a factor of fifty!

While extreme weather events are costly, in all modern economies they are becoming steadily less and less important (Formetta and Feyen, 2019). Since 1990 weather-related disaster losses have declined as a proportion of global GDP (Pielke Jr , 2018, 2020) as have mortality risks (Formetta and Feyen, 2019). While economic weather-related insurance payouts are rising, this is fully explained by the growth in the size of the economy and the value of the insured asset stock. Past increases in episodes extreme weather have not had a significant effect (positive or negative) on the market value of insurance firms (Hu and

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McKitrick, 2015). Nor have past extreme weather events had a significant effect on US banks' performance (Blickle et al., 2021). The following chart is illustrative:

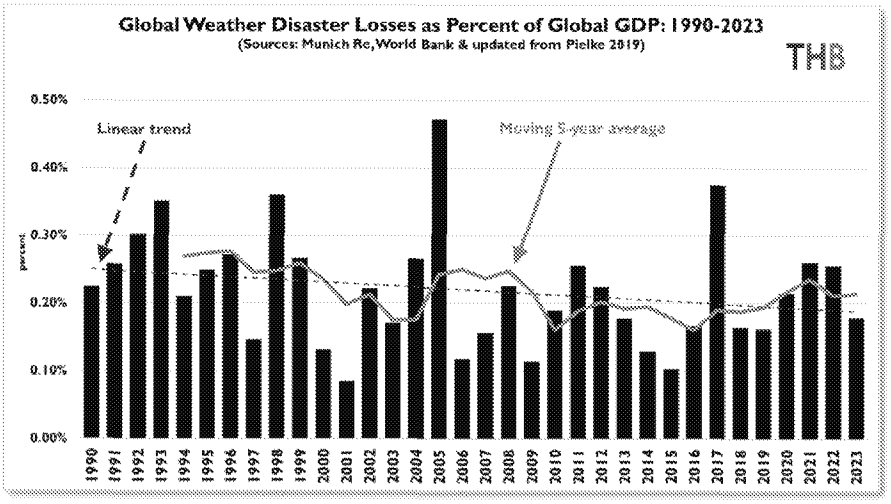

Figure 11.1 Global weather losses as a fraction of GDP. Source: Pielke Jr. (2023)

For these reasons, economists have long been reluctant to endorse attempts to "stop" climate change or even aggressively reduce GHG emissions because the costs would not be worth it. As one critic of the economics of climate policy put it:

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> Mainstream climate economics takes global warming seriously, but perplexingly concludes that the optimal economic policy is to almost do nothing about it… The contrast is striking. While climate science is sending out loud-and-clear messages that fossil-fuel disinvestment must start now, letting go of coal and oil and diverting resources into renewable energy technology systems, to keep warming below the 2°C limit (IPCC 2014), mainstream climate economics claims that overly ambitious climate targets will unnecessarily hurt the economy and immediate de-carbonization is too expensive. Most climate economists thus recommend humanity to just wait-and-see. (Storm 2017)

The mainstream economics position on the climate policy question is best represented by the findings over the past three decades from Integrated Assessment Models (IAMs) of climate change policy, for which Yale economist William Nordhaus was awarded the 2018 Nobel Memorial Prize in economics. IAMs combine economic, climate, and social data into a unified framework for simulating climate damages and evaluating the optimal policy response (Resources for the Future, 2025). Nordhaus' work has generally supported modest global climate policy, with aggressive measures largely deferred to later in the century. Nordhaus developed the so-called Dynamic Integrated Model of the Climate and Economy model, or DICE, in the early 1990s (Nordhaus, 1993) to study the interaction between climate change, climate policy, and global economic growth over long times. DICE assumes global emission control can be coordinated at no cost and asks what the optimal policy target should be. The climate component of the DICE model is based on simplified climatological modeling. The version of DICE at the time of Nordhaus' Nobel Prize award

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was described in Nordhaus (2018); it had a climate sensitivity parameter of 3.1°C for CO_2 doubling, consistent with the best estimate in contemporary IPCC reports (3.0°C).

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The baseline (no policy) scenario incurs only $0.4T in global abatement costs and leads to $134.2T (trillion dollars) in global climate damages for a total cost of $134.6T. The climate model component of DICE projects 4.1°C warming by 2100 relative to preindustrial temperatures. Note that this is a higher estimate of warming than many IPCC climate models.

The Optimal Policy scenario barely deviates from the business-as-usual path. It aims for +3.5°C warming, in other words we modestly scale back fossil fuel use and otherwise just live with almost all the warming. This suggests that most CO_2 emissions are less harmful than the policies that would be necessary to abate them. Trying to prevent warming causes costs quickly to outstrip the benefits. Pursuing a goal of capping warming at 2.5°C creates total costs of $177.8T, which is $43.2T worse than doing nothing at all. Nordhaus didn't evaluate trying to reach a Paris-type target of 1.5°C warming but it would be even worse.

A subsequent edition of DICE includes higher assumed damages from warming which, not surprisingly leads to more aggressive policy recommendations, as explained in the section below on the Social Cost of Carbon.

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11.1.2 Empirical analysis of climate change and economic growth

Many other studies have used econometric methods applied to historical data, instead of IAMs, to study the potential impact of climate change on economic growth. Dell et al. (2012, herein DJO12) was an influential study that used a multi-country panel of national-level data spanning 1950 to 2005, in which they matched climate and economic data by averaging temperature from the local grid cell level up to the national level using population weights. They found that warming yields an insignificant positive effect on income growth in rich countries but a significant negative effect in poor countries. Moore and Diaz (2015) modified the DICE model to take that finding into account and concluded that it implied a dramatically higher Social Cost of Carbon due to the compounding effects of income loss over time.

A large subsequent literature has debated the robustness of the DJO12 findings. Burke et al. (2015) analyzed a global panel with temperatures averaged up to the national level and found a negative effect on growth from warming in rich and poor countries alike when the national average temperature is above 13°C. Zhao et al. (2018) used the G-Econ data set of Nordhaus (2006), which breaks economic activity down to the grid cell level, replicated DJO12-type results on the same subset of countries as used in DJO12 but then showed that on the full global sample warming increases growth in rich countries and poor countries alike, though the positive effect in the latter group is confined to where local temperatures are below about 16°C. Greβer et al. (2021) developed a regional economic data set for 1,542 sub-national regions around the world between 2005 and 2015 and found temperature had no effect on growth. Yang et al. (2023) updated the DJO12 data set and applied an estimator robust to mixed sample frequencies, finding that while temperature shocks exerted a temporary effect on income levels, they did not have a significant lasting effect on growth rates.

Newell et al. (2021) noted that there is no underlying theory to guide econometric model specification in this literature. Taking into account the arbitrary choices of which explanatory variables to include they identified over 800 possible model specifications. Using the Burke et al. (2015) data they used an estimation method that accounts for model uncertainty and found that the model form preferred by Burke et al. (2015), which implied negative effects of warming on growth even in rich countries, is explicitly excluded by the optimal model selection algorithm. Overall they could not detect a temperature effect on GDP or GDP growth, and they estimated the 95% confidence interval for the impact on global growth as of 2100 even

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under the exaggerated RCP8.5 warming scenario spans −86 percent to +388 percent. In other words the net effect is likely positive but too uncertain to distinguish from zero.

Barker (2023) criticized the DJO12 assumption that countries can be grouped into fixed "poor" and "rich" categories based on their incomes many decades ago. He noted that many countries were once poor but became rich over time, and if this is taken into account the original temperature effects reported by Dell et al. became small and insignificant.

Berg et al. (2023) argued that countries shouldn't be grouped into large Rich/Poor categories because they are too heterogeneous. They instead estimated country-specific temperature response coefficients then grouped countries with similar response coefficients into small panels. They separately estimated responses to global and idiosyncratic local temperature shocks to better identify the climate signal in weather data. Overall they found countries experiencing negative effects of warming on growth outnumbered those experiencing positive effects, but only temporarily: eventually the effects reverse such that about twice as many countries experience a net positive growth effect. They also found global (as opposed to local) temperature changes are much more likely to benefit growth in poor countries than rich ones. In a simulation to 2100 even using the extreme RCP8.5 scenario they computed the average global GDP loss would be only 1.9% compared to a scenario with no warming. That is, instead of the economy growing 400% it would grow 398%. The implication of Nordhaus' earlier analysis is that trying to prevent the warming would lead to far less than 398% growth.

To summarize, economists have long considered climate a relatively unimportant factor in economic growth, a view echoed by the IPCC itself in the Fifth Assessment Report. Mainstream climate economics has recognized that CO_2-induced warming may have some negative economic effects but they are too small to justify aggressive abatement policy, and trying to "stop" or cap global warming even at levels well above the Paris target would be worse than doing nothing. An influential study in 2012 suggested that global warming would harm growth in poor countries but the finding has subsequently been found not to be robust. Studies that take full account of modeling uncertainties either find no evidence of a negative effect on global growth from CO_2 emissions or find poor countries as likely to benefit from it as rich countries.

The expectation that significant global warming would have a small impact on the U.S. economy was acknowledged quietly by the Biden Administration, even as the President was proclaiming a climate emergency. Figure 11.2, from a 2023 CEA-OMB report, shows the expected decrement in U.S. GDP as a function of temperature rise. The colored lines show the results of a dozen peer-reviewed published studies while the solid black line is their average. The figure could be summarized as "a few percent impact for a few degrees of warming". Given that the economy's annual growth rate is expected to be 1-2 percent, the impact of a warming globe is indeed negligible.

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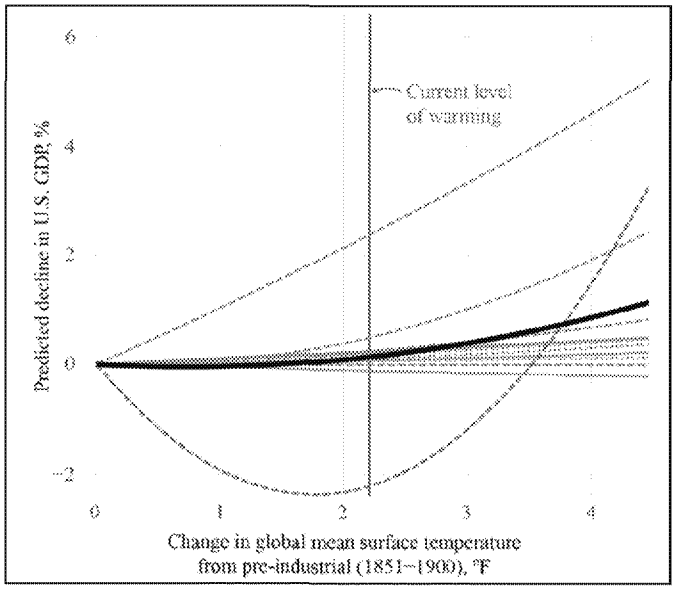

Figure 11.2: Decline in US GDP per degree of warming. Source: CEA-OMB (2023)

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11.2 Models of the Social Cost of Carbon

The Social Cost of Carbon (SCC) is a tool for quantifying the economic impact of carbon dioxide emissions, helping policymakers weigh the costs and benefits of climate policies. It estimates the damage caused by emitting one additional ton of CO_2, expressed in dollars. More formally, the SCC is the discounted present value of the current and future marginal loss of economic welfare due to an additional ton of CO2 entering the atmosphere.

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11.2.1 Estimating the SCC

Although the literature refers to "estimates" of the SCC, it is not estimated in the way other economic statistics are estimated. For instance, data on market transactions including prices and quantities can be used to estimate the current inflation rate or the growth rate of per capita real Gross Domestic Product, and there are well-understood uncertainties associated with these quantities. But there are no market data available to measure many if not most of the marginal damages or benefits believed to be associated with CO2 emissions, so these need to be imputed using economic models.

For example, an influential component of some SCC calculations is the perceived social cost associated with changed risk of future mortality due to extreme weather. There is no market in which people can directly attach a price to that risk. At best economists can try to infer such values by looking at transactions in related markets such as real estate or insurance, but isolating the component of price changes attributable to atmospheric CO2 levels is very difficult.

Economists use IAMs to compute the SCC. Two of the best known are the Climate Framework for Uncertainty, Negotiation and Distribution ("FUND", Tol 1997) and Nordaus' DICE. IAMs embed a "damage function" or set of functions relating ambient temperature to local economic conditions. The assumptions embedded in the damage function will largely determine the resulting SCC. IAMs also assume a long-term discount rate or, as in DICE, compute the optimal internal discount rate as part of the solution.

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One approach to developing a damage function is to begin with estimates of the costs (or benefits) of warming in specific sectors in countries around the world and aggregate up to a global amount. This was the approach used in FUND. An alternative approach is to develop a simple equation that penalizes global income according to a simple quadratic function of the average global temperature. This approach was used in DICE. In the case of the FUND model many hundreds of parameters had to be selected, whereas in DICE only three were needed and were originally chosen to assign a predetermined penalty (1.2%) to global output as of 3°C warming, with a quadratic term implying that damages grow with the square of the global average temperature anomaly. Barrage and Nordhaus (2024) recently changed the parameters to increase the penalty at 3°C to 1.6%, and added a discrete additional 1.0% GDP penalty at 3°C warming to account for "tipping points" (discrete large-scale environmental changes triggered by crossing a warming threshold) and a "judgmental adjustment" of 0.5% for excluded impacts at 3°C warming. Not surprisingly the newer version of DICE generates much higher SCC estimates than before.

The concepts of estimation and uncertainty do not readily apply to SCC calculations. By comparison, the question of whether precipitation has trended up or down along the South Carolina coast for the past hundred years can be addressed by collecting rainfall data, estimating a trend parameter and calculating statistical confidence intervals using methods with well-understood mathematical properties. In this way uncertainty can, in principle, be resolved by collecting more data and applying more accurate methods. But no amount of data collection can change the fact that many components of the SCC are unknown and rely on judgment and opinion based on knowledge of the underlying literature on the physical effects of climate change.

SCC calculations are thus best thought of as "if-then" statements: *if* the following assumptions hold, *then* the SCC is $X per tonne. The list of 'if' statements includes the premise that the world's climate and economy work according to the representation in the IAM. One way this may fail to hold is in the timing of warming. Every IAM assumes a value of the Equilibrium Climate Sensitivity (ECS), which controls the amount of warming that results from CO2 emissions and can be freely varied for the purpose of generating a distribution of SCC values associated with uncertainties over ECS. But as Roe and Baumann (2013) pointed out, time-to-equilibrium increases with the square of ECS, so an upward adjustment of the ECS parameter without an appropriate slowing down of the adjustment process can yield distorted present value damage estimates. In particular, the upper tail of warming associated with some commonly-used ECS distributions is physically impossible for even a thousand years into the future (Roe and Baumann 2013) yet in an IAM would be realized within a couple of centuries. Failure to align ECS with time-to-equilibrium will lead to an overestimate of the SCC value.

11.2.2 Variations in the SCC

Every level of the IAM calculation includes assumptions, some more influential than others. Key assumptions include the following.

- The discount rate: Climate damages accrue over a long time horizon, and costs a century or two in the future need to be discounted to the present. The higher the discount rate the smaller the present value of future damages and vice versa. The discount rate represents the opportunity cost of spending money today rather than investing it and then having more to spend tomorrow. Some economists have argued for the use of very low discount rates in SCC calculations, resulting in policy recommendations that favor relatively large immediate investments in CO2 emission reductions. The downside is that other investments could potentially earn a larger rate of return for society.

- Equilibrium Climate Sensitivity: IAMs have customarily employed a value of 3.0°C or 3.1°C following the IPCC's guidance. Empirically-estimated ECS values tend to be lower than this (see Chapter 9). Dayaratna et al. (2017, 2020, 2023) have shown that use of lower empirically-derived ECS values dramatically lower the resulting SCC estimate, even when low discount rates are used.

- Damage function coefficients: IAMs assume CO2 and warming cause net harms that increase exponentially with temperature. More recently, IAMs have also incorporated effects from assumed potential climate tipping points. The FUND model took limited but explicit account of CO_2 fertilization effects in agriculture. Since the coefficients were selected prior the publication of the current evidence of global greening and the magnitude of benefits to crops from elevated CO_2 (see Chapters 2 and 10) the growth effects are likely understated. The DICE model (and others) did not explicitly include any CO_2 fertilization benefit, except to the extent it was taken into account in the literature consulted when picking the damage function coefficients. The damage function in FUND contains a region in which low warming yields net benefits in many regions, a finding which is supported by econometric models of warming and growth (Berg et al. 2023) and econometric simulations of agricultural changes (McKitrick 2025). The DICE damage function, by construction, rules out net benefits at any warming level.

- Emission scenarios: IAMs generate SCC estimates that increase as the pre-existing concentration of CO_2 increases. Consequently the value of damages later in the century will be higher, depending on the assumed baseline emissions over the coming decades.

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- Abatement costs: IAMs represent the cost to the economy of reducing CO_2 emissions. If CO_2 emission reductions are assumed to be inexpensive, then the model will conclude that the optimal policy should aim for deeper emission cuts and vice versa.

It is informative to ascertain whether SCC results are invariant to changes in some assumptions. But when different assumptions result in higher or lower SCC values, the change in the SCC value does not provide *prima facie* evidence about the validity of the assumptions. For example, in 2023 the US Environmental Protection Agency raised its preferred SCC value about 5-fold over the estimates it had issued ten years earlier. This is not because new data had been collected or better mathematical methods had been invented, but because new assumptions had been used, and the validity of those assumptions was a separate question. One was that global agricultural damages were far higher than previously assumed, based on an analysis in Moore et al. (2017). But as discussed in Chapter 10, McKitrick (2025) showed that Moore et al. (2017) had used a database in which half the CO_2 change observations were missing. When as many of those observations as possible were recovered from underlying sources and the analysis was rerun, the projected crop yield losses disappeared and turned into gains at all warming levels. Hence the portion of the EPA's SCC revision attributable to agricultural yield losses was unwarranted.

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11.2.3 Evidence for low SCC

Chapter 2 reviewed evidence on climate change and greening, and Chapter 10 looked at climate change and US agriculture. Evidence shows that CO_2 fertilization has a stronger beneficial effect on agriculture than was known at the time that IAMs like DICE and FUND were parameterized. In particular Haverd et

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al. (2020) found the observed CO_2 fertilization rate has been almost double what had been predicted by crop models. Dayaratna et al. (2023) used the updated empirical ECS distribution estimate of Lewis (2022), which assimilates modern instrumental and paleoclimatic temperature records, and allowed for a 30 percent gain in the CO_2 fertilization benefit in the FUND model, and found that, even at a low discount rate of 2.5 percent, the median SCC as of 2050 is only $18.67, with a 24 percent probability of the true value being negative. At a five percent discount rate the median SCC value is negative until the mid-2040s and at 2050 was only $0.37 with a 49 percent probability of being negative. Thus, under reasonable assumptions a mainstream IAM using updated scientific inputs yields evidence consistent with the SCC not being significantly greater than zero.

It should also be noted that the SCC is focused on the social costs of CO_2 emissions from fossil fuel use. It does not measure the private marginal benefits to consumers and society from the availability of fossil fuels. Public willingness to pay for fuels of all types indicates the value to society of reliable, abundant fossil energy. Tol (2017) estimates that the private benefit of carbon is large relative to the social cost. This can be illustrated by noting that the price of a gallon of gas indicates the marginal value to the consumer of the fuel. Suppose we assume a relatively high Social Cost of Carbon of, say, $75 per tonne. Deflated by a MCPF value of 1.5 that would result in a carbon tax of $50 per tonne, which equates to about 44 cents per gallon of gas (Lavelle, 2019). A pre-tax price of $3.00 per gallon would imply the marginal social benefit of the fuel is nearly seven times the marginal social cost.

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11.2.4 Tipping points

SCC calculations typically consider gradual impacts of a warming climate, such as slowly-melting glaciers and increasing average temperature. A driver of potentially high values of the Social Cost of Carbon (SCC) is the introduction into models of discrete catastrophic outcomes associated with abrupt changes (Dietz et al., 2021). They are often referred to as "tipping points."

The term "tipping point" mingles two different physical concepts that pose different research challenges. Many physical systems are inherently stable unless acted upon with sufficient external energy. For example an ice cap might remain intact over a wide range of temperatures but once the temperature crosses the 0°C threshold it melts. Such discontinuities are ubiquitous in nature and require an external force. Whether the force needs to be large relative to the size of the system depends on the underlying stability of the system.

A different type of tipping point is called a *bifurcation* and arises from the study of the internal dynamics of nonlinear systems (Crawford, 1991). Many systems have been observed to have more than one equilibrium point and can move between them with minimal or no external influence. For example a weather system might have two different equilibrium states: one calm and one with a tornado. A transition from one to another can happen either with no external force or with a minuscule change, such as a flap of the proverbial butterfly's wings (Shen et al. 2014). The term "tipping point" is sometimes used to mean a bifurcation of this type and implies instability inherent in the system itself, which is not necessarily dependent on outside forces. It depends, instead, on parameters of the system taking values that support the emergence of bifurcations (Crawford, 1991).

The two different concepts imply different research questions. Regarding the first we are interested in whether components of the climate system are susceptible to abrupt discontinuities in response to sufficiently-large anthropogenic forcing. Regarding the second we are interested in whether the Earth's climate system has inherent bifurcations that imply the possibility of abrupt transitions with or without external forcing.

Models have been developed that imply the latter is a possibility. Kypke et al. (2020) presented a simple climate model in which the GHG concentration is one of the parameters that controls the emergence of

bifurcations of the Arctic climate to one with both cold and warm equilibria. If sufficiently high GHG forcing combined with a sufficiently high rate of ocean heat transport are imposed a bifurcation becomes possible. More generally GCMs have been observed to contain bifurcations and multiple equilibria (Brunetti and Ragon, 2023).

The possible existence of bifurcations in the Earth's climate system implies abrupt transitions are possible, not just in response to large forcing but to small perturbations as well. This places tipping points into the category of low-likelihood and potentially catastrophic events, such as large meteor strikes. A key question to ask is whether those kinds of tipping points can be predicted. Current research has not resolved that question (Dakos et al., 2024) and indeed may not be able to since one implication of the "butterfly effect" is the existence of predictability boundaries of nonlinear systems (Palmer et al., 2014). It is therefore not obvious how to incorporate such possibilities into SCC calculations. Small variations in assumptions will lead to arbitrarily large variations in the resulting SCC with no grounds for choosing among them. If such tipping points are possible the most appropriate stance for economic policy is to maximize resilience to any form of external catastrophe since it is unlikely we could predict or prevent it from happening.

The IPCC AR6 (Chapter 1) focuses mainly on the first type of tipping point, namely an abrupt change in response to external forcing. This is also the meaning associated with popular usage of the "tipping point" concept in discussions of climate change (see [HYPERLINK "https://report-2023.global-tipping-points.org/what-is-a-tipping-point/"] for example). As summarized by the IPCC AR6, there is evidence of abrupt change in the paleoclimate record, and some of these events have been interpreted as tipping points. Some projections with Earth System Models for example have produced tipping points such as Amazon forest dieback in response to specified values of CO_2 concentration or temperature increases.

The alarm surrounding climate tipping points is reflected by *The Global Tipping Points Report* that was launched at the COP28 on 6 December 2023 (Lenton et al., 2023). It identifies more than 25 parts of the climate system said to constitute tipping points. What gets classified as a climate "tipping point" is a moving target. The most common examples in the literature and assessment reports include: Greenland ice sheet disintegration, West Antarctic ice sheet disintegration, summertime disappearance of Arctic sea ice, Amazon rainforest dieback, coral reef dieoff, thawing of permafrost and methane hydrates, Atlantic Meridional Overturning Circulation collapse, boreal forest shift, West African monsoon shift, and Indian Monsoon shift.

All such tipping points require a certain amount of system instability in order to exhibit an abrupt transition in response to warming. For this reason there seems to be very little to discriminate between a tipping event and natural climate variability. Natural climate variability has in the past produced shifts in the West African and Indian monsoons, Amazon forest and coral reef dieback, and disintegration of the Greenland and West Antarctic ice sheets. These impacts can be reversed on the decadal timescales associated with natural climate variability and ecosystem responses.

Some abrupt changes are potentially more consequential, including collapse of the West Antarctic ice sheet and collapse of the Atlantic Meridional Overturning Circulation (AMOC). The IPCC AR6 WG1 Summary for Policy Makers states:

TOO MUCH SPACE, MOVE FURTHER LEFT ETC

> "The Atlantic Meridional Overturning Circulation is very likely to weaken over the 21st century for all emission scenarios. While there is high confidence in the 21st century decline, there is only low confidence in the magnitude of the trend. There is medium confidence that there will not be an abrupt collapse before 2100." (C.3.4)
>
> "There is limited evidence for low-likelihood, high-impact outcomes (resulting from ice-sheet instability processes characterized by deep uncertainty and in some cases involving tipping

points) that would strongly increase ice loss from the Antarctic Ice Sheet for centuries under high GHG emissions scenarios (e.g., SSP5-8.5)." (B.5.2)

For the purpose of SCC calculations the research question implied by this type of tipping point is whether such events have been observed in the past in climate conditions similar to what we currently experience or will in the near future. The IPCC AR6 finds little evidence for impending collapse of the Atlantic Meridional Overturning Circulation or the West Antarctic ice sheet. It finds there is no tipping point associated with Arctic Sea ice (AR6 Technical Summary p. 76)

Dietz et al. incorporated several potential tipping points (abrupt changes) into an SCC model and found they added about 25 percent to the estimate, mainly associated with thawing permafrost and release of methane hydrates. However the IPCC considers this scenario *very unlikely* (AR6 Technical Summary p. 107).

In summary, there may be unknown bifurcation tipping points that are associated with natural climate processes, but this possibility does not translate into specific guidance on the SCC. There are potential abrupt change points in the climate system in response to warming, although the IPCC assigns low probabilities to most, including the largest ones. When these have been taken into account the result is only a modest increase in the SCC value in the 21st century.

TOO MUCH SPACE

11.2.5 Are there alternatives?

It is increasingly being argued that the SCC is too variable to be useful for policymakers. Cambridge Econometrics (Thoung, 2017) stated it's "time to kill it" due to uncertainties. The UK and EU no longer use SCC for policy appraisal, opting for "target-consistent" carbon pricing (UK Department for Energy Security and Net Zero 2022, Dunne 2017). However the uncertainty of SCC estimates doesn't mean that other regulatory measures are inherently better or more efficient. Many emissions regulations (such as electric vehicle mandates, renewable energy mandates, energy efficiency regulations and bans on certain types of home appliances) cost far more per tonne of abatement than any mainstream SCC estimate which is sufficient for establishing they fail a cost-benefit test.

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12 GLOBAL CLIMATE IMPACTS OF U.S. EMISSIONS POLICIES

TOO MUCH SPACE EVERYWHERE

Chapter Summary

U.S. policy actions are expected to have undetectably small direct impacts on the global climate and any effects will emerge only with long delays.

12.1 The scale problem

The emissions rates and atmospheric concentrations of criteria air contaminants are closely connected because their lifetimes are short and their concentrations are small; when local emissions are reduced the local pollution concentration drops rapidly, usually within a few days. But the global average CO_2 concentration behaves very differently, since emissions mix globally and the global carbon cycle is vast and slow. Any change in local CO_2 emissions today will have only a very small global effect, and only with a long delay.

Following the emission of a pulse (release) of CO_2 into the atmosphere only about 40 ± 15 percent of the emitted CO_2 will have been sequestered after twenty years. That fraction rises to 75 ± 10 percent after a thousand years, and the remainder will be gradually removed over the ensuing tens of thousands of years (Ciais et al., 2013, pp. 472-473). Consequently, any reduction in U.S. emissions would only modestly slow, but not prevent, the rise of global CO_2 concentration. And even if global emissions were to stop tomorrow, it would take decades or centuries to see a meaningful reduction in the global CO_2 concentration and hence human influences on the climate.

Reducing the atmospheric stock of CO_2 would require emissions to fall below the natural sequestration rate, assuming the entire increase is anthropogenic. Since that rate has been averaging about 50% of emissions in recent decades, a reduction of global emissions by 50% would (at least temporarily) halt the rise in atmospheric CO_2. The 1997 Kyoto Protocol proposed to cap industrial nations' CO_2 emissions at a modest five percent below 1990 levels by the year 2012. Even though this policy was too difficult for most nations to implement, full compliance would not have substantially reduced atmospheric CO_2 levels. It would only have slightly slowed CO_2 growth, reaching the projected year 2100 level in 2105 instead (Wigley, 1998). Lomborg (2016) estimated that full compliance with the Paris Accord would not stop warming, it would only prevent about 0.1C warming and delay hitting the baseline year 2100 temperature levels by about a decade.

Thus, in contrast with conventional air pollution control, even drastic local actions will have negligible local effects, and only with a long delay. The practice of referring to unilateral US reductions as "combatting climate change" or "taking action on climate" therefore reflects a profound misunderstanding of the scale of the issue.

12.2 Case study: US motor vehicle emissions

The scale problem can be illustrated with reference to U.S. motor vehicles. The EPA's 2009 Endangerment Finding focused on CO_2 emissions from cars and light-duty trucks in the U.S. because Section 202(a) of Clean Air Act mandates the EPA to set emissions standards for motor vehicles if pollutants are found to endanger public health or welfare. The 2009 Endangerment Finding therefore obligated the EPA to regulate emissions from new motor vehicles, ostensibly to reduce or eliminate climate-related harms to the US public.

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Two questions that naturally arise are: (1) How large a reduction in CO_2 emissions would result from such regulation? and (2) What would be the climate impact of such regulation?

The first question can be addressed by comparing US vehicle-based CO_2 emissions to the global total. The second question can be addressed by using the fact that the reduction in global warming would be, according to the models relied upon by the EPA, proportional to the reduction in global emissions, keeping in mind that the change in the CO_2 content of the atmosphere in any given year is the result of total global CO_2 emissions, not just U.S. emissions.

In 2022, the emissions from U.S. cars and light duty trucks totaled 1.05 billion metric tons of carbon dioxide ($GtCO_2$, EPA 2024). Meanwhile global CO_2 emissions from energy use totaled 34.6 $GtCO_2$ (Energy Institute 2024). Hence U.S. cars and light trucks account for only 3.0% of global energy-related CO_2 emissions. To a first approximation we can say that even eliminating all US vehicle-based emissions would change the multidecadal warming trend by at most 3%. For the period 1979-2023, which has the most extensive global coverage of a variety of weather data types, warming trends are determined to a precision of about $\pm$ 15%, so the impact of reducing the rate of global warming by eliminating U.S. vehicle CO2 emissions would be far below the limits of measurability.

Given that global-average temperature is the most direct climate change metric, impacts on any secondary climate metrics (e.g. severe weather, floods, drought, etc.) from reducing U.S. vehicle CO_2 emissions would be even less measurable.

Consequently, in contrast to the case of local air contaminants like particulates and ozone, even the most aggressive regulatory actions on GHG emissions from U.S. vehicles cannot be expected to remediate alleged climate dangers to the US public on any even remotely-measurable scale.

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GLOSSARY

2XCO2: Doubling of the atmospheric carbon dioxide (CO2), a commonly used baseline for addressing climate change. In 2025, atmospheric CO2 concentrations were approximately 50% of the way to 2XCO2 compared to 1800.

ABATEMENT: In the context of environmental policy, the reduction of emissions.

ACE (Accumulated Cyclone Energy): A statistical measure of a tropical cyclone's energy accumulated over its lifetime, calculated from a summation of the square of maximum sustained wind speeds.

ACIDIFICATION: A commonly used term for the reduction in ocean pH from more alkaline to less alkaline values.

ACRIM (Active Cavity Radiometer Irradiance Monitor) GAP: the gap in total solar irradiance data between 1989 and 1991 caused by a delay in the launch of a satellite-borne monitor in time to overlap and intercalibrate with the prior system.

AEROSOLS: Tiny solid or liquid particles suspended in the air.

ALKALINE: Having a chemical pH greater than 7.0. The "opposite" of acidic, which means a pH less than 7.0.

AMBIENT: Relating to the immediate surroundings of something.

ANTHROPOGENIC: Created by humans.

AR (Assessment Reports): Periodic assessments published by the Intergovernmental Panel on Climate Change evaluating current knowledge of the climate system, global change, and associated issues. An AR now consists of reports from three working groups, the first (WG1) concerned with physical science and the others concerned with societal impacts and mitigation strategies The most recent was the AR6.

ATTRIBUTION: Assertion of a causal relation, chiefly from anthropogenic GHG emissions to observed climate patterns.

AUTOCORRELATION: The phenomenon in which the current value of a random time series variable is correlated with its value in a previous time period.

BIOMASS: The total quantity or weight of organic matter in a given area or volume.

CARBON: The 6th element in the periodic table. Popularly used as a shorthand term for carbon dioxide.

CMIP (Coupled Model Intercomparison Project): The Intergovernmental Panel on Climate Change's organized effort to compare many different global ocean-atmosphere climate models when they are all forced with the same emissions scenario(s). The two most recent were the CMIP5 and CMIP6 for, respectively, AR5 and AR6.

CONUS: The contiguous 48 U.S. states.

DICE (Dynamic Integrated model of the Climate and Economy): An Integrated Assessment Model developed by William Nordhaus in 1993.

DISCOUNT RATE: The interest rate used to convert future costs and benefits into their present value. Varies inversely with present value so a high discount rate reduces the present value of future costs and benefits.

DRY ICE: Frozen carbon dioxide.

ECONOMETRICS: The branch of economics concerned with the use of statistical methods (chiefly multiple regression) to analyse economic systems.

ECS (Equilibrium Climate Sensitivity): The total amount of eventual global-average surface warming in response to a hypothetical doubling of the atmospheric CO2 concentration compared to pre-Industrial levels.

EL NIÑO: The warm phase of the El Niño – Southern Oscillation, involving weaker upwelling of cold water off the South American coast and weaker Pacific trade winds.

EMISSIONS SCENARIO: An assumed scenario of future greenhouse gas emissions (or resulting atmospheric concentrations) based upon assumptions regarding global economic activity, the prevalence of fossil fuel use, and (sometimes) global carbon cycle model estimates of the rate of CO2 uptake by land and ocean.

ENDANGERMENT FINDING (EF): The 2009 finding by the Administrator of the Environmental Protection Agency that emissions of well-mixed greenhouse gases (primarily CO2) endanger human health and welfare.

ENSO: El Niño – Southern Oscillation, a prominent natural climate fluctuation involving year-to-year variations in the upwelling of cold water off the equatorial coast of South America and associated strengthening or weakening of trade winds across the Pacific Ocean.

FACE: (Free-Air CO2 Enrichment) Large-scale open-air studies that expose plants to elevated CO2 levels, simulating future climate scenarios.

FOSSIL FUEL: A natural hydrocarbon fuel such as coal, oil, or natural gas formed in the geological past from the remains of living organisms.

FUND (Framework for Uncertainty, Negotiation, and Distribution): An Integrated Assessment Model developed by Richard Tol in 1997.

GBR (Great Barrier Reef): The world's largest coral reef ecosystem located off the northeast coast of Australia.

GDP (Gross Domestic Product): Total market value of all the final goods and services produced within a country's border, usually over one year.

GHCN (Global Historical Climatology Network): A time-varying number of globally distributed weather stations making hourly, daily, or monthly measurements of precipitation, temperature and sometimes snowfall and snow depth.

GLOBAL GREENING: The satellite-observed increase in greenness in most vegetated land areas observed since the early 1980s.

GNP (Gross National Product): Total market value of all the final goods and services produced by the citizens of a country, including exports and imports, usually over one year.

GDP (Gross National Product): Total market value of all the domestically-produced final goods and services produced by the citizens of a country, usually over one year.

GREENHOUSE EFFECT (GHE): The tendency for any planetary atmosphere containing greenhouse gases to be warmer in the lowest layers than if those gases did not exist.

GREENHOUSE GAS (GHG): An atmospheric gas that absorbs and emits infrared radiation, especially water vapor, CO2, and methane.

HURST PHENOMENON: See long term persistence.

HYDROLOGY: The study of the movement of water, especially on land and in the atmosphere.

IAM (Integrated Assessment Model): A computerized tool that combines economics, climate science, and social sciences to provide quantitative relationships between human and Earth systems, helping to inform policy decisions.

IEA (International Energy Agency): A Paris-based intergovernmental organization, established in 1974, that provides policy recommendations, analysis and data on the global energy sector.

IPCC (Intergovernmental Panel on Climate Change): A panel of international experts and governmental representatives established by the United Nations and World Meteorological Organization in 1988 to provide governments at all levels with scientific information that they can use to develop climate policies.

IR (Infrared): Heat radiation that all solid objects and greenhouse gases emit by virtue of their temperature.

LA NIÑA: The cool phase of ENSO, involving stronger upwelling of cold water off the South American coast and stronger Pacific trade winds.

LONG TERM PERSISTENCE (LTP, or HURST PHENOMENON): A form of autocorrelation in which random fluctuations induce long term but not permanent changes in the mean value of a series, which shows up in some climate data series as cyclical or trending patterns on short time scales.

MAIZE: Corn.

MODEL: A computer code or collection of codes which quantify our knowledge of various processes and the interactions between those processes.

MORTALITY: Death or number of deaths, usually expressed for a specific population and period.

NCA (National Climate Assessment): A periodic report mandated by the Global Change Research Act of 1990 summarizing the impact of climate change on the United States. There have been five NCA reports: NCA1 (2000), NCA2 (2009), NCA3 (2014), NCA4 (2017-18), and NCA5 (2023).

OECD (Organization for Economic Cooperation and Development): A group of 38 member countries that have a democratic system of government and free economic systems.

PALEOCLIMATE: Climate of the distant past, before modern weather instruments were widely used (typically pre-1850), necessitating the use of "proxy" measurements such as tree rings, ice core, pollen records, etc.

PDI (Power Dissipation Index): A statistical estimate of the accumulated destructive potential of a tropical cyclone over time, calculated as a summation of the cube of the maximum sustained wind speeds.

PHOTOSYNTHESIS: The process by which plants grow, requiring carbon dioxide, water, and light at sufficiently warm temperatures (10 to 35 deg. C for optimal function).

PPM: Parts per million, a measure of the concentration of a substance in (for example) the atmosphere

PRE-INDUSTRIAL: The near-term historical period before which human greenhouse gas emissions were considered significant, usually assumed to be the mid-1700s.

RADIATIVE FEEDBACK: A change in the balance between absorbed solar radiation and emitted infrared (IR) radiation, referenced to the top of the atmosphere, caused by changes in surface temperature.

RADIATIVE FORCING: The change in the balance between absorbed solar radiation and emitted infrared (IR) radiation, referenced to the top of the atmosphere, caused by changes in greenhouse gases, anthropogenic aerosols, volcanoes, etc.

RCP (Representative Concentration Pathway) SCENARIOS: Different scenarios for future greenhouse gas emissions and their impact on the atmosphere, introduced in the IPCC's Fifth Assessment Report (AR5). RCP scenarios have a number label (e.g. RCP6.0) indicating how much radiative forcing they

assume in 2100 relative to pre-Industrial times, in Watts per square meter. RCP4.5 and RCP6.0 are intermediate scenarios, whereas RCP8.5 is an extreme scenario with very large future emissions of greenhouse gases.

REGRESSION: A statistical method for selecting the coefficients of a linear equation to explain the behaviour of a dependent variable in terms of variations in one or more explanatory variables.

RICARDIAN ANALYSIS: A method used in environmental economics named after David Ricardo to estimate the economic impacts of climate change, particularly on agriculture, by making use of the hypothesis that expected changes in the return to future agricultural activity will be capitalized into current land values.

SCC (Social Cost of Carbon): An estimate of the net economic damages, measured in present-value dollars, caused by emitting one additional ton of CO2 into the atmosphere.

SSP (Shared Socioeconomic Pathway) SCENARIOS: Different scenarios for future greenhouse gas emissions and their impact on the atmosphere, introduced in the IPCC's Sixth Assessment Report (AR6). Some of the SSP scenarios are analogous to the older RCP scenarios, for purposes of continuity and comparison to earlier climate model assessments.

TC: Tropical cyclone.

TMAX: The daily maximum surface air temperature.

TMIN: The daily minimum surface air temperature.

TOA: Top of atmosphere.

TOXICOLOGY: The study of the adverse effects of chemical substances on living organisms.

TREND: A coefficient, usually estimated using linear regression, representing the slope of a line of best fit through a time series of data, indicating any tendency for the series mean to move up or down over time.

TSI (Total Solar Irradiance): The measure of the total amount of incident solar radiation per unit area, including all wavelengths of electromagnetic radiation, that reaches the Earth's atmosphere. Typically shown in units of watts per square meter (W/m^2).

UHI (Urban Heat Island): The tendency for inhabited areas to be warmer than their rural surroundings, due to the replacement of natural land and vegetation with roads, parking lots, buildings, and waste heat sources.

USHCN: The U.S. Historical Climatology Network, consisting of quality-controlled temperature and precipitation data from 1,218 surface weather stations.

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The Honorable Steven E. Koonin, Ph.D. is the Edward Teller Senior Fellow at Stanford's Hoover Institution. He has served as Under Secretary for Science at the Department of Energy (2009-2011), as Chief Scientist for BP (2004-2009), and as a professor at Caltech (1975 – 2004, the last nine years as Vice President and Provost). Koonin is a member of the National Academy of Sciences and the JASON group of government advisors, as well as a Governor of Lawrence Livermore National Laboratory, having served in similar capacities for the Los Alamos, Sandia, Brookhaven, and Argonne National Laboratories. Other prior roles include a University Professor at NYU, a non-resident Senior Fellow at AEI, and a Trustee of IDA. MAKE ONE PARA, SPELL OUT ACRONYMS

Koonin has a BS in Physics from Caltech and a PhD in Theoretical Physics from MIT. He authored the 2021 bestseller *Unsettled: What Climate Science Tells Us, What It Doesn't, and Why It Matters,* the 1985 textbook *Computational Physics*, and some 200 peer-reviewed papers in physics and astrophysics, scientific computation, energy technology and policy, and climate science.

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Critique of the Fifth National Climate Assessment

[TOC \O "1-3" \H \Z \U]

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EXECUTIVE SUMMARY

This report critically evaluates the Fifth National Climate Assessment (NCA5), finding it an inadequate basis for climate and energy policies. A detailed review of the foundational chapters on climate science raises several key concerns:

Scientific Integrity

- An advocacy tone, particularly in the Overview chapter, that is inconsistent with scientific objectivity
- ~~A framing of climate policy as a morality play~~
- Endemic overconfidence when stating conclusions, including inflated certainty levels and overstatement of findings from underlying sources
- No mentions of dissent, disagreement or controversies~~, or tradeoffs~~
- Policy prescriptions that ignore costs and tradeoffs

Flawed methodology

- Minimal discussion of observational inadequacies
- Use of short time periods for trend analyses; lack of a consistent rationale for choosing time scales
- Attribution is implied, not dissected
- Overemphasis on extreme scenarios for future emissions and sea level rise
- Inadequate traceable accounts to support key conclusions

Inadequate Review Process

- A review by the National Academy of Sciences, Engineering, and Medicine (NASEM) failed to identify some fundamental flaws in NCA5
- The NCA5 authors failed to adopt some improvements recommended by NASEM

The report shows NCA5 to be a biased and incomplete picture of climate science that skews toward excessive climate risk. Consequently, NCA5 should not be used as basis for climate and energy policies.

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1 INTRODUCTION

1.1 About this Report

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This report responds to a request from the Office of Science and Technology Policy (OSTP) Director Michael Kratsios (reproduced in the Appendix) [MAY HAVE TO MODIFY THIS] that we assess the suitability of the Fifth National Climate Assessment (NCA5 2023) for informing climate and energy policies.

To conduct this assessment, we reviewed NCA5 itself, the National Academies of Science, Engineering and Medicine (NASEM) review of the Third Order NCA5 Draft, and the USGCRP responses to that review. We have drawn upon our long experience working on advisory reports, including for the NASEM, the Intergovernmental Panel on Climate Change (IPCC), and other organizations as writers, reviewers, and study leaders. ~~Further, w~~We have reviewed recent scientific developments in a separate report to Energy Secretary Wright (Climate Working Group 2025), herein denoted "CWG25").

We find that NCA5 should not be relied on for policy making as it portrays an incomplete and misleading picture of current climate variability and how climate-related risks are or are not changing over time. ~~our understanding of the changing climate and the risks it poses to the nation.~~ NCA5 was selective and incomplete in its survey of climate science. The overall effect of ~~the~~ its biases and omissions ~~of NCA5~~ is an unfocused and excessively dire picture of climate risk ~~of climate risk~~.

To support our finding, the following sections of this chapter provide some background on the National Climate Assessments and the standards by which we have assessed NCA5. Chapters 2 through 7 detail important ways in which NCA5 fails to meet those standards. Finally, Chapter 8 summarizes our evaluation of NCA5 and makes recommendations for NCA6.

1.2 About the National Climate Assessments

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The [HYPERLINK "https://www.globalchange.gov/"] (USGCRP) was established by Presidential Initiative in 1989 and mandated by Congress in the Global Change Research Act (GCRA) of 1990 to develop and coordinate "a comprehensive and integrated United States research program which will assist the Nation and the world to understand, assess, predict, and respond to human-induced and natural processes of global change."

The National Climate Assessment (NCA) Reports evolved from the GCRA requirement to:

> Prepare and submit to the President and the Congress an assessment which (1) integrates, evaluates, and interprets the findings of the Program and discusses the scientific uncertainties associated with such findings; (2) analyzes the effects of global change on the natural environment, agriculture, energy production and use, land and water resources, transportation, human health and welfare, human social systems, and biological diversity; and (3) analyzes current trends in global change, both human-induced and natural, and projects major trends for the subsequent 25 to 100 years.

The five NCA Reports to date have interpreted this mandate in different ways:

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- NCA1 (2000) was a multidisciplinary effort to study and portray in regional detail the potential effects of human-induced global warming on the United States.
- NCA2 (2009) was a relatively short report that not only focused on the potential consequences of climate change but also sought to identify potential adaptation measures and identify the highest priorities for future research.
- NCA3 (2014) was an expanded effort that continued along the lines of NCA2, and was distinguished by a comprehensive review process, including a review by the National Academy of Sciences. NCA3 introduced a traceability analysis for each key finding, including a description of uncertainties.
- NCA4 (2017/2018) was a further expanded effort that was published in two volumes: I Climate Science Special Report (CSSR), and II Impacts, Risk, and Adaptation in the United States. This was the first NCA report to devote significant effort to assessing climate science.
- NCA5 (2023) returned to a single volume format, reducing discussion of climate science to a cursory two chapters, with continuing focus on regional and sectoral impacts of climate change. NCA5 expanded its remit to topics that are arguably outside the purview of the USGCRP, focusing on "Climate Action" and policy recommendations with a social justice emphasis.

These successive interpretations of the NCA are poorly aligned with the GCRA's statutory requirements. Only NCA4 gives serious consideration to climate science, which receives the great majority of USGCRP funding, and even then NCA4 misrepresents some important points. Natural climate variability is essentially dismissed as unimportant in NCA4 and barely mentioned in NCA5 in most contexts, despite the mandate to include both human-caused and natural climate change. Most significantly, NCA5 has redirected the focus away from climate science and even the hazard elements of impacts, towards evaluation of societal vulnerabilities and advocacy for particular policy options, especially deployment of wind and solar power generation.

1.3 Standards for Assessing NCA Products

Beyond the statutory requirements stated above, another pertinent standard is President Trump's executive order of May 23, 2025, [HYPERLINK "https://www.whitehouse.gov/presidential-actions/2025/05/restoring-gold-standard-science/"]. It enumerates some of the characteristics of good science, namely that it should be:

i. reproducible;
ii. transparent;
iii. communicative of error and uncertainty;
iv. collaborative and interdisciplinary;
v. skeptical of its findings and assumptions;
vi. structured for falsifiability of hypotheses;
vii. subject to unbiased peer review;
viii. accepting of negative results as positive outcomes; and
ix. without conflicts of interest.

For an assessment of policy relevant science, it is also important to include statements about the strength of knowledge. The IPCC's approach to assessing strength of knowledge is based on judgment of the available evidence and agreement among experts. But there are more sophisticated knowledge characterizations for risk management. Here are the elements according to Aven (2017):

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- The degree to which the assumptions made are reasonable/realistic based on background knowledge
- The degree to which data/information exists and are reliable and relevant
- The degree to which there is disagreement among experts (including those from different environments or academic fields)
- The degree to which the phenomena involved are understood and accurate models exist
- The degree to which the knowledge has been thoroughly examined with respect to unknown knowns (issues that are known to matter but are ignored, such as, in this context, natural variability).

2 NCA5 Overview Chapter: Advocacy language and unscientific tone

NCA5 Chapter 1, "Overview", holds a prominent place in the Report since it summarizes the entire report and is the only section that many will read. Chapter 1 is marred by an activist tone, language that aggressively promotes mitigation policies, and motivated optimism. As such, this chapter adds an imprimatur of scientific authority to naïve and simplistic declarations of opinion about public policy. Here are some examples.

Chapter 1 opens with:

> The more the planet warms, the greater the impacts. Without rapid and deep reductions in global greenhouse gas emissions from human activities, the risks of accelerating sea level rise, intensifying extreme weather, and other harmful climate impacts will continue to grow. Each additional increment of warming is expected to lead to more damage and greater economic losses compared to previous increments of warming, while the risk of catastrophic or unforeseen consequences also increases.

This statement presupposes that all warming is anthropogenic, that all warming is bad and causes economic damage, and that warming intensifies extreme weather. Such sweeping generalizations go well beyond what science has or can establish. The statement also refers to growing risks without reference to their magnitude – a small risk that increases might still be a small risk, and a large risk might be only incrementally worsened.

On the next page one finds:

> Each additional increment of warming leads to greater risks [here it lists 7 key components of society including food security and the economy] ... Without deeper cuts in global net emissions, climate risks to the US will continue to grow... Action to limit future warming and reduce risks can have near-term benefits and opportunities: low-carbon energy jobs, Improved air quality, Health benefits, Economic benefits..."

The authors write as if climate policy does not involve trade-offs and all measures to reduce future warming will be effective and beneficial. Neither the vast body of scholarship on climate science and economics nor historical experience support such simplistic claims (CWG25). Not every additional

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increment of warming leads to economic harms; in fact, NCA5 Chapter 19 acknowledges that there will also be benefits. Nor is climate policy guaranteed to benefit the economy; it could impose net costs. Such misleading statements are a disservice to policymakers.

Pages 8 and 9 of the Overview promote renewable energy options by noting, correctly, that the levelized costs of wind/solar generation have fallen sharply since 2010, and so offers this rationale for pursuing deep emission reductions:

> Recent growth in the capacities of wind, solar, and battery storage technologies is supported by rapidly falling costs of zero- and low-carbon energy technologies, which can support even deeper emissions reductions. For example, wind and solar energy costs dropped 70% and 90%, respectively, over the last decade, while 80% of new generation capacity in 2020 came from renewable sources.

Yet it has long been known that intermittent renewables generation must be supplemented by dispatchable generation to meet grid reliability standards, and that doing so (*e.g.*, through natural gas, fission, or storage) significantly increases the total cost of electricity. Further, there are yet unmet synchronization challenges in electrical grids with heavy penetration of wind and solar generation. NCA5 fails to acknowledge these facts. But more importantly, we see no reason for NCA5 to be discussing renewable energy technologies and economics in the context of its mandate.

Page 17 of the Overview introduces the discussion of risks from extreme weather events by saying "Harmful impacts from more frequent and severe extremes are increasing across the country." This creates the impression that extreme weather events are worsening and that disaster losses are driven by trends in extreme weather. While the section goes on to acknowledge that some of the increase is due to increases in the exposure and value of assets at risk, it then invokes the NOAA Billion Dollar Dataset as evidence of extreme weather getting worse. Scientists have shown that this is an invalid metric (CWG25 Section 10.2) since it measures the growth of vulnerable infrastructure, not the frequency of extreme weather, and indeed NOAA has recently withdrawn the data product. Even though the dataset was still distributed by NOAA at the time of the NCA5, the report authors failed to assess it skeptically and see that it was unfit for the purpose of measuring climate trends.

Page 23 of the Overview states, in large font:

> Climate changes are making it harder to maintain safe homes and healthy families; reliable public services; a sustainable economy; thriving ecosystems, cultures, and traditions; and strong communities.

This statement cannot be evaluated on scholarly grounds and so doesn't belong in a scientific assessment report. Its prominent inclusion points not only to the willingness of NCA5 authors to promote alarm, but also the ineffectiveness of the review process in eliminating such statements.

3 OVERCONFIDENCE WHEN STATING CONCLUSIONS

3.1 Inflated certainty levels

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Overconfidence in stating conclusions has been an endemic problem in NCA Reports. The authors frequently make sweeping generalizations without appropriate caveats or acknowledging uncertainties. The overconfidence begins with inflated language to describe certainty levels.

NCA5 uses specific terms to convey information about scientific confidence associated with *important findings, observations and projections. The Front Matter of NCA5 states that*

> confidence in a finding is based on the type, amount, quality, strength, and consistency of evidence; the skill, range, and consistency of methods to detect, evaluate, attribute, and interpret climate trends; and the degree of agreement across scientific information sources.

Table 1 from the Front Matter provides calibrated language for confidence assessments:

Very high:	Strong evidence (established theory, multiple sources, well documented and accepted methods, etc.). High consensus
High:	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.). Medium consensus
Medium:	Suggestive evidence (a few sources, limited consistency, methods emerging, etc.) Competing schools of thought.
Low:	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.). Disagreement or lack of opinions among experts.

This terminology is obviously inflated: *high confidence* with just moderate evidence and medium consensus; *medium confidence* with only suggestive evidence and competing schools of thought. This inflation in terminology leads to overconfidence in conclusions.

Even with this inflated terminology, NCA5 further inflates the confidence level of many of its conclusions to well beyond what the IPCC has concluded with more thorough justification, and by incorrectly applying its own standards. An example is the conclusion about anthropogenic influence on climate change, arguably one of the most important scientific statements of the report.

Key Message 3.1 Traceable Account states:

> There is *very high confidence* that emissions of GHGs from human activities, fossil fuel use in particular, have unequivocally caused *all* global warming observed over the industrial era.

while the corresponding statements from IPCC AR5 and AR6 are

> **AR5:** It is *extremely likely* that *more than half* of the observed increase in global average surface temperature from 1951 to 2010 was caused by the anthropogenic increase in greenhouse gas concentrations and other anthropogenic forcings together. (IPCC, 2013)

> **AR6:** The *likely* range of total human-caused global surface temperature increase from 1850–1900 to 2010–2019 is 0.8°C to 1.3°C, with a best estimate of 1.07°C. It is *likely* that well-mixed GHGs contributed a warming of 1.0°C to 2.0°C, other human drivers (principally aerosols) contributed a cooling of 0.0°C to 0.8°C, natural drivers changed global surface temperature by –0.1°C to +0.1°C, and internal variability changed it by –0.2°C to +0.2°C. It is *very likely* that well-mixed GHGs were the main driver of tropospheric warming since 1979.

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The IPCC AR5 and AR6 statements provide likelihood assessments but not a confidence level. While NCA5 claims with *very high confidence* that fossil fuels have unequivocally caused *all* global warming, AR5 refers to "*more than half* of the observed warming" and AR6 provides a more nuanced statement that relates to a *likely* range of warming contributed to by human drivers.

3.2 Overstating findings in underlying sources

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Although we did not do a detailed audit of NCA5's use of source material, spot checks suggest a pattern of overstatement.

Chapter 2 page 11 reports on an increase in precipitation in some areas and attributes it to "climate change":

> Many eastern regions of the country are getting wetter (Figure 2.4). Average annual precipitation from 2002-2021 was 5%-15% higher relative to the 1901-1960 average in the central and eastern US, a trend attributable to climate change.

NCA5 attributes the claim to Knutson and Zeng (2018). But Knutson and Zeng did not say these things nor did they analyze this particular period. For 1981-2010 they cautioned that observed changes are consistent with natural variability, and they did not claim attributable trends were observed over the United States.

As another example of overstating findings, NCA5's Chapter 2 page 18 states

> There is robust evidence that human-caused warming has contributed to increases in the frequency and severity of the heaviest precipitation events across nearly 70% of the US.

This is apparently attributed to Diffenbaugh *et al.* (2018) and Kirchmeier-Young and Zhang (2020). Diffenbaugh *et al.* presented ratios of observed occurrences of extreme events to simulated occurrence rates in CMIP6 models run with only natural forcings. They report that for 79% of the U.S., extreme rainfall events are currently more frequent than in simulations, and the ratio of occurrences will rise under future warming simulations. This is not "robust" evidence of anthropogenic influence since it requires readers to assume that climate models accurately simulate regional precipitation patterns, something that the IPCC AR6 states is uncertain (AR6 WGI SPM Box SPM 1.2, SPM Section C.1.3).

Kirchmeier-Young and Zhang used three global climate models to analyze extreme 1- and 5-day events in North America. They noted large differences among the three models in their simulated precipitation changes. Attribution results for the U.S. are reported in their online Supplement (Figure S4). Across six experiments (three models and two rainfall metrics) anthropogenic influence was detected in only one case. Also both in other words, the models disagreed on whether the observed changes were anthropogenic. [redacted] both of the cited references utilized the implausible RCP8.5 scenario (Chapter 6 of this gReport) and began their "historical" observational records only in 1961. Here again this is not "robust" evidence, it is merely suggestive, particularly since other studies have found natural variability to be predominant.

3.3 Example: Emergent constraints

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Some of the overconfidence expressed by NCA5 arises by omitting discussion of counterevidence in the peer reviewed literature, ignoring dissent and disagreement. An example is the topic of "emergent constraints". NCA5 Chapter 3 page 17 invokes this concept to support its claim that key uncertainties in climate model projections have been reduced:

> An approach to reduce uncertainty in climate change projections has matured over the past decade. It is known as "emergent constraints." The term refers to strong statistical relationships between highly uncertain future climate parameters and observable trends or variations in the current climate, along with a physical explanation of this relationship.

Emergent constraints appeared in the climate modeling literature as an attempt to tie climate model behavior to climate observations in hopes of generating insight into real-world equilibrium climate sensitivity (ECS). Climate models exhibit a wide range of ECS values. The idea of emergent constraints is to examine whether the models with shared sensitivity values also share a common representation of some climate process (usually related to clouds) that might influence ECS. Suppose, for example, that in low-ECS models the total cloud fraction in the tropics is strongly correlated with that in the southern midlatitudes, but in high-ECS models the correlation is weak. Then researchers could examine whether the observed correlation is strong or weak and draw a conclusion about which type of model, and therefore which ECS value, is more likely.

A problem in the emergent constraint literature is that there has been a proliferation of proposed correlations with little physical motivation. NCA5 alludes to this in the Traceable Accounts section (p. 3-40) but not in the main chapter text. As shown by Schlund *et al.* (2020), many emergent constraints patterned on CMIP5 models (Coupled Model Intercomparison Project Phase 5, used for the IPCC 5th Assessment Report) failed to work on CMIP6 models (used for the IPCC 6th Assessment Report). In other words, the correlations between ECS and cloud processes present in CMIP5 models were absent in CMIP6 models, indicating that they were likely spurious rather than clues to physical processes governing the climate system. As a result, emergent constraints estimated on CMIP5 models *increased* the uncertainty of ECS, rather than decreasing it as claimed by NCA5.

Yet NCA5 described the use of emergent constraint analysis as an advance that reduced the uncertainty of ECS and climate model projections (p. 3-38):

> Emergent constraints have been widely applied to reduce the simulated spread in the climate feedbacks that shape climate sensitivity.

4 Arbitrary and Ambiguous Trend Analyses

CWG25 Chapter 6 discussed the need for care in the choice of time scale when making claims about trends in extreme weather. We provided examples from analysis of river flooding, heatwaves, and extreme precipitation to show how assertions about apparent trends based on short data sets fall apart when considering longer versions of the same data sets. NCA5 uses varying time periods for trend analyses, especially in Chapter 2 (which is focused on trends), without explaining why shorter or longer intervals were chosen. For example, page 2-4 defines temperature trends over the interval since the late 1800s whereas page 3-29 presents temperature trends over 1972-2021. Page 2-11 compares rainfall over the 2002-21 versus 1901-1960 intervals, while page 2-18 uses the interval since the late 1950s to compute trends.

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A further problem is that NCA5 uses the term "trend" repeatedly without indicating if it is statistically significant (*i.e.*, distinguishable from random variability); the ambiguity creates the impression all the observed changes were significant, but readers are left to speculate. All climate variables will, in principle, drift up or down over any particular time interval. Identifying the change as a trend requires showing that it is statistically significant using a variance estimator suitable for the type of data being analysed. Of particular importance is choosing a method robust to the serial correlation that is frequently present in climate data. Throughout Chapters 1 to 3, references are made to "trends" without explaining how they were computed. The exception was on page 1-19 which refers to urban temperature trends being calculated using the Theil-Sen estimator, although that method does not seem to have been used elsewhere. The one case where reference is made to statistical significance is on Page 2-9, referring to a trend in Atmospheric Optical Depth, but there is no explanation of how significance was determined. Page 2-19 refers to regional trends in droughts being "robust" but again fails to explain how the term was defined.

4.1 Average and Extreme Precipitation

NCA5 did not continue the NCA4 precedent of using the post-1901 interval as the time scale for its precipitation discussion. Instead, it refers to changes "since the 1950s". NCA5 Figure 2.8 suggests 1958 might be the intended start date. Under Key Message 2.2, "Extreme Events Are Becoming More Frequent and Severe", NCA5 states (p. 2-18):

> Rainfall is becoming more extreme
> Since the 1950s, there has been an upward trend in heavy precipitation across the contiguous US. This increase is driven largely by more frequent precipitation extremes, with relatively smaller changes in their intensity.

In addition to our concerns that inferences about trends are contingent on choice of time scale, if the intended meaning of this statement is that positive and significant trends are found in heavy precipitation events across CONUS, we cannot reproduce it. In CWG25 Section 6.4, in addition to referencing previous analyses in the climate literature, we presented analyses of daily U.S. precipitation data for the Pacific Coast, Southeast and Northeast regions, in all cases finding both considerable regional heterogeneity and that trends in various measures of extreme precipitation detectable on one time scale are often not detected on longer or shorter time scales. Additional analysis of stations in the upper Midwest confirm this pattern.[1] Moreover the NCA5 statement was at odds with evidence in the peer-reviewed literature (discussed in CWG Section 6.4) to which authors of this report drew NCA5 authors' attention during the review process.

In CWG25 Section 6.4 we presented analyses of updated data for the same locations [redacted] (and many others), in all cases finding both considerable regional heterogeneity and that trends detectable on one time scale are often not detected on longer or shorter time scales. These findings argue against simple attribution of changes to anthropogenic influences. In this chapter section, when we refer to calculation of trends in precipitation, we use ordinary least squares to compute the linear trend over the entire sample length and we use the Vogelsang and Franses (2002) variance estimator which is robust to general forms of serial correlation. [redacted] [IS THIS PARAGRAPH A QUOTE FROM NCA5? IF SO,

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[1] See details at [HYPERLINK "https://www.nsstc.uah.edu/data/ushcn_jrc/SI.Precipt_trends_reproducing.pdf"], which provides access to data and code.

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~~NEED TO INDENT AND ADD SOME COMMENTARY. IF NOT, IT ISN'T CONNECTED TO THE REST OF SM&T[SCT]~~

~~NCA5 did not continue the NCA4 precedent of using the post-1901 interval as the time scale for its precipitation discussion. Instead[instead], it refers to changes "since the 1950s"; NCA5 Figure 2.8 suggests 1958 might be the intended start date. Under Key Message 2.2, "Extreme Events Are Becoming More Frequent and Severe" (p. 2-16), NCA5 states (p. 2-18):~~

> ~~Rainfall is becoming more extreme~~
> ~~Since the 1950s, there has been an upward trend in heavy precipitation across the contiguous US. This increase is driven largely by more frequent precipitation extremes, with relatively smaller changes in their intensity.~~

~~In addition to our concerns that inferences about trends are contingent on choice of time scale, if the intended meaning of this statement is that positive and significant trends are found in heavy precipitation events across CONUS, we cannot reproduce it. Details of our analysis can be found at LINK TO BRIEF WRITEUP ON THIS can we just reference CWG HERE~~

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~~Based upon our analysis, t~~The following is a more accurate summary of what U.S. precipitation data show.

> There are regionally heterogenous changes in average and extreme precipitation. Positive trends in annual average rainfall and extreme events (defined either as the annual maximum or the number of exceedances of the 99th percentile value) are generally weak or non-existent when looking at samples exceeding 130 years in length. Significant trends can be found when beginning the sample in the 1950s although in most cases the trends weaken and become insignificant when looking at the post-1980 interval. This argues against attribution of changes in precipitation to anthropogenic warming.

4.2 Heatwaves

In contrast to NCA5, NCA4 examined heat extremes across the entire 20th century, pointing out that peak heat wave activity was reached in the 1930s and has decreased since then, and that recent increases are confined to areas west of the Rockies. NCA4 stated:

> [The] warmest daily temperature of the year increased in some parts of the West over the past century, but there were decreases in almost all locations east of the Rocky Mountains. In fact, all eastern regions experienced a net decrease, most notably the Midwest (about 2.2°F [1.2°C]) and the Southeast (roughly 1.5°F [0.8°C]).
>
> Since the mid-1960s, there has been only a very slight increase in the warmest daily temperature of the year (amidst large interannual variability). Heat waves (6-day periods with a maximum temperature above the 90th percentile for 1961–1990) increased in frequency until the mid-1930s, became considerably less common through the mid-1960s, and increased in frequency again thereafter. As with warm daily temperatures, heat wave magnitude reached a maximum in the 1930s.
> (NCA4 pp. 190-191)

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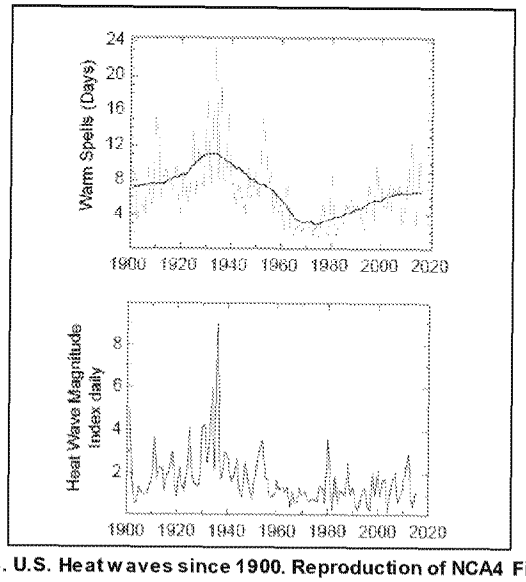

FIGURE 3. U.S. Heatwaves since 1900. Reproduction of NCA4 Figure 6.4

Contrast this careful and factual language from NCA4 with NCA5 Key Message 2.2: "Extreme Events Are Becoming More Frequent and Severe." This blanket statement is followed by NCA5 Figure 2.7 (reproduced as Figure 4 below) which shows the number of hot days, cold days and warm nights (respectively in panels a—c) over the period 2002-2021 compared to 1901-1960. {Presumably these are annual counts, although this is not stated in the caption.}

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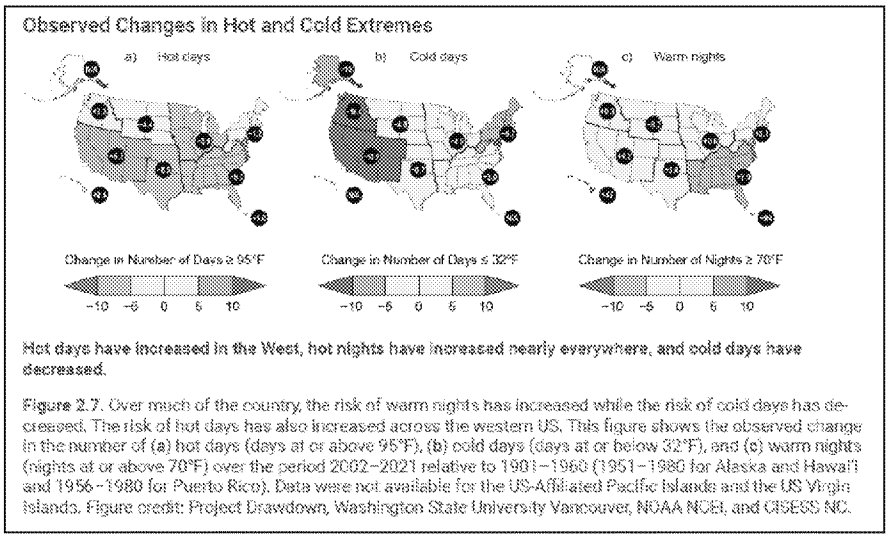

FIGURE 4: Reproduction of NCA5 Figure 2.7.

Close inspection shows that this figure actually contradicts the NCA5 Key Message with respect to heatwaves and instead reinforces the position of NCA4. Notwithstanding Key Message 2.2, the leftmost panel in NCA5 Figure 2.7 above shows that over most of CONUS the number of extreme heat days has *decreased* in recent decades compared to the prior century.

The accompanying discussion in NCA5 becomes convoluted as it appears to be aimed at supporting the Key Message despite the contrary evidence. NCA5 Chapter 2 elaborates on Key Message 2.2 by stating (page 2-16):

> Observations show an increase in the severity, extent, and/or frequency of multiple types of extreme events. Heatwaves have become more common and severe in the West since the 1980s (*high confidence*).

But while it mentions the increase in hot days in the West, it fails to mention the decrease everywhere east of the Rockies. On the next page (page 2-17) it raises the comparison to the 1930s and then switches to discussing global counts:

> By some measures, the most extreme heatwaves on record in the United States occurred during the Dust Bowl era of the 1930s. ... Globally such heatwaves are becoming more frequent, and in recent decades the western United States has been following those trends.

The reference to a global trend seems to be an attempt to tie heatwaves to anthropogenic climate change. But the obvious question, unaddressed by NCA5, is why one region follows the global pattern of increasing heatwaves while most of the country is trending in the opposite direction. These issues are addressed in Chapter 6 of CWG25, demonstrating that the range and magnitude of extreme temperatures are driven more by natural variability than by anthropogenic forcing. The analysis in CWG25 shows that for both (a) hot days (≥ 95°F) and (b) number of days in heatwaves, the current decade is no hotter than a century ago in the U.S. Thus, the NCA5 claim that "multiday heatwaves

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have become hotter, more frequent, larger, and longer lasting in recent decades," is simply false when expanding the temporal and spatial domains to encompass all available data (Chapter 6 and Figure 6.3.6 of CWG25).

NCA5 then pointed to the dramatic Pacific Northwest heatwave of 2021. CWG25 Section 8.6.1 discusses this event in detail, reviewing the multiple peer reviewed studies that explained the unusual meteorological context was unrelated to human-caused climate change, a point omitted from the NCA5 discussion.

NCA5 finally addresses the declining heatwave trend in CONUS east of the Rockies but attempts to reframe it by focusing on urban warm spells.

> [The] number of very hot days has actually decreased across the central and eastern regions due to summer cooling trends in the region (Figure 2.7; Ch. 22). This does not, however, mean the central and eastern US are not affected by heat. The impacts of extreme high temperatures are more severe if such conditions persist for several days, and overall, multiday heatwaves have become hotter, more frequent, larger, and longer lasting in recent decades. Across 50 large US cities, the US Global Change Research Program heatwave indicator ([HYPERLINK "https://www"].globalchange.gov/indicators/heat-waves) shows that the average number of heatwaves has doubled since the 1980s, and the length of the heatwave season has increased from about 40 days to about 70 days.

We discussed this part of NCA5 in CWG25 Section 6.3. The figure referenced by NCA5 uses a short time period which begins in the 1960s, the coldest decade in the last 100 years, thus suppressing any comparison to the 1930s. Further, nighttime temperatures in urban areas have increased faster than daytime temperatures (which NCA5 acknowledges), but this is a signature of urban heat islands and not a good metric of climate change. CWG25 found that the occurrence of hot days (≥ 95° F) has recently increased in the West but declined since 1899 in the remainder of the country and in the nationwide average.

5 Inadequate Traceable Accounts

NCA3 began the practice of including a section on Traceable Accounts at the end of each chapter. This is the description from NCA5:

> Each chapter concludes with a section entitled Traceable Accounts, which provides information on the overall process used to develop the chapter as well as a separate Traceable Account section for each Key Message. These Traceable Accounts describe the supporting evidence behind each Key Message, the process and rationale authors used in reaching their conclusions, and the author team's expert assessment of the confidence in and, where applicable, likelihood of these conclusions. As such, Traceable Accounts provide information about the state of the science, document sources of uncertainty, identify research gaps, and allow traceability to data and resources.

The Traceable Accounts for each Key Message includes the following sections:

- Description of Evidence Base
- Major Uncertainties and Research Gaps

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- Description of Confidence and Likelihood

The Traceable Accounts could have addressed the statutory requirement that the NCA discuss scientific uncertainties. They could also help satisfy the Executive Order for Restoring Gold Standard Science by addressing reproducibility, transparency, communication of error and uncertainty, and skepticism of findings and assumptions. However, the Traceable Accounts in NCA5's Chapters 2 and 3 are uneven, often superficial and uninformative.

An example of a deficient Traceable Account is NCA5's Key Message 2.2: "Extreme Events are Becoming More Frequent and Severe". The "Description of the Evidence Base" offers two paragraphs, the first summarizing the findings and the second generically describing the types of data that can be used for storms. "Major Uncertainties and Research Gaps" focuses on the uneven distribution across U.S. populations due to differences in exposure and vulnerability. The only limitations in data referred to are the lack of a long-term record of lightning and the lack of homogenized daily and hourly temperature data apart from reanalysis products.

But the most astonishing deficiency is in the "Description of Confidence and Likelihood." One of the statements in Key Message 2.2 is:

> Hurricanes have been intensifying more rapidly since the 1980s (*high confidence*) and causing heavier rainfall and higher storm surges (*high confidence*).

The Description of Confidence and Likelihood includes the following statement:

> Basic physical understanding and climate models both provide robust explanations for the links between climate change and observed changes in these extremes: this is why the authors also have high confidence that storms are delivering more rainfall and high confidence that storm surges are becoming higher.

No reference to journal publications or a specific data set is made anywhere in the chapter regarding hurricane-induced precipitation or storm surge to support this *high confidence* conclusion.

The Traceable Accounts for the various Key Findings of Chapter 3 have some substantial inconsistencies. Consider

> Key Message 3-1: Human activities have caused global warming.
> Confidence and Likelihood: "There is *very high confidence* that emissions of GHGs from human activities, fossil fuel use in particular, have *unequivocally* caused all global warming observed over the industrial era. There is also *very high confidence* that changes in natural climate drivers have had globally small and regionally variable long-term effects over this period."

The Uncertainties section addresses only uncertainties in radiative forcing by greenhouse gases, ignoring the great uncertainty in model responses to that forcing (CWG25 Chapters 4 and 5) In contrast, the Traceability Analysis of Key Message 3-4 ("Humans Are Changing Earth System Processes") raises the problem that relatively little is known about the role of natural variability at the regional scale. From its Description of Evidence Base:

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> In the last decade, there has been increasing recognition of the role of regional-scale natural variability in the past and future evolution of climate, as well as the fact that regional natural variability signals can be competitive with forced anthropogenic signals.

And from its Major Uncertainties and Research Gaps:

> For example, the true natural variability of the climate system on decadal to centennial timescales could be larger than what we estimate from GCMs, which would further increase the irreducible uncertainty stemming from natural variability.

These statements are among the most scientifically credible in the entire NCA5 and they directly undercut the confidence assigned to Key Message 3-1.

There is also a generic problem in tracing NCA5's Traceable Accounts. The Front Matter of NCA5 states:

> Each figure and some tables are accompanied by a metadata survey, which can be accessed in the online version of the report by clicking on the eyeball icon above the figure or table (see the table below for explanations of additional icons used throughout the report). The metadata survey describes data sources, figure or table development methods, copyright information, and other important documentation.

Clicking on the 'eyeball' icon does not reveal the metadata information; instead a "Contact Us" email appears, addressed to the USGCRP. This is an inadequate way to archive the metadata information.

In summary, the Traceable Analyses examined here, with few exceptions, fail to meet the stated objectives of the NCA.

6 Overemphasis on Extreme Scenarios

To respond to the GCRA mandate to "project major trends for the subsequent 25 to 100 years," NCA5 relies on projections of future climate change based on estimates of future emissions (the so-called SSP scenarios) and/or specified levels of radiative forcing (the RCP scenarios). For the most part, NCA5 uses scenarios developed for the IPCC Assessment Reports.

CWG25 Section 3.2.1 presented a critical assessment of past and current IPCC scenario envelopes, showing that they have tended to overshoot observed emissions. IPCC AR6 published in 2021 stated that "The likelihood of high-emission scenarios like RCP8.5 and SSP5-8.5 is considered low in light of recent energy sector developments." and that same year UN Climate Negotiators (COP) stopped using scenarios above 4.5.

NCA5 makes the following observations about the scenarios they use:

> NCA5 authors were advised to assess the full range of scenarios available. (Front Matter)

> Scenarios of future emissions and land-use change are developed as plausible alternatives, but no relative likelihood is attached to them. Some recent studies, however, have argued that

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the highest scenario, SSP5-8.5, is no longer plausible without a reversal of current trends in the adoption of renewables and energy efficiency. (Key Message 3.3 Traceable Analysis)

Despite acknowledging the issue, NCA5 otherwise ignored the problem. A keyword search of NCA5 of mentions of RCP/SSP scenarios finds over 50% referred to the extreme emissions scenarios RCP8.5/SSP5-8.5. Further, nine NCA5 figures (5.2, 6.9, 7.4, 11.3, 14.6, 22.13, 22.19, 27.9, 29.13) included *only* the 8.5 scenarios.

The problem of relying on extreme scenarios is particularly acute for NCA5's sea level rise projections. The sea level rise scenarios used in NCA5 do not relate directly to the SSP emissions scenarios, but rather to specified amounts of sea level rise by 2100. Table 4 of NCA5's "Guide to Using this Report" reproduced below shows that Low relates to 0.3 m (0.98 feet), Intermediate-Low relates to 0.5 m (1.64 feet), Intermediate relates to 1.0 m (3.28 feet), Intermediate-High relates to 1.5 m (4.92 feet), and High relates to 2.0 m (6.56 feet).

Table 4. Descriptive Terms for Common Sea Level Rise Scenarios Used in NCA5

Future global mean sea level rise and sea level rise along United States coastline are shown for five scenarios in feet (and meters), relative to a 2000 baseline. The US values shown in the right half of the table are averaged across the US coastal regions, including the contiguous US, Alaska, Hawai'i and the US-Affiliated Pacific Islands, and the US Caribbean. The national values shown in the table differ substantially from regional values. For example, sea level rise is higher in the Gulf Coast and lower or even negative in some parts of Alaska. In the next 30 years (2020–2050), sea level along the contiguous US coasts is expected to rise 0.92 feet (0.28 m), the same amount of sea level rise observed over the last 100 years (1920–2020). See Chapter 9 for regional sea level information. Adapted from Sweet et al. 2022.[1]

Sea Level Rise Scenario Descriptor	Global Mean Sea Level			United States		
Year	2050	2100	2150	2050	2100	2150
Low	0.49 (0.15)	0.98 (0.3)	1.31 (0.4)	0.59 (0.18)	0.98 (0.3)	1.64 (0.5)
Intermediate-Low	0.66 (0.20)	1.64 (0.5)	2.62 (0.8)	0.75 (0.23)	1.64 (0.5)	2.95 (0.9)
Intermediate	0.92 (0.28)	3.28 (1.0)	6.23 (1.9)	0.89 (0.27)	3.28 (1.0)	6.89 (2.1)
Intermediate-High	1.21 (0.37)	4.92 (1.5)	8.86 (2.7)	1.12 (0.34)	4.92 (1.5)	8.86 (2.7)
High	1.41 (0.43)	6.56 (2.0)	12.14 (3.7)	1.38 (0.42)	6.56 (2.0)	12.46 (3.8)

TABLE 1: Reproduction of Table 4 from the Front Matter of NCA5

Considering only projections representing processes quantified with at least medium confidence, IPCC AR6 assessed global mean sea level projections for all emissions scenarios (including implausible extreme emissions scenarios) for 2100 to fall between 0.2 and 1.6 m (5th—95th percentile range). ~~Nevertheless~~ By contrast, the range of sea level rise scenarios considered by NCA5 extends to 2.0 m. Further, NCA5 fails to include plausible lower scenarios, such as 0.2 m cited by the IPCC, which also corresponds to the average rate of sea level rise over the past century.

Chapter 9 of NCA5 offers the following predictions of sea level rise:

> "Under a range of potential global warming levels, average sea level along US coastlines is *likely* to be between 12 and 20 inches above 2000 sea levels in 2050 (Figure 9.2)."

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The projected sea level rise values span from the Intermediate scenario to values slightly greater than the High scenario. They can be put into context by considering the [HYPERLINK "https://www.climate.gov/news-features/understanding-climate/climate-change-global-sea-level"] global sea level rise from 2000-2024, which is only approximately 3.4 inches (0.28 feet). NCA5 is thus projecting a sea level rise during 2025 and 2050 along US coasts that is 3.52.5 to 5.84.9 times the global rate observed during 2000-2024, an exceedingly implausible outcome. Chapter 9 further states:

> "Looking toward the future, an 11-inch (28-cm) average rise along the contiguous US coastline is expected by 2050 (relative to 2020), with a *likely* range of 9-13 inches."
> ...
> "There is *high confidence* and it is *likely* that sea levels will rise about 11 inches (likely range of about 9–13 inches) between 2020 and 2050"

This implies a rate of increase more than double the rate from 2000 to 2024, again an implausible outlook. In summary, NCA5 presents future sea level rise scenarios that are not directly relatable to either SSP/RCP emissions scenarios or to plausible global warming levels. The NCA5 scenarios include two that are higher than the 95th percentile for the extreme emissions scenario RCP8.5 and include hypothesized ice sheet processes in which there is low confidence. Projections to 2050 require rates of sea level rise from 2025 to 2050 that are more than triple double the rate observed during 2000-2024. Further, NCA5 ascribes *high confidence* to these sea level rise projections. CWG25 Section 7.3 provides further context for USGCRP projections of extraordinary sea level rise in the next 25 years.

More generally, NCA5's inclusion of, and even focus on, implausible extreme scenarios creates projections of alarming impacts that are very unlikely to be driven by anthropogenic warming.

7 Conflation of Natural and Anthropogenic Climate Changes

NCA5's Glossary defines "climate change" as a change in climate for any reason. This is technically correct, but at variance with the (now) popular perception of all climate changes being anthropogenic(IPCC usage, which also corresponds to the popular connotation, in which the term refers specifically to anthropogenically-caused change. The distinction is not academic- it is central both to attribution questions and to projections, since anthropogenic changes would respond to mitigationdepend on emission rates, while natural changes are unavoidable. Having defined climate change broadly NCA5 then fails to distinguish natural and anthropogenic changes in context with the effect of implicitly over-attributing changes to greenhouse gas emissions.

The discussion on NCA5 page 2-4 begins "Human activities are changing the climate", then goes on to list evidence for anthropogenic GHG emissions and climate warming. The next paragraph begins "Climate change is happening now in the United States. Including Alaska, the continental US has been warming about 60% faster than the planet as a whole since 1970." The implication is that the warming of CONUS faster than the planetary average is an effect of GHG emissions. But it is an artifact of the Earth's surface being 70 percent ocean. Since the ocean warms more slowly than land, comparison of any land surface outside the tropics with the global average would show the land surface to be warming faster than the global average, regardless of the cause of the warming.

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The same page goes on to say "Climate change is already affecting people in the United States. Extreme heat was estimated to be responsible for more than 700 deaths per year between 2004 and 2018 although some estimates put heat-related mortality closer to 1,300 deaths annually." But extreme heat has always occurred naturally, and whether natural or not, it has always been a risk to human health. As to trends, the data show that adaptation has caused the mortality burden of extreme heat to drop substantially in recent decades (CWG25 Section 10.3.1).

Consider also the NCA5 statement on precipitation cited in Section 3.2:

> Many eastern regions of the country are getting wetter (Figure 2.4). Average annual precipitation from 2002-2021 was 5%-15% higher relative to the 1901-1960 average in the central and eastern US, a trend attributable to climate change.

The attribution is superfluous because the statement is a tautology by NCA5's definition of climate change. Its gratuitous inclusion effectively attributes the observed trend to greenhouse gas emissions.

Exploitation of the ambiguity inherent in the term "climate change" is endemic to NCA5. The NASEM review (pp. 23-24) ~~commented on~~flagged this problem as follows:

> The draft NCA5 report frequently describes "change" but does not consistently distinguish between natural variability and climate change. This lack of clarity can be misleading for the reader by creating the misconception that some impacts that are the result of the combination of natural variability and climate change are instead solely due to climate change... it is important for NCA5 authors to accurately identify the multiple drivers of change (including global change), in addition to climate change, and accurately attribute impacts. Clearly describing impacts due to natural variability, climate change, or a combination of the two is important not only for informing policy decisions, but also for building public understand [sic] and trust in the report's messages. Climate change and natural variability should be clearly defined in the glossary and natural variability could be introduced in chapter introductions when the concept is important for understanding key messages.

This could have been easily addressed, for example by reserving the term "climate change" for anthropogenic changes and using "climate variability" for natural changes. But the NCA5 authors seemingly ignored ~~their~~ the recommendation.

A separate but related issue is use of the term "climate change" to refer to increases in socioeconomic vulnerabilities that have anthropogenic causes unrelated to GHGs. NCA5 page 1-19 states "Climate change exacerbates inequalities." But the issue discussed is the negative correlation between neighborhood-level income and land surface temperatures in urban areas. To the extent the correlation is real, the most likely explanation is that low-income households concentrate where housing is less expensive, which might be in neighborhoods with less nearby parkland and tree cover; that has nothing to do with CO_2 emissions.

8 Summary Evaluation of NCA5 and Recommendations for NCA6

This chapter summarizes our evaluation of NCA5 relative to OSTP's charge: whether NCA5 (1) complied with the USGCRP's statutory obligation; (2) adheres to the core tenets of Gold Standard

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Science; and (3) can suitably inform federal climate and energy policy. The points made in this summary analysis refer to specific sections of this report as well as to the CWG25 report.

8.1 Compliance with USGCRP's statutory obligation

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As cited in Section 1.2 of this report, the Global Change Research Act requires an assessment that:

(1) integrates, evaluates, and interprets the findings of the [Global Change Research] Program and discusses the scientific uncertainties associated with such findings;
(2) analyzes the effects of global change on the natural environment, agriculture, energy production and use, land and water resources, transportation, human health and welfare, human social systems, and biological diversity; and
(3) analyzes current trends in global change, both human-induced and natural, and projects major trends for the subsequent 25 to 100 years.

We deem NCA5 to have fallen short when evaluated against these criteria because of the following major shortcomings (~~each is detailed in the sections and chapters cited~~see indicated section for details):

- Inadequate assessment of the relevant topics in climate science and the hazard components of climate impacts (such as hurricanes and floods). NCA5 instead focuses on evaluation of societal vulnerabilities (such as flood infrastructure, access to air conditioning, etc.), "Climate Action," and policy recommendations with a social justice emphasis (~~Section 1.2~~Chapter 2).
- Overconfidence in conclusions and inadequate assessment of uncertainties (~~Section 3.1.5~~Chapter 3)
- Inadequate discrimination between natural and human-induced causes of trends and impacts (Chapter 7)
- Inadequate analysis of climate trends by relying on short time periods (Chapter 6)
- Uncritical evaluation of emission scenarios and climate model simulations in making projections for the next 25 to 100 years (Chapter 6~~, Section 3.2.1 and Chapter 5 of CWG25~~).

8.2 Adherence to the tenets of Gold Standard Science

With respect to the tenets of Gold Standard science listed in Section 1.32 of this report, we have identified the following major shortcomings in NCA5:

- **Lack of reproducibility.** In the few examples that we examined closely, we identified an instance where we could not reproduce the findings of the NCA5, a task that should require only rudimentary data analysis (Section 4.1)
- **Lack of transparency.** In many instances, the Traceable Account fails to justify the Key Finding. Metadata was not readily accessible. (Chapter 5)
- **Inadequate communication of error and uncertainty.** The Traceable Account for each Key Message provides a section on Major Uncertainties and Research Gaps; most of the accounts that we examined were superficial and uninformative. (Chapter 5)
- **Not skeptical of findings and assumptions.** The main text of the chapters is, with few exceptions, not skeptical of its findings and assumptions and does not mention dissent or disagreement. (Chapters 3 and 5)
- **Some hypotheses and Key Messages are not falsifiable.** Key Message 13.4 is but one of many examples: "Equitable Distribution of Transportation Trade-offs and Benefits Requires Community Involvement." See discussion in Chapter 2.

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